Purposes. The course describes the major elements of Chinese foreign policy today, in the context of their development since 1949. We seek to understand the security-based rationale of policy as well as other factors – organizational, cultural, perceptual, and so on – that influence Chinese foreign policy. We analyze decision-making processes that affect Chinese foreign policy, China’s relations with various countries and regions, Chinese policy toward key functional issues in international affairs, how the rise of China is affecting global power relations, and how other actors are responding. The course pays attention to the application of international relations theories to the problems we study, and also takes an interest in policy issues facing decision-makers in China as well as those facing decision-makers in other countries who deal with China.

Requirements. The course grade will be based on two take-home examinations and two essays. The essays should be 5-7 pages long and may be of the following kinds. If you wish, both of your papers can be of the same kind. For more details on the expectations attached to each kind of paper, please see the file “Essay Types Explanation.doc” on Courseworks.

(a) A critical book review. The critical essay will address two books not on the course list, relevant to the subject of the course. Please see the attached list for some suggestions, but you may also propose books that are not on the list, which you should clear with the instructor or TFs. (You can also use a book of which no more than two chapters are assigned in the course syllabus.) For ideas about books to review, you might look at the “Asia and Pacific” book review column that your instructor writes for Foreign Affairs magazine. They can be found at http://www.foreignaffairs.com/books/capsule-reviews. Your review essay should avoid summarizing the material in the books, and should offer an independent consideration of the issues the books discuss and a critique of the authors’ analyses.

(b) An explorations paper, which looks at a topic of interest to you, relevant to the subject of the course, based on published works and/or websites. We have in mind topics which are not adequately covered in the course reading, and on which you would like
more information. We want to learn what information you have gathered, but we also want to know why you think the issue is important, what conclusions you have provisionally drawn from the information you have gathered and, as appropriate, what you think are the biases or inadequacies of the sources that you used.

(c) A policy paper, which recommends a policy for China in some domain or which recommends a policy toward China in some domain for some other international actor (a government, NGO, multinational organization, etc.). A policy paper should be addressed to a specific actor (e.g., president, secretary of state, members of congress), should propose policies that are feasible for that actor, and should appeal to that actor’s interests. You may wish to run your ideas past the instructor or a TF first in order to be sure that you’re addressing the various aspects that a policy paper needs to address, such as a specific policy actor, consistency with that actor’s interests, and feasibility.

Lynn White of Princeton has produced two finding aids that are useful for all these kinds of papers. "Contemporary China: A Book List" is at http://www.princeton.edu/~lynn/chinabib.pdf. A clickable list of websites, giving access to thousands of articles about China and Southeast Asia, is at http://www.princeton.edu/~lynn/chinawebs.doc.

Due dates are as follows: the first essay is due February 23; the midterm will be circulated by email and/or posted on Courseworks after class March 4 and can be handed back in class if you wish or deposited in my mailbox at the Weatherhead East Asian Institute on March 11; the second essay is due April 15; the takehome final will be circulated on the last day of class, May 4, and will be due May 11.

Grading. To the extent possible, we arrange for your four writing assignments to be graded by different graders, which we hope helps to even out the disparities that may arise from differences among graders.

The papers are more challenging than the exams. On the papers, we view B+ as a grade that represents a sound, solid, commendable job that fulfills the assignment; the paper gives a clear, structured, and reasonable presentation of whatever it sets out to do within the terms of reference of that type of paper. The grade goes below B+ when the argument is unclear, necessary parts of the argument are missing, the research is thin, the paper is disorganized, or the presentation is otherwise flawed. It goes above B+ if the paper is in some way distinguished, original, especially thoughtful, especially persuasive, especially informative, and so on.

The exams are intended to assess whether you are doing the reading and attending the lectures. A grade of A means you answered each question accurately and fully.

The person who graded your paper or exam is identified with a name or initials someplace on the paper (near the grade, or on the front of the paper). You are welcome to speak with that person or Professor Nathan if you’d like to get more feedback on the paper. To appeal the grade, please speak to the person who graded it first and come to Professor Nathan second if you are not satisfied with the outcome of the first conversation.

Each paper and the final exam will contribute 2/7 to the course grade, while the midterm will count as 1/7.
**Extension policy.** In general, it is in your interest to complete assignments by the due date, first so that you can keep up with your work in this and other classes, and second so that you can cultivate a professional habit of turning in work on time. However, we can provide extensions of reasonable length, if needed for a good reason, for the first three assignments. Please email the instructor to ask for the extension; give the reason; and specify the date on which you intend to hand in the work, so that we can keep a record of extensions granted. The situation is different with any work still owing at the end of the semester, including the final exam. Because the registrar’s computer system requires all course grades to be submitted together by the deadline set by the registrar at the end of the semester, we have to submit a grade for each student at that time. Policies vary somewhat by school, but in general we cannot submit an Incomplete without the permission of your dean. If we don’t have all your work completed by that time, we can submit an AR (administrative referral) in some schools, UW (unauthorized withdrawal) in other schools, or a letter grade, which would be significantly impacted by missing work.

**Readings.** There are no required books for purchase. Several books from which relatively long sections have been assigned have been ordered at Book Culture [www.bookculture.com](http://www.bookculture.com) for optional purchase. These are Garver, *China & Iran* and *Protracted Contest*; Hachigian, *Debating China*; Mann, *About Face*; Nathan and Scobell, *China’s Search for Security*; Shambaugh, *China Goes Global*; and Shirk, *China: Fragile Superpower*. Two books are available only on library reserve because the assignments are too long for the library system to copy them for Courseworks, but have not been ordered at Book Culture because I guessed many of you would not want to purchase them. These are Mearsheimer, *The Tragedy of Great Power Politics* and Chen Jian, *Mao’s China and the Cold War*. Chapters from other books, as well as journal articles, are available on Courseworks ([www.courseworks.columbia.edu](http://www.courseworks.columbia.edu)). Some are found in the “Library Reserves” section and some in the “Shared Files” section of the website. There are some additional materials on the Courseworks site that I used to assign but no longer assign; of course you are welcome to read those.

Quite a few course readings come from *Foreign Affairs* magazine. You can sign up for a one-year subscription to *Foreign Affairs* for $18, which comes with full archive access to the magazine’s website, by downloading and submitting the form at [http://www.foreignaffairs.com/files/attachments/Student_Sign_Up.pdf](http://www.foreignaffairs.com/files/attachments/Student_Sign_Up.pdf).

**LECTURE SCHEDULE AND READINGS**

**January 21 & 26. WHAT DRIVES CHINESE FOREIGN POLICY? – GEOSTRATEGY, CULTURE, NATIONALISM**


Michael Pillsbury, *The Hundred-Year Marathon: China’s Secret Strategy to Replace America as the Global Superpower* (New York: Henry Holt, 2014), Chs. 3-4
Susan Shirk, *China: Fragile Superpower* (NY: Oxford University Press, 2007), Chs. 1, 5, 7, 9

**January 28. WHO RUNS CHINESE FOREIGN POLICY? – CHANGES IN CHINESE POLICY-MAKING FROM MAO TO JIANG TO HU**

CSS, Ch. 2
David Shambaugh, *China Goes Global: The Partial Power* (New York: Oxford University Press, 2013), Ch. 2

**February 2, 4, 9 & 11. LIFE ON THE HINGE: PUZZLES AND TURNING POINTS**

CSS, Ch. 3
Dean Acheson, “Crisis in Asia — an Examination of United States Policy,” *Department of State Bulletin* 22 (January 23, 1950), pp. 111-118 (on Courseworks)
James Mann, *About Face: A History of America’s Curious Relationship with China, From Nixon to Clinton* (N.Y.: Knopf, 1999), Chs. 1-6, 11-12, 16, 18

**February 16 & 18. THE U.S. FACTOR AND CHINA’S GRAND STRATEGY**

CSS, Ch. 4
Shirk, *Fragile*, Ch. 8

**February 23 & 25 and March 2. CHINA IN SIX REGIONAL SYSTEMS**

CSS, Chs. 5, 6  
John W. Garver, *Protracted Contest: Sino-Indian Rivalry in the Twentieth Century* (Seattle: Univ. of Washington Press, 2001), Chs. 1, 2, 7-9  
Bill Hayton, *The South China Sea: The Struggle for Power in Asia* (New Haven: Yale University Press, 2014), Ch. 4, 7, 8  
Shambaugh, *China Goes Global*, Ch. 3  

**March 9. CHINA AND KOREA**

Guest speaker: Stephanie Kleine-Ahlbrandt, Member, Panel of Experts Established pursuant to UNSCR 1874, United Nations Security Council; former Beijing representative, International Crisis Group  

**March 4 & 11. POLICIES IN THE FOURTH RING**

Speaker, March 11: Liya Yu  
CSS, Ch. 7  
John W. Garver, *China & Iran: Ancient Partners in a Post-Imperial World* (Seattle: University of Washington Press, 2006), Chs. 1, 6-10  

**March 23, 25 & 30. GLOBALIZATION, INTERDEPENDENCE, AND INTERNATIONAL REGIMES: CHINA’S ROLE IN THE WORLD ECONOMY, ENVIRONMENT, PUBLIC HEALTH, AND OTHER FIELDS**

*CSS, Ch. 10*
Andrew J. Nathan, “China’s Rise and International Regimes: Does China Intend to Join or Overthrow Existing Norms and Institutions?” (draft paper)
Shambaugh, *China Goes Global*, Ch. 4-5

**April 1 & 6. HUMAN RIGHTS AND SOFT POWER IN CHINA’S FOREIGN POLICY**

*CSS, Ch. 12*
Shambaugh, *China Goes Global*, Ch. 6

**April 8, 13 & 15. MILITARY MISSIONS AND MILITARY MODERNIZATION**

*CSS, Ch. 11*

**April 20, 22 & 27. THE HONGKONG, TAIWAN, XINJIANG, AND TIBET ISSUES IN PRC FOREIGN POLICY AND THE MAINLAND AND FOREIGN POLICIES OF TAIWAN (REPUBLIC OF CHINA)**

*CSS, Chs. 8, 9*
Rémi Castets, “The Uyghurs in Xinjiang: The Malaise Grows,” *China Perspectives* 49 (September-October 2003), pp. 34-48
Jia Qingguo and Alan D. Romberg, “Taiwan and Tibet,” in Hachigian, ed., *Debating China*, pp. 176-197
Lee Teng-hui, "Understanding Taiwan," *Foreign Affairs* 78: 6 (Nov/Dec 1999), pp. 9-14

**April 29. CHINA AND TIBET**

**Guest speaker:** Robbie Barnett, Director, Modern China Studies Program, Columbia University

- His Holiness the 14th Dalai Lama of Tibet, “Strasbourg Proposal 1988,”

**May 4. STUDENT PANEL: LONGTERM STRATEGIC CHANGE IN ASIA AND THE “CHINA THREAT”**

CSS, Ch. 13

- Michael Beckley, “China’s Century? Why America’s Edge Will Endure,”
- *International Security* 36:3 (Winter 2011/12), pp. 41-78

**POSSIBLE BOOKS FOR REVIEW**


Rebiya Kadeer with Alexandra Cavelius, *Dragon Fighter: One Woman’s Epic Struggle for Peace with China* (Carlsbad, CA: Kales Press, 2009)


Andrew Scobell, *China’s Use of Military Force* (Cambridge: Cambridge University Press, 2003)


Nancy Bernkopf Tucker, *Strait Talk: United States-Taiwan Relations and the Crisis with China* (Cambridge, MA: Harvard University Press, 2009)


