

# Algebra Review 2

## 1 Fields

A field is an extension of the concept of a group.

**Definition 1.** A *field*  $(F, +, \cdot, 0_F, 1_F)$  is a set  $F$  together with two binary operations  $(+, \cdot)$  on  $F$  such that the following conditions hold:

1.  $(F, +)$  is a commutative group, with identity the element  $0_F$ .
2. The  $\cdot$  operation is associative, i.e.,  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$  for all  $a, b, c \in F$ .
3. The  $\cdot$  operation is commutative, i.e.,  $a \cdot b = b \cdot a$  for all  $a, b \in F$ .
4. The distributive law holds, i.e.,  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$  for all  $a, b, c \in F$ .
5. The element  $1_F$  is an identity for  $\cdot$ , i.e.,  $1_F \cdot a = a \cdot 1_F = a$  for all  $a \in F$ .
6. All nonzero element in  $F$  have an inverse under  $\cdot$ , i.e., for all  $a \in F, a \neq 0_F$ , there exists an element  $a^{-1} \in F$  such that  $a \cdot a^{-1} = 1_F$ .  $\square$

**Example 2.**  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$  are fields, but  $\mathbb{Z}$  is not a field.  $\square$

**Example 3.** The set  $\mathbb{Z}_5$  is a field, under addition and multiplication modulo 5. To see this, we already know that  $\mathbb{Z}_5$  is a group under addition. Furthermore, we can easily check that requirements 2 – 5 are satisfied. The non-trivial one to check is condition 6, but this can be verified on a case-by-case basis (i.e., the inverse of 2 is 3; 4 is its own inverse).

However, the set  $\mathbb{Z}_6$  is not a field, because the element 4 has no multiplicative inverse (try to find one!).  $\square$

**Theorem 4.**  $\mathbb{Z}_p$  is a field under addition and multiplication modulo  $p$  if and only if  $p$  is prime.  $\square$

**Remark 5.** Some notations:

1. We sometimes abuse notation by writing 0 (resp. 1) instead of  $0_F$  (resp.  $1_F$ ) when explicit from the context.
2. We sometimes use  $ab$  instead of  $a \cdot b$ .
3. Subtraction  $a - b$  is defined by  $a + (-b)$ , and division  $a/b$  by  $ab^{-1}$  for  $b \neq 0_F$ .
4. We denote  $\overbrace{a + \cdots + a}^{m \text{ times}}$  by  $ma$  for  $m \in \mathbb{N}$ , and also  $\overbrace{a \cdots \cdots a}^{m \text{ times}}$  by  $a^m$ . When we write  $-ma$  it means  $m(-a)$ , and  $a^{-m}$  means  $(a^{-1})^m$ .
5. The value  $a^0$  is defined to be  $1_F$ , and  $0a$  to be  $0_F$ .  $\square$

**Lemma 6.** Let  $F$  be a field. Then, for all  $a \in F$ , and  $n_1, n_2 \in \mathbb{Z}$ ,

$$(a^{n_1})^{n_2} = a^{n_1 n_2} \quad a^{n_1} a^{n_2} = a^{n_1 + n_2} .$$

□

**Lemma 7.** Let  $F$  be a field. If the elements  $a, b \in F$  are such that  $a \neq 0$  and  $b \neq 0$ , then  $ab \neq 0$ .

*Proof.* Suppose towards contradiction that  $ab = 0$ . If  $a \neq 0$  then  $a$  has inverse. So we have

$$0 = a^{-1} \cdot 0 = a^{-1}(ab) = (a^{-1} \cdot a)b = 1 \cdot b = b \quad (\text{contradiction}).$$

By symmetry, if  $b \neq 0$ , then we have  $a = 0$  (contradiction). □

When, however,  $F$  is not a field the above lemma no more holds. Consider  $4 \cdot 3$  in  $\mathbb{Z}_6$ .

### 1.1 Finding a multiplicative inverse in $\mathbb{Z}_p^*$

As we saw in class, we often need the inverse of a number in  $\mathbb{Z}_p^*$ . Therefore, it is essential to have an efficient algorithm to find the inverse.

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**Algorithm 1** Calculate  $a^{-1} \pmod p$ .

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**Input:**  $(a, p)$

**Output:**  $a^{-1} \pmod p$

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Compute  $x$  and  $y$  s.t.

$$ax + py = 1 .$$

This can be efficiently computed because  $\gcd(a, p) = 1$ . See Problem Set 1 for the details.

**return**  $x \pmod p$ .

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## 2 Polynomials

**Definition 8.** If  $F$  is a field, then a *polynomial* in the indeterminate (or formal variable)  $x$  over the field  $F$  is an expression of the form

$$f(x) = a_n x^n + \cdots + a_1 x_1 + a_0$$

where each  $a_i \in F$  and  $n \geq 0$ .

- The element  $a_i$  is called the *coefficient* of  $x^i$  in  $f(x)$ .
- The largest integer  $m$  which  $a_m \neq 0$  is called the *degree* of  $f(x)$ , denoted  $\deg(f(x))$ .
- The element  $a_m$  for  $m = \deg(f(x))$  is called the *leading coefficient* of  $f(x)$ .
- If  $f(x) = a_0$  (a *constant polynomial*) and  $a_0 \neq 0$ , then  $\deg(f(x))$  is 0.

- If all the coefficients of  $f(x)$  are 0, then  $f(x)$  is called the *zero polynomial*, and  $\deg(f(x)) = -\infty$ .
- The polynomial  $f(x)$  is said to be *monic* if the leading coefficient is 1. □

Now we will define addition and multiplication of polynomials. For technical convenience, we will write polynomials as an infinite sum  $\sum_{i=0}^{\infty} a_i x^i$  with only finite number of the coefficients being non-zero.

**Definition 9.** Given the two polynomials

$$f(x) = \sum_{i=0}^{\infty} a_i x^i \quad \text{and} \quad g(x) = \sum_{i=0}^{\infty} b_i x^i ,$$

the *addition* of  $f(x)$  and  $g(x)$  is defined as

$$f(x) + g(x) = \sum_{i=0}^{\infty} (a_i + b_i) x^i ,$$

and the *multiplication* of  $f(x)$  and  $g(x)$  is defined as

$$f(x) \cdot g(x) = \sum_{i=0}^{\infty} \left( \sum_{j=0}^i a_j b_{i-j} \right) x^i .$$

□

**Definition 10.** Let  $F$  be a field. The *polynomial ring*  $F[x]$  is the ring formed by the set of all polynomials in the indeterminate  $x$  having coefficient from  $F$ . The two operations are the polynomial addition and multiplication with coefficient arithmetic performed in the field  $F$ . □

The set  $(R, +, \cdot, 0_R, 1_R)$  is called the *ring* when all the requirements of the Definition 1 except the 6-th item are satisfied. It is easy to see  $F[x]$  is a ring.

**Note 11.** The indeterminate  $x$  in a polynomial  $f(x) \in F[x]$  is *not an element of the field*  $F$ . It is just a “formal” variable. So we must not treat  $f(x)$  as just a polynomial function. In particular, two polynomials are equal if and only if their coefficients are equal.

**Example 12.** Consider the polynomials  $a(x) = x^2 + 3$ ,  $b(x) = 4x^3 + 2x + 1$ ,  $c(x) = 5 = 0$ ,  $d(x) = 1 + x$ ,  $e(x) = x$ , and  $f(x) = 4x^3$  in  $\mathbb{Z}_5[x]$ . Then We have

$$a(x) + b(x) = 4x^3 + x^2 + 2x + 4, \quad a(x) \cdot b(x) = 4x^5 + 4x^3 + x^2 + x + 3, \quad c(x) \cdot d(x) + e(x) \cdot f(x) = 4x^4. \quad \square$$

**Lemma 13.** Let  $f(x)$  and  $g(x)$  be polynomials in  $F[x]$  for a field  $F$ . Then, we have

$$\begin{aligned} \deg(f(x) + g(x)) &\leq \max(\deg(f(x)), \deg(g(x))) \\ \deg(f(x) \cdot g(x)) &= \deg(f(x)) + \deg(g(x)) \end{aligned}$$

□

**Theorem 14.** If  $f(x), h(x) \in F[x]$  with  $h(x) \neq 0$ , then polynomial division of  $f(x)$  by  $h(x)$  yields polynomial  $q(x), r(x) \in F[x]$  such that

$$f(x) = q(x)h(x) + r(x), \quad \text{where } \deg(r(x)) < \deg(h(x)).$$

Moreover,  $q(x)$  and  $r(x)$  are unique. □

**Definition 15.** Let  $f(x)$  and  $h(x)$  be polynomials in  $F[x]$  for a field  $F$ .  $h(x)$  *divides*  $f(x)$ , and we write  $h(x)|f(x)$ , if there exists a polynomial  $q(x) \in F[x]$  such that  $f(x) = q(x)h(x)$ . □

**Definition 16.** For a polynomial  $f(x) = a_nx^n + \cdots + a_1x + a_0 \in F[x]$  and an element  $\alpha \in F$ , the *evaluation* of  $f(x)$  at  $\alpha$  (or *substituting*  $\alpha$  for  $x$  in  $f(x)$ ) is  $f(\alpha) = a_n\alpha^n + \cdots + a_1\alpha + a_0$ . Evaluation is also denoted by  $f|_{x=\alpha}$ . □

Note in the above definition that now we can do actual additions and multiplications in  $F$ , since  $\alpha \in F$ . We have of course  $f(\alpha) \in F$ . Also, we can see for any  $f, g \in F[x], \alpha \in F$

$$(f + g)(\alpha) = f(\alpha) + g(\alpha) \quad \text{and} \quad (f \cdot g)(\alpha) = f(\alpha) \cdot g(\alpha).$$

**Definition 17.** Let  $F$  be a field. An element  $\alpha \in F$  is called a *root* of  $f(x) \in F[x]$  if  $f(\alpha) = 0$ . □

**Lemma 18.** Let  $F$  be a field. For  $f(x) \in F[x]$  and  $\alpha \in F$ , we have  $f(x) = (x - \alpha)q(x) + f(\alpha)$ .

*Proof.* By Theorem 14, there exist unique polynomials  $q(x)$  and  $r(x)$  such that

$$f(x) = (x - \alpha)q(x) + r(x) \quad \text{with} \quad \deg(r(x)) < \deg(x - \alpha) = 1.$$

So,  $r(x)$  must be a constant  $\beta \in F$ . We find the exact value of  $\beta$  by substituting  $\alpha$  for  $x$ :

$$f(\alpha) = (\alpha - \alpha)q(\alpha) + \beta = 0 + \beta = \beta.$$

□

**Corollary 19.** Let  $F$  be a field. For  $f(x) \in F[x]$  and  $\alpha \in F$ ,  $(x - \alpha)$  divides  $f(x)$ , if and only if  $\alpha$  is a *root* of  $f(x)$ . □

**Example 20.** Consider the polynomials  $f_1(x) = x^6 + x^5 + x^3 + x^2 + x + 1$ ,  $f_2(x) = x^5 + x^3 + x + 1$ , and  $h(x) = x^4 + x^3 + 1$  in  $\mathbb{Z}_2[x]$ . Then, the divisions yield

$$f_1(x) = x^2h(x) + (x^3 + x + 1) \quad \text{and} \quad f_2(x) = (x + 1)h(x).$$

Therefore,  $h(x)$  divides  $f_2(x)$ . The evaluations are:  $f_1(0) = 1, f_1(1) = 0, f_2(0) = 1, f_2(1) = 0$ . The element 1 is a root of  $f_1$  and  $f_2$ . □

**Theorem 21.** Let  $f(x)$  be a nonzero polynomial in  $F[x]$  of degree  $d$  for a field  $F$ . Then  $f(x)$  has at most  $d$  distinct roots in  $F$ .

*Proof.* The proof proceeds by induction on  $d$ . The result is clearly true for  $d = 0$ . For  $d = 1$ , the polynomial will be of the following form:  $ax + b = a(x + b/a)$ , whose unique root is  $-b/a$ . Assume now that  $d > 1$  and that this theorem holds for all polynomials of degree less than  $d$ . Consider a polynomial  $f(x)$  of degree  $d$ . Let  $\alpha$  be a root (if there is no root, then we are done). Then we have

$$f(x) = (x - \alpha)q(x).$$

The degree of  $q(x)$  is  $d - 1$  (by Lemma 13). Suppose we have another root  $\gamma \neq \alpha$ . Then we have

$$f(\gamma) = (\alpha - \gamma)q(\gamma).$$

Since  $(\alpha - \gamma) \neq 0$ ,  $q(\gamma)$  must be 0 (by Lemma 7). This means all the roots of  $f$  other than  $\alpha$  are also the roots of  $q$ . Because, by induction,  $q$  has at most  $d - 1$  distinct roots,  $f(x)$  has  $1 + (d - 1) = d$  distinct roots.  $\square$