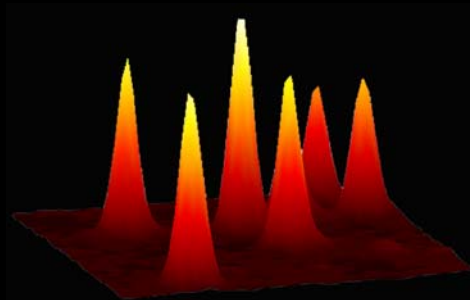


Photothermal Imaging and Absorption Spectroscopy of Individual Nano-objects:

Metallic Nanoparticles, Semiconductor Nanocrystals, Carbon Nanotubes



Stéphane Berciaud

Groupe NanoPhotonique

Centre de Physique Moléculaire Optique et Hertzienne (CPMOH)

CNRS & Université de Bordeaux 1

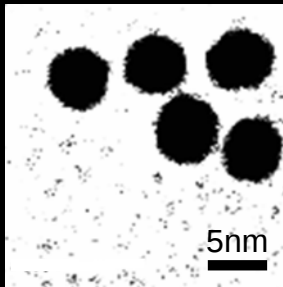
Directeur de thèse: Brahim Lounis

Scientific Context

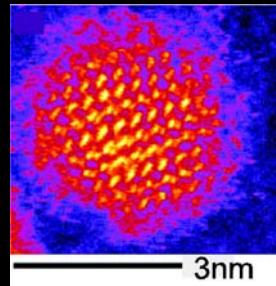
- *Nano-objects*

→ Dimensions between atomic scale and bulk

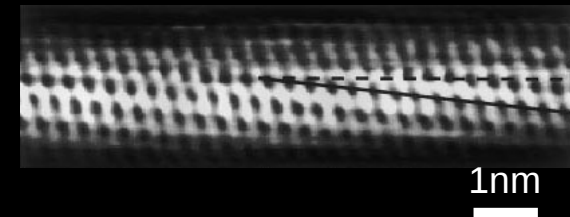
Metallic nanoparticles, Semiconductor Nanocrystals, Carbon nanotubes, etc...



Gold NPs



CdSe NCs



Carbon Nanotubes

- *Size dependent physical properties*

→ Fundamental studies

→ Technological applications (material sciences, electronics, photonics, biotechnology, etc...)

TEM image of a single CdSe Nanocrystal : Mc Bride *et al.* Nano. Lett. **4**, 1279 (2004)

STM image of a single carbon nanotube : Wildöer *et al.* Nature **391**, 59 (1998)

Optical detection of individual nano-objects (1)

- *Far Field Optical Detection Techniques*

- Non-invasive measurement
- Large variety of spectroscopic tools

- *Individual Nano-Objects*

- No ensemble averaging
- Statistical distributions
- Single quantum systems
- *Nanoprobes* of their local environment
- ...

Optical detection of individual nano-objects (2)

- *Luminescence microscopy:*
 - *Luminescent nano-objects only!*
 - Bleaching (eg. Fluorescent Dyes)
 - Blinking (eg. Semiconductor Nanocrystals)
- *Rayleigh scattering intensity:*
 - Particle Size
 - Scattering Background

How to detect *as small as possible*, *non-luminescent* nano-objects ?

- Scattering decreases faster with size than absorption
 - eg. : spherical nanoparticles : $\sigma_{\text{abs}} \sim D^3$, whereas $\sigma_{\text{scatt}} \sim D^6$
- **Absorption based detection of individual nano-objects...**

Imaging Individual Nano-Objects *via* Absorption

- *Good candidates for absorption-based detection*

- Large absorption cross sections

- Small time intervals between consecutive absorption events

- *Metal Nanoparticles fulfill both requirements*

- High absorption near the Surface Plasmon Resonance

- 5nm gold NP: $\sigma_{abs} \sim 6 \cdot 10^{-14} \text{ cm}^2 \sim 10^2 \sigma_{abs-molecule}$

- Short electron-electron and electron-phonon relaxation times ($\sim 1 \text{ ps}$)

- Very low luminescence yield

- Absorbed energy converted into heat

Detection of this photothermal effect...

Outline

- **Photothermal heterodyne imaging**

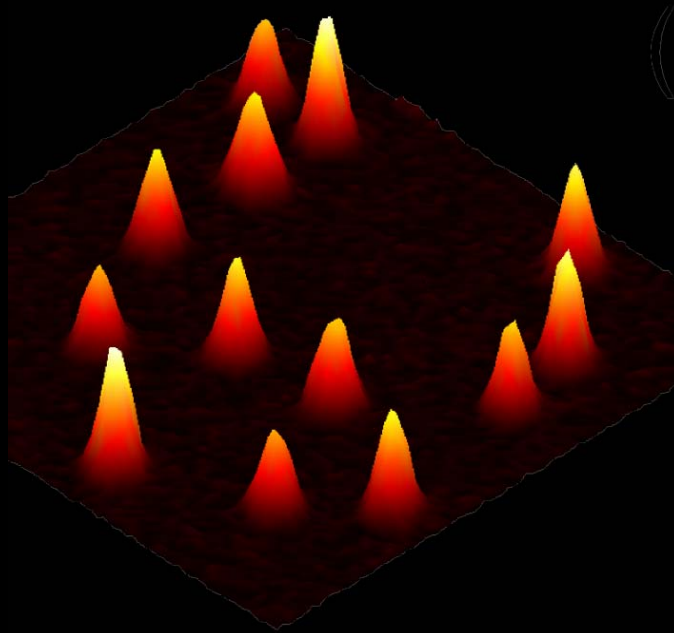
- Principle and performances
- Characterization of the photothermal signal

- **Applications to absorption spectroscopy**

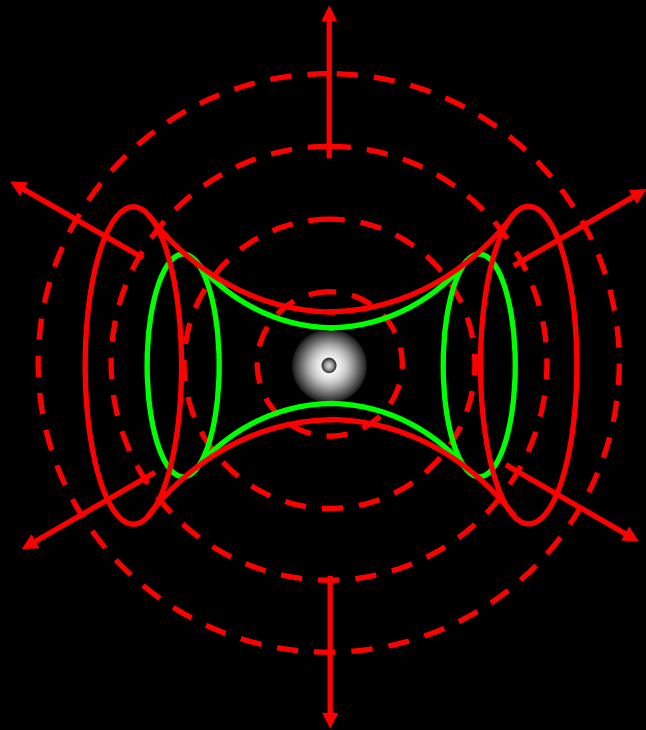
- Surface Plasmon Resonance of individual gold nanoparticles
- Individual semiconductor nanocrystals
- Individual carbon nanotubes

Coll. P. Poulin (CRPP, Bordeaux) et R. B. Weisman (Rice University, USA)

Photothermal Heterodyne Imaging of Individual Nano-objects



Photothermal Heterodyne Imaging (PHI)



Modulated Heating Beam (at Ω)

Nanoparticle: Heat point source

Refractive index profile

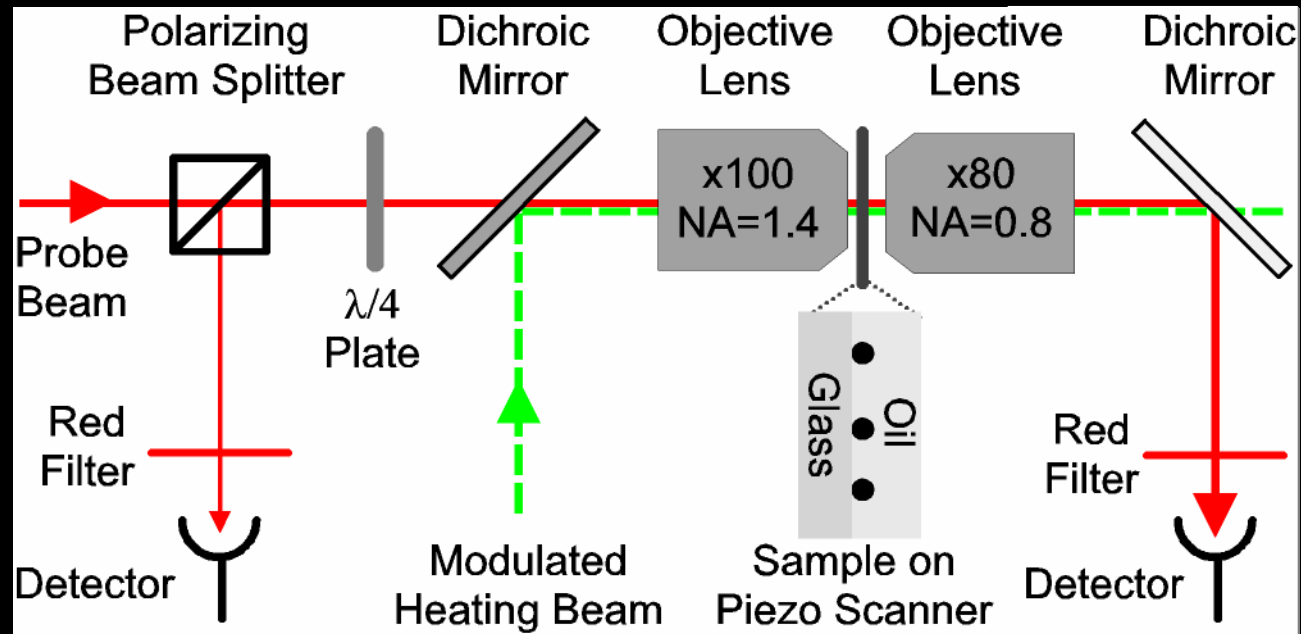
characteristic size r_{th}

Non-resonant Probe beam

Scattered field (with sidebands at $\pm \Omega$)

→ Detection of the Beatnote at Ω between the scattered field and the reflected (or transmitted) probe field

Experimental Setup



Beatnote at Ω extracted by
lock-in detection

Backward signal

Beatnote at Ω extracted by
lock-in detection

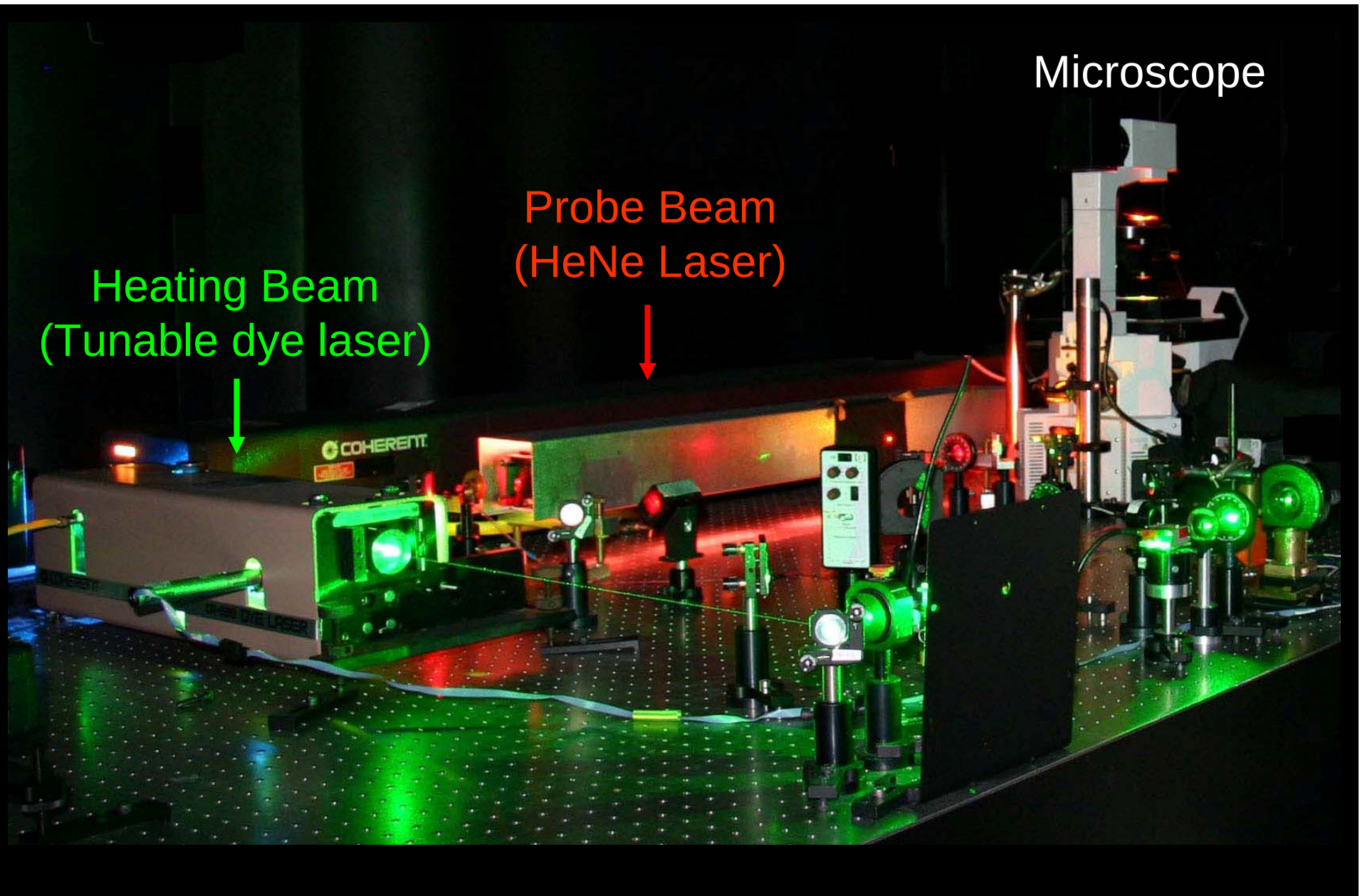
Forward signal

Experimental Setup

Microscope

Probe Beam
(HeNe Laser)

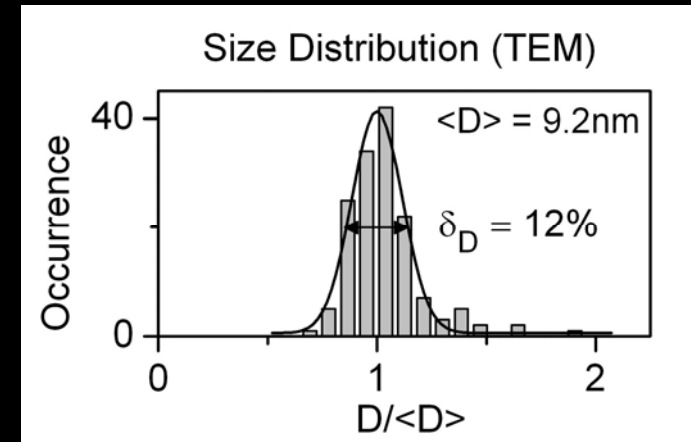
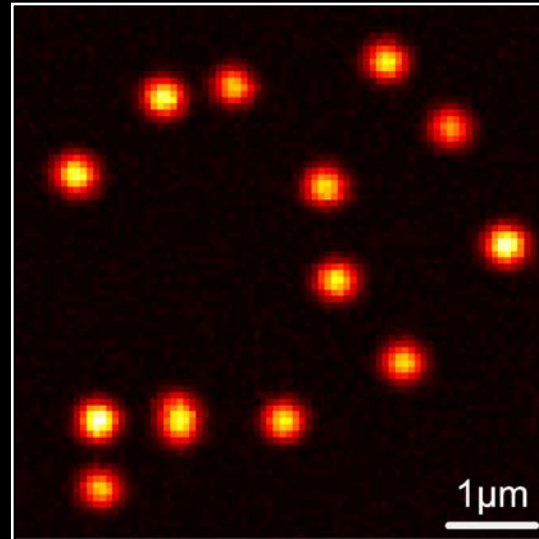
Heating Beam
(Tunable dye laser)



Imaging of 10 nm Individual Gold Nanoparticles

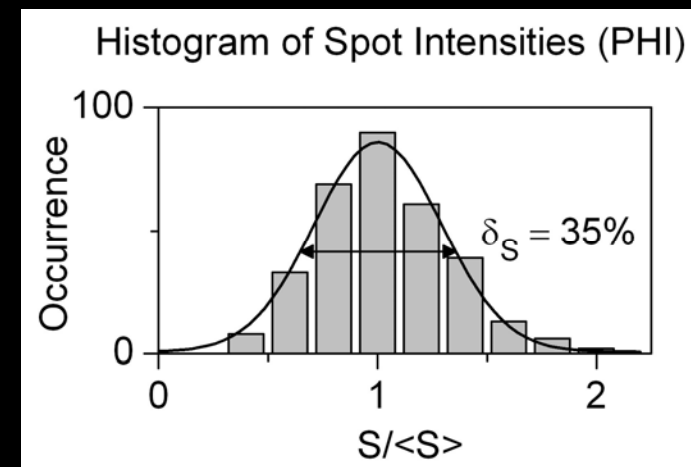
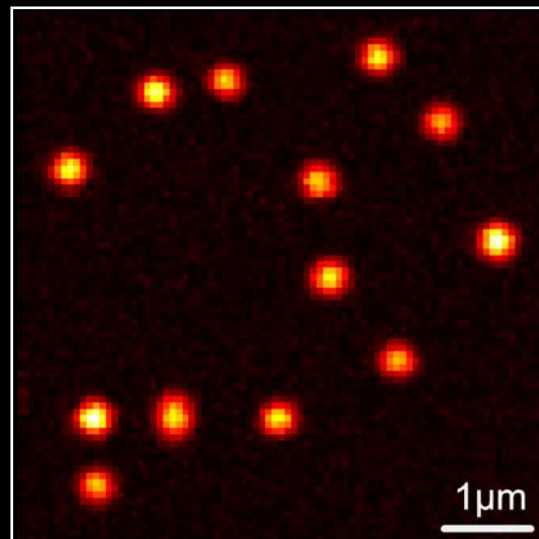
Backward

$$I_{\text{heat}} = 500 \text{ kW/cm}^2$$
$$\Delta t = 10 \text{ ms/pixel}$$



Forward

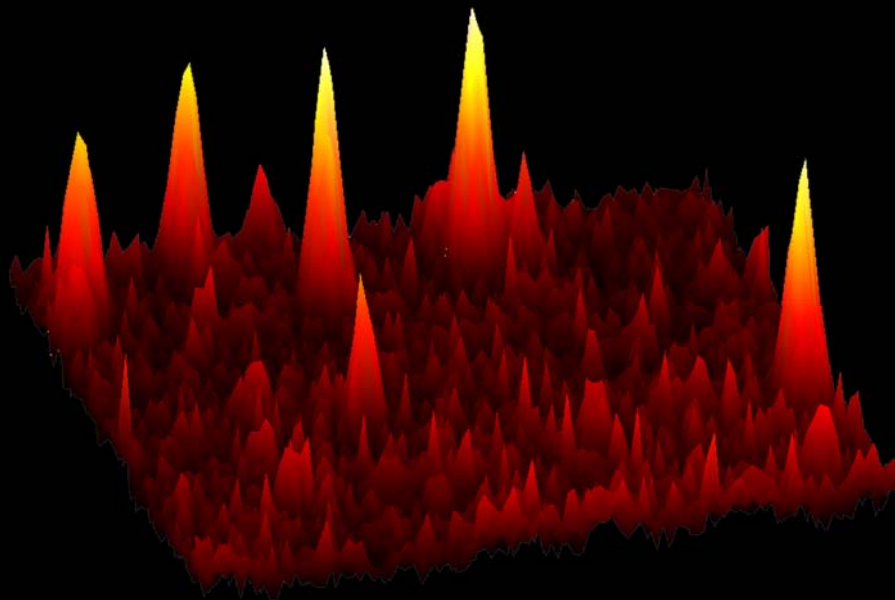
$$I_{\text{heat}} = 500 \text{ kW/cm}^2$$
$$\Delta t = 10 \text{ ms/pixel}$$



→ **Individual** Nanoparticles

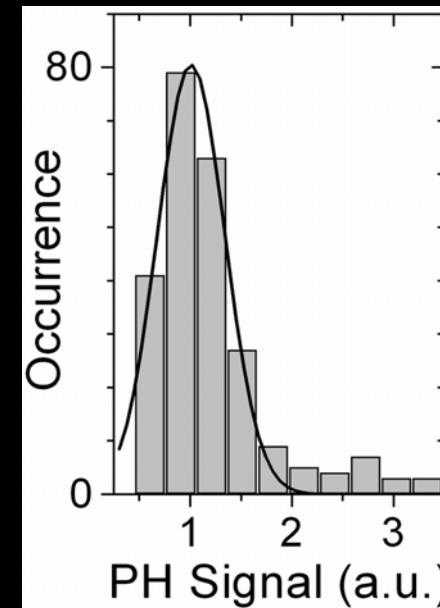
Optical Detection of Individual 1.4 nm Gold Nanoparticles

- Sample of 1.4 nm gold nanoparticles (~70 atoms) embedded into a PVA matrix
- Nanoparticle absorption cross section $\sigma_{abs} \sim 10^{-15} \text{ cm}^2$



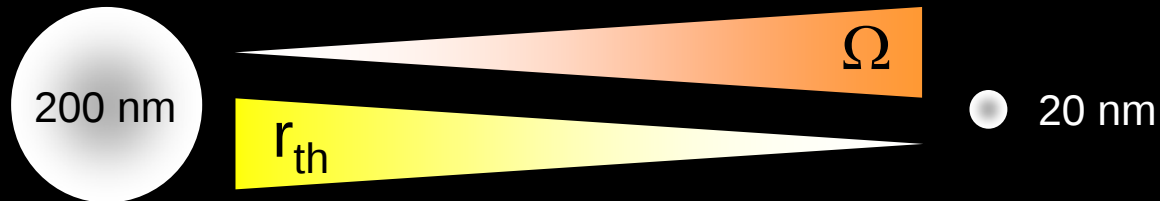
Photothermal Heterodyne Image

5 x 5 μm^2 (80 nm / pixel, 10 ms / pixel)

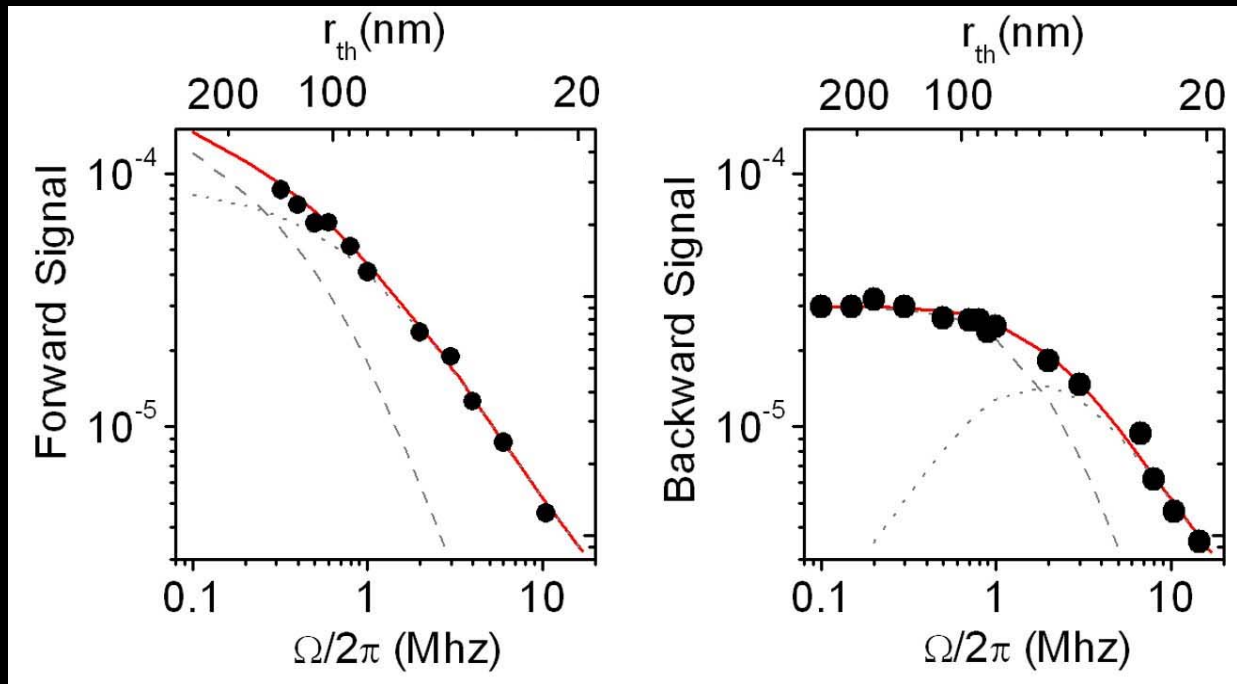


Unimodal Histogram
of spot intensities

Signal vs Modulation Frequency



$$r_{th} = \sqrt{\frac{2D}{\Omega}}$$



$$D = 2.10^{-8} \text{ m}^2 \text{ s}^{-1}$$

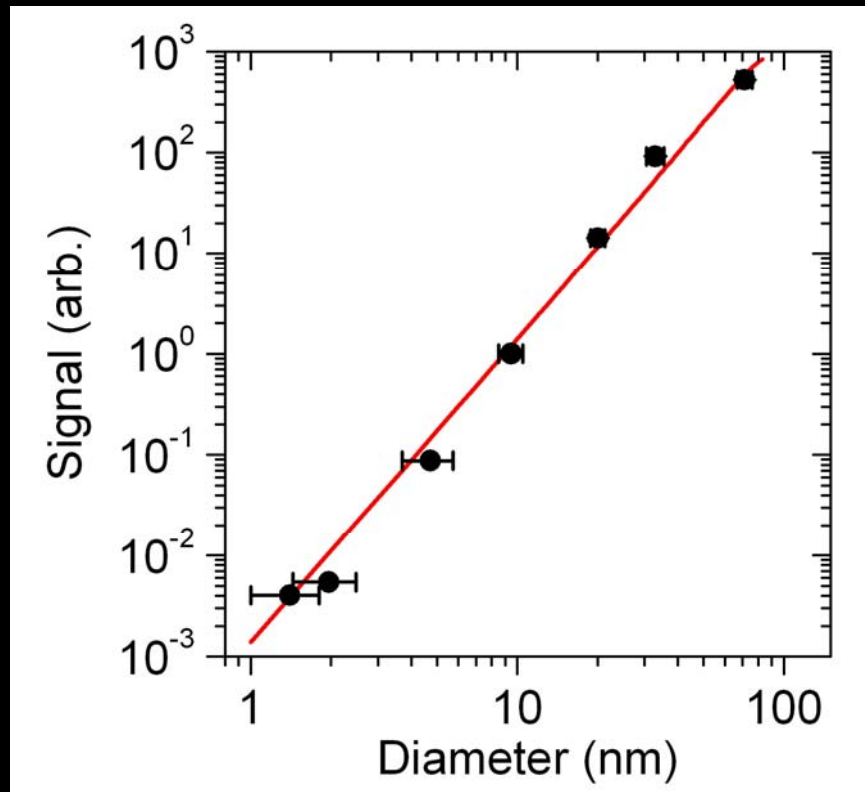
— Total Signal
 - - - In phase
 In quadrature

- "Low pass" behavior : cut-off for $r_{th} = \lambda/(2\pi n) \Rightarrow \Omega_c \sim 1\text{MHz}$
- For $r_{th} > r_{thc}$: Forward scattering dominates

Size Dependence of the Absorption Cross Section of Gold Nanoparticles

- Samples containing nanoparticles of two different (successive) sizes
- Bimodal distributions for the histogram of signal amplitude

• Here : 2 nm & 5 nm $\Rightarrow \times 15$ in signal



- Third-order law of σ_{abs} vs Diameter
 $\rightarrow$ In agreement with Mie theory

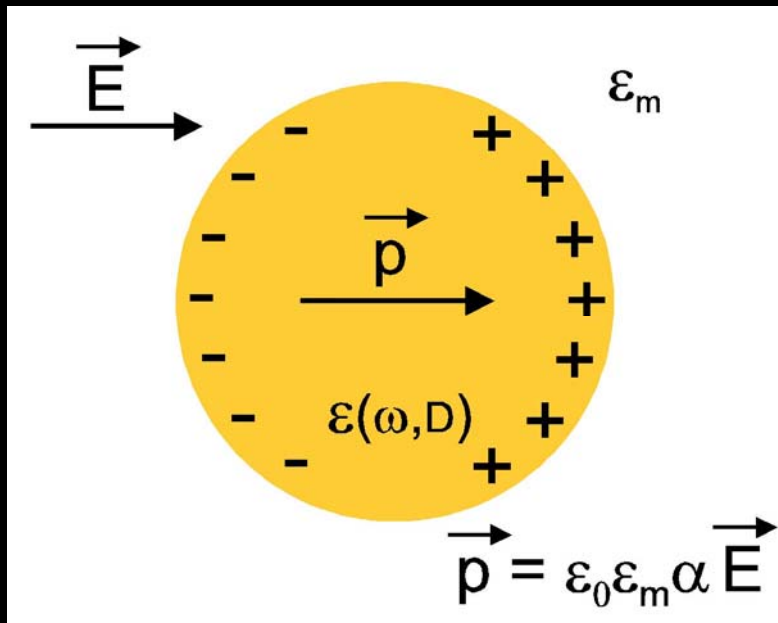
Surface Plasmon Resonance Spectroscopy of Individual Gold Nanoparticles



Lycurgus Cup (IVth century AD)
(British Museum)

SPR in the Dipolar Approximation

- Dipolar approximation :
→ Valid for $D \ll \lambda_{excitation}$



$$\alpha = \frac{\pi}{2} D^3 \frac{\epsilon - \epsilon_m}{\epsilon + 2\epsilon_m} \quad \text{and} \quad \sigma_{abs} = k \operatorname{Im}(\alpha)$$

$$\sigma_{abs} = 4\pi D^3 \epsilon_m^{3/2} \frac{\omega}{c} \frac{3\epsilon_2}{(\epsilon_1 + 2\epsilon_m)^2 + \epsilon_2^2}$$

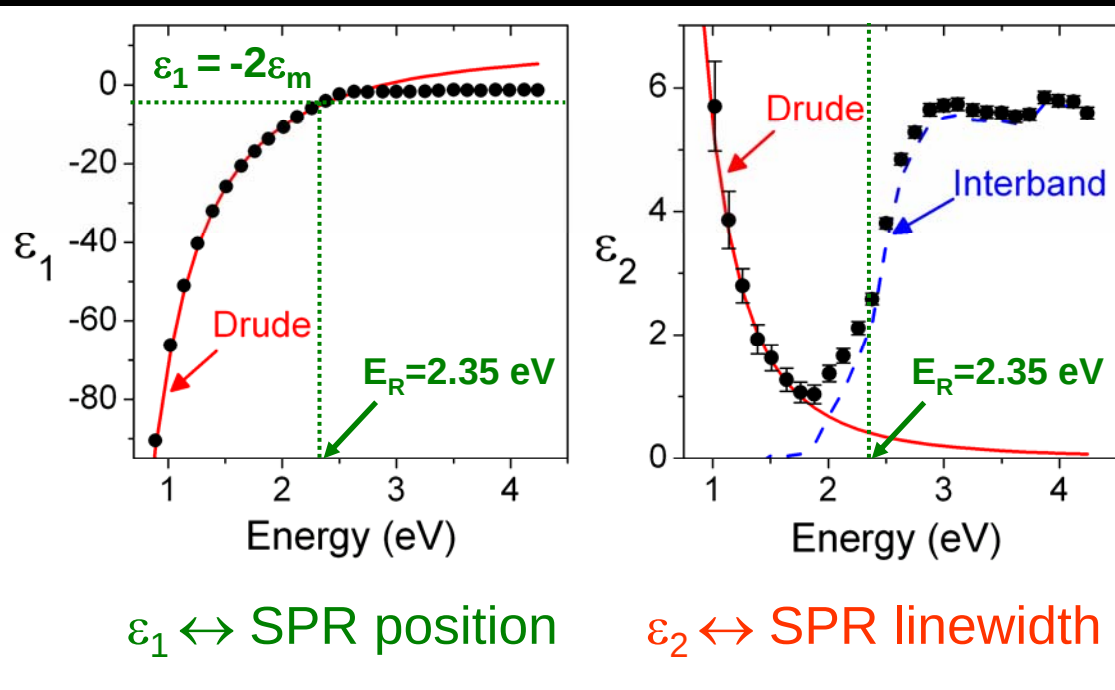
- Restoring Force
- Resonant Oscillation for $\epsilon_1 + 2\epsilon_m = 0$
- Achievable in metals, where $\epsilon_1 < 0$ in the optical domain

SPR in Gold Nanoparticles

$$\varepsilon(\omega) = \underbrace{\varepsilon_{DC} - \frac{\Omega_p^2}{\omega(\omega + i\gamma_0)}}_{\text{Modified Drude Term}} + \underbrace{\varepsilon_{IB}(\omega)}_{\text{Interband Term}}$$

$\gamma_0 = \tau^{-1}$: electron collision rate

$L_e = v_f \tau$: electron mean free path



Interband transitions
 $\Rightarrow$ additional damping
 SPR linewidth $> \gamma_0$

Size Effects

Extrinsic

- For $D > 20 \text{ nm}$
 - Red shift of the RPS ("dynamic depolarization")
 - Broadening (radiation damping and contribution from higher order modes)

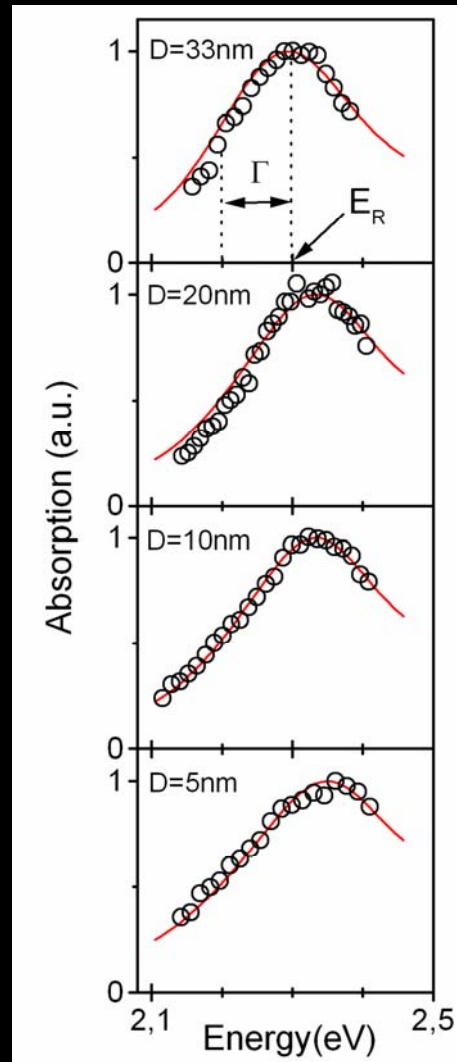
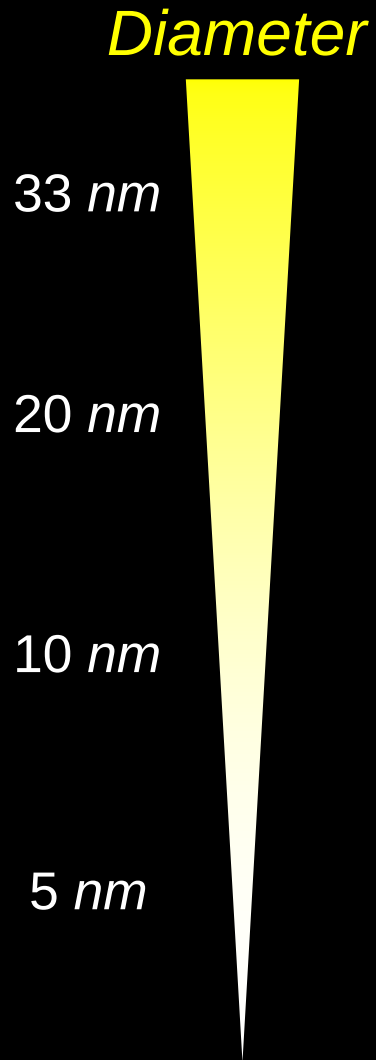
Intrinsic

- Electron mean free path in bulk gold : $L_e \sim 14 \text{ nm}$
 - For $D < L_e$: Size dependent term in the dielectric constant of a gold NP

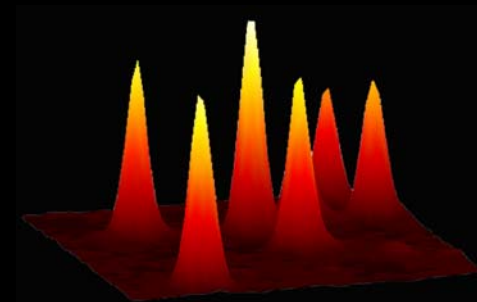
$$\gamma(D) = \gamma_0 + \frac{2Av_F}{D} \Rightarrow \varepsilon(\omega, D) \approx \varepsilon_{bulk}(\omega) + i \frac{\Omega_p^2}{\omega^3} \frac{2Av_f}{D}$$

- Observable effects : Broadening of the SPR with decreasing NP size
- Individual particles  Measure of the homogeneous width of the SPR

Examples of Measured SPR Spectra



- Red shift with *increasing* size ($D > 20\text{ nm}$)
- Broadening with *decreasing* size ($D < 10\text{ nm}$)
- E_R : Resonant Peak Energy
- Γ : SPR half width



Single 5nm gold nanoparticles

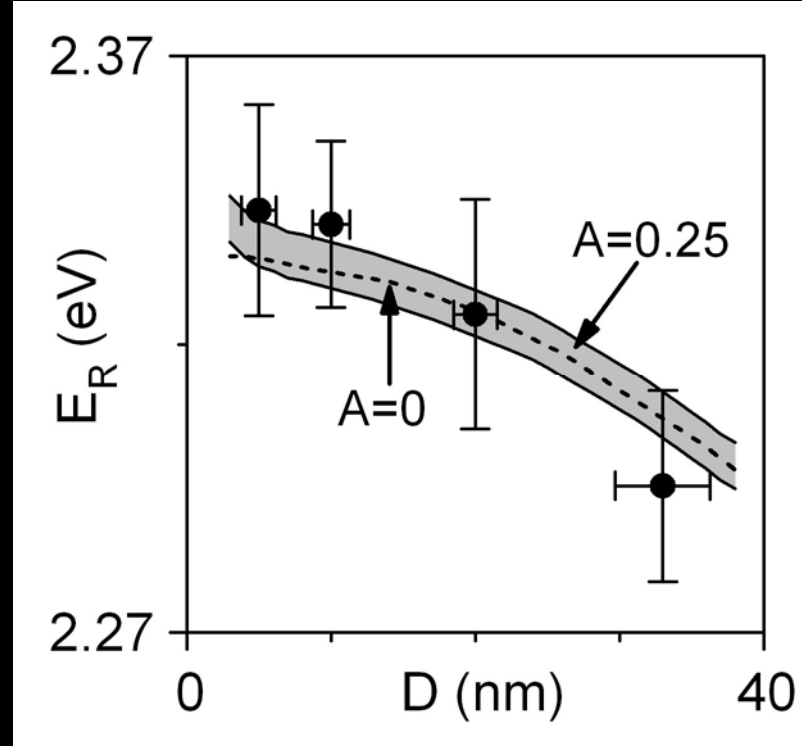
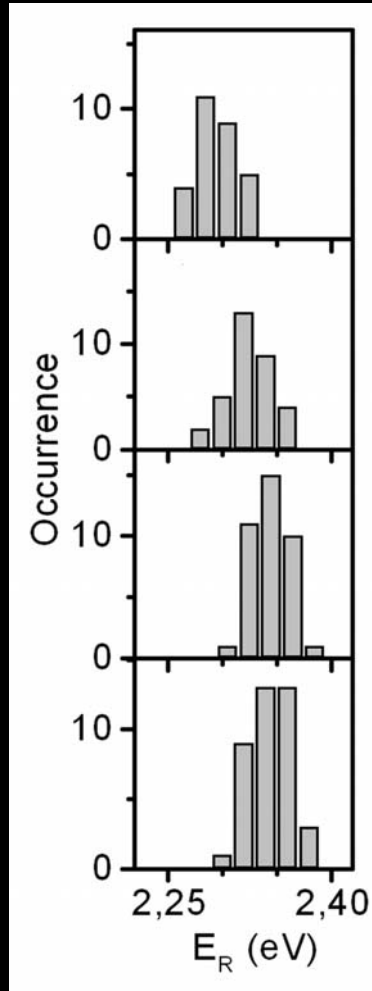
Size Dependence of E_R

33 nm

20 nm

10 nm

5 nm

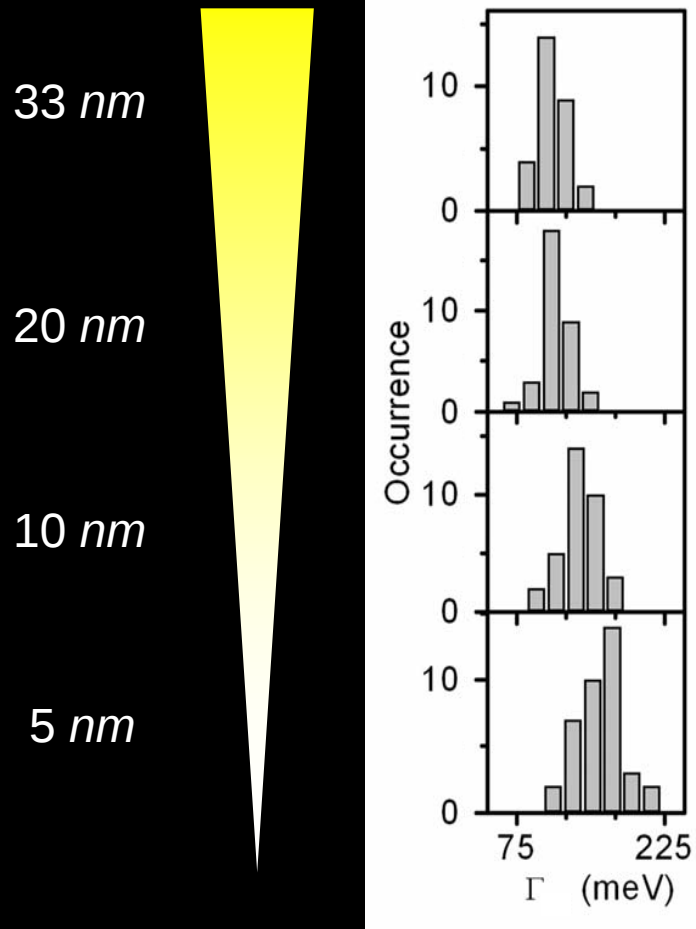


- Good agreement with Mie theory
- No change of E_R due to intrinsic size effects

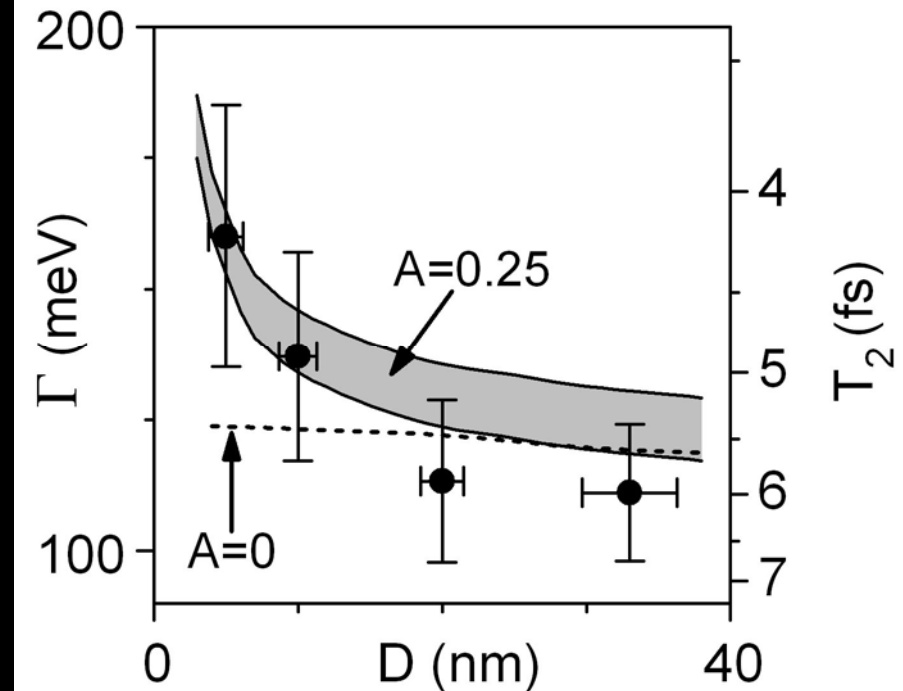
Dispersion in E_R due to the slight ellipticity of our NPs

Size Dependence of Γ

Observation of Intrinsic Size Effects

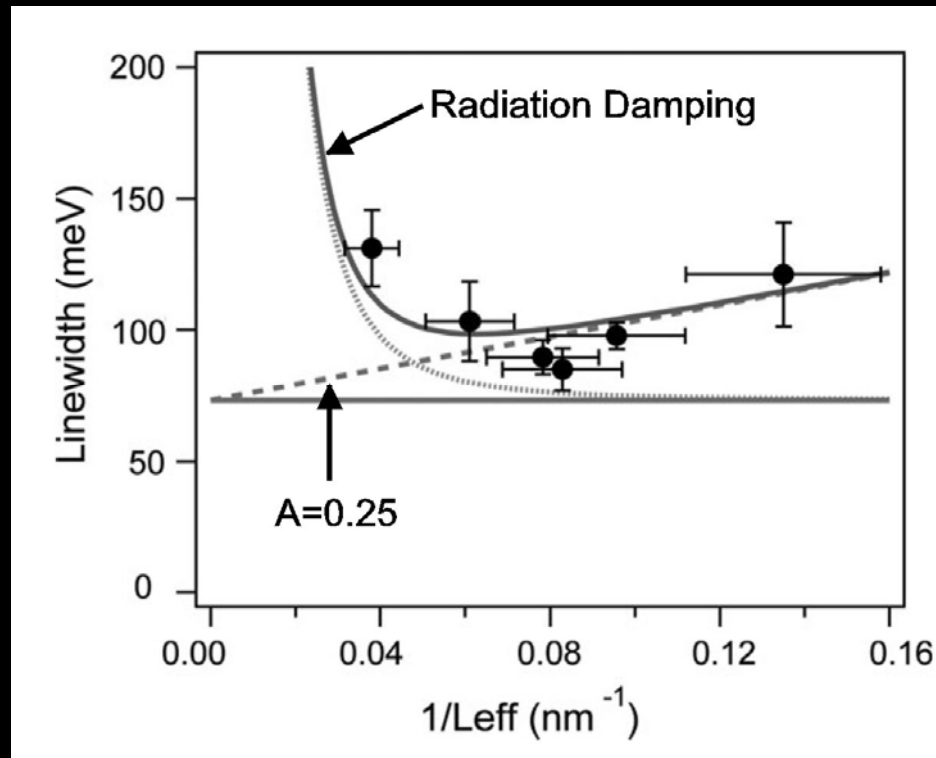


- Larger Dispersion in Γ for small sizes
→ Heterogeneities in interface decay channels
→ Small NPs more sensitive



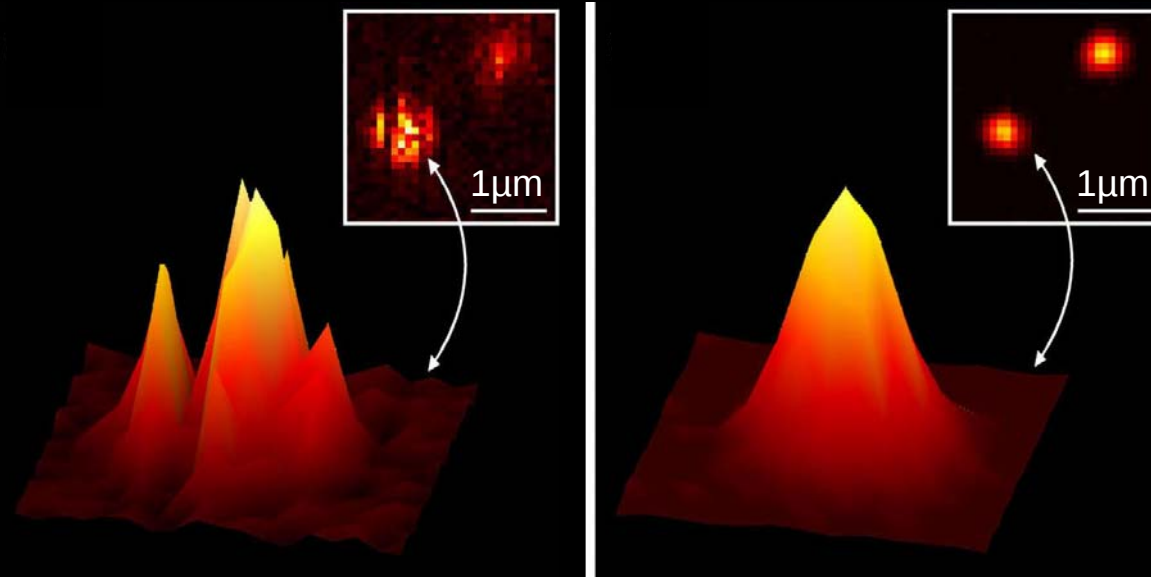
- Good agreement with Mie theory for $A=0.25$
- Broadening due to additional surface damping

Recent related results

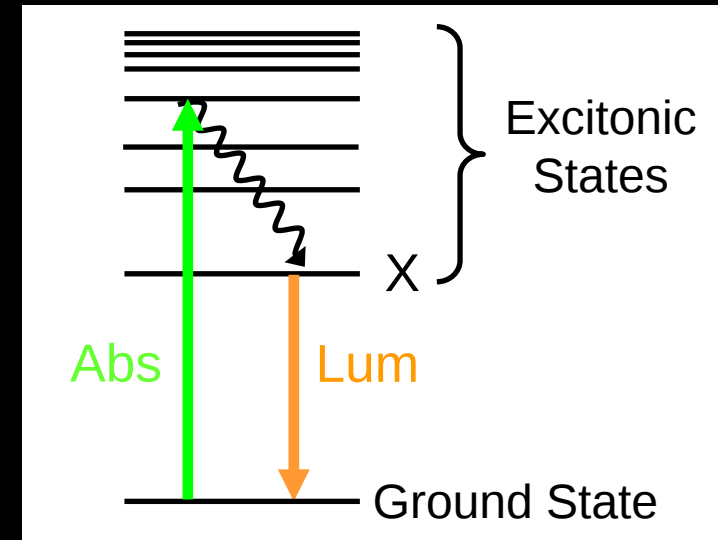
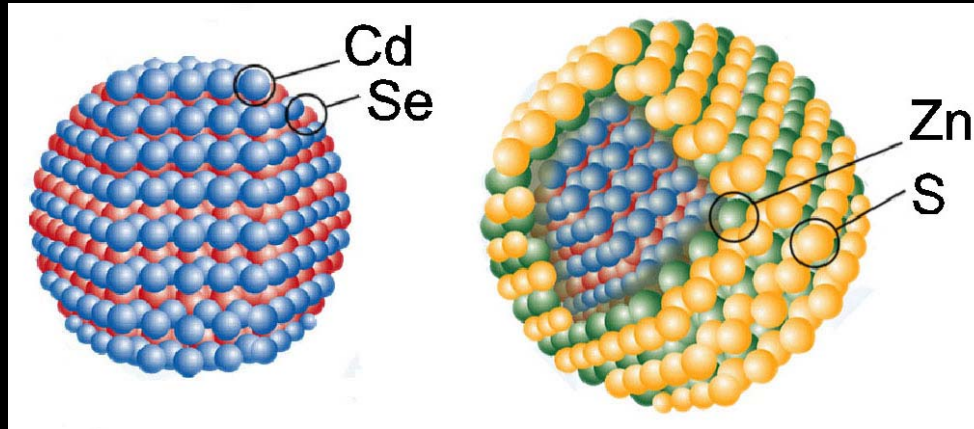


- Recent experiments on Gold nanorods ($13\text{nm} < D_{\text{eq}} < 40\text{nm}$)
→ Same size parameter : $A=0.25$

Imaging & Absorption Spectroscopy of Individual Semiconductor Nanocrystals

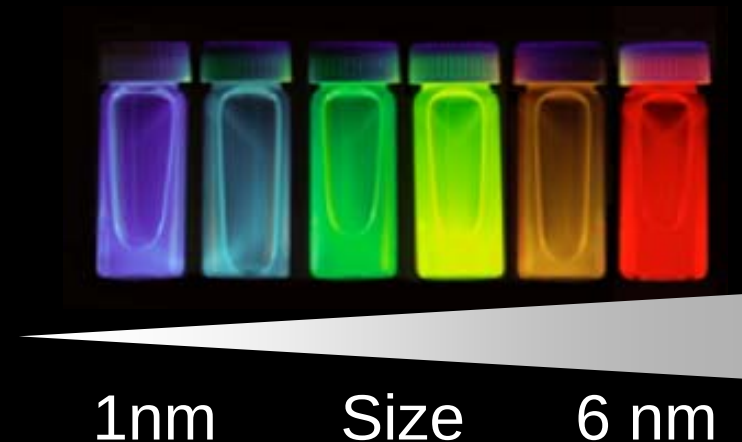


CdSe/ZnS Semiconductor Nanocrystals



- Colloidal Nanocrystals
- Strong carrier confinement
 - Atom-like level structure
- Size dependent optical properties
 - Tunable Absorption & Emission

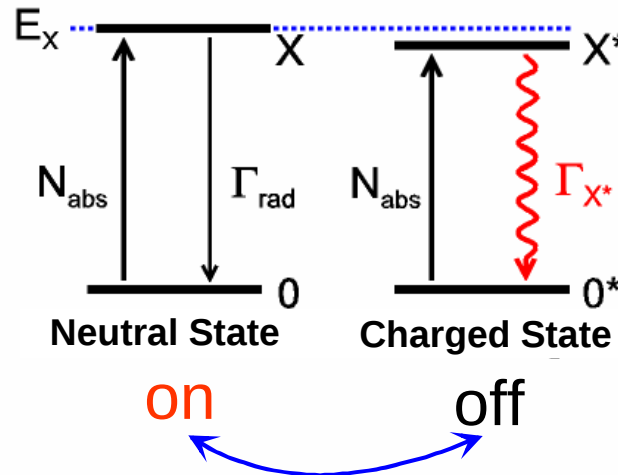
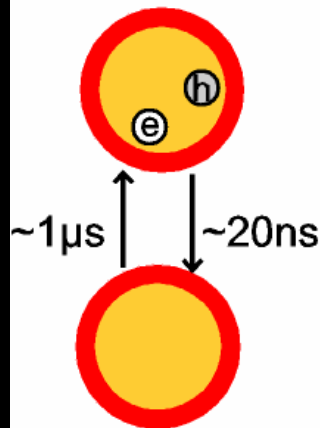
Excitation @ ~400nm



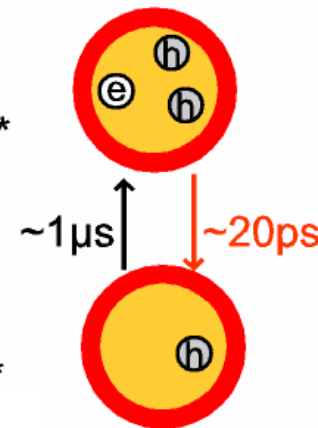
Photophysics in the *low* excitation regime

Low excitation: $N_{\text{abs}} \sim 1 \mu\text{s}^{-1} \ll \Gamma_{\text{rad}} = (1/20) \text{ ns}^{-1}$
→ Monoexcitonic regime

Exciton

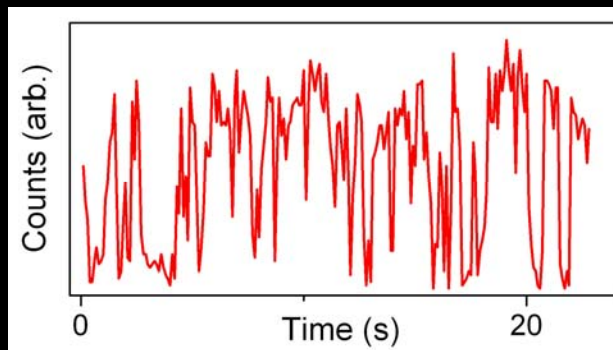


Trion
Non-radiative
recombination



Luminescent Nanocrystals

“Blinking”



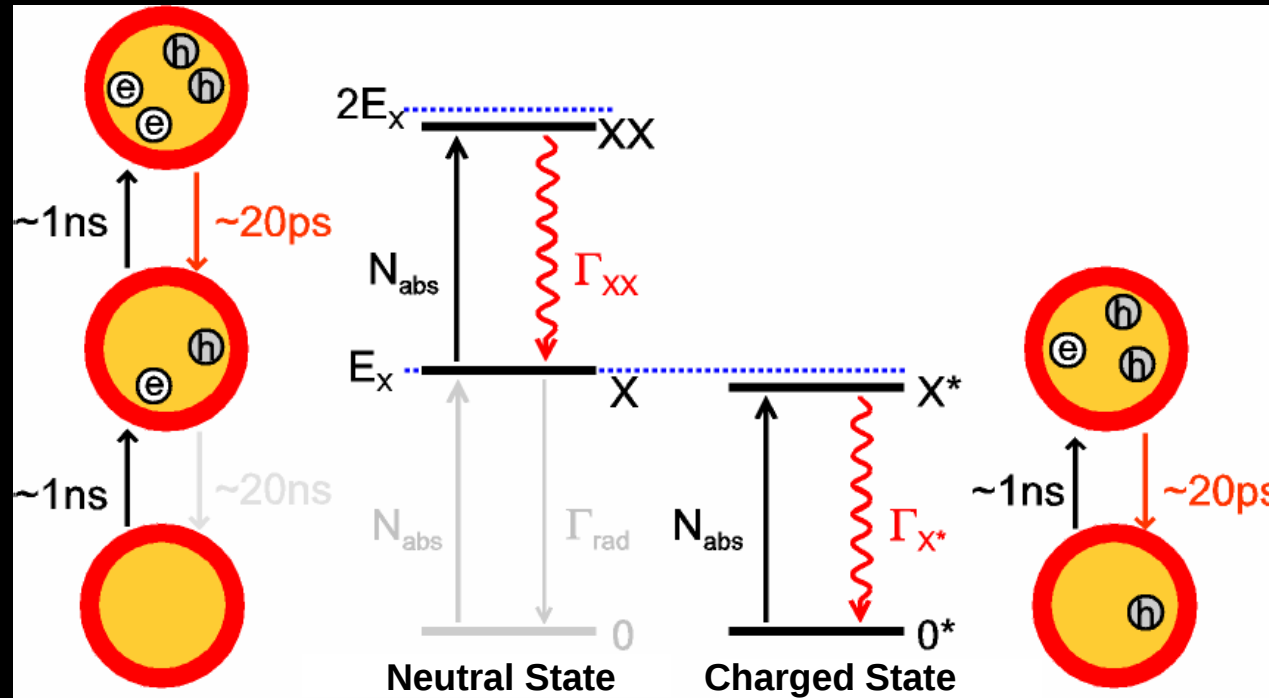
Photophysics in the *high* excitation regime

High excitation: $N_{\text{abs}} \sim 1 \text{ ns}^{-1} \gg \Gamma_{\text{rad}} = (1/20) \text{ ns}^{-1}$
 $\rightarrow$ Formation of *biexcitons*

Biexciton

Exciton

Trion

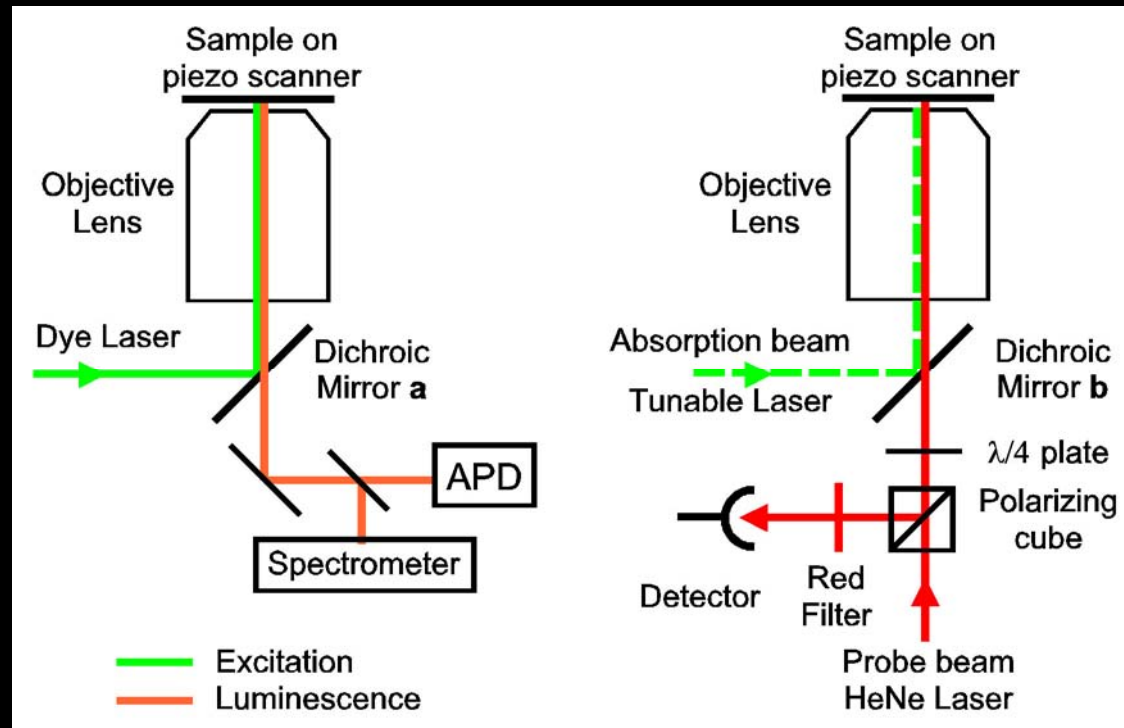


$\Gamma_{\text{rad}} \ll \Gamma_{XX}, \Gamma_{X^*} \rightarrow$ Very weak luminescence:

High absorption & rapid non-radiative relaxation via Auger processes

$\rightarrow$ Photothermal Signal due to $XX \leftrightarrow X$ & $X^* \leftrightarrow 0^*$?

Experimental Setup



Confocal luminescence microscopy
& Photothermal heterodyne detection

*Absorption **and** emission spectroscopy of a same nanocrystal*

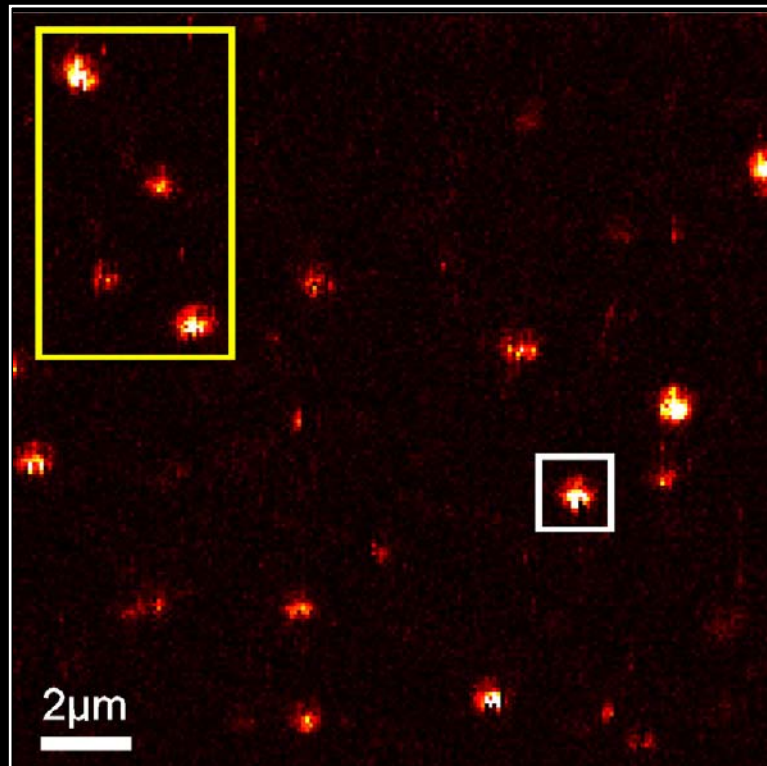
Photothermal Imaging of CdSe/ZnS Semiconductor Nanocrystals

Luminescence

$$N_{\text{abs}} \sim 1 \text{ photon} / \mu\text{s}$$

$$\sigma_{\text{abs}} \sim 10^{-15} \text{ cm}^2, \tau_{\text{relax}} \sim 20 \text{ ns}$$

Low excitation regime

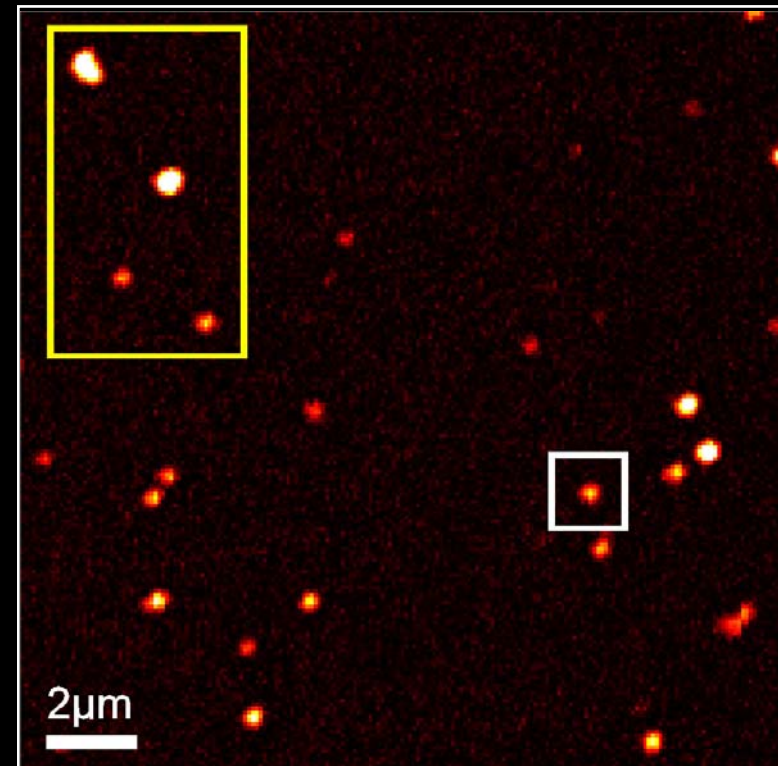


Photothermal

$$N_{\text{abs}} \sim 1 \text{ photon} / \text{ns}$$

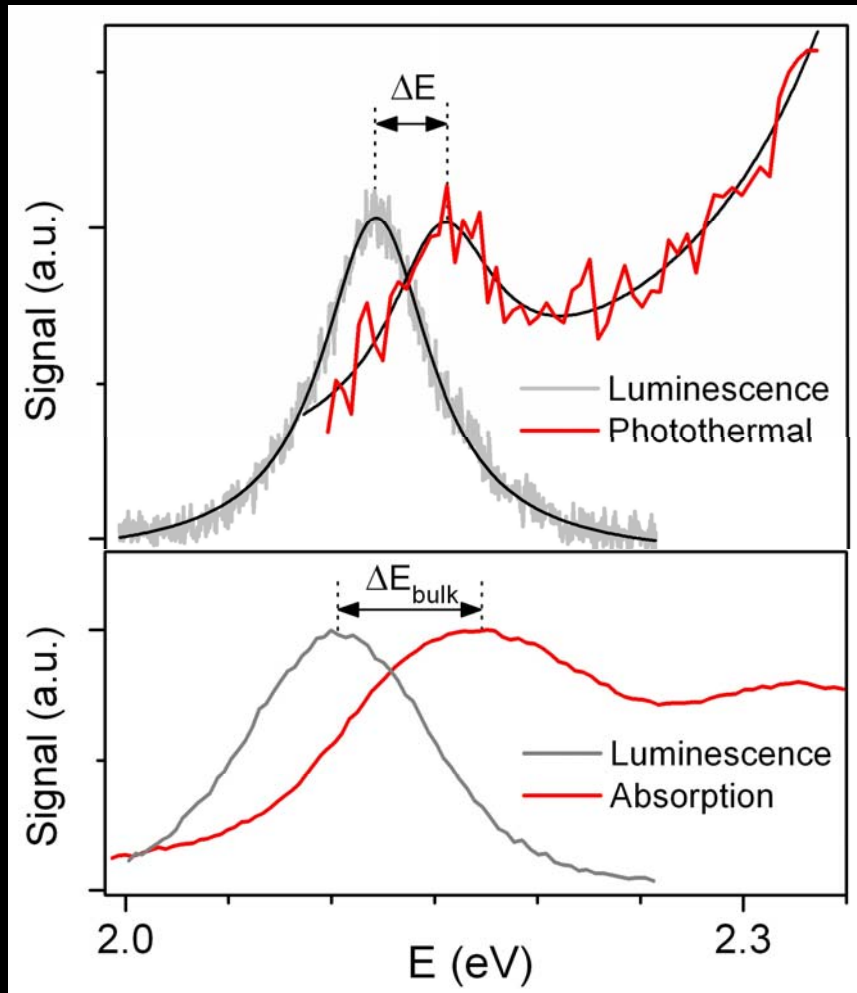
$$\sigma_{\text{abs}} \sim 10^{-15} \text{ cm}^2, \tau_{\text{relax}} \sim 20 \text{ ps} !$$

High excitation regime



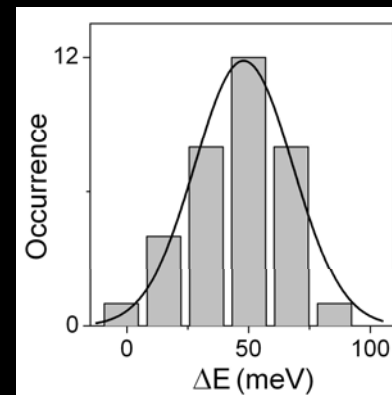
Spectroscopy

Luminescence: Low excitation regime: $N_{\text{abs}} \ll \Gamma_{\text{rad}}$



- **Single Nanocrystal**

→ Absorption in the **high excitation** regime



$$\langle \Delta E \rangle = 50 \text{ meV}$$

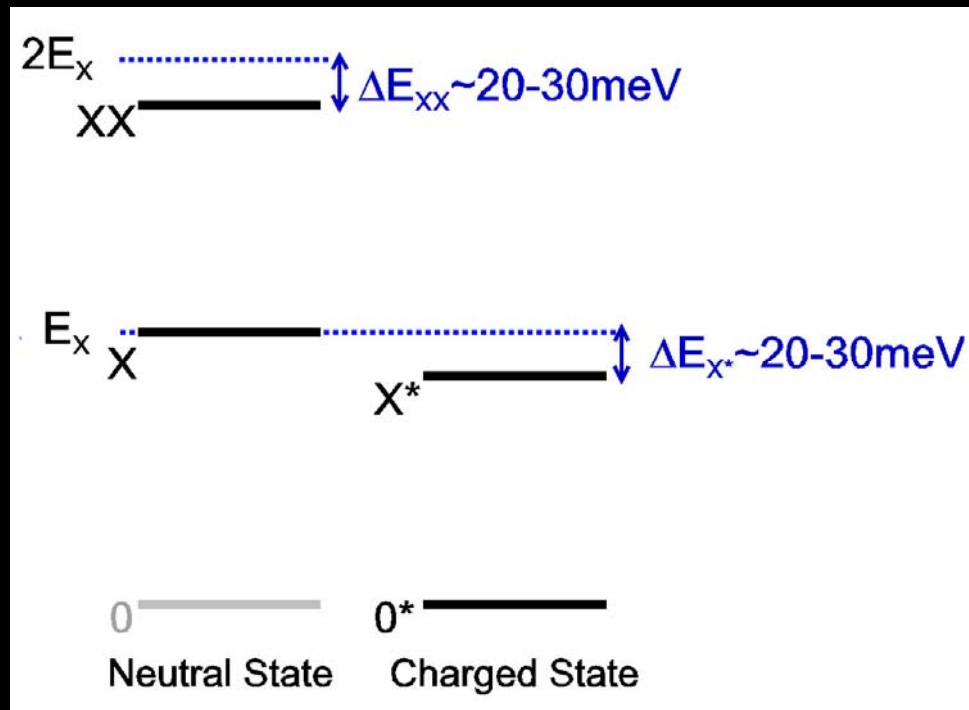
- **Ensemble Measurement**

→ Absorption in the **low excitation** regime

$$\Delta E_{\text{bulk}} = 70 \text{ meV}$$

Interpretation

Biexciton and Trion binding energies

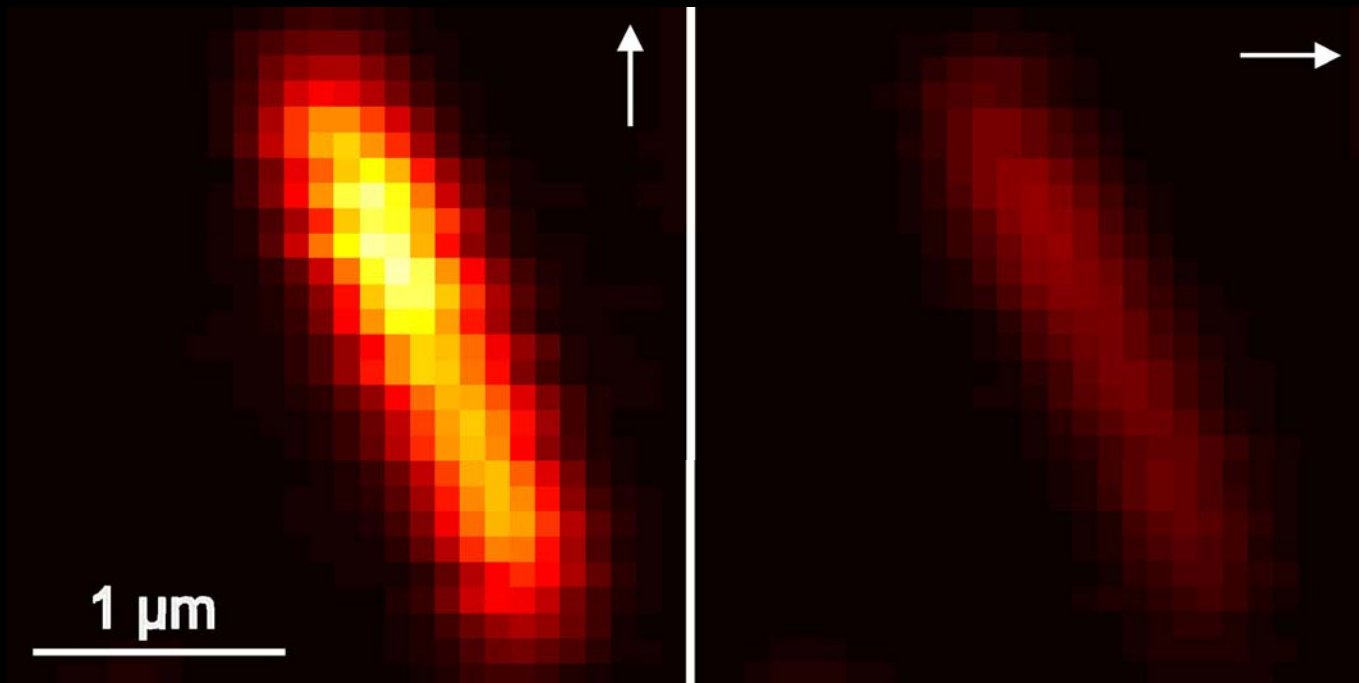


$$\Delta E_{\text{bulk}} - \langle \Delta E \rangle = 20 \text{ meV}$$

Close to ΔE_{xx} and ΔE_{x^*}

Photothermal absorption peak due to $XX \leftrightarrow X$ et $X^* \leftrightarrow 0^*$ transitions

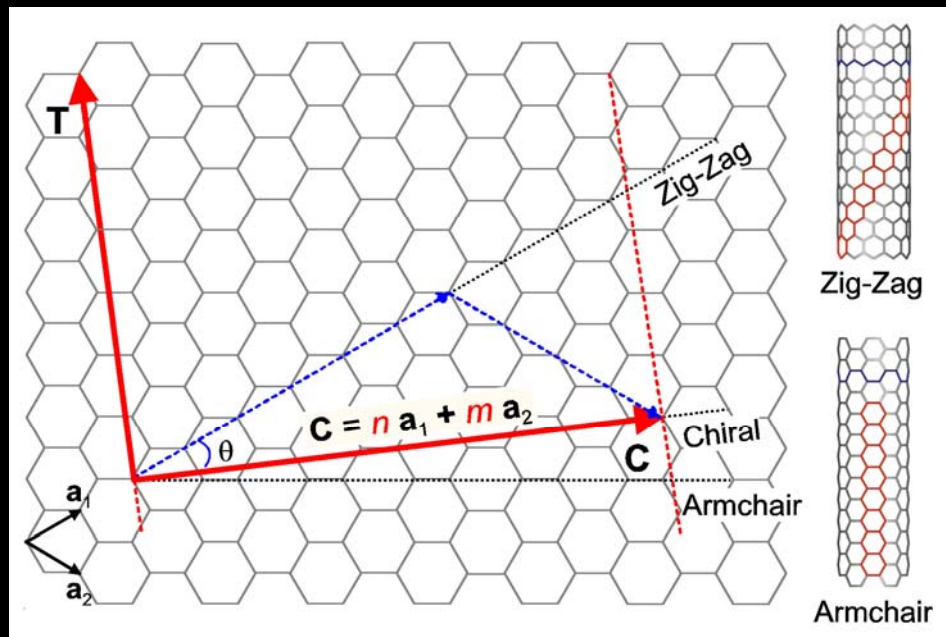
Imaging and Spectroscopy of Individual Single Walled Carbon Nanotubes



Single Walled Carbon Nanotubes (SWNTs)

SWNT = Rolled-up *single* graphene sheet

- Diameter $\sim 1\text{nm}$, length up to $\sim 1\text{cm}$
→ Quasi 1D systems
- Outstanding mechanical, thermal, electrical,... properties
- SWNT diameter, chiral angle and electronic structure given by two (n,m) integers:
 - **Metallic** if $\text{mod}(n-m,3)=0$
 - **Semiconducting** if $\text{mod}(n-m,3)=1, 2$

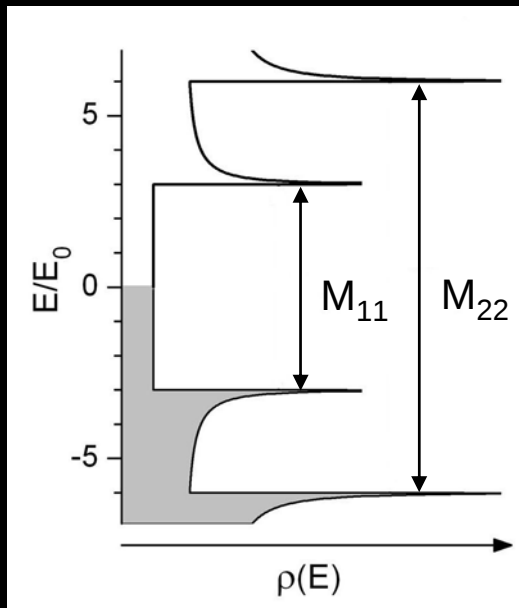


Example : (6,4) semiconducting tube

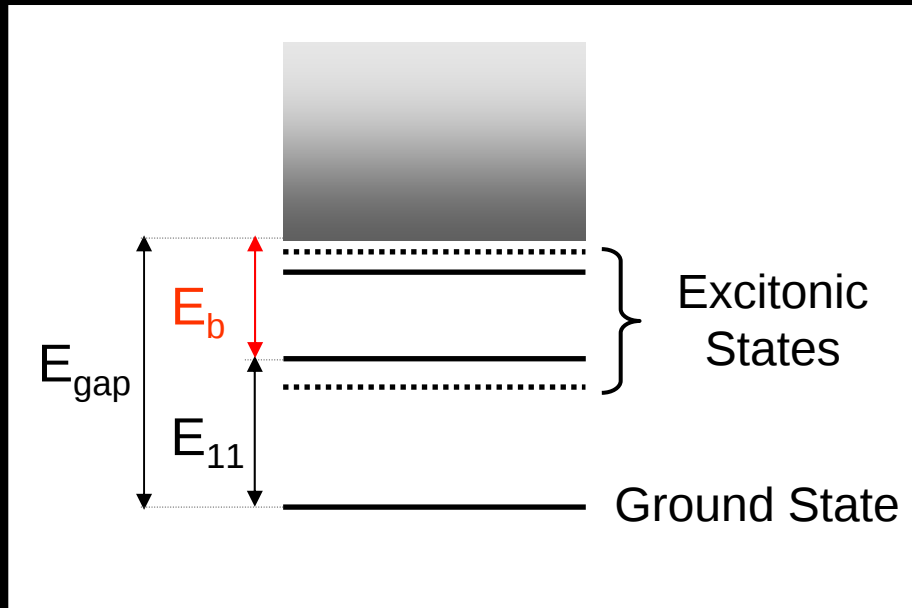
Optical Properties

1D Density of states dominated by sharp van Hove singularities ($\propto (E-E_i)^{-1/2}$)

- **Metallic SWNTs**



- **Semiconducting SWNTs:**



What about optical transitions?

- **One electron picture**

→ *Band to band* transitions

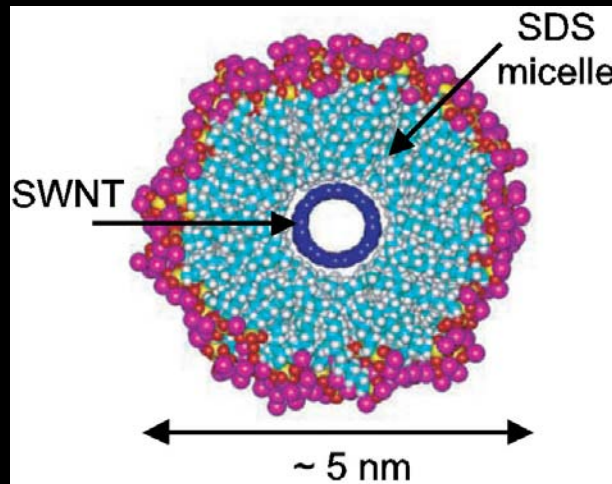
- **Strong $e-h$ interactions**

→ Excitonic effects

→ Transition energies < Band Gap

Optical Studies

- Nanotubes tend to aggregate into bundles
→ Isolation of single SWNTs in surfactant micelles

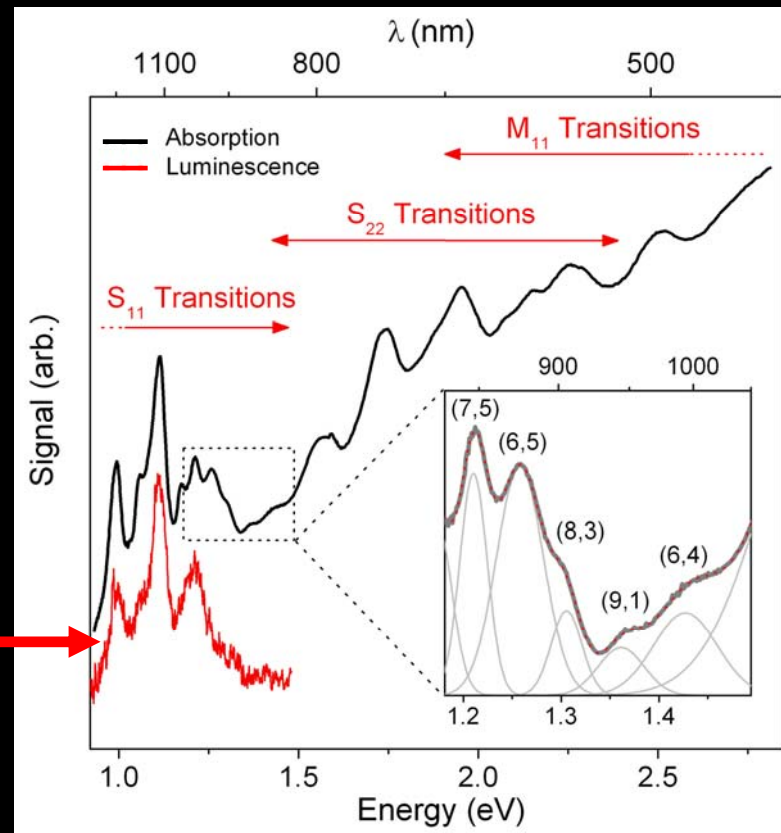


- Ensemble spectra not affected by tube-tube interactions
- Observation of luminescence from Semiconducting tubes

Ensemble Spectra

- *Absorption Spectra dominated by sharp resonances (M_{ij} , S_{ij})*

Luminescence
from S_{11} transitions



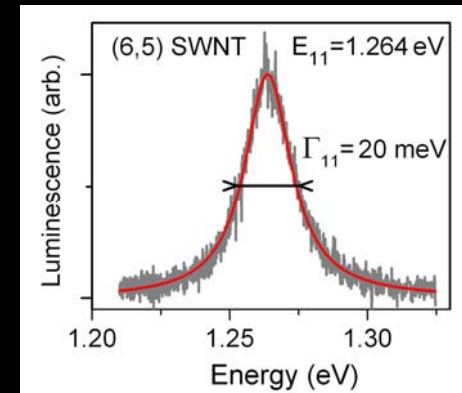
(n,m) assignment from
Bachillo *et al*, Science **298**, 2363 (2002)

- Chirality dependent optical properties
- Broad distribution of transition energies
→ Single nanotube detection methods...

Optical Characterization of Individual SWNTs

- Luminescence Spectroscopy

→ Limited to individual Semiconducting SWNTs

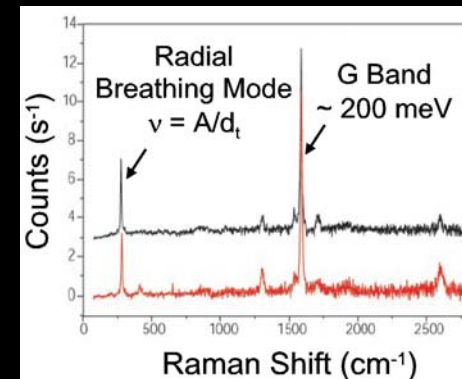


- Raman Scattering Spectroscopy

→ Semiconducting & Metallic SWNTs

→ Very weak signal

→ Indirect method (fitting procedure)

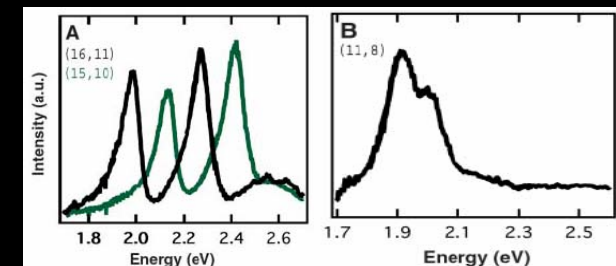


Hartschuh *et al.* Science **301**, 1354 (2003)

- Rayleigh Scattering Spectroscopy

→ Semiconducting (A) & Metallic (B) SWNTs

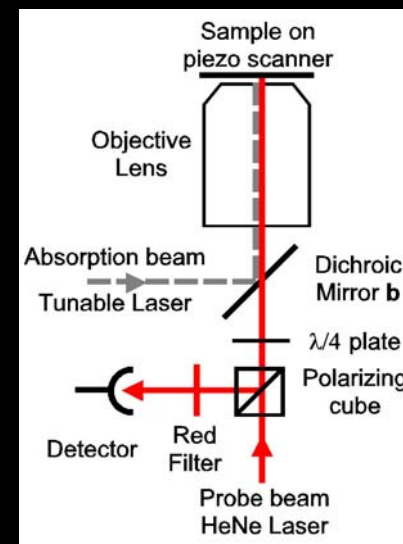
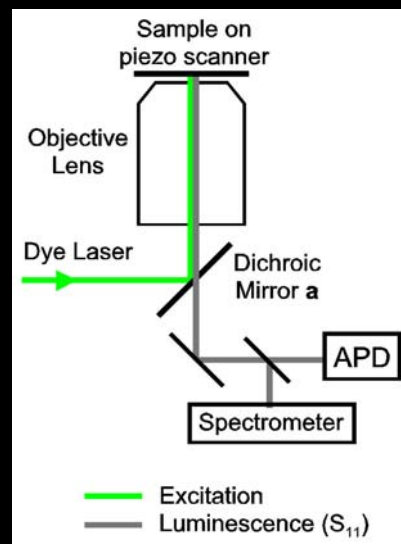
→ Limited to long, large diameter, *suspended* tubes



Sfeir *et al.* Science **312**, 554, (2006)

What about Photothermal Detection ?

- High Absorption ($\sim 10^{-18} \text{ cm}^2$ per carbon atom)
- Fast non-radiative relaxation :
 - **Metallic nanotubes :**
 - Sub-picosecond non-radiative relaxation
 - **Semiconducting nanotubes :**
 - First excitonic state lifetime from 1 to 100 ps
 - Low luminescence yield ($\sim 10^{-3}$)



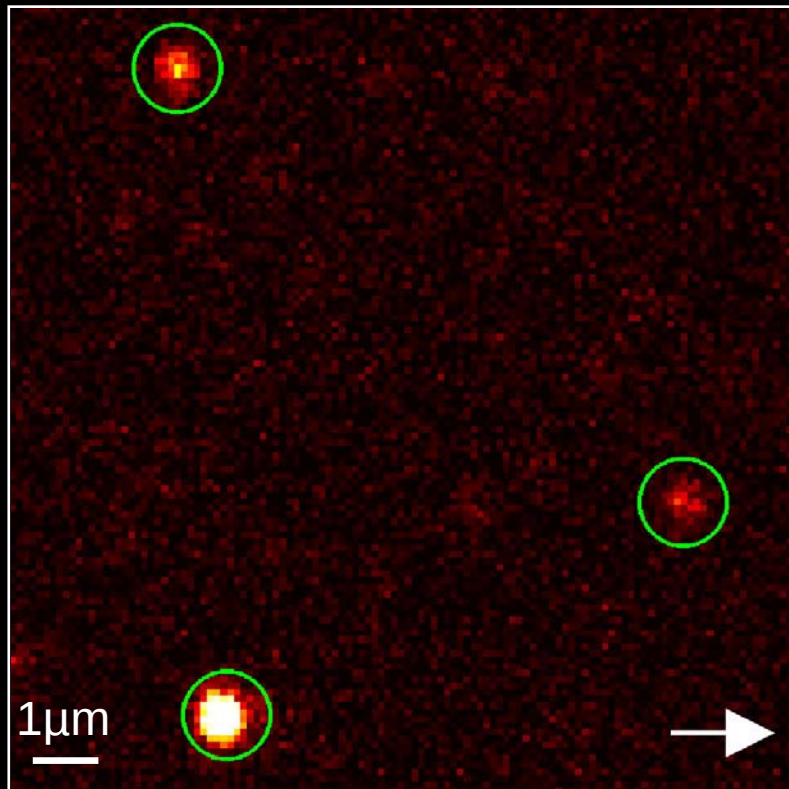
Luminescence : (S_{11} transitions)

Photothermal : (S_{11} , M_{11} transitions)

Photothermal Imaging of Individual SWNTs

Luminescence

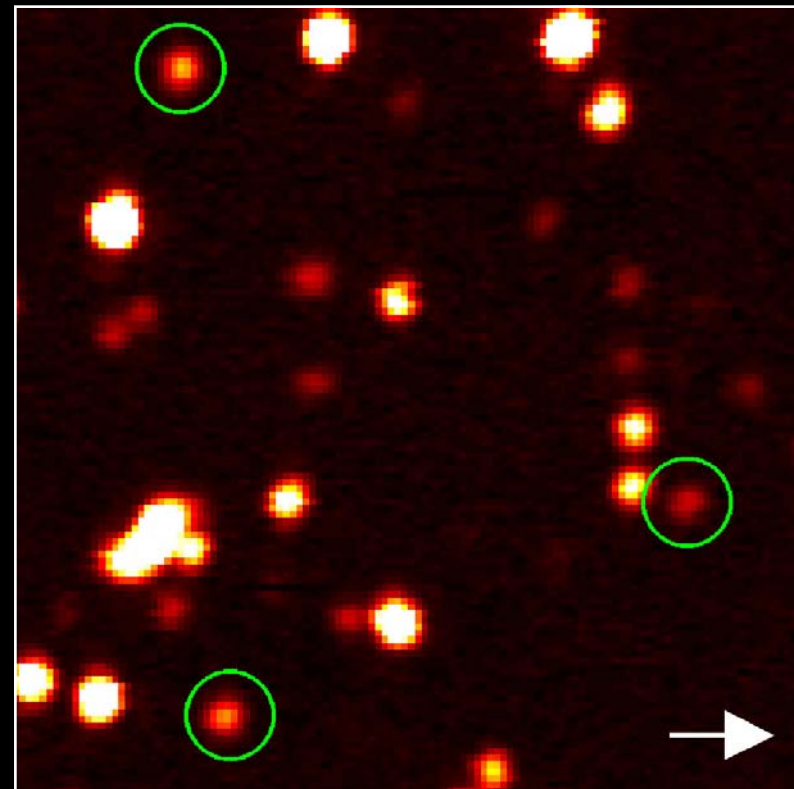
$$I_{\text{exc}} = 20 \text{ kW/cm}^2$$



Semiconducting SWNTs
With $850\text{nm} < \lambda_{11} < 1050\text{nm}$

Photothermal

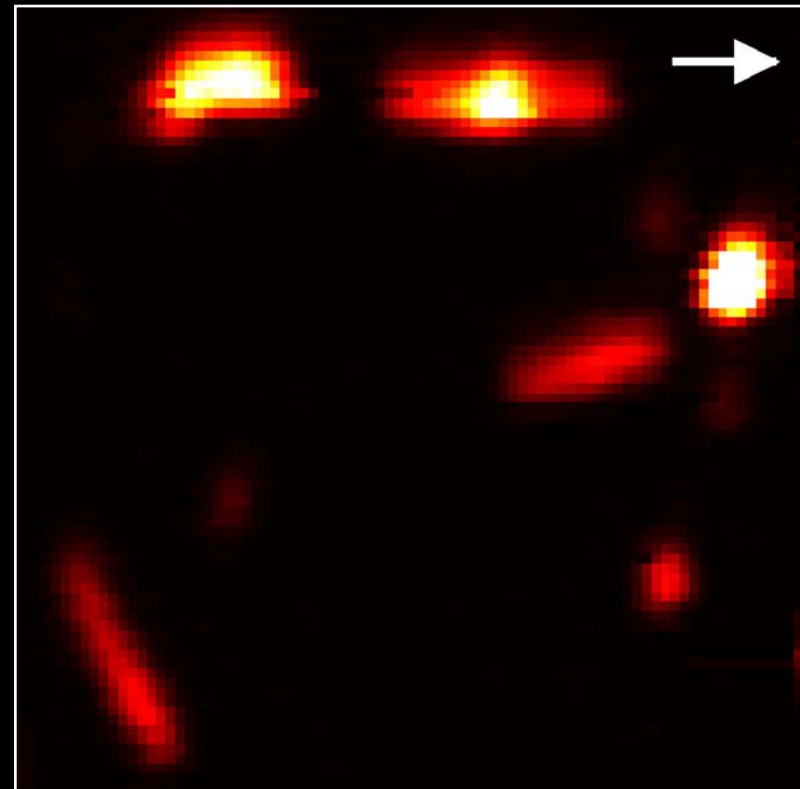
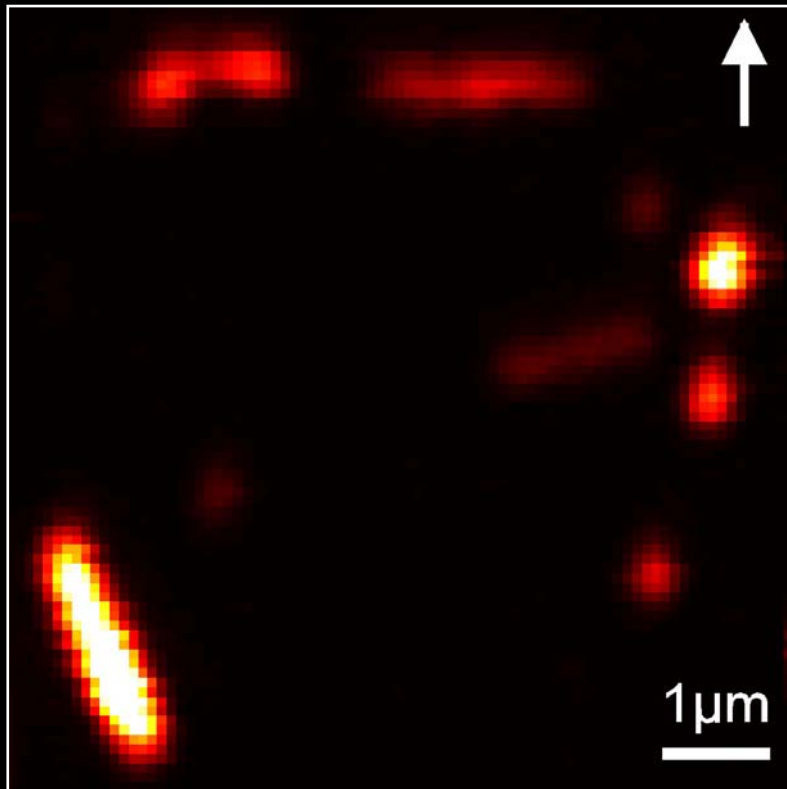
$$I_{\text{heat}} = 500 \text{ kW/cm}^2$$



All Semiconducting
AND Metallic SWNTs

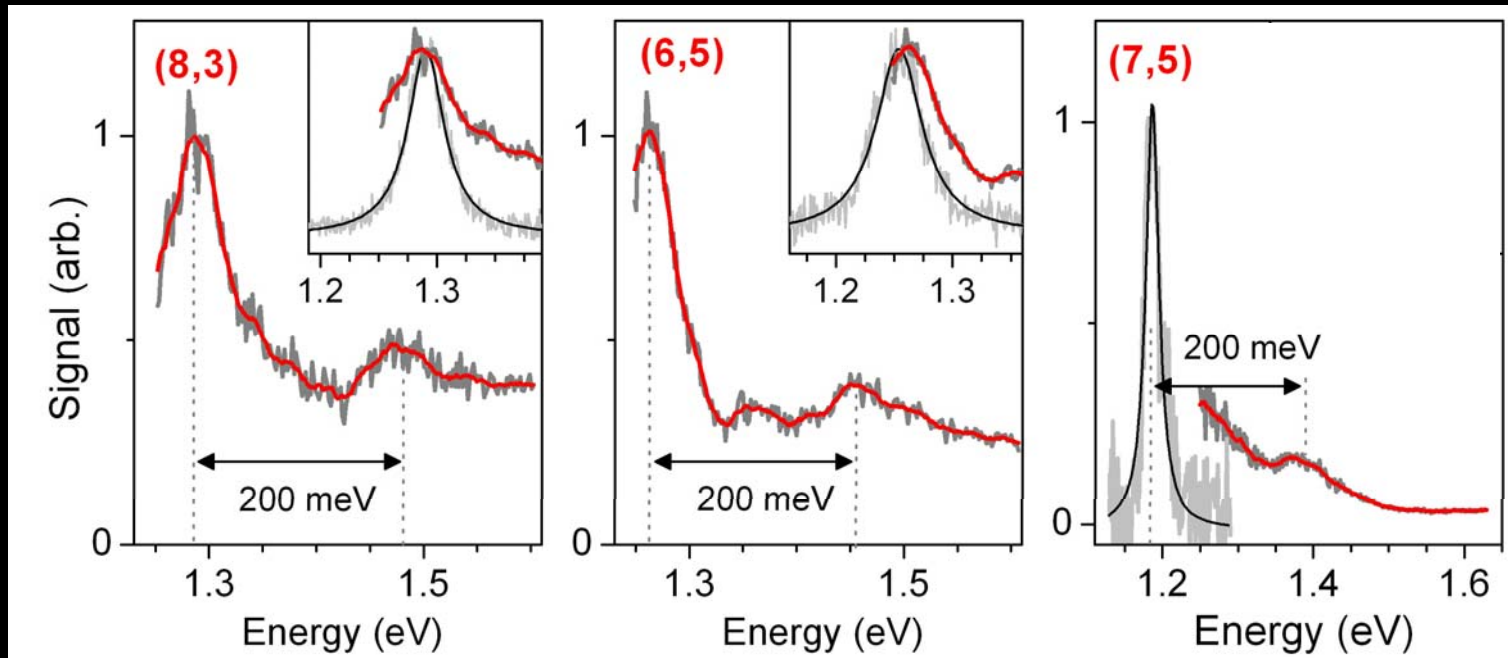
Polarization dependence

- Photothermal images with two orthogonal polarizations

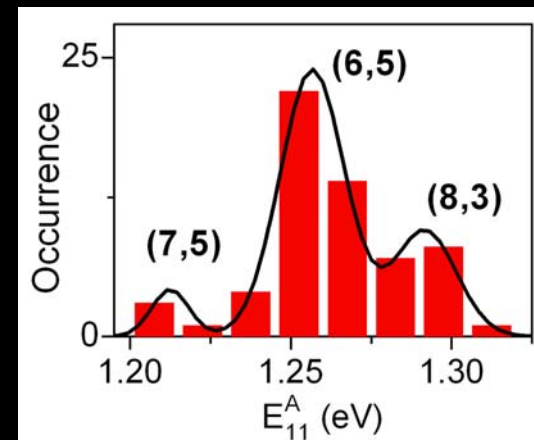


- Strong polarization dependence :
→ Maximum signal for $E_{\text{laser}} // \text{SWNT axis}$

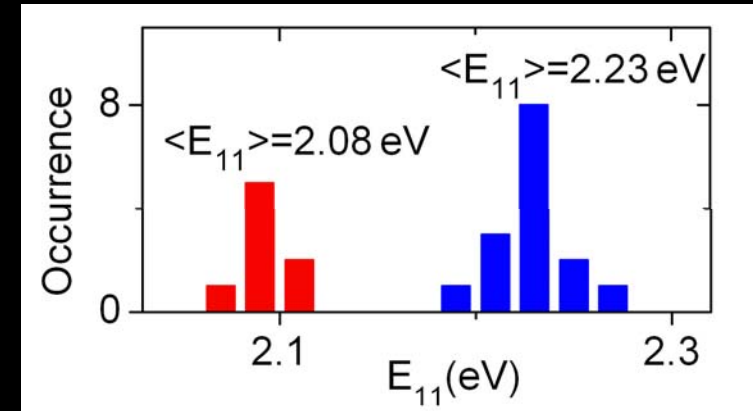
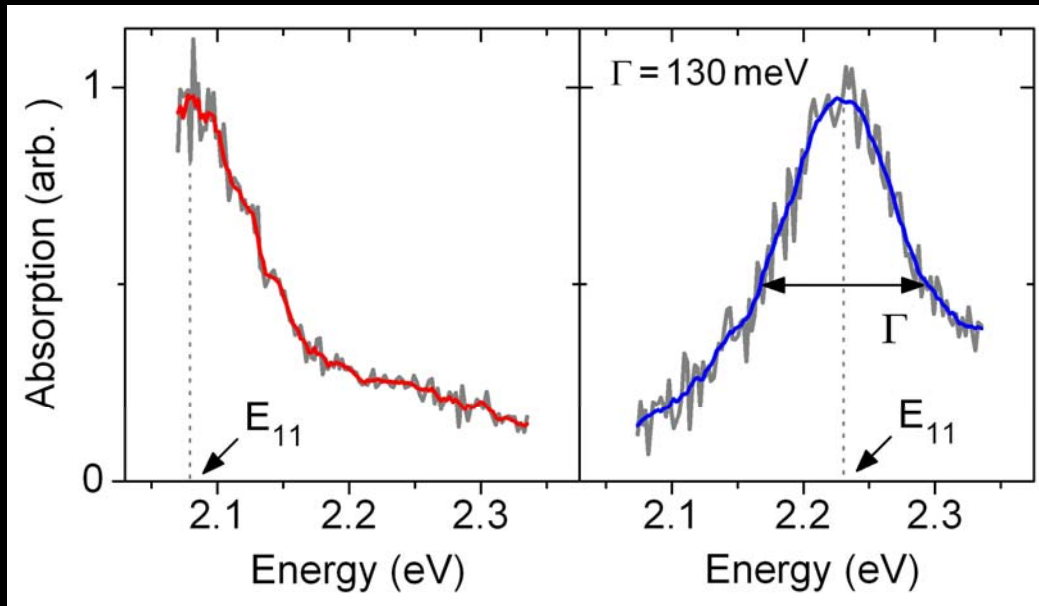
Absorption Spectroscopy : S_{11} Transitions



- Absorption Peaks:
 - S_{11} transitions in Semiconducting SWNTs
 - Very small Stokes Shifts (~ 10 meV)
- Side bands at ~ 200 meV (Raman G band)
- (n,m) independent Shift
 - Exciton-Phonon bound states



Absorption Spectroscopy : M_{11} Transitions



Two sub-populations



$$2n+m=27$$



$$2n+m=24$$

- No Exciton-Phonon Sidebands
→ M_{11} transitions in Metallic Nanotubes

General Conclusion

- *Highly sensitive optical detection method*
 - Simple experimental setup
 - Detection of 1.4nm gold nanoparticles, CdSe nanocrystals, carbon nanotubes...
 - Signal in agreement with an electrodynamical model
- *Absorption spectroscopy at the single particle level*
 - Intrinsic size effects in the SPR of gold nanoparticles
 - Photothermal absorption spectroscopy of CdSe nanocrystals
 - Characterization of semiconducting **and** metallic carbon nanotubes

Further Applications

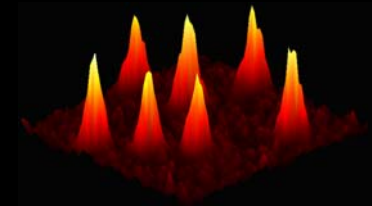
- Single gold nanoparticle tracking in live cells

(David Lasne : PhD Thesis)



- Spectroscopy of other metallic nanoparticles

Silver nanoparticles, gold nanorods, core shell nanoparticles, nanoparticle pairs... (Coll. M. Brust & D. Fernig, Liverpool)



5 nm Silver NPs

- Photothermal detection
& Absorption spectroscopy at low temperature

Merci !

Groupe Nanophotonique

Etudiants:

Nicole Amecke, Louis Biadala, Olivier Labeau,
David Lasne, Catherine Tardin

Post Docs:

Gerhard A. Blab, Alexei Vinogradov

Permanents:

Laurent Cognet, Yann Louyer, Philippe Tamarat

Tout le personnel du CPMOH:

Services mécanique, électronique, informatique, gestion; cellule travaux

