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Response Type: Normal Response

Custom Value: empty Response Started: Monday, April 11, 2011 10:03:56 AM Collector: SPEC Survey on Digital Preservation (Web Link) IP Address: 128.59.156.24 Response Modified: Monday, April 11, 2011 5:44:29 PM

1. Select your institution:

Columbia University

2. Please provide the following contact information:

Name: - Stephen Paul Davis

Job title: - Director, Libraries Digital Program

e-mail: - daviss@columbia.edu

1. What types of digital content (digitized or born-digital) is your library currently licensing or managing on behalf of your institution? Check all that apply.

Research data or datasets (e.g., engineering, architectural, geospatial, etc.)

ETDs (electronic theses and dissertations)

Licensed materials (e.g., e-journals, databases, etc.)

Digitized special collections

Mass digitized collections

Library or IT-hosted web resources (e.g., institutional websites, discussion lists, scholarly portals, etc.)

Web-harvested materials (e.g., externally hosted websites, discussion lists, scholarly portals, etc.)

Still images

Audio materials

Moving images

Applications, operating systems, or other software

2. Is your library actively investing in the preservation of any of this digital content, either alone or in collaboration with other entities?

Yes

1. Please indicate for what types of digital content (digitized or born-digital) your library is investing

in (planning to invest in) digital preservation. Check all that apply.

Research data or datasets (e.g., engineering, architectural, geospatial, etc.)

ETDs (electronic theses and dissertations)

Licensed materials (e.g., e-journals, databases, etc.)

Digitized special collections

Mass digitized collections

Administrative records (e.g., Word documents, spreadsheets, databases, e-mails, etc.)

Library or IT-hosted web resources (e.g., institutional websites, discussion lists, scholarly portals, etc.)

Web-harvested materials (e.g., externally hosted websites, discussion lists, scholarly portals, etc.)

Still images

Audio materials

Moving images

1. At what stage of development are your library's digital preservation policies?

In draft written stages

2. Please briefly describe which individual or group has (will have) primary responsibility for researching and developing your library's digital preservation policies.

1) Director, Libraries Digital Program, Director; 2) Preservation and Digital Conversion; 3) Digital Preservation Steering Committee (to be formed)

3. Please briefly describe the resources or policies that were (will be) used in researching and developing your library's digital preservation policies.

TRAC guidelines; external published reports; experience of other colleague institutions

4. Has your library worked with other stakeholders at your institution to inform your library's decisions about digital preservation policies and investments?

Yes

5. If yes, please identify these other stakeholders.

Content contributors from academic departments; Executive VP for Research

6. Please briefly describe which individual or group has (will have) primary responsibility for authorizing and approving your library's digital preservation policies and investments.

University Librarian; Digital Preservation Steering Committee

1. Please indicate how many staff are (will be) charged with digital preservation responsibility at your library. Include both the number of FTEs and number of individuals.

FTE: - 2

Individuals: - 7

2. What opportunities does your library (plan to) pursue to increase staff expertise in digital preservation? Check all that apply.

Training provided by professional organizations

Independent study/assessment

Conference attendance

Workshop attendance

3. How does your library (plan to) fund its investments in digital preservation? Check all that apply.

Dedicated preservation budget

Materials budget

Grants or awards

4. If your library is currently investing in the preservation of digital content, please continue to the Digital Preservation Trends section. If your library is planning to invest in the preservation of digital content, but is not currently doing so, please click below, then click the Next>> button to jump to the Local Digital Preservation Activities section.

No Response

1. Does your library want to invest in the preservation of more digital content than it currently does?

Yes

2. If yes, which digital content types, collections, or research areas does your library want to preserve more of?

Archived web sites; data sets; born-digital archival collections

3. Please briefly describe up to three barriers that are limiting your library from investing more for the preservation of this content.

No new staff resources available; no new funds available for storage; complex technical requirements

4. Compared to three years ago, is the number of staff, amount of time, and funding currently deployed for investing in digital preservation more, less, or about the same?

	More	Less	About the same
Staff			x
Time	x		
Funding	x		

If you answered More or Less, please explain.: Staff are diverting time from digitization program toward digital preservation; incremental additions are being made to funding for preservation-oriented digitization projects.

5. In the next three years, do you expect the number of staff, amount of time, and funding deployed for investing in digital preservation to increase, decrease, or stay about the same?

	Increase	Decrease	Stay about the same	
Staff	х			
Time	х			
Funding	х			

If you answered Increase or Decrease, please explain.: New grant positions expected; grant funding expected; repurposing of current staff time toward digital preservation.

1.	Ass	et	Tv	pe	1
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Please select one type of digital content:	

Type of digital content Other content

Comments: Born-digital archival collections, both hybrid (paper and electronic) and e-only. Includes archival collections of of individuals and organizations.

2. Please briefly describe this asset and its significance for preservation:

Collecting electronic archives and records are an extension of our historical role in collecting paper-based archival collections. Being able to acquire, ingest, process, secure, preserve and provide access to e-archives is critical for our current collecting objectives and for current and future scholars and researchers.

3. Please identify the strategies your library currently uses to ensure the preservation of this type of asset. Check all that apply.

Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)

4. How satisfied is the library with the strategies currently used? Check all that apply. Satisfaction scale: Very Satisfied (no complaints), Mostly Satisfied (infrequent problems, yet no major complaints), Neutral (working fine, but open to alternatives), Mostly Unsatisfied (major recurring problems, looking seriously at alternatives), Very Unsatisfied (ready to change strategies immediately).

	Very Satisfied	Mostly Satisfied	Neutral	Mostly Unsatisfied	Very Unsatisfied
Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)		х			
Collaborating with other administrative and/or technical units in the institution					
Using a vendor-based, hosted solution (Portico, etc.)					
Participating in a collaborative, hosted solution (HathiTrust, etc.)					
Participating in a collaborative, participatory solution (MetaArchive, etc.)					
Other strategy					

5. What strategies do you anticipate the library will be using three years from now to ensure the preservation of this type of asset? Check all that apply.

Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)

6. If the future strategy is different from the current strategy, please comment on why you think it will change.

No Response

7. If you want to describe a second asset type, please continue to the next screen. If not, please click below then click the Next>> button to jump to the Local Digital Preservation Activities section.

No Response

1. Asset Type 2

Type of digital content

2. Please briefly describe this asset and its significance for preservation:

The Web is fast becoming our primary, world-wide publishing platform. Publishing innovation, creativity, and current reporting are moving to the Web and disappearing from print. Web sites and related social media are often the only outlets for important cultural and historical information, e.g., in the area of human rights. Unless we successfully and continuously harvest, preserve and make available key web content, significant chunks of history and culture will evaporate before our eyes (and in fact already have).

3. Please identify the strategies your library currently uses to ensure the preservation of this type of asset. Check all that apply.

Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)

Participating in a collaborative, hosted solution (HathiTrust, etc.)

4. How satisfied is the library with the strategies currently used? Check all that apply. Satisfaction scale: Very Satisfied (no complaints), Mostly Satisfied (infrequent problems, yet no major complaints), Neutral (working fine, but open to alternatives), Mostly Unsatisfied (major recurring problems, looking seriously at alternatives), Very Unsatisfied (ready to change strategies immediately).

	Very Satisfied	Mostly Satisfied	Neutral	Mostly Unsatisfied	Very Unsatisfied
Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)		х			
Collaborating with other administrative and/or technical units in the institution					
Using a vendor-based, hosted solution (Portico, etc.)					
Participating in a collaborative, hosted solution (HathiTrust, etc.)		х			
Participating in a collaborative, participatory solution (MetaArchive, etc.)					
Other strategy					

5. What strategies do you anticipate the library will be using three years from now to ensure the preservation of this type of asset? Check all that apply.

Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)

Participating in a collaborative, hosted solution (HathiTrust, etc.)

6. If the future strategy is different from the current strategy, please comment on why you think it will change.

No Response

7. If you want to describe a third asset type, please continue to the next screen. If not, please click below then click the Next>> button to jump to the Local Digital Preservation Activities section.

No Response

1. Asset Type 3

Type of digital content

2. Please briefly describe this asset and its significance for preservation:

Research data sets of all kinds need to be preserved for the future, for historical research and for validating research findings. Federal mandates now require that institutions take responsibility for insuring the availability of research data.

3. Please identify the strategies your library currently uses to ensure the preservation of this type of asset. Check all that apply.

Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)

Participating in a collaborative, hosted solution (HathiTrust, etc.)

4. How satisfied is the library with the strategies currently used? Check all that apply. Satisfaction scale: Very Satisfied (no complaints), Mostly Satisfied (infrequent problems, yet no major complaints), Neutral (working fine, but open to alternatives), Mostly Unsatisfied (major recurring problems, looking seriously at alternatives), Very Unsatisfied (ready to change strategies immediately).

	Very Satisfied	Mostly Satisfied	Neutral	Mostly Unsatisfied	Very Unsatisfied
Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)		х			
Collaborating with other administrative and/or technical units in the institution					
Using a vendor-based, hosted solution (Portico, etc.)					
Participating in a collaborative, hosted solution (HathiTrust, etc.)	х				
Participating in a collaborative, participatory solution (MetaArchive, etc.)					
Other strategy					

5. What strategies do you anticipate the library will be using three years from now to ensure the preservation of this type of asset? Check all that apply.

Using a library-managed digital archive/repository (such as DAITSS, Archivematica, iRODS, etc.)

Participating in a collaborative, hosted solution (HathiTrust, etc.)

6. If the future strategy is different from the current strategy, please comment on why you think it will change.

No Response

1. If your library is engaged in or is planning to engage in *local* activities to preserve digital content (such as using a library-managed digital archive/repository or collaborating with other administrative and/or technical units in the institution), please continue to the next screen.

If not, please click below, then click the Next>> button to jump to the Improving the Library's Preservation Role section.

No Response

1. Does your library (plan to) have restrictions/limits regarding what digital file formats it preserves locally?

2. If yes, please briefly describe the major factors impacting these limitations (e.g., external/internal policies, technological factors, financial issues, user needs, etc.)

No Response

3. Please briefly describe the criteria your library uses (plans to use) to select digital content for local preservation.

We preserve all results of local cultural heritage and mass digitization programs. Whether and how we can preserve websites, born-digital archival content, data sets depends on funding available. In each of these areas, collection policies are being developed to guide selection.

4. Please briefly describe who has (will have) primary responsibility for making local selection decisions.

Selection decisions will be distributed among those with responsibility for collection development and those working directly with scholars and researchers.

1. What level(s) of preservation metadata does your library (plan to) have and/or create for digital content? Check all that apply.

Item-level

Collection-level

2. What type(s) of preservation metadata does your library (plan to) have and/or create for digital content? Check all that apply.

Technical metadata (e.g., information describing the production process or digital attributes of the work)

Administrative metadata (e.g., access privileges, rights, ownership of material)

Structural metadata (e.g., for purposes of linking different parts or units of data)

Provenance metadata (e.g., chain of custody and related audit trails)

3. What metadata schema(s) does your library (plan to) have and/or create for digital content? Check all that apply.

Dublin Core
Qualified Dublin Core
MODS
METS
PREMIS

1. If your library is *planning* to invest in digital preservation, do you plan to manage a digital archive/repository that is intended to support preservation functions?

Yes

2. Please identify the perceived willingness of various groups within your institution to deposit their digital content with your library's digital repository solution(s) for preservation purposes. Willingness scale: Very Willing (no barriers to deposit), Mostly Willing (minor barriers to deposit, but mostly negotiable), Indifferent (not actively seeking deposit), Mostly Unwilling (major barriers to deposit, but may be negotiable), Very Unwilling (major barriers to deposit, non-negotiable).

No

	Very Willing	Mostly Willing	Indifferent	Mostly Unwilling	Very Unwilling
Academic units			Х		
Administrative units				х	
Data Centers			х		
Other unit(s)		х			

3. For the groups identified above as *Mostly* or *Very Unwilling*, what are some of the perceived or expressed barriers to depositing their digital content with your library for preservation purposes?

time, extra work, lack of understanding of the importance of long-term preservation

1. Please briefly describe up to three barriers to investing in the preservation of digital content for your library.

No Response

1. What types of services would your library find valuable for improving its role in preserving digital content? Check all that apply.

Digital preservation planning

Standards/best practices

Conversion/migration services

Other service, please specify - External services to provide ongoing, realtime format validation, transformation and migration.

1. Please enter any additional information that may assist the authors to understand your library's strategies for digital preservation.

We are uncertain of the balance that will be needed between locally-developed solutions and external preservation services. How many web archives do we need nationally / internationally? Already, we are "outsourcing" some of our long-term preservation responsibilities to Hathi Trust. Is this a viable solution for other kinds of content? We are actively developing new systems and services to allow electronic archives to be ingested, preserved and made accessible.

1. Digital preservation policy

No Response

2. Digital preservation principles, goals

No Response

3. Document/Web site that describes digital preservation strategies, activities

http://www.columbia.edu/cu/libraries/inside/projects/digital_pres/lta/

4. Cooperative agreements, MOUs, etc.

No Response

5. URL for digital repository that supports preservation functions

No Response

6. Job descriptions of individuals responsible for digital preservation work

No Response

7. Training materials for digital preservation activities

No Response

8. Digital preservation promotional materials, such as brochures, handouts, newsletter articles, etc.

http://cdrs.columbia.edu/cdrsmain/?page_id=70

9. Check here if print documentation will be sent by mail or e-mail.

No Response