# 10.12 The Diels-Alder Reaction

# Synthetic method for preparing compounds containing a cyclohexene ring

In general...



conjugated alkene diene (dienophile) cyclohexene



# transition state

Mechanistic features

concerted mechanism

cycloaddition

pericyclic reaction

a concerted reaction that proceeds through a cyclic transition state Recall the general reaction...



conjugated alkene diene (dienophile)

cyclohexene

The equation as written is somewhat misleading because ethylene is a relatively unreactive dienophile.

What makes a reactive dienophile?

The most reactive dienophiles have an electron-withdrawing group (EWG) directly attached to the double bond.

**Typical EWGs** 













**Diels-Alder Reaction is Stereospecific\*** 

syn addition to alkene

cis-trans relationship of substituents on alkene retained in cyclohexene product

\*A stereospecific reaction is one in which stereoisomeric starting materials give stereoisomeric products; characterized by terms like syn addition, anti elimination, inversion of configuration, etc.





# Cyclic dienes yield bridged bicyclic Diels-Alder adducts.







# 10.13 The $\pi$ Molecular Orbitals of Ethylene and 1,3-Butadiene

#### **Orbitals and Chemical Reactions**

A deeper understanding of chemical reactivity can be gained by focusing on the *frontier orbitals* of the reactants.

Electrons flow from the highest occupied molecular orbital (HOMO) of one reactant to the lowest unoccupied molecular orbital (LUMO) of the other.

#### **Orbitals and Chemical Reactions**



We can illustrate HOMO-LUMO interactions by way of the Diels-Alder reaction between ethylene and 1,3-butadiene.

We need only consider only the  $\pi$  electrons of ethylene and 1,3-butadiene. We can ignore the framework of  $\sigma$  bonds in each molecule.

# The *p* MOs of Ethylene

red and blue colors distinguish sign of wave function bonding  $\pi$  MO is antisymmetric with respect to plane of molecule



Bonding  $\pi$  orbital of ethylene; two electrons in this orbital

# The p MOs of Ethylene



Antibonding  $\pi$  orbital of ethylene; no electrons in this orbital

LUMO



HOMO fightharpoonup fightharpoonu The p MOs of 1,3-Butadiene

Four *p* orbitals contribute to the  $\pi$  system of 1,3butadiene; therefore, there are four  $\pi$ molecular orbitals.

Two of these orbitals are bonding; two are antibonding.

# The Two Bonding *p* MOs of 1,3-Butadiene







4 *p* electrons; 2 in each orbital



Lowest energy orbital

# The Two Antibonding *p* MOs of 1,3-Butadiene



## Highest energy orbital



Both antibonding orbitals are vacant

# 10.14 A π Molecular Orbital Analysis of the Diels-Alder Reaction

#### MO Analysis of Diels-Alder Reaction



Inasmuch as electron-withdrawing groups increase the reactivity of a dienophile, we assume electrons flow from the HOMO of the diene to the LUMO of the dienophile.

#### MO Analysis of Diels-Alder Reaction

#### HOMO of 1,3-butadiene



HOMO of 1,3-butadiene and LUMO of ethylene are in phase with one another

allows  $\sigma$  bond formation between the alkene and the diene

LUMO of ethylene (dienophile)

## MO Analysis of Diels-Alder Reaction

#### HOMO of 1,3-butadiene



#### LUMO of ethylene (dienophile)





The dimerization of ethylene to give cyclobutane does not occur under conditions of typical Diels-Alder reactions. Why not?

#### A "forbidden" reaction

 $H_2C = CH_2$ +  $H_2C = CH_2$ 

HOMO-LUMO mismatch of two ethylene molecules precludes single-step formation of two new σ bonds



HOMO of one ethylene molecule

LUMO of other ethylene molecule