

**1997 NEW YORK CITY
SOCIAL INDICATORS SURVEY
CODEBOOK AND DOCUMENTATION**

**SOCIAL INDICATORS SURVEY CENTER
COLUMBIA UNIVERSITY SCHOOL OF SOCIAL WORK**

**622 West 113th Street
New York, New York 10025
Phone (212) 854-9046**

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1. QUESTIONNAIRE

NEIGHBORHOODS

The following are some questions about [New York City][county] as a place to live.

N1a. How long have you lived in [New York City] [county fill]?
(# of years)

N1b. (# of months)

N1c. (dk/rf)

N2. In general, how would you rate your neighborhood as a place to live?

- 1- Very good
- 2- Pretty good
- 3- only fair
- 4- Poor
- 8- dk
- 9- rf

N3. Looking back over the past few years, would you say [New York City] [county fill] has become a better place, gotten worse, or is it about the same?

- 1- better
- 2- worse
- 3- same
- 8- dk
- 9- rf

N4. How would you rate [New York City][county fill] as a place to live? Would you say...

- 1- Very good
- 2- Pretty good
- 3- only fair
- 4- Poor
- 8- dk
- 9- rf

Now I have some questions about your neighborhood. [Let's start with][How about] (READ FIRST ITEM, ROTATE N 5, 6, 7). Would you say this is very good, pretty good, only fair, or poor?

N5. Police protection.

- 1- Very good
- 2- Pretty good
- 3- only fair
- 4- Poor
- 8- dk
- 9- rf

N6. The condition of houses and other buildings.

- 1- Very good
- 2- Pretty good
- 3- only fair
- 4- Poor
- 8- dk
- 9- rf

N7. Public schools.

- 1- Very good
- 2- Pretty good
- 3- only fair
- 4- Poor
- 8- dk
- 9- rf

N8. Now, how safe do you feel walking alone at night within a block or two of where you live? Do you feel...

- 1- very safe
- 2- somewhat safe
- 3- somewhat unsafe
- 4- very unsafe
- 8- dk
- 9- rf

N9. In the past 12 months, did anyone break into, or somehow illegally get into your place?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

N10. In the past 12 months, did anyone take something directly from you, or someone living with you, by using force - such as in a stickup, mugging, or threat?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

HOUSEHOLD ENUMERATION

E12. Now I have some questions about you and your household. Are you currently married, widowed, divorced, separated, or have you never been married?

- 1 invalid/no data
- 1- married
- 2- widowed
- 3- divorced
- 4- separated
- 5- never been married
- 8- dk
- 9- rf

E12a. Are you currently living with a spouse or partner?

- 1 invalid/no data
- 1- yes, with a spouse
- 2- yes, with a partner
- 3- no
- 8- dk
- 9- rf

IF RANDOM VERSION & IF R LIVES ALONE GO TO E13; OTHERWISE GO TO NEXT SECTION

R_AGE. Would you please tell me your age?

- <18-97>
- 98- dk
- 99- rf

R_SEX. Record sex of respondent

- 1- Male
- 2- Female

ADULT ENUMERATION CYCLE - CAREGIVER VERSION

In order to make estimates on the different types of households in [New York City] [your county], I have some questions about your own household.

NUM_ADLT. First, how many adults 18 years of age or older live there, including yourself and anyone who might be away from home now? Please include those away visiting, on vacation, or in a hospital for a short term. But do not include those in a long term care facility, or away at a school.

-1 invalid/no data
<1-6>
7- 7 or more
8- dk
9- rf

R_AGE. Would you please tell me your age?

-1 invalid/no data
<18-97>
98- dk
99- rf [IF NUM_ADLT = 1 GO TO CHILD_NU]

R_SEX. Record sex of respondent

3- Male
4- Female

IF TWO OR MORE ADULTS:

A1_1 - A1_6. How is [the other/next adult age 18 or older] related to you?

-1 invalid/no data
1- husband
2- wife
3- spouse
4- partner
5- boyfriend/fiance
6- girlfriend/fiancee
7- parent/ parent-in-law
8- grandparent
9- adult child
10- adult grandchild
11- brother
12- cousin
13- aunt
14- sister
15- friend
16- uncle/uncle in-law
17- nephew
18- niece
19- roommate
20- boarder
21- other relative [specify]
22- not related (include distant relations who are not considered family)
[specify]
23- babysitter
24- housekeeper
25- relative of partner
98- dk
99- rf

A2_1 - A2_6.

RECORD SEX; IF UNCLEAR ASK:

And what is the sex of your [A1_1, A1_2, A1_3, A1_4, A1_5, or A1_6]?

-1 invalid/no data

1- male

2- female

98- dk

99- rf

A3_1 - A3_6. And how old was [he/she] on [his/her] most recent birthday?

-1 invalid/no data

<18-97>

98- dk

99- rf

REPEAT CYCLE FOR ALL OTHER ADULTS (up to 6 others) LIVING IN THE HOUSEHOLD EXCEPT FOR RESPONDENT.

CHILDREN ENUMERATION CYCLE - CAREGIVER VERSION

CHILD_NU. NUMBER OF CHILDREN IN HOUSEHOLD
[Earlier you][The person I spoke with earlier] told me that there is/are
[fill number of children] children 17 or younger living there. Is that
correct?

<1-7>
8- eight or more

RE-ENTER NUMBER OF CHILDREN: _____

(1) IF THE NUMBER OF CHILDREN IS CORRECT, RE-ENTER THE NUMBER OF CHILDREN AS SHOWN. IF INCORRECT, PLEASE
ENTER THE CORRECT NUMBER OF CHILDREN. (2) COUNT CHILDREN LIVING THREE OR MORE DAYS PER WEEK WITH THIS PARENT.
DO NOT COUNT CHILDREN LIVING HERE ONLY ON WEEKENDS, HOLIDAYS, OR SUMMERS. (3) INCLUDE ALL CHILDREN AND BABIES
WHO USUALLY LIVE HERE, INCLUDING ANYONE WHO MIGHT BE AWAY FROM HOME NOW. THIS INCLUDES THOSE AWAY VISITING, ON
VACATION, OR IN A HOSPITAL FOR A SHORT TERM--BUT IT DOES NOT INCLUDE THOSE IN A LONG-TERM CARE FACILITY, OR
AWAY AT A SCHOOL.

REPEAT THE NEXT SET OF QUESTIONS FOR EACH CHILD IN THE HOUSEHOLD

THIS QUESTION PERTAINS TO THE FOCAL CHILD:

C1_1. How is [this child][the youngest/oldest/middle child][“X” year old
child][the child with the most recent birthday] in your household related to
you? Is this child a biological or legally adopted child, a foster child,
another relation, or not related?

-1 invalid/no data
1- biological or adopted child
2- foster child
3- grandchild
4- nephew
5- niece
6- stepchild
7- brother/brother in-law
8- sister/sister-in law
9- godchild
10- grandniece/nephew
11- aunt
12- cousin
13- partner’s child
20- other relative [specify]
22- not related [specify]
98- dk
99- rf [GO TO c2_1]

C2_1 - C2_8.

RECORD SEX; IF UNCLEAR ASK:
And what is the sex of this child?

1- male
2- female
98- dk

C3_1 - C3_8.

And how old was [he/she] on [his/her] most recent birthday?

- 0- less than 1 years old
- <1-17>
- 98- dk
- 99- rf [exit]

SEE REFUSAL AVOIDANCE SHEET IF THERE IS INITIAL DK/RF BY THE RESPONDENT. IF THE RESPONDENT STILL REFUSES TO GIVE AGE OF THE FOCAL CHILD, THEN THE INTERVIEW WILL TERMINATE (FOR CAREGIVER VERSIONS ONLY) IF R DOES NOT GIVE AGE OF ANY OTHER CHILDREN IN THE HOUSEHOLD, THE INTERVIEW WILL NOT TERMINATE.

C4_1 - C4_8.

[If child is not biological or adopted] Are you [or your spouse/partner] the main caregiver for this child?

- 1 invalid/no data
- 1- yes
- 2- no
- 98- dk
- 99- rf

IF R HAS SPOUSE/PARTNER PROCEED, ELSE GO TO C1_2, C1_3, C1_4, C1_5, C1_6, C1_7, C1_8, OR TO ED14 (NEXT SECTION):

C5_1 - C5_8.

How is your [spouse/partner] related to this [age] year old child? Is your spouse/partner a biological parent, adoptive parent, foster parent, legal guardian, another relation?

- 1 invalid/no data
- 1- biological or adoptive parent
- 2- foster parent or guardian
- 3- stepparent
- 4- sister
- 5- uncle
- 6- grandparent/step-grandparent
- 8- aunt
- 9- brother/brother in-law
- 11- foster brother
- 18- other relative [specify]
- 19- not related [specify]
- 98- dk
- 99- rf [go to c1_2, C1_3, C1_4, C1_5, C1_6, C1_7, C1_8, or to ED14]

REPEAT C1_1 - C5_1 FOR EACH CHILD IN THE HOUSEHOLD, IF THERE IS MORE THAN ONE.

ADULT ENUMERATION CYCLE - RANDOM VERSION

In order to make estimates on the different types of households in [New York City] [your county], I have some questions about your own household.

IF MORE THAN ONE ADULT SAY (INFO FROM SCREEN):

NUM_ADLT. [Earlier, you] [The person I spoke with earlier] told me that there are [] persons 18 years or older living there, including yourself. Is that correct?

<1-6>

7- 7 or more

8- dk

9- rf

RE-Enter number of ADULTS (confirm or correct): _____

R_AGE. And what is your age?

<18-97>

98- dk

99- rf

R_SEX. Record sex of respondent

1- Male

2- Female

IF TWO ADULTS:

A1_1. How is the other person related to you?

-1 invalid/no data

1- husband

2- wife

3- spouse

4- partner

5- boyfriend/fiance

6- girlfriend/fiancee

7- parent/ parent-in-law

8- grandparent

9- adult child

10- adult grandchild

11- brother

12- cousin

13- aunt

14- sister

15- friend

16- uncle/uncle in-law

17- nephew

18- niece

19-roommate

20-boarder

21- other relative [specify]

22- not related (include distant relations who are not considered family)
[specify]

23- babysitter

24- housekeeper

25- relative of partner

98- dk

99- rf

IF MORE THAN TWO ADULTS:

A1_2 - A1_6. How is one of the other adults age 18 or older related to you?

- 1 invalid/no data
- 1- husband
- 2- wife
- 3- spouse
- 4- partner
- 5- boyfriend/fiance
- 6- girlfriend/fiancee
- 7- parent/ parent-in-law
- 8- grandparent
- 9- adult child
- 10- adult grandchild
- 11- brother
- 12- cousin
- 13- aunt
- 14- sister
- 15- friend
- 16- uncle/uncle in-law
- 17- nephew
- 18- niece
- 19- roommate
- 20- boarder
- 21- other relative [specify]
- 22- not related (include distant relations who are not considered family)
[specify]
- 23- babysitter
- 24- housekeeper
- 25- relative of partner
- 98- dk
- 99- rf

A2_1 - A2_6.

RECORD SEX; IF UNCLEAR ASK:

And what is the sex of your [A1_1, A1_2, A1_3, A1_4, A1_5,
or A1_6]?

- 1 invalid/no data
- 1- male
- 2- female
- 98- dk
- 99- rf

A3_1 - A3_6.

And how old was [he/she] on [his/her] most recent birthday?

- 1 invalid/no data
- <18-97>
- 98- dk
- 99- rf

REPEAT A1_2 - A3_2 FOR EACH ADULT LIVING IN THE HOUSEHOLD EXCEPT FOR R.

CHILDREN ENUMERATION CYCLE - RANDOM VERSION

CHILD_NU. How many children 17 years or younger live there with you? Please include all the children and babies who usually live in the household, including anyone who might be away from home now. This includes those away visiting, on vacation, in a hospital for a short term. But it does not include those in a long term care facility, or away at a school or college.

0- 0 [go to ED14]
<1-7>
8- 8 or more

COUNT CHILDREN LIVING HERE THREE OR MORE DAYS PER WEEK. DO NOT COUNT CHILDREN LIVING HERE ONLY ON WEEKENDS, HOLIDAYS OR SUMMERS.

C1_1 - C1_8

How is [this child][one of the children][the next child] related to you? Is he or she your biological child, adopted child, foster child, another relation, or not related?

-1 invalid/no data
1- biological or adopted child
2- foster child
3- grandchild
4- nephew
5- niece
6- stepchild
7- brother/brother in-law
8- sister/sister-in law
9- godchild
10- grandniece/nephew
11- aunt
12- cousin
13- partner's child
20- other relative [specify]
22- not related [specify]
98- dk
99- rf

C2_1 - C2_8.

RECORD SEX; IF UNCLEAR ASK:
And what is the sex of this child?

1- male
2- female
98- dk

C3_1 - C3_8.

And how old was [he/she] on his/her most recent birthday?

0 - less than 1
<1-17>
98- dk
99- rf

C4_1 - C4_8.

Are you [or your spouse/partner] the primary caregiver(s) of this child?

- 1 invalid/no data
- 1- yes
- 2- no
- 98- dk
- 99- rf

IF R HAS LIVE-IN SPOUSE/PARTNER:

C5_1 - C5_8.

How is your [spouse/partner] related to this [age] year old child? Is your spouse/partner a biological parent, adoptive parent, foster parent, legal guardian, another relation?

- 1 invalid/no data
- 1- biological or adoptive parent
- 2- foster parent or guardian
- 3- stepparent
- 4- sister
- 5- uncle
- 6- grandparent/step-grandparent
- 8- aunt
- 9- brother/brother in-law
- 11- foster brother
- 18- other relative [specify]
- 19- not related [specify]
- 98- dk
- 99- rf [go to c1_2, C1_3, C1_4, C1_5, C1_6, C1_7, C1_8, or to ED14]

REPEAT C1_1 - C5_1 FOR EACH CHILD IN THE HOUSEHOLD, IF THERE IS MORE THAN ONE.

EDUCATION AND SCHOOL- ADULTS

ED14. What is the highest grade of school or year of college you have completed?
(IF R WENT TO SCHOOL OR COLLEGE IN ANOTHER COUNTRY ASK: What is the equivalent if you went to school in another country?)

- 1- 1 to 11 grades of school [go to ED14a]
- 2- 12 years/high school graduate [go to ED14b]
- 3- 13 to 15 years/ "some college" [go to ED14c]
- 4- 16 years/college graduate/Bachelors [go to ED14d]
- 5- 17 years or more/graduate work/Professional/Masters/Doctorate [go to ED15]
- 8- dk [go to ED15]
- 9- rf [go to ED 15]

ED14a. Did you get a GED?

- 1- yes
- 2- no
- 8- dk
- 9- rf

GO TO ED15

ED14b. Did you get a high school diploma or get a GED? (IF NECESSARY: Let me just confirm...)

- 1- GED
- 2- diploma
- 3- (VOL) neither
- 8- dk
- 9- rf

GO TO ED15

ED14c. Did you get an associates degree? (IF NECESSARY: Let me just confirm...)

- 1- yes
- 2- no
- 8- dk
- 9- rf

GO TO ED15

ED14d. Did you get a bachelors degree? (IF NECESSARY: Let me just confirm...)

- 1- yes
- 2- no
- 8- dk
- 9- rf

ED15. Are you attending a college, job training program, or another type of school or class right now? Please include non-credit courses if they are related to job or career advancement. (IF NECESSARY: Please don't include classes you're taking for leisure, some examples of this might be arts & crafts or sports.)

- 1- yes
- 2- no
- 8- dk
- 9- rf

IF R HAS A LIVE-IN SPOUSE OR PARTNER (ELSE GO TO U18):

ED16. What is the highest grade of school or year of college your spouse[partner] has completed? (IF R'S SPOUSE OR PARTNER WENT TO SCHOOL IN ANOTHER COUNTRY ASK: What is the equivalent if s/he went to school in another country?)

- 1 invalid/no data
- 1- 1 to 11 grades of school [go to ED16a]
- 2- 12 years/high school graduate [go to ED16b]
- 3- 13 to 15 years/ "some college" [go to ED16c]
- 4- 16 years/college graduate/ Bachelors [go to ED16d]
- 5- 17 years or more/graduate work/Professional/Masters/Doctorate [go to ED17]
- 8- dk [go to ED17]
- 9- rf [go to ED 17]

ED16a. Did your [spouse/partner] get a GED?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

GO TO ED17

ED16b. Did your [spouse/partner] get a high school diploma or get a GED? (Let me just confirm...)

- 1- GED
- 2- diploma
- 3- neither
- 8- dk
- 9- rf

GO TO ED17

ED16c. Did your [spouse/partner] get an associates degree? (Let me just confirm...)

- 1- yes
- 2- no
- 8- dk
- 9- rf

GO TO ED17

ED16d. Did your [spouse/partner] get a bachelors degree? (Let me just confirm...)

- 1- yes
- 2- no
- 8- dk
- 9- rf

ED17. Is your [spouse][partner] attending a college, job training program, or any other type of school or class right now? Please include non-credit courses if they are related to job or career advancement.
(IF NECESSARY: Please don't include classes being taken for leisure, some examples of this might be arts & crafts or sports.)

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

[CATI DETERMINES THE MEDIAN INCOME FOR THE HOUSEHOLD SIZE]

** USE THIS ITEM AS LOW INCOME SCREEN THROUGHOUT **:

U18. I have several questions that relate to economic conditions. It would be useful to have an estimate of your total household income, so that I can ask you only the most relevant questions. Can you tell me whether the total household income of everybody that lives there is:

- 1- below [median for household size], or --> Low Income
- 2- above [median for household size] -----> High Income

(IF R'S INCOME IS THE SAME AS THE AMOUNT THAT APPEARS ENTER "ABOVE".)

INTERVIEWER:(1) If R refuses to answer but is willing to say that his/her income is "very close" to the median figure that you are using, then try to probe for "below" or "above" answer, but if unsuccessful then code as "BELOW."
(2) Include income of all household members whether household members are related to each other or not. R should include income of all people living in household even if living expenses are not shared.

MED_INC

census med income for HH size

- 1- \$17,100 for single
- 2- \$35,700 for two
- 3- \$42,200 for three
- 4- \$49,500 for four
- 5- \$45,700 for five
- 6- \$44,300 for six
- 7- \$39,000 for seven or more

<< Figures are from official Census statistics, March 1995 CPI-U-X1 adjusted dollars>>

INC_LEV

Low income screening variable

- 1- below median income
- 2- above median income

HOUSING

U19. Now I have some questions about your housing. Do you live in

- 1 invalid/no data
- 1- apartment
- 2- coop/condo
- 3- house
- 4- trailer home
- 7- other
- 8- dk
- 9- rf

U20a How long have you been living here?

(yrs)

U20b (mths)

U20c (dk / rf)

U21. How many rooms are in this [apartment][condo/coop][house]? Do not include bathrooms but include the kitchen. (PROBE: Your best estimate is fine.)

- 1 invalid/no data
- <1-20>
- 98- dk
- 99- rf

[IF INC_LEV = 2 GO TO U24; IF INCOME LEVEL = 1 GO TO U22]

U22. Does this place have any large holes in the floor or walls, exposed electrical wiring, broken windows, or water leaking in from the outside?

- 2 n/a, high income
- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

U23. In the past 12 months, were there more than three times when the toilet or heating was not working?

- 2 n/a, high income
- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

U24. [Do you] [Do you and your spouse/partner] own your place, rent, or just stay there?

- 1- own [go to U28]
- 2- rent [go to U25]
- 3- stay there [go to U24A]
- 4- Other [go to U35]
- 8- dk [go to U35]
- 9- rf [go to U35]

U24a. Do the people you are staying with own or rent this place?

- 1- own
- 2- rent
- 3- neither
- 4- Other
- 8- dk
- 9- rf [ALL GO TO U34a]

U25. Is this place a public housing project?

- 1 invalid/no data [go to U27]
- 1- yes [go to U33A1]
- 2- no [go to U27]
- 8- dk [go to U33A1]
- 9- rf [go to U33A1]

U27. Is this place under rent control or rent stabilization?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf GO TO U33A1

U28. Did you receive any type of help from a government program such as FHA (Federal Housing Administration) to help purchase this place?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

U29. Is there a mortgage, home equity loan, or similar loan on this [apartment] [house] or is this [apartment] [house] owned free and clear?

- 1 invalid/no data [GO TO U30]
- 1- owe [GO TO U29a]
- 2- owe nothing [GO TO U30]
- 8- dk [GO TO U30]
- 9- rf [GO TO U30]

U29a. How much is owed on it? (Your best estimate is fine.)

- 1 invalid/no data
- 8- dk
- 9- rf

U30. What is the current value of this [apartment] [house], that is, in your opinion, how much would it currently sell for if it were on the market? (Your best estimate is fine.)

- 1 invalid/no data
- 8- dk
- 9- rf

U31. Are there monthly maintenance fees? (IF NECESSARY: ... for the apartment/co-op/condo/home)
NOTE: "MAINTENANCE FEES" = costs for property taxes and mortgage payments for the common property and operating costs such as heat, electricity, and building service staff. ASK ALL OWNERS, EVEN IF R LIVES IN A HOUSE}

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

IF U29 = 1 GO TO U32a, ELSE GO TO U35

U32a. [Do you] [Do you and your spouse/partner] pay all the mortgage or only part of the mortgage?

- 1- all [GO TO U32b]
- 2- part [GO TO U32b]
- 3- none [GO TO U32a1]
- 8- dk [GO TO U35]
- 9- rf [GO TO U35]

U32a1. Who pays the monthly mortgage for your place? Is it ... (READ, CODE ALL THAT APPLY)

- 1- roommate/boarder
- 2- family member/friend
- 3- government program
- 4- private community or religious organization
- 5- employer
- 7- other
- 8- dk
- 9- rf [GO TO U35]

U32b. How much [do you][do you and your spouse/partner] pay per month for the mortgage? Include payments on first, second, home equity loan, and any other mortgages? (Do not include monthly maintenance fees.) (Your best estimate is fine).

- 1 invalid/no data
- 0 - pay \$0
- <10-99999>
- 8- dk
- 9- rf

IF U32a = 2 GO TO U32b_1, ELSE GO TO U32c:

U32b_1. [Do you][Do you and your spouse/partner] pay less than half, about half, or more than half of the total monthly mortgage for your place?

- 1- less than half
- 2- about half
- 3- more than half
- 8- dk
- 9- rf

U32b_2. Who pays the rest of the monthly mortgage for your place? Is it ... [READ and code all that apply]

- 1- roommate/boarder
- 2- family member/friend
- 3- government program
- 4- private community or religious organization
- 5- employer
- 6- other
- 8- dk
- 9- rf

U32c. In the past 12 months, [have you] [have you and your spouse/partner] received any help to pay the mortgage? If yes, was that from [READ and code all that apply]

- 1- family member/friend
- 2- government program
- 3- private community or religious organization
- 4- employer
- 5- Other
- 17- dk
- 18- rf

[ALL GO TO U35]

U33a1. Do you [and your spouse/partner] receive any help with paying the rent from ... (READ)

- 1 invalid/no data
- 1- Section 8/welfare
- 2- employer
- 3- private community/religious program
- 4- Other
- 5- no assistance [GO TO U33b]
- 6- Jigget
- 7- RAP
- 8- dk [GO TO U33b]
- 9- rf [GO TO U33b]
- 10- government/HUD

U33d. And how much of the monthly rent is covered by this assistance? (PROBE: Your best estimate is fine.)

Enter amount \$ _____
8- dk
9- rf

U33b. Do family members, friends, roommates, or boarders pay rent or help you [and your spouse/partner] with paying your rent there?

- 1- yes [GO TO U33c]
- 2- no
- 8- dk
- 9- rf

IF YES:

U33c. And how much do they, altogether, usually pay each month? (PROBE: Your best estimate is fine.)

- <10-9999>
- 8- dk
- 9- rf

IF R RECEIVED ASSISTANCE IN U33a1 OR U33B (IF U33a1 = 1-4,6,7,10 OR U33b = "YES" GO TO U33e ELSE U33f):

U33e. How much of the rent is left for you [and your partner] to pay?
(PROBE: Your best estimate is fine.)

- 0- paid \$0
- <10-99999>
- 8- dk
- 9- rf

IF R RECEIVED NO ASSISTANCE IN U33A OR U33B (IF U33a1 = 5 OR U33b = 2 GO TO U33F ELSE U35):

U33f. How much rent do you [and your spouse/partner] pay each month? (PROBE: Your best estimate is fine.)

- 1 invalid/no data
- 0- paid \$0
- <10-99999>
- 8- dk
- 9- rf [go to U35]

U34a. [Do you] [Do you and your spouse/partner] pay anything to stay here?

- 1- yes
- 2- no
- 3- other
- 8- dk
- 9- rf

IF YES U34b, ELSE U34f:

U34b. How much [do you] [do you and your spouse/partner] pay each month for this place?

- 0- paid \$0
- <10-99999>
- 8- dk
- 9- rf

U34c. Do [you] [do you and your spouse/partner] pay less than half, about half, or more than half of the total monthly rent or mortgage for this place?

- 1- less than half
- 2- about half
- 3- more than half
- 8- dk
- 9- rf

U34d. Who pays the rest of the monthly rent or mortgage on this place? Is it ...
[code all that apply]

- 1- roommate/boarder
- 2- family member/friend
- 3- government program
- 4- comm/relig
- 5- employer
- 6- other
- 7- no mthly due
- 8- dk
- 9- rf

IF "NO RENT/OWN" @ U24a:

U34e. Please tell me who owns this place? (READ)

- 1- family/friend
- 2- govt
- 3- comm/relig
- 4- employer
- 5- other
- 8- dk
- 9- rf

All "yes" @ U34a go to U35.

IF "RENT"/"OWN" @ U24a:

U34f. Who pays the monthly rent or mortgage on this place? Is it ... [READ and code all that apply]

- 1- family/friend
- 2- govt
- 3- comm/relig
- 4- employer
- 5- other
- 8- dk
- 9- rf

U34g. What is the total monthly rent or mortgage on this place? (Your best estimate is fine.)

- 0- paid \$0
- <10-99999>
- 8- dk
- 9- rf

IF "NO RENT/OWN" @ U24a:

U34h. Please tell me who owns this place? Is it ... (READ)

- 1- family/friend
- 2- govt
- 3- comm/relig
- 4- employer
- 5- other
- 8- dk
- 9- rf

IF LOW INCOME, ELSE U37a:

U35. During the past 12 months, was there ever a time when [you] [you and your spouse/partner] ever missed a payment or were late with the gas or electricity bill because you didn't have enough money?

- 1- yes
- 2- no
- 3- not responsible
- 8- dk
- 9- rf

IF YES @ U35, ELSE GO TO U37A:

U35a. During the last 12 months, was either the gas or electricity ever turned off because the bill was not paid?

- 1- yes
- 2- no
- 8- dk
- 9- rf

U37a. During the past 12 months, was there ever a time when your place had no telephone service at all? If you moved, please think about all the places you were living at in the past 12 months.

- 1- yes
- 2- no
- 8- dk
- 9- rf

(PROMPT: Don't count mobile or cellular phones.)

IF YES:

U37a_1. For how many months or weeks altogether did you have no telephone service in the past 12 months? [READ response categories to R]

- 1- less than a week
- 2- one week / less than a month
- 3- 1-3 months
- 4- 4-6 months
- 5- 7-12 months
- 6- 13+ months
- 8- dk
- 9- rf

U37b. Do you have any other phone numbers in addition to [NUMBER DIALED] in your home? [INTERVIEWER: If yes, probe to make sure it is a different telephone number--not extension.]
(Interviewer: Do not count cellular and /or mobile phone numbers, but cordless phones are okay.)

- 1- yes
- 2- no
- 8- dk
- 9- rf

IF YES, ELSE GO TO CE38:

U37b_1. How many other phone numbers do you have in your home? Do not include cellular or mobile phones, or phone numbers that you use only for fax machines or computers, and any other numbers that you don't normally use for speaking.

- <1-10>
- 8- dk
- 9- rf

EDUCATION AND SCHOOL -- FOR FOCAL CHILD 5 to 17:

IF NO CHILDREN, SKIP TO HEALTH INSURANCE MODULE

CE38. These next questions are about the school experience of your [# year old] child. I will be asking about this child's school experience and your opinions about [his/her] education.

Did s/he go to school during the past school year (that is during September 1996 to June 1997)?

- 1- yes [GO TO CE42]
- 2- no
- 8- dk
- 9- rf

[Code "yes" for kindergarten; code "no" for preschool or pre-kindergarten program]

IF NO AND CHILD IS 6 or less GO TO NEXT MODULE (CHILD CARE)

IF NO AND CHILD IS 7 OR MORE:

CE39. Has [s/he] ... ? (READ)

- 1- dropped out
- 2- graduated
- 3- taught at home
- 7- other
- 8- dk
- 9- rf

CE40. In what year (not grade) was your child last in school?

- <80-97>
- 98- dk
- 99- rf

CE41. In what grade did your child stop going to school?

- 1 invalid/no data
- 0- kindergarten
- <1-12>
- 13- never in school
- 98- dk
- 99- rf

[GO TO CHILD HEALTH MODULE]

CE42. What grade was your child in during the past school year (September 1996 - June 1997)?

- 0- kindergarten
- <1-12>
- 13- college
- 98- dk
- 99- rf

CE43. Is your child at the regular grade level for [his][her] age?

- 1- yes [go to CE45]
- 2- no
- 8- dk [go to CE45]
- 9- rf [go to CE45]

[NOTE: Age 5 or 6 is "regular" for first grade, and so on.]

CE44. Is that because [he][she] was held back or skipped a year or more?

- 1- held back
- 2- skipped
- 3- disabled/handicapped
- 7- other
- 8- dk
- 9- rf
- 10-not applicable

CE45. What type of school is your child enrolled in, is it a (READ)

- 1- public
- 2- private
- 3- private/religious
- 8- dk
- 9- rf

IF PUBLIC & IF MORE THAN ONE CHILD IN FAMILY, ELSE GO TO CE47:

CE46. Do all your children who are in school now go to a public school?

- 1- yes
- 2- no
- 8 dk
- 9 rf

CE47. To the best of your knowledge, about how many children are in your [__ year old] child's classroom?

[PROMPT: An average/best estimate is fine, particularly for high schools.]

_____ (open ended)

- 98- dk
- 99- rf

Please tell me how strongly you agree or disagree with each statement about your child's school.

CE48. My child's school is safe. Do you ... {READ LIST}

- 1- agree
- 2- somewhat agree
- 3- somewhat disagree
- 4- disagree
- 8- dk
- 9- rf

CE49. My child is getting a very good education. Do you ...

- 1- agree
- 2- somewhat agree
- 3- somewhat disagree
- 4- disagree
- 8- dk
- 9- rf

CE50. Thinking about the same child, which best describes the grades [s/he] is getting in school? Is it... (READ)

- 1- mostly As and Bs
- 2- mostly Bs and Cs
- 3- mostly Cs and Ds
- 4- mostly Ds and under
- 5- excellent
- 6- (very) good
- 7- satisfactory
- 8- failing
- 16- School doesn't give grades
- 17- other type of grading system
- 98- dk
- 99- rf

CE51. Has [he][she] ever been expelled or suspended from school, even for one day during the past 12 months?

- 1- yes
- 2- no
- 8- dk
- 9- rf

CHILD CARE

(For Focal Child under 5, or 5 or 6 if not in school)

CC52. These next questions are about your [__year old] child. I will be asking about the child care arrangement that you have used most often for this child in the last month.

In the past month, did your child go to a day care program or a family day care home at least once a week? Please include Head Start, nursery schools, preschool, and pre-kindergarten programs but DO NOT include babysitting.

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

INTERVIEWER: "Family day care" would be a person who charges to take care of children in his or her home on a regular basis. Usually, any type of child care that is not babysitting should be coded yes here. Babysitting is coded yes in the following section.

CC53. In the past month, did you use a babysitter in your home or in someone else's home at least once a week? This could be a relative or someone else, but don't count a spouse or partner.

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

[INTERVIEWER: Include au pair, nanny, or live in child care provider]

IF "NO" @ BOTH CC52 & CC53, ELSE GO TO CC56:

CC54. In any of the last 12 months, did your [] child go to a child care center, preschool, pre-kindergarten, day care home or sitter arrangement at least once a week for a month?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

[GO TO NEXT MODULE]

IF "YES" @ EITHER CC52 OR CC53:

CC56. Which of these arrangements did your child spend the most time at in the last month? A day care center, preschool, family day care, or did you use a babysitter most often?

- 1- center/preschool/prekindergarten, nursery, HeadStart
- 2- babysitting [GO TO CC59]
- 8- dk
- 9- rf

IF "YES" @ CC52:

CC58. On average, how many children are cared for in your child's group or room at the center/school/day care?

-1 invalid/no data
<1-50>
98- dk
99- rf

[GO TO CC60]

IF "YES" AT CC53:

CC59. On average, how many children are cared for at your sitter arrangement?

<1-50>
98- dk
99- rf

CC60. Usually, how many adults are there to take care of the children in your child's group or room?

-1 invalid/no data
<1-7>
8- dk
9- rf

The next questions are again about the person or place that you used most often for child care in the past month. Please tell me how much you agree or disagree with the following. How about the statement [READ AND CYCLE THROUGH ITEMS]. Do you agree, somewhat agree, somewhat disagree, or disagree?

CC61. My child is safe and secure at this place. Do you ...

-1 invalid/no data
1- agree
2- somewhat agree
3- somewhat disagree
4- disagree
8- dk
9- rf

CC62. My child gets a lot of individual attention. Do you ...

1- agree
2- somewhat agree
3- somewhat disagree
4- disagree
8- dk
9- rf

CC62a. If I had to do it over again, I would pick this same arrangement for my child. Do you ...

-1 invalid/no data
1- agree
2- somewhat agree
3- somewhat disagree
4- disagree
8- dk
9- rf

HEALTH

Focal Child (Age 0-17)

These next questions are about the health of the same [__ year old] child.

CH63. Compared to other children, how would you rate your child's health these days?
(READ)

- 1 invalid/no data
- 1- excellent
- 2- pretty good
- 3- only fair
- 4- poor
- 8- dk
- 9- rf

IF FOCAL CHILD HAD CHILD CARE OR WENT TO SCHOOL, ELSE GO TO CH65:

CH64. How many days has your child been absent from [child care][school] since last September (1996) to the end of June (1997)? (PROMPT: Your best estimate is fine.)

- _____(open ended)
- 1 invalid/no data
 - 997- not applicable (does not go to school or child care)
 - 998- dk
 - 999- rf

CH65. During the past 12 months, did your child see a doctor, nurse, midwife, or a doctor's assistant for well-child care, like a check up?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

IF CHILD IS AGE 0 TO 6 YEARS PROCEED, OTHERWISE SKIP TO NEXT MODULE

CH66. Has your child's height or weight been measured in the last [3, if <1][6] months by a doctor or other medical professional?

- 1- yes
- 2- no [GO TO H67a]
- 8- dk [GO TO H67a]
- 9- rf [GO TO H67a]

CH67. How many months ago was your child measured?

- 1 invalid/no data
- <0-14>
- 98- dk
- 99- rf

CH67a. What is the child's height? (PROMPT: Your best estimate is fine.)

- Ch67a1.** feet
- CH67a2.** inches
- CH67a3.** dk/rf

INTERVIEWER: IF R CANNOT GIVE EXACT MEASUREMENTS THAN GET A RANGE AND CODE MIDDLE POINT IN FEET OR INCHES BUT NOT BOTH.

CH67b. What is the child's weight? (PROMPT: Your best estimate is fine.)

-1 invalid/no data

<5-96>

97- 97 or more

98- dk

99- rf

INTERVIEWER: IF R CANNOT GIVE EXACT MEASUREMENT THAN GET A RANGE AND CODE
MIDDLE POINT.

CH68. Is your child up to date on all shots and immunizations?

-1 invalid/no data

1- yes

2- no

8- dk

9- rf

DISABILITY OF CHILD

FOCAL CHILD

IF R RESPONDED TO CHILD CARE MODULE:

CD69. Does your [__ year old] child have a physical, learning, or mental health condition that keeps [him/her] from doing the usual kinds of things that most children [his/her] age do?

- 1 invalid/no data
- 1- yes
- 2- no [go to CD71]
- 8- dk [go to CD71]
- 9- rf [go to CD71]

CD69a. Would you say this condition limits [his][her] ability... (READ)

- 1- a lot
- 2- some
- 3- only a little
- 4- or not at all?
- 8- dk
- 9- rf

[go to CD71]

IF R RESPONDED TO SCHOOL MODULE:

CD70. Does your [__ year old] child have a physical, learning, or mental health condition that limits [his][her] ability to do regular school work?

- 1 invalid/no data
- 1- yes
- 2- no [go to CD70B]
- 8- dk [go to CD70B]
- 9- rf [go to CD70B]

CD70a. Is your child receiving any special education services or classes?

- 1- yes
- 2- no
- 8- dk
- 9- rf

CD70b. Does your child have any condition that limits [his] [her] ability to do usual childhood activities such as play or engage in games or sports?

- 1- yes
- 2- no [go to CD72]
- 8- dk [go to CD72]
- 9- rf [go to CD72]

CD70c. Would you say this condition limits his or her ability ... (READ)

- 1- a lot
- 2- some
- 3- only a little
- 4- or not at all?
- 8- dk
- 9- rf

CHILD DEVELOPMENT - FOCAL CHILD (0-17 years old)

Now, I'd like to ask about things you and your [__ year old] child may do together. Sometimes kids need lots of attention and guidance and sometimes they don't. Parents have different beliefs about how to respond. Please tell me how true the following statements are about you and your child.

IF R RESPONDED TO CHILD CARE MODULE:

CD71. In a usual week, [my spouse/partner or] I spend time looking at or reading books with my child. Is this ... (READ)

- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

[GO TO CD73]

IF R RESPONDED TO SCHOOL MODULE:

CD72. In a usual week, [my spouse/partner or] I spend time working on homework together with my child? Is this... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

[GO TO CD79]

IF CHILD IS 0 TO 2 YEARS OLD, ELSE GO TO CD79:

CD73. In a usual week, [my spouse/partner or] I praise my child for doing something worthwhile. Is this... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD74. In a usual week, it is necessary to spank or physically discipline my child. Is this... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

Now I'm going to read a list of items that sometimes describe children. Please tell me how often each statement applies to your [__ year old] child.
(ROTATE CD75 - CD78)

CD75. When [my spouse/partner or] I leave my child alone, [he/she] becomes upset. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD76. When [my spouse/partner or] I play with my child, [he/she] smiles or laughs. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD77. During an average day, my child is fussy and irritable. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD78. [My spouse/partner or] I have trouble soothing or calming my child when [he/she] is crying or upset. Is this ... (READ)

- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

[GO TO ET85]

IF FOCAL CHILD IS 3 TO 17 ROTATE CD79 - CD81

CD79. In a usual week, [my spouse/partner or] I praise my child for doing something worthwhile. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD80. In a usual week, it is necessary to spank or physically discipline my child. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD81. In a usual week, [my spouse/partner or] I spend time talking about TV programs that I [or my spouse/partner] watch with my child? Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 4- does not watch TV
- 8- dk
- 9- rf

Now I'm going to read a list of items that sometimes describe children. For each one please tell me if it is often true, sometimes true, or rarely if ever true of your [] child.

ROTATE CD82 - CD84

CD82. My child has trouble getting along with other children. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD83. [He/she] has difficulty concentrating and cannot pay attention for long. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

CD84. [He/she] is unhappy, sad, or depressed. Is this ... (READ)

- 1 invalid/no data
- 1- often true
- 2- sometimes true
- 3- or rarely if ever true?
- 8- dk
- 9- rf

TUITION EXPENSES

FOR ALL CHILDREN OF RESPONDENTS/ NOT JUST FOCAL CHILD
MUST HAVE AT LEAST ONE CHILD AGE 5 OR OVER IN FAMILY,
OTHERWISE SKIP TO NEXT MODULE

SCREEN OUT IF ALL THE CHILDREN ARE IN PUBLIC SCHOOL (only applicable to Rs who got
school module)

ET85. During the past school year, was there ever a tuition charge for your
[child/ren]?

(NOTE: "The past school year" refers to 1996-97 cycle, i.e., approx. September
1996 to the beginning of the 1997 summer vacation)

(Please do not count children in college. We want to know about tuition for
grade school, middle school or high school.)

-1 invalid/no data
1- yes [GO TO ET87a]
2- no [GO TO ET86]
8- dk [GO TO EC89]
9- rf [GO TO EC89]

ET86. Was this because the [school was] [schools were]

-1 invalid/no data
1- free for all of its students (like a public school) [go to ET86a]
2- because some type of program or person paid the tuition? (*Include assistance
from the Board of Ed to send children to private school for free*) [GO TO ET86b]
3- both
6- child no school
8- dk
9- rf [skip to next module]

INTERVIEWER: These 2 response categories should cover all responses. Include any
type of assistance in the second response category, even assistance from the
school itself such as a scholarship.

ET86a. Would you say the [school was][schools were] public, private, religious, or
something else?

1- public
2- private/religious
3- both
4- something else [specify _____]
8- dk
9- rf [GO TO EC89]

ET86b. You just told me that you received some type of assistance with tuition (for at
least one child). Was that assistance from... [code all that apply] (READ)

1- a family member or friend
2- a government program (*Include assistance from Board of Ed to send children
to private school for free*)
3- a private community or religious organization
6- school
8- dk
9- rf

ET86c. How much was the total tuition paid by these sources for your child(ren) in the past school year?

- 0- paid \$0
- <10-99999>
- 8- dk
- 9- rf

[GO TO EC89]

ET87a. During the past school year, did you [and your spouse/partner] get any help in paying tuition from ... (READ)

- 1- A family member or friend
- 2- An employer
- 3- A government program (*Include assistance from the Board of Ed to send children to private school for free*)
- 4- A private community or religious program,
- 5- From some other source? [SPECIFY_____]
- 6- No assistance
- 7- scholarship
- 8- dk
- 9- rf

ET87b. Altogether how much did you [and your spouse/partner] pay for tuition for your child(ren) in the past school year?

- 1- \$ Monthly [obtain amount by month, then GO TO ET87b_1]
- 2- \$ Annually [obtain annual amount, then GO TO ET88a]
- 3- Pay Nothing [record response, then GO TO ET88e]
- 8- dk [GO TO EC89]
- 9- rf [GO TO EC89]

ET87b_1. amt R/S/P paid in 96-97 (mthly)

- <10-9999>
- 8- dk
- 9- rf

[GO TO ET87B_3]

ET87b_2. amt R/S/P paid in 96-97 (yrly)

- <10-999999>
- 8- dk
- 9- rf

ET87b_3. For how many months in the past school year did you have tuition costs?

- <1-12> [GO TO ET88a]
- 98- dk [GO TO next module]
- 99- rf [GO TO next module]

IF ASSISTANCE (1-5) @ ET87a & \$ @ ET87b:

ET88a. Earlier you told me that you [and your spouse/partner] received some type of assistance with paying for tuition. Is the amount you just told me about before or after assistance?

- 1- before [GO TO ET88b]
- 2- after [GO TO ET88b]
- 3- both/other [GO TO ET88c]
- 4- paid \$0 [GO TO ET88c]
- 7- no help [GO TO ET88c]
- 8- dk [GO TO ET88c]
- 9- rf [GO TO ET88c]

IF "BEFORE" OR "AFTER":

ET88b. Thinking about the total tuition before any type of assistance, did you [and your spouse/partner] pay less than half, about half, or more than half of the tuition in the past school year?

- 1- less than half
- 2- about half
- 3- more than half
- 8- dk
- 9- rf

[all GO TO ET88f]

IF BOTH/OTHER/NS/DK/RF @ ET88a:

ET88c. In the past school year, how much did you [and your spouse/partner] pay for tuition after taking out the total amount of assistance you received?

- | | |
|----------------|--|
| 1- \$ Monthly | ET88c_1: Enter amount _____ |
| 2- \$ Annually | ET88c_2: Enter amount _____ [GO TO ET88d] |
| 8- dk | [GO TO EC89] |
| 9- rf | [GO TO EC89] |

IF MONTHLY AMT GIVEN:

ET88c_3. For how many months in the past school year did you have tuition costs?

- <1-12>
- 98- dk
- 99- rf

ET88d. Is this amount less than half, about half, or more than half of the total tuition in the past school year?

- 1- less than half
- 2- about half
- 3- more than half
- 8- dk
- 9- rf

[ALL GO TO EC89]

IF ASSISTANCE @ ET87a AND \$0 @ ET87b:

ET88e. Thinking about the total tuition before any type of assistance, about how much was that in the past school year?

- <10-99999>
- 8- dk
- 9- rf

IF R HAS MORE THAN ONE CHILD AND IS CHARGED TUITION:

ET88f. How many of your children were in public school in the past school year (September 1996 to June 1997)?

- <0-7>
- 8- 8 or more
- 98- dk
- 99- rf

CHILD CARE EXPENSES

FOR ALL CHILDREN OF RESPONDENTS / NOT JUST FOCAL CHILD

IF AT LEAST ONE CHILD IS AGE 13 OR UNDER

SCREEN OUT IF SINGLE CHILD FAMILY WHO ANSWERED CHILD CARE HAD NO ARRANGEMENTS IN LAST 12 MONTHS(NO TO CC54)

EC89. Thinking about all of your children, did you use any type of child care like a day care center or a babysitter on a regular basis during the past 12 months?

- 1 invalid/no data
- 1- yes
- 2- no [GO TO HI96]
- 8- dk [GO TO HI96]
- 9- rf [GO TO HI96]

[PROMPT: "Regular" means once a week for at least one month.]

Now I have some questions about how you pay for child care.

EC90. In the last 12 months, was there ever a charge for any type of child care or babysitting for any of your children?

- 1 invalid/no data
- 1- yes [GO TO EC92a1]
- 2- no
- 8- dk [GO TO HI96]
- 9- rf [GO TO HI96]

INTERVIEWER: IF R IS UNSURE, CODE "YES" IF THE CHILD CARE PROVIDER CHARGED MONEY TO SOMEBODY ELSE TO TAKE CARE OF R'S CHILDREN.

EC91. Is this because ... (READ)

- 1- the babysitter/relative/friend did not charge anything [GO TO EC94b]
- 2- the child care was free for everyone [GO TO EC91a]
- 3- or you got some type of help with the costs? [GO TO EC91b1]
- 4- other [GO TO EC91a]
- 9- rf [GO TO HI96]
- 8- dk [GO TO EC91a]

IF FREE OR OTHER (2, 4) @ EC91:

EC91a. Was the child care from... (READ/ code all that apply)

- 1- a family member or friend
- 2- a government program
- 3- a private community or religious organization
- 4- an employer
- 5- or something or someplace else? Specify:_____
- 8- dk
- 9- rf [ALL GO TO EC94b]

EC91b1. Was that assistance from... (READ /code all that apply)

- 1- a family member or friend
- 2- a government program
- 3- a private community or religious organization
- 4- an employer
- 5- or someone or someplace else? [specify_____]
- 8- dk
- 9- rf [ALL GO TO EC94b]

IF THERE WAS CHARGE FOR CHILD CARE (IF EC90 = YES):

EC92a1. In the past 12 months, did your child(ren) receive any type of free child care from... (Read/ code all that apply)

- 1- a family member
- 2- employer
- 3- a government program
- 4- a community or religious organization
- 5- or from some other source?:_____ [GO TO EC92a_1]
- 6- did not receive free child care [GO TO EC92e]
- 7- daycare/family daycare
- 8- dk
- 9- rf

IF R HAS FREE CHILD CARE (1-5) @ EC92a1:

EC92b. About how many hours of FREE child care did you receive regularly in a typical week for your child(ren)? (By regularly, I mean at least once a week for a month.) (If more than one child: Please count the number of hours in free child care for each child and add to get the total number of hours.)

- <1-997>
- 998- dk
- 999- rf

INTERVIEWER: IF THERE IS MORE THAN ONE CHILD RECEIVING FREE CHILD CARE, THE NUMBER OF HOURS ENTERED SHOULD EQUAL THE SUM OF FREE CHILD CARE HOURS FOR EACH CHILD.

EC92c. And about how many months was that for in the past 12 months?

- <1-12>
- 98- dk
- 99- rf

IF MORE THAN ONE CHILD:

EC92d. And about how many of your children was that for in the past 12 months?

- <1-6>
- 7- seven or more
- 8- dk
- 9- rf

EC92e. In the past 12 months, did you [and your spouse/partner] get reduced cost or a discount from your child care provider? If yes, is this child care provided by...

- 1- an employer
- 2- a government program
- 3- a private community or religious program, or
- 4- from some other source [SPECIFY_____] [GO TO EC92e_1]
- 5- no, do not get reduced cost
- 8- dk
- 9- rf
- 10-daycare

EC92g1. In the past 12 months, did you [and your spouse/partner] get any help paying for child care from... [code all that apply]

- 1- a family member or friend
- 2- an employer
- 3- a government program
- 4- a private community or religious program, or
- 5- from some other source?:_____ [GO TO Ec92g_1]
- 6- no assistance
- 8- dk
- 9- rf

EC93a. Altogether what did you [and your spouse/partner] pay for child care for all of your children in the past 12 months?

- 1- \$weekly [provide amount, then GO TO EC93b]
- 2- \$biweekly(every 2 weeks) [provide amount, then GO TO EC93b]
- 3- \$monthly [provide amount, then GO TO EC93c]
- 4- \$annual [provide amount, then GO TO EC93d]
- 5- pay nothing [GO TO EC94a]
- 8- dk [GO TO next module]
- 9- rf [GO TO next module]

EC93A1. amt paid for child care in past 12 months (weekly)

- <1-99997>
- 99998- dk
- 99999- rf

EC93A2. amt paid for child care in past 12 months (biweekly)

- <10-99997>
- 99998- dk
- 99999- rf

EC93A3. amt paid for child care in past 12 months (monthly)

- <10-99997>
- 99998- dk
- 99999- rf

EC93A4. amt paid for child care in past 12 months (yearly)

<10-99997>
99998- dk
99999- rf

IF WEEKLY/BI-WEEKLY AMT GIVEN:

EC93b. For how many weeks in the past 12 months did you have child care costs?

<1-52> [GO TO EC93d]
98- dk
99- rf

IF MONTHLY AMT GIVEN:

EC93c. For how many months in the past 12 months did you have child care costs?

<1-12> [GO TO EC93d]
98- dk
99- rf

IF R HAS ASSISTANCE (EC92g) & HAS COSTS (EC93a):

EC93d. Earlier you told me that you [and your spouse/partner] received some type of assistance with paying for child care. Is the amount you just told me about before or after assistance?

1- before
2- after
3- (VOL) other
4- paid \$0
7- no help
8- dk
9- rf [all GO TO Ec93e]

IF R HAS ASSISTANCE ONLY (EC92g):

EC93e. Thinking about the total child care costs before any type of assistance, did you [and your spouse/partner] pay less than half, about half, or more than half of this amount in the past 12 months?

1- less than half
2- about half
3- more than half
8- dk
9- rf

IF R HAS REDUCED COST CHILD CARE ONLY (EC92e):

EC93f. Is this amount less than half, about half, or more than half of what it would have cost you if you did not get reduced child care costs?

1- less than half
2- about half
3- more than half
8- dk
9- rf

IF R HAS BOTH ASSISTANCE (EC92g1) & REDUCED COST CHILD CARE (EC92e):

EC93g. Thinking about the total child care costs before your costs were reduced by the child care provider and before any other types of assistance you received with paying for child care, did you [and your spouse/partner] pay less than half, about half, or more than half of this amount in the past 12 months?

- 1- less than half
- 2- about half
- 3- more than half
- 8- dk
- 9- rf

EC94a. Thinking about the child care providers that charged something, about how many hours of child care were provided in a typical week for your child(ren)? Please count the number of hours in this kind of child care for each child and add to get the total number of hours.

- <0-997>
- 998- dk
- 999- rf

[GO TO EC94d]

IF THERE WAS NO CHARGE FOR CHILD CARE (EC90):

EC94b. About how many hours of child care were provided in a typical week for all your children?

- <1-997>
- 998- dk
- 999- rf

EC94c. About how many months was that for in the past 12 months?

- <1-12>
- 98- dk
- 99- rf

IF THERE IS MORE THAN ONE CHILD:

EC94d. And, about how many of your children was that for in the past 12 months?

- <0-6>
- 7- seven or more
- 8- dk
- 9- rf

HEALTH INSURANCE -- Adults and children

The next questions concern the types of health insurance that you [and your spouse/partner] [and your child] [and your children (if more than one child in family under 18 years old)] have right now. Do not include children 18 years or older.

HI96. Right now, do you [or your spouse/partner] [or your child] [or your children] have any type of health insurance through employment, a plan you purchase, or a government program like Medicare or Medicaid?

- 1- yes, at least one person has health insurance
- 2- nobody has health insurance [GO TO HI102aa]
- 8- dk
- 9- rf [GO TO HI104]

(INTERVIEWER: "CHAMPUS" or "military" coverage should be coded as "YES".)

HI97. Is any of that coverage from a plan with a current or past employer?

- 1- yes
- 2- no
- 8- dk
- 9- rf

(INTERVIEWER: "CHAMPUS" or "military" coverage should be coded as "YES".)

HI98. Is any of that coverage purchased directly from an insurance company?

- 1- yes
- 2- no
- 8- dk
- 9- rf

[PROBE: If insurance was purchased from an insurance company then a bill is sent every month. This type of insurance is not provided through your employer or the government.]

INTERVIEWER: CODE YES IF R HAS THIS TYPE OF INSURANCE EVEN IF SOMEBODY ELSE PURCHASED IT.

HI99. Is any of that coverage through Medicare, Medicaid, Child Health Plus or another type of government plan?

- 1- yes
- 2- no
- 8- dk
- 9- rf

(INTERVIEWER: "Veterans" programs should be included here as a YES.)

IF YES TO ANY TYPE OF HEALTH PLAN AND R HAS SPOUSE/PARTNER OR CHILD(REN), ELSE GO TO HI104:

HI101. Does EVERYONE in your family, that is you [and your spouse/partner] [and your child under 18] [or your children under 18], have a health plan right now? [Please, include Medicaid and all types of government programs.]

- 1 invalid/no data
- 1- yes [GO TO HI103]
- 2- no
- 8- dk [GO TO HI104]
- 9- rf [GO TO HI104]

HI101a1. Who does NOT have a health plan right now? (READ and code all that apply)(If children: probe for all or some children)

- 1- respondent
- 2- spouse/partner
- 3- All child(ren) under 18
- 4- Some child(ren) under 18
- 8- dk
- 9- rf

INTERVIEWER: probe if all or some children under 18 do not have health insurance right now. If there is only one child under 18 in the household then code that all children do not have health insurance.

IF "SOME CHILDREN":

HI101b. How many of your children under 18 do not have a health plan?

- <0-7>
- 8- eight or more
- 98- dk
- 99- rf

IF R DOES NOT HAVE HEALTH INSURANCE NOW:

HI102aa. Is there a place that you yourself go when sick or needing advice about your health? If yes, is it a doctor or nurse at a ...

- 1 invalid/no data
- 1- hospital clinic [GO TO HI102aa1]
- 2- another type of clinic [GO TO HI102aa1]
- 3- a medical center, or [GO TO HI102aa1]
- 4- is it a doctor who works for him or herself only? [GO TO HI102ca]
- 5- other [GO TO HI102aa1]
- 6- No, does not go to any place in particular [GO TO HI102ca]
- 8- not sure/dk [GO TO HI102aa1]
- 9- rf [GO TO HI102ca]

HI102aa1. Do you know if this place is run by...

- 1- the government [GO TO HI102ca]
- 2- a university [GO TO HI102ca]
- 3- a community or religious group, or [GO TO HI102ca]
- 4- something else?[GO TO HI102aa2]
- 5- private practice/doctor [GO TO HI102ca]
- 6- no place [GO TO HI102ca]
- 8- not sure/dk [GO TO HI102aa2]
- 9- rf [GO TO HI102ca]

HI102aa2. What is the name of this place?

- 1-gave response
- 2-dk / rf

HI102aa3. What is the name of this place?

IF ONE OR MORE CHILDREN DO NOT HAVE HEALTH INSURANCE NOW:

HI102ca. Is there a place that your (child goes)/(children go) to when sick or needing advice about health? If yes, is it a doctor or nurse at a ...

- 1 invalid/no data
- 1- hospital clinic [GO TO HI102ca1]
- 2- another type of clinic [GO TO HI102ca1]
- 3- a medical center, or [GO TO HI102ca1]
- 4- is it a doctor who works for him or herself only? [GO TO HI103]
- 5- other [GO TO HI102ca1]
- 6- No, does not go to any place in particular [GO TO HI103]
- 8- not sure/dk [GO TO HI102ca1]
- 9- refused [GO TO HI103]

HI102ca1. Do you know if this place is run by...

- 1- the government [GO TO HI103]
- 2- a university [GO TO HI103]
- 3- a community or religious group, or [GO TO HI103]
- 4- something else?[GO TO HI102c2]
- 5- private/practice/doctor [GO TO HI103]
- 8- not sure/dk [GO TO HI102ca2]
- 9- refused [GO TO HI103]

HI102ca2. What is the name of this place?

- 1- gave response
- 2- dk / rf

HI102ca3. What is the name of this place?

IF AT LEAST ONE FAMILY MEMBER HAS HEALTH INSURANCE:

HI103. [Of the people who have insurance now, (if R has s/p or child)] was there ever a time in the past 12 months when [you] [someone] did not have any health insurance?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

IF YES, ELSE GO TO HI104:

HI103a1. Who was that? [i.e., who was uninsured?]

- 1- respondent
- 2- spouse/partner
- 3- child(ren)
- 8- dk
- 9- rf

IF R HAS INSURANCE, ELSE GO TO HI 104:

HI100. On the whole, how satisfied are you with your own personal health plan? Are you... (READ)

- 1 invalid/no data
- 1- very satisfied
- 2- somewhat satisfied
- 3- somewhat dissatisfied
- 4- or very dissatisfied?
- 8- dk
- 9- rf

HEALTH INSURANCE - Expenses Section

HI104. In the past 12 months, did you [or your spouse/partner] have to pay anything in order to provide health insurance or a health plan for [279]###? This could have been through paycheck deductions, premiums, or union dues.

- 1- yes
- 2- no [GO TO HI105]
- 7- other [specify: _____] [GO TO HI105]
- 8- dk [GO TO HI105]
- 9- rf [GO TO HI105]

INTERVIEWER: Medicaid and other government health insurance recipients should not have insurance costs.

IF YES:

HI104a. About how much were these health insurance costs in the past 12 months including any paycheck deductions? (PROMPT: Your best estimate is fine.)

- 1- \$ per week
- 2- \$ bi-weekly
- 3- \$ per month (calculate if every two months)
- 4- \$ per year
- 8- dk
- 9- rf

INTERVIEWER: (1) Those who pay union dues may not know the amount paid for insurance. Code these <dk>. (2) If amount is automatically deducted, ask for amount deducted each month.

HI104A1. cost in past 12 months (weekly)

- 1 invalid/no data
- <5-996>
- 997- 997 or more
- 998- dk
- 999- rf

HI104A2. cost in past 12 months (biweekly)

- 1 invalid/no data
- <10-9999>
- 8- dk
- 9- rf

HI104A3. cost in past 12 months (monthly)

- <5-99997>
- 99998- dk
- 99999- rf

HI104A4. cost in past 12 months (yearly)

- <5-99997>
- 99998- dk
- 99999- rf

IF MONTHLY AMT GIVEN:

HI104b. For how many months in the past 12 months did you have insurance costs?

<1-12>
98- dk
99- rf

HI105. In the past 12 months, did you [or your spouse/partner][or your child(ren) under 18] have more than \$100 in medical bills that could have been paid by you (or your spouse/partner) or some other program but was not paid by any type of insurance or government health plan you may have had? This includes any copayments, expenses for hospitals, doctors, clinics, counseling, home care visits, dental visits, prescription medicine, medical supplies and equipment but DO NOT include costs for the insurance itself.

1- yes
2- no [GO TO HI106]
8- dk [GO TO HI106]
9- rf [GO TO HI106]

IF YES:

HI105a. About how much were these costs in the last year? (PROBE: Your best estimate is fine.)

_____ (open- ended)
99998- dk
99999- rf

HI106a1. [1st response] People sometimes get help with paying for these out-of-pocket insurance and medical expenses. During the past 12 months, did [you] [you or your spouse/partner] get any help with paying for these medical costs from ... (READ)¹

-1 invalid/no data
1- A family member or friend
2- An employer [but not including a health plan]
3- A government program
4- A private community or religious program, or
6- No assistance [GO TO HI107]
7- Some other source? [specify _____]
8- dk [GO TO HI107]
9- rf [GO TO HI107]

HI106b. Thinking about the medical costs you told me about earlier, were those costs before or after assistance?

1- Before
2- After
7- other [GO TO HI106d]
8- dk [GO TO HI106d]
9- rf [GO TO HI106d]

¹ Note to users: see variables **HI106a2-HI106a3** for multiple answers

HI106c. Thinking about your total medical costs before any type of assistance, did you pay ... (READ)

- 1- less than half
- 2- about half, or
- 3- more than half of your total medical costs?
- 8- dk
- 9- rf [ALL GO TO HI107]

HI106d. How much did you [and your spouse/partner] pay after taking out the total amount of assistance you received?

- <10-9999>
- 8- dk [GO TO HI107]
- 9- rf [GO TO HI107]

HI106e. Is this amount ... (READ)

- 1- less than half
- 2- about half, or
- 3- more than half of your total medical costs?
- 8- dk
- 9- rf

HEALTH — Respondent

HI107. Now for some questions about your health. Compared to most people your age, how would you rate your health these days? Is it ... (READ)

- 1- excellent
- 2- pretty good
- 3- only fair, or
- 4- poor
- 8- dk
- 9- rf

HI108. Do you have a chronic health problem -- physical, emotional, or mental -- that limits the amount or kind of work you can do at a job?

- 1- yes
- 2- no [GO TO HI109]
- 8- dk [GO TO HI109]
- 9- rf [GO TO HI109]

HI108a. Does this condition... prevent you from working at a job at all, or does it only limit the kind or amount of work you could do at a job?

- 1- cannot work
- 2- limits kind/ amount of work
- 8- dk
- 9- rf

IF HOUSEHOLD SIZE IS GREATER THAN ONE:

IF FOCAL CHILD IS DISABLED THEN SKIP TO HI109a:

HI109. Does anybody living in your household at this time have a chronic condition or disability that limits the amount or kinds of things they can do?

- 1- yes [GO TO HI109a]
- 2- no [GO TO EM110]
- 8- dk [GO TO EM110]
- 9- rf [GO TO EM110]

IF YES:

HI109a. Does the condition of a child or adult in the household limit the amount or kind of work YOU can do at a job?

- 1- yes
- 2- no
- 8- dk
- 9- rf

EMPLOYMENT: RESPONDENT AND SPOUSE

These next questions are about your work experience.

EM110. Currently, are you doing any work at a job or business for pay either full time or part time? Please include any self-employment.

- 1- yes
- 2- no
- 8- dk
- 9- rf

EM111. Did you work at a job or business at any time last year, that is in 1996?

- 1- yes
- 2- no
- 8- dk
- 9- rf

IF R HAS LIVE-IN SPOUSE/ PARTNER:

EM112. Currently, is your [spouse/partner] doing any work at a job or business for pay either full time or part time? Please include any self-employment.

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

EM113. Did your [spouse] [partner] work at a job or business at any time last year, that is in 1996?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

[(if no/dk/rf to both EM111 and EM113) or (no/dk/rf to EM111 and there is no spouse/partner) GO TO EM133]

IF YES TO BOTH EM111 AND EM113:

EM113a. Between [yourself and your spouse/partner] who earned the most in 1996?

- 1 invalid/no data
- 1- respondent
- 2- spouse
- 3- partner
- 8- dk [CATI determines that R is main earner]
- 9- rf [CATI determines that R is main earner]

FOR THE MAIN EARNER/ DETERMINED BY WHICH HAS A YES CODING IN EM111 AND EM113, IF BOTH IS YES THEN DETERMINED BY EM113a:

EM113b. In 1996, [was your spouse/partner][were you] employed by the government, a PRIVATE company, a non-profit organization, through work in a family business, or through self-employment? If there was more than one job, please identify the one that earned the most income in 1996.

- 1 invalid/no data
- 1- government
- 2- private for-profit company
- 3- non-profit organization [including tax exempt religious and charitable organizations]
- 4- working in family business (do not include owners)
- 5- self -employed
- 7- other [specify _____]
- 8- dk
- 9- rf

[NOTE: "Non-profit organization" = Including tax exempt religious and charitable organizations. Consultants are usually self-employed]

EM114. How many months did ([you] [your spouse/partner]) work altogether in 1996? Include all types of employment including any self-employment.

- 1 invalid/no data
- <1-12>
- 98-dk
- 99-rf

IF LESS THAN 12 MONTHS:

EM115. Did ([you] [your spouse/partner]) want to work more months, fewer months, or was that just about the right number you wanted to work?

- 1 invalid/no data
- 1- more
- 2- fewer
- 3- right number
- 8- dk
- 9- rf

EM116. In 1996, how many hours did ([you] [your spouse/partner]) usually work per week? (PROBE: Your best estimate is fine.)

- 1 invalid/no data
- <1-96>
- 97- 97 or more
- 98- dk
- 99- rf

(INTERVIEWER: If R cannot give exact hours, get a range and code the middle number.)

EM117. Was that more hours, fewer hours or was that about the right number of hours [FILL] wanted to work?

- 1 invalid/no data
- 1- more
- 2- fewer
- 3- right number
- 8- dk
- 9- rf

EM118. On the whole, how satisfied ([are you] [is your spouse/partner]) with the job or work done right now? [Are you...] [Is your spouse/partner ...]

- 1 invalid/no data
- 1- very satisfied
- 2- somewhat satisfied
- 3- somewhat dissatisfied
- 4- or very dissatisfied?
- 8- dk
- 9- rf

INTERVIEWER: If there are two jobs, think about the work or job that earns the most income.)

MAIN EARNER:

EM119. To see how people all over the region are doing, it is important to get a general range of earnings from all people we interview. How much did [you] [your spouse] earn in total for 1996 from all jobs and any self-employment. I'm interested in your best estimate of a total BEFORE deductions and taxes were taken out. Please include all income from EMPLOYMENT only, such as salary, wages, tips, bonuses, overtime pay and commissions.

- <10-5000000> [GO TO EM130]
- 1- dk
- 2- rf [GO TO EM130]
- 3- ranges

EM120. All I need are the general ranges - I have some categories here. Were the total earnings \$30,000 or more in 1996?

- 1- yes
- 2- no [GO TO EM125]
- 7- other response [GO TO EM128/AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM121. Were the total earnings \$100,000 or more in 1996?

- 1- yes [GO TO EM130]
- 2- no
- 7- other response [GO TO EM128/AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM122. Were [your] [your spouse/partner's] total earnings \$60,000 or more in 1996?

- 1- yes [GO TO EM124]
- 2- no
- 7- other response [GO TO AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM123. Were [your] [your spouse/partner's] total earnings...

- 1- \$30,000 to \$40,000 [GO TO EM130]
- 2- \$40,000 to \$50,000 [GO TO EM130]
- 3- or \$50,000 up to \$60,000? [GO TO EM130]
- 7- other response [GO TO EM128/AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM124. Were [your] [your spouse/partner's] total earnings...

- 1- \$60,000 to \$70,000 [GO TO EM130]
- 2- \$70,000 to \$80,000 [GO TO EM130]
- 3- \$80,000 to \$90,000 [GO TO EM130]
- 4- or \$90,000 to \$100,000? [GO TO EM130]
- 7- other response [GO TO AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM125. Were [your] [your spouse/partner's] total earnings \$15,000 or more?

- 1- yes
- 2- no [GO TO EM127]
- 7- other response [GO TO EM128/AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM126. Were [your] [your spouse/partner's] total earnings...

- 1- \$15,000 to \$20,000 [GO TO EM130]
- 2- \$20,000 to \$25,000 [GO TO EM130]
- 3- or \$25,000 to \$30,000? [GO TO EM130]
- 7- other response [GO TO EM128/AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM127. Were [your] [your spouse/partner's] total earnings...

- 1- under \$5,000 [GO TO EM130]
- 2- \$5,000 to \$10,000 [GO TO EM130]
- 3- or \$10,000 to \$15,000? [GO TO EM130]
- 7- other response [GO TO EM128/AMNT]
- 8- dk [GO TO EM130]
- 9- rf [GO TO EM130]

EM128/AMNT

[INTERVIEWER: ENTER EARNINGS]

<100-9999999>: _____

- 1- dk
- 2- rf

IF THERE IS SECOND EARNER:

EM130. Again, to see how people all over the region are doing, it is important to get a general range of EARNINGS from all people we interview. How much did [you][your spouse/partner] EARN in total for 1996 from all jobs and any self-employment. I'm interested in your best estimate of a total BEFORE deductions and taxes were taken out. Please include all income from EMPLOYMENT ONLY such as salary, wages, tips, bonuses, overtime pay and commissions.

<10-5000000> [GO TO EM133]
1- dk
2- rf [GO TO EM133]
3- ranges

EM131. All I need is the general range of income - I have some categories here. Were [your] [your spouse/partner's] total earnings \$30,000 or more in 1996?

1- yes
2- no [GO TO EM131e]
7- other response [GO TO AMNT]
8- dk
9- rf [skip to EM133]

EM131a. Were [your] [your spouse/partner's] total earnings \$100,000 or more in 1996?

1- yes [skip to EM133]
2- no
7- Other response [GO TO AMNT]
8- dk
9- rf [skip to EM133]

EM131b. Were [your] [your spouse/partner's] total earnings \$60,000 or more in 1996?

1- yes [skip to EM131d]
2- no
7- other response [GO TO AMNT]
8- dk
9- rf [skip to EM133]

EM131c. Were [your] [your spouse/partner's] total earnings...

1- \$30,000 to \$40,000 [skip to EM133]
2- \$40,000 to \$50,000 [skip to EM133]
3- or \$50,000 to \$60,000? [skip to EM133]
7- other response [GO TO AMNT]
8- dk
9- rf [skip to EM133]

EM131d. Were [your] [your spouse/partner's] total earnings...

1- \$60,000 to \$70,000 [skip to EM133]
2- \$70,000 to \$80,000 [skip to EM133]
3- \$80,000 to \$90,000 [skip to EM133]
4- or \$90,000 to \$100,000? [skip to EM133]
7- other response [GO TO AMNT]
8- dk
9- rf [skip to EM133]

EM131e. Were [your] [your spouse/partner's] total earnings \$15,000 or more?

- 1- yes
- 2- no [skip to EM131g]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to EM133]

EM131f. Were [your] [your spouse/partner's] total earnings...

- 1- \$15,000 to \$20,000 [skip to EM133]
- 2- \$20,000 to \$25,000 [skip to EM133]
- 3- or \$25,000 to \$30,000? [skip to EM133]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to EM133]

EM131g. Were [your] [your spouse/partner's] total earnings...

- 1- under \$5,000 [skip to EM133]
- 2- \$5,000 to \$10,000 [skip to EM133]
- 3- or \$10,000 to \$15,000? [skip to EM133]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to EM133]

EM132./AMNT [INTERVIEWER: ENTER EARNINGS]

- <10-9999999>: _____
- 1- dk
 - 2- rf

IF R IS WORKING NOW:

EM133. Thinking about the next 12 months, how likely do you think it is that you will lose your job or get laid off?

- 1 invalid/no data
- 1- very likely
- 2- fairly likely
- 3- not too likely
- 4- or not at all likely
- 8- dk
- 9- rf

IF SPOUSE/PARTNER WORKING NOW:

EM134. Thinking about the next 12 months, how likely do you think it is that your [spouse/partner] will lose [his/her] job or get laid off?

- 1 invalid/no data
- 1- very likely
- 2- fairly likely
- 3- not too likely
- 4- or not at all likely
- 8- dk
- 9- rf

ALL:

EM135. In order to understand how much of a cushion people have if they were to lose a job, I need to ask the following question. If you cashed in all of your [and your spouse/partner's] checking and saving accounts, and any stocks and bonds, IRAs, retirement/pension accounts, businesses and any other real estate you own but NOT including your home, about how much do you think that would be? [Do not include R's home] [If R hesitates GO TO ranges]

Enter amount: _____
-1 invalid/no data
0- no assets [skip to EM137]
1- dk
2- rf [skip to EM137]
3- ranges

EM135a. All I need is the general ranges - I have some categories here. Do you think this amount is less than \$2000?

-1 invalid/no data
1- yes
2- no [skip to EM135c]
7- other response [GO TO amnt]
8- dk
9- rf [skip to EM137]

interviewer: if R gives exact amount here or in the following ranges code "other response" and enter in amount.

EM135b. Is it less than \$500?

-1 invalid/no data
1- yes [skip to EM137]
2- no [skip to EM137]
7- other response [GO TO amnt]
8- dk
9- rf [skip out]

EM135c. Is it more than \$40,000?

-1 invalid/no data
1- yes [skip to EM135e]
2- no
7- other response [GO TO amnt]
8- dk
9- rf [skip to EM137]

EM135d. Is it between...

-1 invalid/no data
1- \$2000 and \$10,000 [skip to EM137]
2- \$10,000 and \$20,000 [skip to EM137]
3- \$20,000 and \$30,000 [skip to EM137]
4- or between \$30,000 and \$40,000? [skip to EM137]
7- other response [GO TO amnt]
8- dk
9- rf [skip to EM137]]

EM135e. Is it more than \$100,000?

- 1 invalid/no data
- 1- yes [skip to EM135g]
- 2- no
- 7- other response [GO TO amnt]
- 8- dk
- 9- rf [skip to EM137]

IF NO:

EM135f. Is it between...

- 1 invalid/no data
- 1- \$40,000 and \$60,000 [skip to EM137]
- 2- \$60,000 and \$80,000 [skip to EM137]
- 3- or between \$80,000 and \$100,000? [skip to EM137]
- 7- other response [GO TO amnt]
- 8- dk
- 9- rf [skip to EM137]]

EM135g. Is it between...

- 1 invalid/no data
- 1- \$100,000 and \$250,000 [skip to EM137]
- 2- \$250,000 and \$500,000 [skip to EM137]
- 3- or over \$500,000? [skip to EM137]
- 7- other response [GO TO amnt]
- 8- dk
- 9- rf [skip to EM137]

EM136/AMNT Enter amount of assets: _____

- 1 invalid/no data
- 1-dk
- 2-rf

EM137. Suppose you needed to borrow \$1000. Would you be able to borrow \$1000 from a relative or friend of yours?

- 1- yes[GO TO EM138]
- 2- no [GO TO EM139]
- 8- dk [GO TO EM139]
- 9- rf [GO TO EM139]

EM138. Suppose you needed to borrow \$10,000? Would you be able to borrow \$10,000 from a relative or friend of yours?

- 1- yes [skip to EM140]
- 2- no [skip to EM140]
- 8- dk
- 9- rf

EM139. Suppose you needed to borrow \$100. Would you able to borrow \$100 from a relative or friend of yours?

- 1- yes
- 2- no
- 8- dk
- 9- rf

EM140. How much would you say you [and your spouse/partner] owe altogether on your credit cards? Please include charge cards, department store, gas, and bank credit cards. [GO TO ranges if R hesitates]

<10-100000>

- 0- no debt / no credit cards [skip to I151]
- 1- dk
- 2- rf [skip to I151]
- 3- ranges

EM140a. All I need is the general ranges - I have some categories here. Do you think this amount is less than \$2000?

- 1 invalid/no data
- 1- yes [GO TO EM140b]
- 2- no [GO TO EM140c]
- 7- other response [GO TO amnt]
- 8- dk
- 9- rf [skip to I151]

interviewer: if R gives exact amount here or in the following ranges code "other response" and enter in amount.

EM140b. Is it less than \$500?

- 1 invalid/no data
- 1- yes [skip to I151]
- 2- no [skip to I151]
- 7- other response [GO TO amnt]
- 8- dk
- 9-rf [skip to I151]

EM140c. Is it more than \$5000?

- 1 invalid/no data
- 1- yes [skip to EM140d]
- 2- no [skip to I151]
- 7- other response [GO TO amnt]
- 8- dk
- 9-rf [skip to I151]

EM140d. Is it more than \$10,000?

- 1 invalid/no data
- 1- yes
- 2- no [skip to I151]
- 7- other response [GO TO amnt]
- 8- dk
- 9- rf [skip to I151]

EM140e. Is it more than \$20,000?

- 1 invalid/no data
- 1- yes
- 2- no [skip to I151]
- 7- other response [GO TO amnt]
- 8- dk
- 9- rf [skip to I151]

EM141. AMNT Enter amount of debt:_____

1-dk
2-rf

INCOME AND MATERIAL HARDSHIP

I would like to ask you a few questions about OTHER sources of income that you [or your spouse/partner] [or your child(ren) under 18] received last year, that is in 1996.

- I151.** Did you [or your spouse/partner] receive unemployment compensation, veteran's benefits, or worker's compensation for a job injury last year?
- 1- yes
 - 2- no [skip to I152]
 - 8- dk
 - 9- rf

INTERVIEWER: Code yes only if respondent received money last year and not if respondent was eligible but did not receive anything yet. This is true for all the questions in this series.

- I151a.** How much did you [or your spouse/partner] receive altogether from such compensation or benefits last year?
(PROBE: Your best estimate is fine.)

Enter amount in two stages:

- 1- \$ Per Week
- 2- \$ Bi-Weekly
- 3- \$ Per Month
- 4- \$ Per Year
- 8- dk
- 9- rf

- I151b** weekly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

- I151c** biweekly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

- I151d** monthly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

- I151e** yearly amt received

- 1 invalid/no data
- <10-99999>
- 1 - dk
- 2 - rf

I151f # of weeks received compensation / benefits

-1 invalid/no data
<1-52>
98 - dk
99 - rf

I151g # of months received compensation / benefits

-1 invalid/no data
<1-12>
98 - dk
99 - rf

I152. Did you [or your spouse/partner][or any of your children under 18] receive social security, disability, old age insurance, or survivor's insurance last year?

1- yes
2- no [skip to I153]
8- dk
9- rf

I152a. How much did you [or your spouse/partner] receive altogether from these programs last year?

Enter amount in two stages:
1- \$ Per Week
2- \$ Bi-Weekly
3- \$ Per Month
4- \$ Per Year
8- dk
9- rf

I152b weekly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I152c biweekly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I152d monthly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I152e yearly amt received

-1 invalid/no data
<10-99999>
1 - dk
2 - rf

I152f # of weeks received from programs

<1-52>
-1 invalid/no data
98 - dk
99 - rf

I152g # of months received from programs

-1 invalid/no data
<1-12>
98 - dk
99 - rf

153. Did you [or your spouse/partner] receive other pensions or annuities last year?

1- yes
2- no [skip to I154]
8- dk
9- rf

I153a. How much did you [or your spouse/partner] receive altogether from pensions and annuities last year?

Enter amount in two stages:
1- \$ Per Week
2- \$ Bi-Weekly
3- \$ Per Month
4- \$ Per Year
8- dk
9- rf

I153b weekly amt received

<10-9999>
-1 invalid/no data
1 - dk
2 - rf

I153c biweekly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I153d monthly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I153e yearly amt received

-1 invalid/no data
<10-999999>
1 - dk
2 - rf

I153f # of weeks received from pensions / annuities

-1 invalid/no data

<1-52>

98 - dk

99 - rf

I153g # of months received from pensions / annuities

-1 invalid/no data

<1-12>

98 - dk

99 - rf

I154. Did you [or your spouse/partner] receive interest from sources like bank accounts, money markets, certificates of deposit, dividends from stocks or mutual funds last year?

1- yes

2- no [skip to I155]

8- dk

9- rf

I154a. How much did you [or your spouse/partner] receive in interest altogether last year? (PROBE: Your best estimate is fine.)

Enter amount in two stages:

1- \$ Per Week

2- \$ Bi-Weekly

3- \$ Per Month

4- \$ Per Year

8- dk

9- rf

I154b weekly amt received

<4-999>

-1 invalid/no data

1 - dk

2 - rf

I154c biweekly amt received

-1 invalid/no data

<4-9999>

1 - dk

2 - rf

I154d monthly amt received

-1 invalid/no data

<4-9999>

1 - dk

2 - rf

I154e yearly amt received

-1 invalid/no data
<4-9999999>
1 - dk
2 - rf

I154f # of weeks received interest

-1 invalid/no data
<1-52>
98 - dk
99 - rf

I154g # of months received interest

-1 invalid/no data
<1-12>
98 - dk
99 - rf

I155. Did you [or your spouse/partner] receive income from rental property last year?

1- yes
2- no [skip to I142]
8- dk
9- rf

I155a. How much did you [or your spouse/partner] receive from rental property altogether last year?

(PROBE: Your best estimate is fine.)

Enter amount in two stages:

1- \$ Per Week
2- \$ Bi-Weekly
3- \$ Per Month
4- \$ Per Year
8- dk
9- rf

I155b weekly amt received

<10-9999>
-1 invalid/no data
1 - dk
2 - rf

I155c biweekly amt received

<10-9999>
1 - dk
2 - rf

I155d monthly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I155e yearly amt received

-1 invalid/no data
<4-999999>
1 - dk
2 - rf

I155f # of weeks received from rental property

-1 invalid/no data
<1-52>
98 - dk
99 - rf

I155g # of months received from rental property

-1 invalid/no data
<1-12>
98 - dk
99 - rf

IF LOW INCOME, ELSE GO TO I147:

I142. Did you [or your spouse/partner] receive public assistance, welfare payment or emergency help from the welfare offices last year?

-2- high income
-1 invalid/no data
1- yes
2- no
8- dk [GO TO I146]
9- rf [GO TO I146]

I143. Did you [or your spouse/partner] receive emergency assistance or a one shot deal through the welfare office last year?

-2- high income
-1 invalid/no data
1- yes
2- no [skip to I144]
8- dk
9- rf

I143a. Enter total for the year

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I144. Did you [or your spouse/partner] [or your child(ren) under 18] receive benefits from ADC(Aid to Dependent Children), Home Relief, or the WIC (Woment, Infants, and Children) program last year? [INTERVIEWER: Include benefits received for children]

- 2- high income
- 1 invalid/no data
- 1- yes
- 2- no [skip to I145a]
- 8- dk
- 9- rf

I144a. How much did you [or your spouse/partner] receive last year? (PROBE: Your best estimate is fine.)

Enter amount in two stages:

- 1- \$ Per Week
- 2- \$ Bi-Weekly
- 3- \$ Per Month
- 4- \$ Per Year

I144b. weekly amt received

- <10-9999>
- 1 - dk
- 2 - rf

I144c. biweekly amt received

- <10-9999>
- 1 - dk
- 2 - rf

I144d. monthly amt received

- <10-9999>
- 1 - dk
- 2 - rf

I144e. yearly amt received

- <100-99999>
- 1 - dk
- 2 - rf

I144f. # of weeks received from rental property

- <1-52>
- 98 - dk
- 99 - rf

I144g. # of months received from rental property

- <1-12>
- 98 - dk
- 99 - rf

IF YES @ I142 BUT NO @ I143:

I145a. Earlier, you told me that you (and your spouse/partner) received welfare. How much did you (and your spouse/partner) receive last year? Do not count food stamps.

(PROBE: Your best estimate is fine.)

Enter amount in two stages:

- 2 - high income
- 1 invalid/no data
- 1- \$ Per Week [GO TO I145b]
- 2- \$ Bi-Weekly [GO TO I145c]
- 3- \$ Per Month [GO TO I145d]
- 4- \$ Per Year [GO TO I145e]
- 7- No did not receive [GO TO I146]
- 8- dk [GO TO I146]
- 9- rf [GO TO I146]

I145b. Amt of welfare - weekly

- 1 invalid/no data
- <10-999>
- 1 - dk
- 2 - rf

I145c. Amt of welfare -- biweekly

- 1 invalid/no data
- <10-999>
- 1 - dk
- 2 - rf

I145d. Amt of welfare - monthly

- 1 invalid/no data
- <10-999>
- 1 - dk
- 2 - rf

I145e. Amt of welfare -- yearly

- 1 invalid/no data
- <100-9999>
- 1 - dk
- 2 - rf

I145f. # of weeks welfare

- 1 invalid/no data
- <1-52>
- 98 - dk
- 99 - rf

I145g. # of months welfare

- 1 invalid/no data
- <1-12>
- 98 - dk
- 99 - rf

I146. Did you [or your spouse/partner] receive Food Stamps last year?

- 2- high income
- 1- yes
- 2- no [Go TO I147]
- 8- dk [GO TO I147]
- 9- rf [GO TO I147]

I146a. How much did you [or your spouse/partner] receive altogether in Food Stamps last year? (PROBE: Your best estimate is fine.)

Enter amount in two stages:

- 1- \$ Per Week
- 2- \$ Bi-Weekly
- 3- \$ Per Month
- 4- \$ Per Year
- 8- dk
- 9- rf

I146b weekly amt received

- <10-999>
- 1 invalid/no data
- 1 - dk
- 2 - rf

I146c biweekly amt received

- <10-999>
- 1 invalid/no data
- 1 - dk
- 2 - rf

I146d monthly amt received

- <10-999>
- 1 invalid/no data
- 1 - dk
- 2 - rf

I146e yearly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

I146f # of weeks received food stamps

- 1 invalid/no data
- <1-52>
- 98 - dk
- 99 - rf

I146g # of months received food stamps

- 1 invalid/no data
- <1-12>
- 98 - dk
- 99 - rf

I147. Did you [or your spouse/partner] receive child support or alimony last year?

- 1- yes
- 2- no [skip to I148]
- 8- dk
- 9- rf

I147a. How much did you [or your spouse/partner] receive in child support or alimony altogether last year?
(PROBE: Your best estimate is fine.)

Enter amount in two stages:

- 1- \$ Per Week
- 2- \$ Bi-Weekly
- 3- \$ Per Month
- 4- \$ Per Year
- 8- dk
- 9- rf

I147b weekly amt received

- <10-999>
- 1 invalid/no data
- 1 - dk
- 2 - rf

I147c biweekly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

I147d monthly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

I147e yearly amt received

- 1 invalid/no data
- <10-999999>
- 1 - dk
- 2 - rf

I147f # of weeks received child support / alimony

- <1-52>
- 1 invalid/no data
- 98 - dk
- 99 - rf

I147g # of months received child support / alimony

- 1 invalid/no data
- <1-12>
- 98 - dk
- 99 - rf

I148. Did you [or your spouse/partner] receive financial assistance or cash gifts from parents, other relatives, or friends last year?

- 1- yes
- 2- no [skip to I149]
- 8- dk
- 9- rf

I148a. How much did you [or your spouse/partner] receive altogether from friends or relatives last year?

[INTERVIEWER: Include all cash gifts. Do not include money borrowed that you are going to pay back.]

Enter amount in two stages:

- 1- \$ Per Week
- 2- \$ Bi-Weekly
- 3- \$ Per Month
- 4- \$ Per Year
- 8- dk
- 9- rf

I148b weekly amt received

- <10-9999>
- 1 invalid/no data
- 1 - dk
- 2 - rf

I148c biweekly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

I148d monthly amt received

- 1 invalid/no data
- <10-9999>
- 1 - dk
- 2 - rf

I148e yearly amt received

- 1 invalid/no data
- <10-999999>
- 1 - dk
- 2 - rf

I148f # of weeks received financial asst. / cash gifts

- <1-52>
- 1 invalid/no data
- 98 - dk
- 99 - rf

I148g # of months received financial asst. / cash gifts

<1-12>
-1 invalid/no data
98 - dk
99 - rf

IF LOW INCOME:

I149. Did you [or your spouse/partner] receive cash assistance from community or charitable or religious groups last year?

-2-high income
1- yes
2- no [skip to I150]
8- dk
9- rf

I149a. How much assistance did you [or your spouse/partner] receive altogether last year?

Enter amount in two stages:
1- \$ Per Week
2- \$ Bi-Weekly
3- \$ Per Month
4- \$ Per Year
8- dk
9- rf

I149b weekly amt received

<10-9999>
-1 invalid/no data
1 - dk
2 - rf

I149c biweekly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I149d monthly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I149e yearly amt received

-1 invalid/no data
<10-9999>
1 - dk
2 - rf

I149f # of weeks received cash assistance

-1 invalid/no data
<1-52>
98 - dk
99 - rf

I149g # of months received cash assistance

-1 invalid/no data
<1-12>
98 - dk
99 - rf

ALL:

I150. Did you [or your spouse/partner] [or any of your children under 18] receive supplemental security income (SSI) last year? [NOTE: SSI is a program for elderly people and those with disabilities; it is NOT social security]

-2-high income
-1 invalid/no data
1- yes
2- no [skip to I160]
8- dk
9- rf

I150a. How much did you [or your spouse/partner] receive altogether from SSI last year?

Enter amount in two stages:
1- \$ Per Week
2- \$ Bi-Weekly
3- \$ Per Month
4- \$ Per Year
8- dk
9- rf

I150b weekly amt received

-1 invalid/no data
<10-999>
1 - dk
2 - rf

I150c biweekly amt received

-1 invalid/no data
<10-999>
1 - dk
2 - rf

I150d monthly amt received

-1 invalid/no data
<10-999>
1 - dk
2 - rf

I150e yearly amt received

-1 invalid/no data
<10-99999>
1 - dk
2 - rf

I150f # of weeks received SSI

-1 invalid/no data
<1-52>
98 - dk
99 - rf

I150g # of months received SSI

-1 invalid/no data
<1-12>
98 - dk
99 - rf

I160. Did you [or your spouse/partner] pay child support payments last year for children not living with you? Do not include payments for college tuition.

-1 invalid/no data
1- yes
2- no [skip to I161]
8- dk
9- rf

I160a. How much was that in 1996?

<100-99999>
-1 invalid/no data
1- dk
2- rf

IF R LIVES WITH RELATIVE OTHER THAN SPOUSE/PARTNER AND CHILDREN UNDER 18, ELSE W164:

I161. So far I have been asking about you [and your spouse/partner] [and your child(ren) under 18]. Now I will be asking about other members of your family living with you. Did other members of your family work at a job or business at any time last year, that is in 1996? Include any children 18 or over, parents, sisters, brothers, etc living in your household.

-1 invalid/no data
1- yes
2- no [skip to I163]
8- dk
9- rf

I162. What is your best estimate of what they earned in 1996? [If R hesitates, GO TO ranges]

-1 invalid/no data
<100-9999999>
1- dk
2- rf [skip to I163]
3- ranges

I162a. All I need is the general range of income - I have some categories here. Were the earnings from all jobs of other family members \$30,000 or more in 1996?

- 1- yes
- 2- no [skip to I162f]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to I163]

INTERVIEWER: If R gives exact amount then code "other response" and enter amount.

I162b. Were their total earnings \$100,000 or more in 1996?

- 1- yes [skip to I163]
- 2- no
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to I163]

I162c. Were their total earnings \$60,000 or more in 1996?

- 1- yes [skip to I162e]
- 2- no
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to I163]

I162d. Were their total earnings...

- 1- \$30,000 to \$40,000 [skip to I163]
- 2- \$40,000 to \$50,000 [skip to I163]
- 3- or \$50,000 to \$60,000? [skip to I163]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to I163]

I162e. Were their total earnings...

- 1- \$60,000 to \$70,000 [skip to I163]
- 2- \$70,000 to \$80,000 [skip to I163]
- 3- \$80,000 to \$90,000 [skip to I163]
- 4- or \$90,000 to \$100,000? [skip to I163]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to I163]

I162f. Were their total earnings \$15,000 or more?

- 1- yes
- 2- no [skip to I162h]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to I163]

I162g. Were their total earnings...

- 1- \$15,000 to \$20,000
- 2- \$20,000 to \$25,000
- 3- or \$25,000 to \$30,000? [skip out]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip out]

I162h. Were their total earnings...

- 1- under \$5,000 [skip to I163]
- 2- \$5,000 up to \$10,000 [skip to I163]
- 3- or \$10,000 up to \$15,000? [skip to I163]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to I163]

AMNT

I162i. [INTERVIEWER: ENTER THE TOTAL EARNINGS]

- <1-9999999>
- 1- dk
- 2- rf

I162j. Is this amount before deductions/taxes?

- 1- yes [GO TO I163]
- 2- no
- 8- dk [GO TO I163]
- 9- rf [GO TO I163]

I162k. What is the amount before deductions/taxes?

- <100-9999999>
- 1- dk
- 2- rf

SAME CONDITIONAL HERE AS IN I161 - DO NOT WANT TO INCLUDE NON-RELATIVES IN HH

I163. Now I am going to ask about other sources of income that are not from a job or business. This could be social security, public assistance, pensions, interest, or rental property. Did other members of your family living with you have any income from other sources in 1996? Do not include the income of yourself [or your spouse/partner] [or your child(ren) under 18].

- 1 invalid/no data
- 1- yes
- 2- no [skip to W164]
- 8- dk
- 9- rf

I163a. What is your best estimate of the total income from those kind of sources in 1996, not including earnings from a job or business? [If R hesitates, ranges]

Enter amount (1-9999999:_____)

- 1 invalid/no data
- 1- dk
- 2- rf [skip to W164]
- 3- ranges

I163b. All I need is the general range of income - I have some categories here. Thinking about your other family members, was their income from all sources except from work \$30,000 or more in 1996?

- 1- yes
- 2- no [GO TO I163g]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to W164]

INTERVIEWER: If R gives exact amount code "other response" and enter amount.

I163c. Was it \$100,000 or more in 1996?

- 1- yes [skip to W164]
- 2- no
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to W164]

I163d. Was it \$60,000 or more in 1996?

- 1- yes [skip to I163f]
- 2- no
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to W164]

I163e. Was it...

- 1- \$30,000 to \$40,000 [skip to W164]
- 2- \$40,000 to \$50,000 [skip to W164]
- 3- or \$50,000 to \$60,000? [skip to W164]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to W164]

I163f. Was it...

- 1- \$60,000 to \$70,000 [skip to W164]
- 2- \$70,000 to \$80,000 [skip to W164]
- 3- \$80,000 to \$90,000 [skip to W164]
- 4- or \$90,000 to \$100,000? [skip to W164]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to W164]

I163g. Was it \$15,000 or more?

- 1- yes
- 2- no [skip to W163i]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to W164]

I163h. Was it...

- 1- \$15,000 to \$20,000 [skip to W164]
- 2- \$20,000 to \$25,000 [skip to W164]
- 3- or \$25,000 to \$30,000? [skip to W164]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip to W164]

I163i. Was it...

- 1- under \$5,000 [skip to W164]
- 2- \$5,000 up to \$10,000 [skip to W164]
- 3- or \$10,000 up to \$15,000? [skip to W164]]
- 7- other response [GO TO AMNT]
- 8- dk
- 9- rf [skip out]

AMNT I163j. [INTERVIEWER: ENTER FAMILY INCOME FROM OTHER SOURCES]
<1-9999999>

- 1- dk
- 1- rf

WELFARE USE

(LOW INCOME SCREEN)
(OTHERWISE SKIP TO CS172)

We are also interested in some of the problems that [New Yorkers] [people] face making ends meet.

W164. In the past 12 months, have you [or your spouse/partner] [or your child] [or your children] received free food or meals because there wasn't enough money?

- 1 invalid/no data
- 1- yes
- 2- no [skip to W165]
- 8- dk
- 9- rf

INTERVIEWER: Do not include free or reduced lunch received by children at school.

W164a1. As far as you know, was that from [READ and code all that apply]²

- 1- family members or friends
- 2- a government program
- 2- a community or religious program
- 3- employer
- 7- or some other type of place? [specify]
- 8- dk
- 9- rf

IF CHILD(REN) IN FAMILY (ELSE W167):

W165. [Does your child] [Do any of your children] receive free or reduced lunch at school, if attending school?

- 1 invalid/no data
- 1- yes
- 2- no, but does attend school
- 3- no, no child(ren) in school/does not apply
- 8- dk
- 9- rf

W166. In the past 12 months, was there a time when your [child][children] went hungry because there wasn't enough money to buy food?

- 1 invalid/no data
- 1- Yes
- 2-No
- 3-n/a
- 8- dk
- 9- rf

² Note to users: see variable **W164a2** for 2nd answer

W167. Was there ever a time when [you] [you or your spouse/partner] went hungry in the past 12 months because there wasn't enough money for food?

- 1 invalid/no data
- 1- Yes
- 2- No
- 8- dk
- 9- rf

IF RECEIVED ANY WELFARE (ADC, HOME RELIEF), SSI, OR FOOD STAMPS IN 1996 -- I.E., IF YES TO I142, I146, OR I150; (ELSE W170):

W168. You previously told me that you received government benefits in 1996. Was there any time in the last 12 months when [you] [you or your spouse/partner] stopped receiving benefits for more than one month? (Benefits include ADC, Home Relief, WIC, SSI, and Food Stamps.)

- 1- yes
- 2- yes, but before the 12 month period
- 3- no [GO TO W169]
- 8- dk [GO TO W169]
- 9- rf [GO TO W169]

W168a. The last time the benefits stopped, did the program cut [you] [you or your spouse/partner] off or was it your decision to leave the program?

- 1- cut off [GO TO W168c]
- 2- own decision
- 8- dk
- 9- rf

W168b1. Why did [you] [you or your spouse/partner] leave the program? Was it because ... (READ and code all that apply)³

- 1- someone went to work
- 2- you got married or moved in with someone
- 3- your household changed in some other way
- 4- you thought the rules were too difficult
- 5- you were worried about what might happen to you or your family
- 6- not time
- 7- or some other reason? [Specify:_____]
- 8- dk
- 9- rf
- 10- no need/had money

³ Note to users: see variable **W164b2** for 2nd answer

W168c1. Why did they cut [you] [you or your spouse/partner] off? Was it because ...
(READ and code all that apply)⁴

- 1- someone went to work or earned more in a job
- 2- you got married or moved in with someone
- 3- your household changed in some other way
- 4- you did not follow the rules for the program
- 5- you did not meet citizenship requirements
- 6- paperwork error
- 7- or some other reason? [Specify:_____]
- 8- dk
- 9- rf
- 10- received money form other sources

W169. In the past 12 months, were [you] [you or your spouse/partner] required to work, go to school, visit a doctor, or do anything else in order to receive benefits?

- 1 invalid/no data
- 1- yes
- 2- yes, but before the past 12 months
- 3- no [GO TO W170]
- 8- dk [GO TO W170]
- 9- rf [GO TO W170]

W169a1. Were you required to... [READ and code all that apply]⁵

- 1- look for a job
- 2- work in a paid job (in addition to welfare)
- 3- work in an unpaid job
- 4- attend school or training
- 5- visit a doctor or show medical records
- 6- work program
- 7- something else? [Specify _____]
- 8- dk
- 9- rf

*IF NO WELFARE (ADC/HOME RELIEF), SSI, AND FOOD STAMPS IN 1996
(I.E., IF NO TO ALL OF THE FOLLOWING: W142, W146, W150); ELSE SKIP TO CS172:*

W170. Was there ever a time in the past 12 months that [you] [you or your spouse/partner] thought you could be eligible for welfare or food stamps?

- 1 invalid/no data
- 1- yes
- 2- no [skip to CS172]
- 8- dk
- 9- rf

[Code yes if R is unsure or if at least thought about getting welfare]

⁴ Note to users: see variable **W164c2** for 2nd answer

⁵ Note to users: see variables **W169a2-W169a4** for multiple answers

W170a. Did [you] [you or your spouse/partner] apply and give the application to the welfare office?

- 1- yes, applied [skip to W170c]
- 2- no, did not apply (or did not finish)
- 8- dk [skip to CS172]
- 9- rf [skip to CS172]

W170b1. Why did [you] [you or your spouse/partner] not apply? Was it because ... [READ and code all that apply]⁶

- 1- you did not expect to qualify
- 2- you did not understand how to apply
- 3- you thought the rules would be too difficult
- 4- you were worried about what might happen to you or your family
- 5- disability
- 6- thinking about it
- 7- or some other reason? [Specify:_____]
- 8- dk
- 9- rf
- 10- do not like welfare

[ALL SKIP TO CS172]

W170c. What happened with the application? Was it turned down, did [you] [you or your spouse/partner] get welfare or food stamps, or are you still waiting to hear?

- 1- turned down
- 2- received welfare/food stamps [skip to CS172]
- 3- still waiting to hear [skip to CS172]
- 8- dk
- 9- rf

W170d1. Why were you told that [you] [you or your spouse/partner] could not get benefits? Was it because ... [READ and Code all that apply]

- 1- your income was too high
- 2- you did not follow the rules for the program
- 3- you did not meet citizenship requirements
- 4-spouse back together
- 7- or some other reason? [Specify:_____]
- 8 M- dk
- 9 M- rf

⁶ Note to users: see variables **W170b2-W170b3** for multiple answers

CHILD SUPPORT

SCREEN OUT RESPONDENTS WITHOUT AT LEAST 1 FOCAL CHILD UNDER 18
SCREEN OUT ALL MALE RESPONDENTS
SCREEN OUT ALL RESPONDENTS WHOSE PARTNER IS THE FATHER OF ALL HER CHILDREN

CS172. Does your [] year old child have a father living elsewhere?

- 1 no/invalid data
- 1- yes
- 2- no [GO TO CS175 if more than one child, else skip to D217]
- 8- dk [skip to D217]
- 9- rf [skip to D217]
- 10- skip [skip to D217]

IF MORE THAN ONE BIOLOGICAL CHILD IN FAMILY:

CS173. Thinking about the absent father of your [__year old] child, is he the father of any of your other children?

- 1 no/invalid data
- 1- yes
- 2- no [skip to CS176]
- 3- n/a no other biological children [skip to CS176]
- 8- dk
- 9- rf
- 10-skip [skip to CS176]

CS174. How many of your OTHER children is he the father of?

- 1- one
- 2- two
- 3- three
- 4- four
- 5- five or more
- 8- dk
- 9- rf
- 10- skip

[ALL SKIP TO CS176]

CS175. Do any of your OTHER children have another parent living elsewhere?

- 1- yes [GO TO CS212]
- 2- no [skip to D217]
- 8- dk
- 9- rf

The next questions will be about the father of your [] child. Please think about this person when you answer the following questions.

CS176. Have child support payments ever been awarded for the [child][-ren] of this father; that is, is there a legal agreement or support order?

- 1 no/invalid data
- 1- Yes [SKIP TO CS180]
- 2- No
- 8- dk [GO TO CS183]
- 9- rf [GO TO CS183]
- 10- skip

CS177. Are you, right now, pursuing a legal agreement or support order?

- 1- yes
- 2- no
- 8- dk
- 9- rf
- 10- skip

CS178. Does the father currently share some of the costs of raising your child(ren) by giving you cash or other types of support? If yes, is that cash or another type of support?

[INTERVIEWER: If R is unsure because the father's support is infrequent code "other"]

- 1- cash
- 2- other support [GO TO CS183]
- 3- both
- 4-No [skip to CS179a]
- 5-infrequent support
- 8- dk [GO TO CS183]
- 9- rf [GO TO CS183]
- 10- skip

IF "CASH" OR "BOTH":

CS179. What is the total amount of cash received in the last 12 months [PROBE: Your best estimate is fine.]

Enter amount (1-9999999): _____ [GO TO CS183]

- 1- dk
- 2- rf

CS179a. Did the father at any time in the past share some of the costs of raising your child(ren) by giving you cash or other type of support?

- 1- Yes
- 2- No [GO TO CS183]
- 8- dk [GO TO CS183]
- 9- rf [GO TO CS183]
- 10- skip

CS179b. In what year was that? _____

<80-97>

- 8- dk [GO TO CS183]
- 9- rf [GO TO CS183]

[PROBE: Your best estimate is fine.]

[INTERVIEWER: Enter the last two digits of the year.]

IF 1996 OR 1997:

CS179c. How many months ago did you last receive some type of support?

- 0- less than one month
- <1-12>
- 98- dk
- 99- rf [GO TO CS183]

[PROBE: Your best estimate is fine.]

AGREEMENT

IF YES @ CS176 & IF MORE THAN ONE CHILD IN FAMILY:

CS180. How many child(ren) are covered by this legal agreement or support order?

- 2- n/a no other biological children
- 1 no/invalid data
- <2-6>
- 8- dk
- 9- rf
- 10 M- skip

IF YES @ CS176:

CS181. What is the total amount that was supposed to have been received in child support during the past 12 months?
[PROBE: Your best estimate is fine.]

- Enter amount (1-9999999): \$_____
- 1 invalid/no data
 - 1- dk
 - 2-rf
 - 10-skip

CS182. What is the total amount actually received in support payments during the past 12 months?
[PROBE: Your best estimate is fine.]

- 1 invalid/no data
- 0- received \$0
- <10-999999>
- 1- dk
- 2- rf

CS183. During the past 12 months, about how often did your [child][-ren] see the father? Was that... (READ)

- 1 invalid/no data
- 1- several times a week
- 2- about once a week
- 3- one to three times a month
- 4- several times a year
- 5- about once a year, or
- 6- not at all?
- 8- dk
- 9- rf
- 10- skip

ASK ALL:

COST-BENEFITS

IF YES @ CS176 OR CS177:

CS184a. Earlier, you told me that you have a legal agreement or support order, or that you are seeking one with the father. The next questions are about what reasons were important to you for seeking a legal agreement or support order.

IF NO, dk, OR rf @ CS176:

CS184b. Although you may not have a legal agreement or support order, the next questions are about what reasons are important to you if you were to seek a legal agreement or support order.

CS184c. Some parents seek an agreement because they need financial support for their children. How important do you consider this in seeking a legal agreement or support order? Is this ... (READ)

- 1 invalid/no data
- 1- very important
- 2- somewhat important
- 3- not important
- 8- dk
- 9- rf
- 10- skip [skip to CS212]

CS185. Some parents seek an agreement because they hope it will lead to a closer relationship between the absent parent and the children. How important is this to you for seeking a legal agreement or support order? Is this... (READ)

- 1 invalid/no data
- 1- very important
- 2- somewhat important
- 3- not important
- 8- dk
- 9- rf
- 10- skip

CS186. Some parents seek an agreement because, if they don't they might be penalized or lose other benefits they receive, such as Aid to Dependent Children (ADC). How important is this to you for seeking a legal agreement or support order? Is this... (READ)

- 1 invalid/no data
- 1- very important
- 2- somewhat important
- 3- not important
- 8- dk
- 9- rf
- 10- skip

And now here are some reasons why some parents DO NOT seek a legal agreement or support order. Although you may have an agreement with the father, these are some reasons that could affect your decision.

CS187. Some parents do not seek a legal agreement or support order because it is a difficult and time consuming process. How important do you consider this in NOT seeking a legal agreement or support order. Is this... (READ)

-1 invalid/no data
1- very important
2- somewhat important
3- or not important?
8- dk
9- rf
10-skip

CS188. Some parents DO NOT seek a legal agreement or support order because they are concerned about their safety or the safety of their children if they come into contact with the absent father. How important do you consider this in NOT seeking a legal agreement or support order? Is this... (READ)

- 1 invalid/no data
- 1- very important
- 2- somewhat important
- 3- not important
- 8- dk
- 9- rf
- 10- skip

CS189. Some parents DO NOT seek a legal agreement or support order because they prefer an informal arrangement; that is, one that is arranged outside the government. How important do you consider this in NOT seeking a legal agreement or support order? Is this...

- 1 invalid/no data
- 1- very important
- 2- somewhat important
- 3- not important
- 8- dk
- 9- rf
- 10- skip

CHARACTERISTICS AND INCOME OF ABSENT FATHER

Now I would like to ask a few background questions about the father.

CS190. How old is the father?

- 1 invalid/no data
- <17-97>
- 98- dk
- 99- rf

CS191. What is the highest grade in school that the father finished and got credit for?

- 1 invalid/no data
- 1- 1 to 11 grades of school [GO TO CS191a]
- 2- 12 years/high school graduate [GO TO CS191b]
- 3- 13 to 15 years/ "some college" [GO TO CS191c]
- 4- 16 years/college graduate/Bachelors [GO TO CS191d]
- 5- 17 years or more/graduate work/Professional/Masters/PhD [skip to CS192]
- 8- dk
- 9- rf
- 10- skip

CS191a. Did he get a GED?

- 1- yes
- 2- no
- 8- dk
- 9- rf
- 10- skip

CS191b. (Let me just confirm...) Did he get a high school diploma or get a GED?
(IF NECESSARY: Which one?)

- 1- GED
- 2- diploma
- 3- neither
- 8- dk
- 9- rf
- 10-skip

CS191c. Did he get an associates degree?

- 1- yes
- 2- no
- 8- dk
- 9- rf
- 10- skip

CS191d. Did he get a bachelors degree?

- 1- yes
- 2- no
- 8- dk
- 9- rf
- 10- skip

CS192. Is the father of Latino or Hispanic descent?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf
- 10- skip

CS193. And is the father White, black, American Indian, Asian or another race?

- 1 invalid/no data
- 1- White
- 2- Black
- 3- American Indian
- 4- Asian
- 5- Hispanic
- 6- Middle Eastern
- 7- another race [specify _____]
- 8- dk
- 9- rf
- 10- skip

CURRENT JOB

Now I would like to ask you a few questions about the amount of income that the father might have available for child support. I realize that you may not know as much about the father as you might about other people living in the household, but I would appreciate any information you can give me that will help the researchers understand why some fathers pay child support and some do not.

CS194. Does the father currently have a job?

- 1 invalid/no data
- 1- Yes [GO TO CS196]
- 2- No
- 8- dk [GO TO CS203]
- 9- rf [GO TO CS203]
- 10- skip

CS195. Do you know how he supports himself? If yes, how?

- 1- Public Assistance
- 2- Unemployment compensation
- 3- Support from relative/friend
- 4- Incarceration
- 6- Odd jobs
- 7- Other [Specify _____]
- 8- dk
- 9- rf
- 10- skip

[ALL GO TO CS203]

CS196. Do you know about how much the father currently makes?

- 1- Yes
- 2- No [GO TO CS198]
- 8- dk [GO TO CS198]
- 9- rf [GO TO CS198]
- 10- skip

CS197. How much does the father currently make?

[PROBE: Your best estimate is fine.]
[INTERVIEWER: Note that biweekly is NOT twice a week.]
[INTERVIEWER: Select one line below to record response.]

- 1- \$ Per week
- 2- \$ Biweekly
- 3- \$ Per month
- 4- \$ Per year
- 8- dk [GO TO CS198]
- 9- rf [GO TO CS198]
- 10- skip

CS197a. Amt made by father (weekly)

- <100-9999>
- 1- dk
- 2- rf

CS197b. Amt made by father (biweekly)

<10-9999>
1- dk
2- rf

CS197c. Amt made by father (monthly)

<10-99999>
1- dk
2- rf

CS197d. Amt made by father (yearly)

<100-9999999>
1- dk
2- rf

IF WEEKLY/BIWEEKLY AMT:

CS197a_1. How many weeks during the year did the father work? Was that ... (READ)

1- All or nearly all weeks during the year
2- More than half
3- Half
4- Less than half
8- dk
9- rf
10- skip

[ALL GO TO CS211]

IF MONTHLY AMT:

CS197b_1. How many months during the year did the father work? Was that ... (READ)

1- All or nearly all months during the year
2- More than half
3- Half
4- Less than half
8- dk
9- rf
10- skip

IF dk/rf @ CS197 OR NO, dk/rf @ CS196:

CS198. Does the father work at a full-time job (at least 35 hours a week)?

1- Yes [GO TO I200]
2- No
8- dk [GO TO I200]
9- rf [GO TO I200]
10- skip

CS199. About how many hours per week does the father usually work? Your best estimate is fine.

<1-96>
98- dk
99- rf

CS200. About how many months did the father work during the last 12 months?
Was that ... (READ)

- 1- All or nearly all months in the year
- 2- more than half
- 3- half
- 4- less than half, or
- 5- only a month or two
- 8- dk
- 9- rf
- 10- skip

CS202.What kind of work is the father doing (what is the occupation)?

- 1 invalid/no data
- 1- Professional
- 2- Manager/official
- 3- Proprietor
- 4- Clerical worker
- 5- Sales worker
- 6- Skilled craftsman/foreman
- 7- Operative, unskilled labor (except farm)
- 8- Farm/farm laborer
- 9- Student
- 10- Househusband
- 11- Military service
- 12- Welfare
- 13- Disabled
- 14- Retired
- 15- Service Laborer
- 16-unemployed
- 17-human/social service
- 18-customer service
- 19-other
- 20-sector given/not occupation
- 21-artist
- 22-para-professional
- 97- skip
- 98-dk
- 99- rf

[ALL GO TO CS211]

IF NOT CURRENTLY WORKING OR dk/rf @ CS194:

CS203. When was the last time the father was working? In what year was that? [PROBE:
Approximate year ?]

- <70-97> [GO TO CS205]
- 1 invalid/no data
- 98- dk
- 99- rf

CS204. Was he working when you were together? If yes, in what year was that when he was last working?

[PROBE: Your best estimate is fine.]

-1 invalid/no data

1- yes, gave year [GO TO CS204a, enter last two digits of year, then CS205]

2- yes, not sure/don't know year [GO TO CS205]

3- no [GO TO CS211]

8- dk [GO TO CS211]

9- rf [GO TO CS211]

10- skip

CS204a. year given

<70-97>

98- dk

99- rf

CS205. How much did the father make at that time?

[PROBE: Your best estimate is fine.]

-1 invalid/no data

1- \$ Per week

2- \$ Biweekly

3- \$ Per month

4- \$ Per year

8- dk

9- rf

10-skip

CS205a. Amt made by father (weekly)

<10-9999>

1- dk

2- rf

CS205b. Amt made by father (biweekly)

<10-9999>

1- dk

2- rf

CS205c. Amt made by father (monthly)

<10-9999>

1- dk

2- rf

CS205d. Amt made by father (yearly)

<10-999999>

1- dk

2- rf

IF WEEKLY/BIWEEKLY AMT:

CS205a_1. How many weeks during the year did the father work? Was that ... (READ)

- 1- All or nearly all weeks during the year
- 2- More than half
- 3- Half
- 4- Less than half
- 8- dk
- 9- rf [ALL GO TO CS211]
- 10- skip

IF MONTHLY AMT:

CS205c_1. How many months during the year did the father work? Was that ... (READ)

- 1- All or nearly all months during the year
- 2- More than half
- 3- Half
- 4- Less than half
- 8- dk
- 9- rf [ALL GO TO CS211]
- 10- skip

IF dk/rf AT CS205:

CS206. Thinking about the last job he worked at that time, did he work at a full-time job (at least 35 hours a week)?

- 1 invalid/no data
- 1- Yes [GO TO CS208]
- 2- No
- 8- dk [GO TO CS208]
- 9- rf [GO TO CS208]
- 10- skip

IF NO:

CS207. About how many hours per week did the father usually work then? Your best estimate is fine.

- <1-96>
- 98- dk
- 99- rf

CS208. About how many months did the father work at that time?

- 1 invalid/no data
- 1- nearly, or all, 12
- 2- more than 6
- 3- 6
- 4- less than 6
- 5- one 1 or 2
- 8- dk
- 9- rf
- 10- skip

CS210. What kind of work is/was the father doing (what is/was the occupation)?

- 1 invalid/no data
- 1- Professional
- 2- Manager
- 3- Proprietor
- 4- Clerical worker
- 5- Sales worker
- 6- Skilled craftsman/foreman
- 7- Operative, unskilled labor (except farm)
- 8- Farmer/farm laborer
- 9- Student
- 10- Househusband
- 11- Military service
- 12- Welfare
- 13- Disabled
- 14- Retired
- 15- Service Laborer
- 16- Unemployed
- 17- human/social service
- 18- customer service
- 19- other
- 20- sector given/not occupation
- 21- artist
- 22- para-professional
- 97- skip
- 98- dk
- 99- rf

CS211. Does the father have other children besides the children living with you?
IF YES ASK: How many other children does the father have?
[PROBE: Your best estimate is fine.]

- 0- no other children
- <1-4>
- 5- five or more
- 1 invalid/no data
- 8- dk
- 9- rf
- 10- skip

KNOWLEDGE ABOUT OCSE, SATISFACTION WITH OCSE

CS212. Are you aware that there are public agencies--such as a child support collection office-- that help to establish paternity and provide child support?

- 1 invalid/no data
- 1- Yes
- 2- No [skip to D217]
- 8- dk [skip to D217]
- 9- rf [skip to D217]

CS213. Have you ever asked a public agency--such as a child support collection office--for help in obtaining child support?

- 1- Yes
- 2- No [skip to D217]
- 8- dk [skip to D217]
- 9- rf [skip to D217]

CS213a. Did the public agency ask you for help in establishing an agreement or support order?

- 1- yes
- 2- no [skip to CS214a]
- 8- dk
- 9- rf

CS213b. Did you help the agency...

- 1- a lot
- 2- some
- 3- only a little, or
- 4- not at all?
- 8- dk
- 9- rf

CS214a. What type of help did you ask for? (DO NOT READ)⁷

- 1 invalid/no data
- 1- locate the other parent
- 2- establish paternity
- 3- establish child support
- 4- establish medical support
- 5- enforce support order
- 6- modify an order
- 7- other [specify _____]
- 8- dk [skip out]
- 9- rf [skip out]

CS215. Was the agency able to help you...

- 1 invalid/no data
- 1- a lot
- 2- some
- 3- only a little, or
- 4- not at all?
- 8- dk [skip to D217]
- 9- rf [skip D217]

CS216. In general, how satisfied were you with the help you received at this agency? Were you ... (READ)

- 1 invalid/no data
- 1- very satisfied
- 2- somewhat satisfied
- 3- somewhat dissatisfied
- 4- or not satisfied?
- 8- dk
- 9- rf

⁷ Note to users: see variables **CS214b-CS214d** for multiple answers

DEMOGRAPHICS

D217. And finally, to get an idea of the groups that participate in the study, I would like to ask about your ethnic origin. Are you yourself are of Latino or Hispanic descent? [PROBE: That is, Mexican American, Chicano, Puerto Rican, Cuban, Dominican or other Hispanic?] (DO NOT READ)

- 1- yes
- 2- no
- 8- dk
- 9- rf

D217a. And, are you White, Black, Asian, or something else?

- 1- White
- 2- Black
- 3- Asian
- 4-Hispanic
- 5-Middle Eastern
- 6-West Indian
- 7- something else [specify _____] ---> And what do you consider yourself?
- 8- dk
- 9- rf
- 10-bi-racial
- 11-Native American

D218. Are you of North American Indian or Alaskan Native descent?

- 1 invalid/no data
- 1- yes
- 2- no
- 8- dk
- 9- rf

D219. Is your religious preference ... (READ)

- 1- Protestant
- 2- Roman Catholic
- 3- Other Christian [If clearly Christian; includes Eastern Orthodox and Anglican]
- 4- Jewish
- 5- Muslim, or
- 6- Something else [specify _____]
- 7- No preference (Religious but does not have a particular religion)
- 8- No religion/not religious/Aethiest
- 9- No religion
- 10- Buddhism
- 11- Hinduism
- 98- dk
- 99- rf

D220. What is the month of your birth?

- <1-12>
- 98- dk
- 99- rf

D221. And, what is the year of your birth?

00 - 82 (INPUT LAST 2 DIGITS ONLY)
98- dk
99- rf

D222. What country were you born in?

1- USA [skip to D226]
2-Jamaica
3-Puerto Rico
97- other [specify _____]
98- dk
99- rf

D223. In what year did you come to the United States?

<00-97>
-1 invalid/no data
98- dk
99- rf

D224. Are you a naturalized citizen of the United States?

1- yes
2- no
8- dk
9- rf

IF R HAS SPOUSE/PARTNER:

D226. What is the year of your[partner's] [spouse's] birth? (Your best estimate is fine.)

-1 invalid/no data
<00-82>
98- dk
99- rf

EXIT QUESTIONS (not included in public use dataset)

To end the interview, we have only three more questions.

E231a. To better understand neighborhood conditions, could you please tell me your zip code?

-1 invalid/no data
99999- rf

E232. And what is the name of the street you live on? I do NOT need to know the number of your home or building.

Enter street name: _____

1- gave answer
2- dk / rf

E233. Could you please tell me the name of the nearest cross street or street corner to where you live?

Enter name of nearest cross street: _____

Those are all the questions I have. Thank you very much for you time and for participating in our research. Would you like to obtain a summary of the results once the study is finished?

1- yes [GO TO 869]
2- no

INTERVIEWER: GIVE THE FOLLOWING ADDRESS AND PHONE NUMBER TO THE RESPONDENT FOR SUMMARY OF RESULTS AN OTHER INFORMATION.

Social Indicators Survey Center
Columbia University School of Social Work
622 West 113th Street
New York, NY 10025
(212) 854-5217

We greatly appreciate your participation in this study. We will not be able to call you back unless it is necessary to verify this interview. Your phone number will be deleted from our records once all the interviews have been completed. However, we would like to conduct this survey again next year in order to keep track of the changes that households experience over time. With your permission we would like to call you again at around the same time next year for another interview. We would also need your name, address, and the phone number of a friend or relative we could contact in case you move.

1- Yes, respondent agrees
2- No, do not call back [GO TO 791/LAST ITEM]
3- info already given

What is your first name?

What is your last name?

And would you please tell me your street address?

What is your P.O. or apartment number?

What is the city/town you live in?

What state do you live in?

- 1- Connecticut
- 2- Pennsylvania
- 3- New Jersey
- 4- New York
- 8- dk
- 9- rf

If not given earlier [see 786/E231 response], And what is your zip code?

— — — — —

99999 rf

To confirm, is [FILL number dialed] a good number where you can be reached?

- 1- yes
- 2- no
- 3- rf

[INTERVIEWER: If not, enter new number.]

— — — - — — — - — — — —

Please tell me the phone number of a relative or friend who could contact you in that event that you move?

— — — - — — — - — — — —

- 1- gave answer
- 2- dk / rf [If dk/ref, GO TO END/791]

And what is the name of this person?

THANKS AGAIN FOR YOUR COOPERATION.

2. SAMPLE INFORMATION VARIABLES

version sample version

- 1- caregiver
- 2- random

county county

- 1- Bronx
- 2- Kings
- 3- New York
- 4- Queens
- 5- Richmond

target_ which child is the target

- 0 - n/a no children
- 1 - first
- 2 - second
- 3 - third
- 4 - fourth
- 5 - fifth
- 8 - eighth
- 96 - missing/wrong child chosen
- 97 - wrong child chosen
- 98 - n/a, not main caregiver of any children

3. CONSTRUCTED VARIABLES

WEIGHTING VARIABLES⁸

wlcninwt	census individual weight
wlcnfmwt	census family weight
wlcnhhwt	census household weight

INCOME VARIABLES WITH IMPUTATION FOR MISSING VALUES (MV)⁹

Variable	Label	Description
ze4ernpx	primary earnings with imputation for MV	Wages and salaries of primary earner
ze4ernsx	secondary earnings with imputation for MV	Wages and salaries of secondary earner
zealmx	alimony/child support income with imputation for MV	Income from alimony or child support
zebenx	unemp/work comp/vet ben income with imputation for MV	Income from unemployment compensation, worker's comp, or veteran's benefits
zecashx	private charity income with imputation for MV	Cash assistance from community or charitable or religious groups
zefstpx	food stamps income with imputed MV	Amount of food stamps received
zegftx	private cash gifts with imputed MV	Financial assistance or cash gifts from parents, other relatives, or friends
zeinsx	social insurance income with imputed MV	Social security, disability, old age insurance, or survivor's insurance
zeintx	interest/div income with imputation for MV	Interest from sources like bank accounts, money markets, cd's dividends from mutual stocks/funds
zepenx	private pension income with imputation for MV	Income from private pensions
zerentx	rental income prop with imputation for MV	Income from rental property

⁸ See Appendix 2 for weighting methods. For detailed information on the weighting program please contact Sandra Garcia at the Social Indicators Survey Center.

⁹ See Appendix 3 for data imputation methods. For detailed information on the imputation program please contact Sandra Garcia at the Social Indicators Survey Center.

zessix	SSI income with imputation for MV	Income from SSI
zewelfx	welfare income with imputation for MV	Includes income from public assistance, welfare, emergency help, ACD, HR, WIC
ztotinc4	total family cash income with imputation for MV	Includes all the above but zefstpx

OTHER CONSTRUCTED VARIABLES¹⁰

fpovline poverty line based on federal guideline

\$ 7,995 (family of 1)
 10,233 (family of 2)
 12,516 (family of 3)
 16,036 (family of 4)
 18,952 (family of 5)
 21,389 (family of 6)
 24,268 (family of 7)
 27,091 (family of 8)
 31,971 (family of 9 or more)

famadul number of adults in family

<1-2>

hhadul number of adults in hh

<1-7>

famchild number of children in family

<0-8>

hhchild number of children in hh

<0-8>

fampeo number of people in family

<1-10>

houpeo number of people in hh

<1-15>

insall summary of family health insurance

0 - all family members uninsured
 1 - at least 1 family member insured
 2 - all family members insured
 3 - family members lacked part of yr
 8 - dk
 9 - rf

¹⁰ See Appendix 4 for syntax used to create these variables.

educ_r Respondent's education

1 - 1-11 yrs
2 - 12yrs/high school
3 - 13-15yrs/some college
4 - 16yrs/degree
5 - 17yrs+
8 - dk
9 - rf

hmequity Home Equity

<0-3000000>

assets1 assets

<0-100001>

debts debts

<0-500000>

totasset home equity + assets

<0-3100001>

netasset home equity + assets-debts

<-379984-3095001>

catasset assets-debts categorized

0 - \$0 or negative
1 - \$1-\$5,000
2 - \$5,001 - \$100,000
3 - 100,000 or more

fc_age2 focal child's age

0- less than 1 years old
<1-17>

fc_sex2 focal child's sex

1- Male
2- Female

Appendix 1: Social Indicators Survey Methods

Marcia Meyers, Ph.D.
Lee Chung, M.A.

The New York City Social Indicator Survey (1997) was conducted using computer-assisted telephone interview (CATI) technology by the firm of Shulman, Ronca & Bucuvalas, Inc. Telephone interviews were conducted in English or Spanish with an adult respondent in 2250 households between July and November 1997. The eligible population for the sample was defined as the civilian, non-institutionalized population (including children) who were living in New York City and surrounding areas of the Standard Metropolitan Statistical Area (SMSA). The SMSA included households in the states of New York, New Jersey, and Connecticut.

Households were selected for interviews using Random Digit Dialing (RDD) techniques. A random sample of telephone numbers was first pre-screened for numbers that were non-working. As with all RDD surveys, this excluded households without telephone service at the time of sampling. Individuals who had recently moved when the sample was drawn may also be under-represented, because most RDD samples are list-assisted.

The sample was stratified by geography (New York City and surrounding communities) and by household composition (to over-sample families with children). This produced four samples: 500 randomly selected households in New York City; 1000 randomly selected households with children in New York city; 250 randomly selected households in the SMSA outside the city; and 500 randomly selected households with children in the SMSA outside the city. All households (with and without children) were eligible for participation in the “household” samples. To select households for the samples of “households with children,” an initial screening was conducted to determine if any children under the age of 18 lived in the household. Only those households with resident children were considered eligible.

The primary unit of analysis is the family, here composed of the respondent, a resident spouse or domestic partner, and any children for whom the respondent and/or partner were primary caregivers. Other adults or children in the residence, whether related or not, were treated as “other household members” for the purpose of data collection. Single individuals sharing a residence with other adults or children were treated as a “family of one” by this definition.

Respondent Selection

Two different selection procedures were employed to select a respondent and focal child in each sampled household. In the “household” samples, an individual was randomly selected among all persons 18 or older living in the household. If that individual was a primary caregiver for one or more children in the household (either a biological parent, a stepparent, the partner of the child’s parent, or a guardian), one of his or her children was randomly selected as the focal child for the interview. In the “households with children” samples, a focal child was randomly selected from among all children under 18 in the household. The primary caregiver for this child (as defined by the respondent) was selected as the respondent for the survey.

Response Rates

The two New York City samples are analyzed in this report. The sample quota for the “household” sample was 503; the quota for the “households with children” oversample was 1000. Of 1503 completed interviews, 112 were conducted in Spanish and 1391 in English. A full disposition of each sample is shown below (Tables 1.1 and 1.2). In the sample of all “households,” interviews were completed with 503 of 969 households determined to be eligible; in the sample of “households with children,” interviews were completed with 1000 of 1980 households determined to be eligible.

Table 1.1 Disposition of Household Samples

Sample lines (telephone numbers)	2597			
Screened out (fax, business, non-working, other)		479		
Unknown eligible (no answer, other)		828		
Eligible numbers		1290		
Screened, ineligible household (language barrier, other)			321	
Screened, eligible household			969	
Eligible, refused				466
Eligible, complete				503

Table 1.2 Disposition of Households with Children Samples

Sample lines (telephone numbers)	13350			
Screened out (fax, business, non-working, other)		2713		
Unknown eligible (no answer, other)		3355		
Eligible numbers		7282		
Screened, ineligible household (no children, language barrier, other)			5302	
Screened, eligible household with children			1980 ^a	
Eligible, refused				980 ^a
Eligible, complete				1000

^a The calculation of eligible households and refusals for the sample with children is complicated by the fact that the overwhelming majority of individuals who refused to be interviewed refused before we could ascertain if there were children in the household. Based on Census data we expect 32.7% of families in New York City to have children. Thus we count only 32.7% of the unknown refusals as being eligible. A second complication arises from the fact that the percentage of individuals who we reached on the phone and told us they had no children is greater than the expected 67.3% based on Census data. Thus we counted the excess cases as being refusals.

Appendix 2: Weighting Methods

We developed a nine-step weighting procedure based on inverse-probability weighting and poststratification to correct for various sampling and nonsampling biases arising from the survey design and selection procedure. Weight adjustments were made to the stratified cases based on information from the sampling design about the probabilities of their selection, and comparison with the 1990 and 2000 Census

In steps 1 and 2 of our procedure, we weighted sample cases at the family level by the inverse probability of their selection. Step 1 weights were calculated as the square root of the number of adults in the household over the number of adults in the family for the cross-section sample, and as the square root of the number of children in the household over the number of children in the family for the caregiver sample. In step 2, we weighted down cases with multiple phone lines and weighted up cases with interrupted telephone service in proportion to the number of months during which there was no telephone service

In step 3, we weighted by race and ethnicity. In step 4 we weighted by the average level of educational attainment of the respondent and spouse/partner, and by sex of the respondent. In step 5 we weighted by the average age of the respondent and spouse/partner.

In step 6 we weighted by family composition, specifically, marital status and the presence of children in the family. In step 7 we applied a raking procedure, sequentially reapplied steps 3 through 6 until the weights converged. Finally, in step 8, we calculated family and household weights by dividing the individual weights by the number of adults in the family and the number of adults in the household, respectively.

Appendix 3: Data Integrity, Imputation and Analysis Methods

Irwin Garfinkel, Ph.D.
Marcia Meyers, Ph.D.
Chien-Chung Huang, Ph.D.

Data Integrity

A number of steps were taken to assure the representativeness of the Social Indicators Survey sample and the integrity of the Social Indicators Survey data. One source of concern in all data collection is the possibility of nonresponse bias resulting from the nonparticipation of individuals who differ systematically from individuals who choose to participate. In order to gauge whether those who refused to participate in the survey differed from those who were successfully interviewed, we compared key demographic characteristics for two groups: those who cooperated initially, and those who initially refused to participate and were subsequently “converted” by experienced interviewers. Although these “refusal conversions” do not exactly represent nonparticipants, we would expect them to be more similar to the individuals we missed than to those who cooperated when first contacted.

As shown in Table 3.1, on most demographic measures the “refusal conversions” did not differ significantly from initially cooperative cases. This increases our confidence in the representativeness of the sample of completed interviews. Refusal conversions did differ significantly in one important area: reporting of family income. Cases of refusal conversion were significantly less likely to report zero income and reported higher income, on average, than those who were initially cooperative. One possible explanation for this is differences in interviewer quality: the best interviewers are typically assigned to refusal conversions. It seems likely that these interviewers were able to obtain greater and more accurate information on the sensitive topic of family income.

Table 3.1: Comparison of Refusal Conversions with Initially Cooperative Cases				
	FAMILY MEASURES			
IS 1997	Non-Refused		Converted Refused	
	Unweight	Unweight	Unweight	Unweight
	N	%	N	%
Tenure (u24)				
Owner	847	42.8	41	46.6
Renter	1046	52.8	44	50.0
Staying There/Occ Rent Free/Other	86	4.3	3	3.4
T-test (p-value)	0.890			
Household Type (htype)				
Husband/wife households				
with children under 18	1102	55.9	57	64.8
no resident children	153	7.8	6	6.8
Female householder				
with children under 18	418	21.2	14	15.9
no resident children	142	7.2	4	4.5
Male householder				
with children under 18	47	2.4	3	3.4
no resident children	109	5.5	4	4.5
T-test (p-value)	0.815			
Receiving Public Assistance (welfare)				
Yes	188	9.5	9	10.2
T-test (p-value)	0.815			
Poverty Status (using family income 2)				
Below poverty	376	19.0	11	12.5
T-test (p-value)	0.128			
Family Income 2 (head+spouse+partner)				
Zero income	61	3.1	1	1.1
20th percentile		\$ 13,180		\$ 20,000
40th percentile		\$ 32,260		\$ 36,359
60th percentile		\$ 52,204		\$ 64,391
80th percentile		\$ 82,952		\$ 104,150
Mean		\$ 50,675		\$ 59,205
Median		\$ 42,196		\$ 44,900
T-test at mean (p-value)	0.064			

Table 3.1: Comparison of Refusal Conversions with Initially Cooperative Cases				
	INDIVIDUAL MEASURES			
SIS 1997				
	Non-Refused		Converted Refused	
	Unweight	Unweight	Unweight	Unweight
	N	%	N	%
Race (d217, d217a)				
White, Non Hispanic	883	45.3	38	43.7
Black, Non Hispanic	497	25.5	24	27.6
Hispanic	431	18.4	16	18.4
Asian	109	5.6	8	9.2
Other	31	1.6	1	1.1
T-test (p-value)	0.609			
Education				
1-12 years (no high school diploma)	150	7.6	4	4.5
12 years (high school grad, GED)	432	21.9	21	23.9
13-15 years "some college" including AA	624	31.6	26	29.5
16 years (collage graduate/BA) or more	766	38.8	37	42.0
T-test (p-value)	0.673			
Place of Birth (d222)				
USA	1293	65.7	56	64.4
T-test (p-value)	0.763			
Note: All individual measures are for respondents only, except education for higher education of respondent/householder and spouse.				

Data Imputation

Data imputation methods were used to estimate missing data on income items. Two forms of missing data were addressed: “unconditional missing” data occurred when a respondent refused to answer questions about whether they had any income from a specific source (such as earnings, welfare or investments) and “conditional missing” data occurred when the respondent indicated that he or she had income from a specific source but refused or did not know the amount.

The proportion of cases with conditional missing data was less than one percent on all but two income questions (interest income and cash gifts). For unconditional missing data we conservatively imputed a zero value. Conditional missing data was far more common, ranging from 20 percent on earnings to 36 percent on welfare income and 45 percent on interest income items. To avoid large amounts of missing data, we employed regression methods to estimate values for cases in which we had data on the receipt of income but not the amount. Using available data from other respondents, we first regressed the value of reported income from each source on basic demographic characteristics (race, gender, age, marital status, city/SMSA resident, and education interacted with race/ethnicity and gender). We then multiplied the coefficients by the demographic characteristics of the respondent for whom data were missing.

Final Analysis Sample

Only the 1503 cases drawn from the population of New York City households were used for analysis in this report. (Data from the larger SMSA sample will be reported in future publications.) Data problems forced the exclusion of a number of cases from the final analysis sample. Eighteen cases were excluded due to ambiguous information about household composition. An additional 109 cases were excluded due to evidence of serious deficiencies in the quality of data collected by specific interviewers. These interviewers were identified as those who obtained zero income in 10 percent or more of the cases interviewed (the comparison figure for the Census is 3.5 percent).

Survey Representativeness

As a final check on the representativeness of the sample for the SIS, after weighting the data and imputing missing values, the final analysis sample was compared on key demographic variables to Census data from the 1997 wave of the Current Population Survey. As shown in Table 3.2, the weighted SIS data are very close to Census estimates on all demographic variables.

Table 3.2: Comparison of the New York City Social Indicators Survey and Current Population Survey (U.S. Census)				
	FAMILY MEASURES			
	SIS 1997			CPS 96 & 97
	NYC			NYC
	Unweight	Unweighted	Weighted	Weighted
	N	%	%	%
Tenure (u24)				
Owner	445	30.1	25.6	26.9
Renter	965	65.2	66.6	72
Staying There/Occ Rent Free/Other	69	4.7	7.7	1.1
DK and refused	3			
Subtotal	1482	100	100	100
Household Type (htype)				
Husband/wife households				
with children under 18	737	50.0	16.5	16.5
no resident children	102	6.9	18.7	19.0
Female householder				
with children under 18	390	26.5	14.4	14.5
no resident children	111	7.5	29.9	29.9
Male householder				
with children under 18	42	2.9	1.5	1.7
no resident children	91	6.2	19.0	18.4
DK and refused	9			
Receiving Public Assistance (welfare)				
Yes	178	12.0	10.1	10.9
No	1304	88.0	89.9	89.1
DK and refused	1482	100.0	100	100

Table 3.2: Comparison of New York City Social Indicators Survey and Current Population Survey (U.S. Census)				
	INDIVIDUAL MEASURES			
		SIS 1997		CPS 96 & 97
	Unweighted	Unweighted	Weighted	Weighted
	N	%	%	%
Race (d217, d217a)				
White, Non Hispanic	448	30.7	42.4	42.3
Black, Non Hispanic	477	32.7	26.0	25.8
Hispanic	415	28.5	23.7	24.5
Asian	91	6.2	4.7	7.1
Other	27	1.9	3.2	0.2
Subtotal	1482	100.0	100.0	99.9
Education by Race (Hispanic and Other)				
1-11 years	138	9.4	27.0	28.6
12 years (high school grad, GED)	342	23.2	29.1	29.9
13-15 years "some college" including AA	487	33.1	20.4	17.7
16 years (collage graduate/BA) or more	504	34.3	23.5	23.8
Subtotal	1482	100.0	100.0	100.0
Place of Birth (d222)				
USA	836	56.4	62.7	60
Other country	633	43.6	37.3	40
DK, refused	13			
Subtotal	1482	100.0	100.0	100
Note: All individual measures are for respondents only, except education for higher education of respondent/householder and spouse.				

Income Adjustments

After weighting the sample to the population and adjusting through post-stratification on demographic variables, the final Social Indicators Survey (SIS) analysis sample continues to underestimate family income in comparisons to the Census. We believe this is due in large part to an unusual number of cases in the SIS data that reported zero income (4.9 percent) which are then inflated by our weighting procedures (which correct for the under-representation of low-income households). We assume that this is due to interviewer error and does not reflect the true prevalence of families with no income. To avoid misleading point estimates of poverty, we make one final adjustment to the SIS analysis sample when analyzing income relative to the poverty threshold: we randomly select 25 cases with zero income for exclusion, to match the proportion of zero income cases in the Social Indicators Survey to the proportion in Census data. Table 3.3 compares income measures after this final adjustment is applied. (Note: this adjustment is applied only to analyses of poverty status.) Even with the adjustment, mean income reported in the Social Indicators Survey is below that of the CPS and the poverty rate is one percentage point higher. These income estimates should be interpreted very cautiously and should not be taken as evidence that poverty is higher than the rate reported by the Census.

APPENDIX 4: SYNTAX FOR CONSTRUCTED VARIABLES

*** FAMADUL: number of adults in the family**

* only respondent and spouse/partner counted

```
gen famadul=1
replace famadul = 2 if e12a<=2
label variable famadul "number of adults in family"
```

***HHADUL: number of adults in household**

* not related adults are not counted as part of household (i.e. friends, roommates, boarders, babysitters or housekeepers)

```
gen hhadul=1
for num 1/6: replace hhadul=hhadul+1 if
(a1_X~=15)&(a1_X~=19)&(a1_X~=20)&(a1_X~=22)&(a1_X~=23)&(a1_X~=24) /*
*/&(a1_X~=98)&(a1_X~=99)&(a1_X~=.)
label variable hhadul "number of adults in hh"
```

***FAMCHILD: number of children in the family**

*child considered fam child if he/she is biological child, stepchild, foster child, adopted child of respondent OR SPOUSE, or if parent/guardian

```
for num 1/8: gen chX=0
for num 1/8: replace chX=1 if
c1_X==1|c1_X==2|c1_X==6|c1_X==13|c5_X==1|c5_X==2|c5_X==3|c4_X==1
egen famchild=rsum(ch1-ch8)
drop ch1-ch8
label variable famchild "number of children in family"
```

***HHCHILD: number of children in household**

*all children are counted, unless they are not related to respondent or spouse/partner

```
gen hhchild=0
for num 1/8: replace hhchild=hhchild+1 if
(c1_X==22|c4_X==1)&(c1_X==98)&(c1_X==99)&(c1_X==.)
label var hhchild "number of children in hh"
```

***FAMPEO: number of people in family**

```
gen fampeo=famadul+famchild
label var fampeo "number of people in family"
```

***HOUPEO: number of people in household**

```
gen houpeo=hhadul+hhchild
label var houpeo "number of people in hh"
```

***FPOVLIN: poverty line based on federal guideline**

```

gen fpovline=7995.
replace fpovline=10233 if fampeo == 2
replace fpovline=12516 if fampeo == 3
replace fpovline=16036 if fampeo == 4
replace fpovline=18952 if fampeo==5
replace fpovline=21389 if fampeo == 6
replace fpovline=24268 if fampeo ==7
replace fpovline=27091 if fampeo == 8
replace fpovline=31971 if fampeo >=9 & fampeo~=.
label var fpovline "poverty line based on federal guideline"

```

***INSALL: Family health insurance**

*create summary variable of health insurance for adults and children combined

```

gen insall=hi96
recode insall 2=0
*if say yes to everyone having health plan, then all fam members have
insurance
replace insall=2 if hi101==1
*if say there was time when someone did not have insurance, then fam members
lacked part of year
replace insall=3 if hi103==1
lab var insall "summary of family health insurance"
label define inslb 0 "all family members uninsured" 1 "at least 1 family
member insured"/*
*/ 2 "all family members insured" 3 "family members lacked part of yr" 8 "dk"
9 "rf"
label values insall inslb

```

*** EDUC_R: Respondent's education**

```

gen educ_r=ed14
*1-11 grades of school and GED considered hs level
replace educ_r=2 if ed14<2&ed14a==1
*12 or more years of education without GED or diploma considered 1-11yrs
replace educ_r=1 if ed14>=2&ed14<=5&ed14b==3
*16 yrs/college but not bachelors degree considered 13-15yrs/"some college"
replace educ_r=3 if ed14==4&ed14d==2
label var educ_r "Respondent's education"
lab define edulb 1 "1-11yrs" 2 "12yrs/high school" 3 "13-15yrs/some college"
4 "16yrs/degree" /*
*/5 "17yrs+" 8 "dk" 9 "rf"
label values educ_r edulb

```

***HMEQUITY: home equity**

```
gen owe=u29a
recode owe 98/99=. -1=.
replace owe=0 if u29==2
gen value=u30
recode value 98/99=. -1=.
gen hmequity = value-owe
replace hmequity =0 if u24~=1
replace hmequity =. if hmequity ==98 | hmequity ==99
label var hmequity "home equity"
replace hmequity =0 if hmequity <0
replace hmequity =. if hmequity ==9999999
drop owe value
label var hmequity "Home Equity"
```

***ASSETS**

```
generate assets = em135 if (em135>3 | em135==0)
replace assets = 250 if em135b==1
replace assets = 1250 if em135b==2 & em135a==1
replace assets = 6000 if em135d==1
replace assets = 15000 if em135d==2
replace assets = 25000 if em135d==3
replace assets = 35000 if em135d==4
replace assets = 50000 if em135f==1
replace assets = 70000 if em135f==2
replace assets = 90000 if em135f==3
replace assets = 175000 if em135g==1
replace assets = 375000 if em135g==2
replace assets = 500000 if em135g==3
replace assets = em136 if em136~=. & em136~=1 & em136~=2 & em136~=-1
replace assets = 100001 if assets>=100001 & assets~=.
label var assets "assets"
```

***DEBTS**

```
generate debts = em140 if (em140==0|em140>3)
replace debts = 250 if em140b==1
replace debts = 1250 if em140b==2 & em140a==1
replace debts = 3500 if em140c==2 & em140a==2
replace debts = 7500 if em140d==2 & em140c==1
replace debts = 15000 if em140e==2 & em140d==1
replace debts = 20000 if em140e==1
replace debts = em141 if em141~=. & em141~=1 & em141~=2 & em141~=-1
label var debts "debts"
```

***TOTASSET: total assets (home equity + assets)**

```
egen totasset = rsum (hmequity assets) if hmequity~=. & assets~=.
label var totasset "home equity +assets"
```

***NETASSET: net assests (home equity +assets-debts)**

```
generate netasset = totasset - debts if totasset~=. & debts~=.
label var netasset "home equity +assets-debts"
```

***CATASSET: net assets-categorized**

```
generate catasset =3 if netasset >=100000 & netasset~=.
replace catasset =2 if netasset >5000 & netasset<100000
replace catasset =1 if netasset >0 & netasset<= 5000
replace catasset =0 if netasset <=0
label var catasset "assets-debts categorized"
label define astlb 3 ">= 100,000" 2 "$5,001-$100,000" 1 "$1-$5,000" 0 "$0 or
negative"
label values catasset astlb
```

***FC_AGE: age of focal child**

```
gen fc_age=-1 if target_==0 | target_>5 & target_~=.
for num 1/5: replace fc_age=c3_X if target_==X
label var fc_age "focal child's age"
label define chiage 0 "less than 1 year old"
label values fc_age chiage
```

***FC_SEX: sex of focal child**

```
gen fc_sex=-1 if target_==0 | target_>5 & target_~=.
for num 1/5: replace fc_sex=c2_X if target_==X
label var fc_sex "focal child's sex"
label define sex 1 "male" 2 "female"
label values fc_sex sex
```