

COLUMBIA UNIVERSITY
PROTOCOL IRB-AAAA0000
FINANCIAL INTEREST REPORT

All questions on this Financial Interest Report, even if written in the present tense, apply to your current activities, activities in the past 12 months, and activities anticipated during the next 12 months.

NOTE: All underlined words are explained in the [Definitions](#) section, which can be accessed by clicking [Read and Print Definitions](#) above. As more fully defined below and in the [Definitions](#) section, a Financial Interest is anything of monetary value, including but not limited to: salary or other compensation for services (e.g., consulting fees or honoraria), stock or other equity interests, intellectual property rights, royalties, license fees, directorships and executive roles, but excluding salary paid through Columbia and certain other interests.

Any YES response to any question below requires an explanation that includes specific information about the nature of the financial interest and related activities, as set forth in the [instructions](#) at the bottom of this form.

1. Do you or a member of your Family have a Financial Interest in any Business that provides funding (through a research agreement, gift, or other arrangement) for this protocol?
 Yes No

2. Do you or a member of your Family have a Financial Interest in any Business that:
 - a. supplies drugs, devices or other goods required for the research that is the subject of this protocol, or
 - b. provides services or other deliverables in connection with this protocol,pursuant to a material transfer agreement, research agreement or otherwise?
 Yes No

3. Do you or a member of your Family have a Financial Interest in any Business that owns, licenses or has any other contractual interest in a Technology being investigated in this protocol?
 Yes No

4. Do you or a member of your Family have a Financial Interest in any Business that holds a U.S. Food and Drug Administration Investigational New Drug application or Investigational Device Exemption for a Technology being investigated in this protocol?
 Yes No

5. Do you or a member of your Family have a Financial Interest in a medical education company, marketing partnership or other entity that acts for or on behalf of a Business that funds this protocol or otherwise fits within one of the categories identified in Questions 1-4?
 Yes No

6. In addition to any interest you disclosed in response to Questions 1-5, do you or a member of your Family have any other Financial Interest that would reasonably appear to be affected by the outcome of this protocol?
 Yes No

7. If you answered YES to any of the above questions (Questions 1-6), have you assigned any student, post-doctoral fellow, trainee or other subordinate to this protocol?
 Yes No

Certification/Changed Circumstances

I certify that I have read and agree to be bound by the Columbia University Policy on Financial Conflicts of Interest and Research and the Statement of University Policy on Conflicts of Interest.

I will promptly submit a revised Financial Interest Report if there are any changes in or additions to the outside interests and relationships described in this Financial Interest Report.