# Chapter 21 Ester Enolates

The preparation and reactions of  $\beta$ -dicarbonyl compounds, especially  $\beta$ -keto esters, is the main focus of this chapter.

A proton on the carbon flanked by the two carbonyl groups is relatively acidic, easily and quantitatively removed by alkoxide ions.

The resulting carbanion is stabilized by enolate resonance involving both carbonyl groups.

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# 21.1 The Claisen Condensation

#### The Claisen Condensation

β-Keto esters are made by the reaction shown, which is called the Claisen condensation.

Ethyl esters are typically used, with sodium ethoxide as the base.

$$\begin{array}{c}
O & O & O \\
2CH_{3}COCH_{2}CH_{3} & 1. \text{ NaOCH}_{2}CH_{3} & CH_{3}CCH_{2}COCH_{2}CH_{3} \\
2. H_{3}O^{+} & (75\%)
\end{array}$$

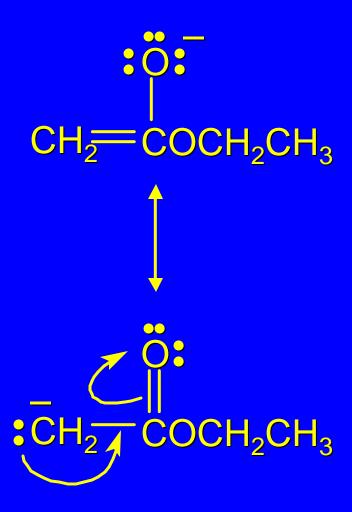
Product from ethyl acetate is called ethyl acetoacetate or acetoacetic ester.

# Step 1:

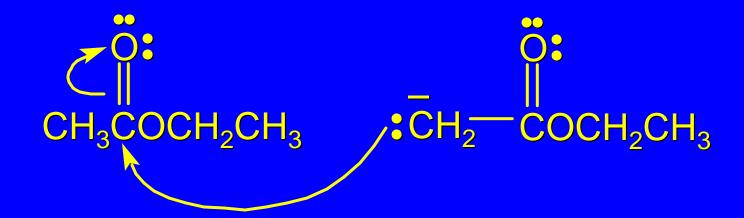
# Step 1:

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Anion produced is stabilized by electron delocalization; it is the enolate of an ester.

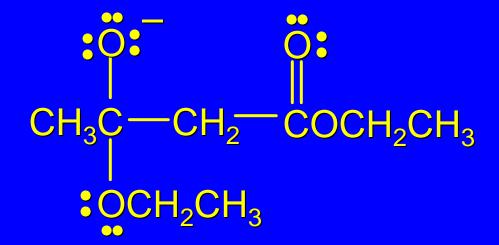


Step 2:



# ;**Ö**: Step 2: CH<sub>3</sub>C—CH<sub>2</sub>—COCH<sub>2</sub>CH<sub>3</sub> CH<sub>2</sub>CH<sub>3</sub> CH2 COCH2CH3 CH<sub>3</sub>COCH<sub>2</sub>CH<sub>3</sub>

# Step 2:



Step 3:

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The product at this point is ethyl acetoacetate.

However, were nothing else to happen, the yield of ethyl acetoacetate would be small because the equilibrium constant for its formation is small.

Something else does happen. Ethoxide abstracts a proton from the CH<sub>2</sub> group to give a stabilized anion. The equilibrium constant for this reaction is favorable.

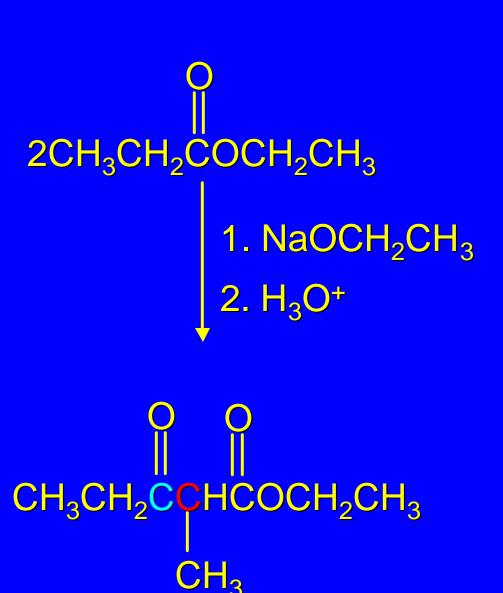
# Step 5:

In a separate operation, the reaction mixture is acidified. This converts the anion to the isolated product, ethyl acetoacetate.

# Step 5:

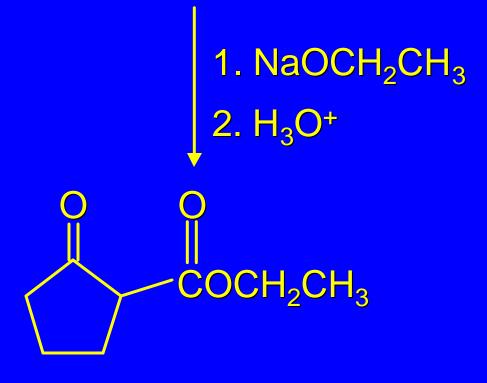
# Another example

Reaction involves bond formation between the α-carbon atom of one ethyl propanoate molecule and the carbonyl carbon of the other.



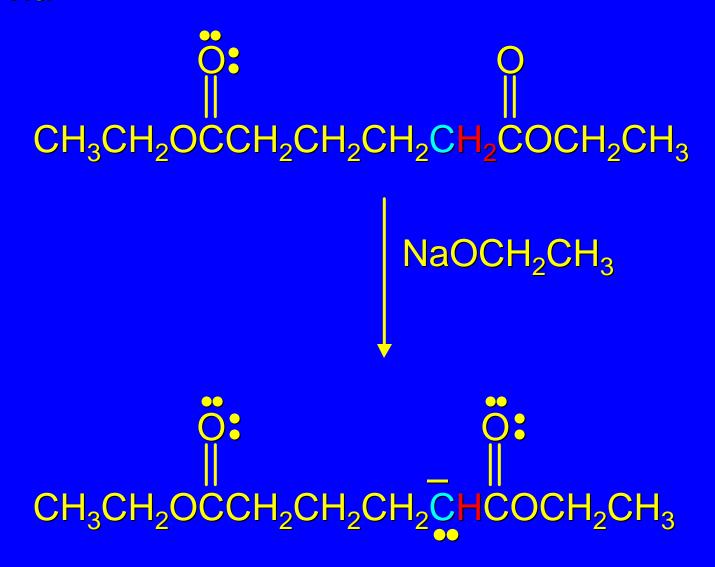
(81%)

# 21.2 Intramolecular Claisen Condensation: The Dieckmann Reaction

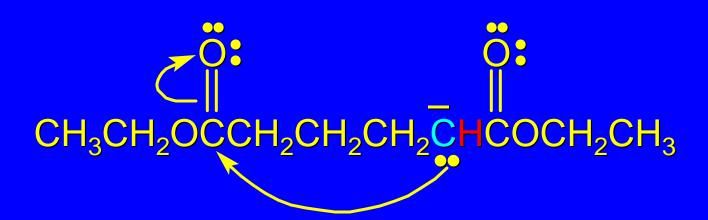


(74-81%)

via

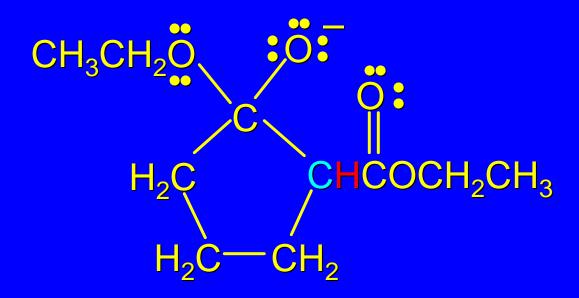


via

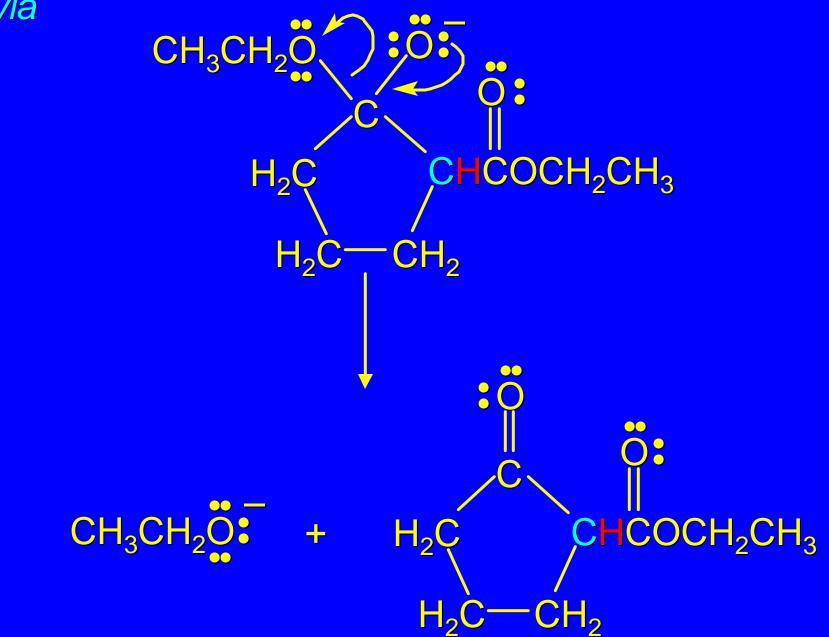


via CH<sub>3</sub>CH<sub>2</sub>O, CHCOCH<sub>2</sub>CH<sub>3</sub> H<sub>2</sub>Ç H<sub>2</sub>C—CH<sub>2</sub> 0: CH<sub>3</sub>CH<sub>2</sub>OCCH<sub>2</sub>CH<sub>2</sub>CHCOCH<sub>2</sub>CH<sub>3</sub>

via



via



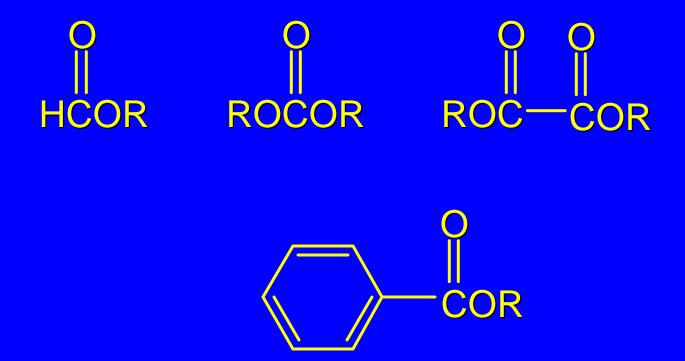
# 21.3 <a href="Mixed Claisen Condensations">Mixed Claisen Condensations</a>

#### Mixed Claisen Condensations

As with mixed aldol condensations, mixed Claisen condensations are best carried out when the reaction mixture contains one compound that can form an enolate and another that cannot.

# Mixed Claisen Condensations

These types of esters cannot form an enolate.

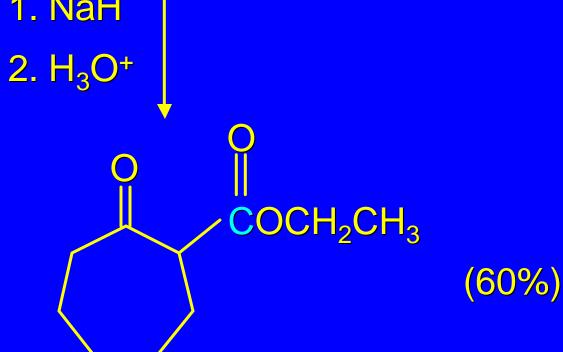


# 21.4 Acylation of Ketones with Esters

# Acylation of Ketones with Esters

Esters that cannot form an enolate can be used to acylate ketone enolates.



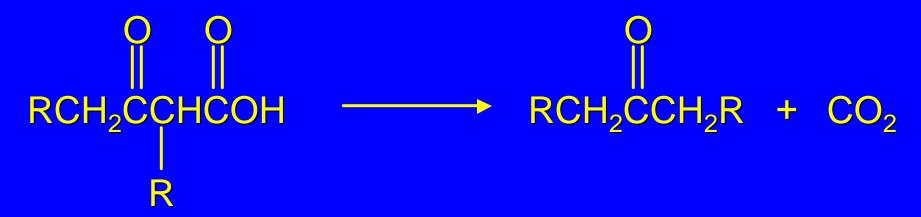


COCH<sub>2</sub>CH<sub>3</sub> + CH<sub>3</sub>C
$$\begin{array}{c|c}
 & CH_3C \\
 & 1. \text{ NaOCH}_2\text{CH}_3 \\
 & 2. \text{ H}_3\text{O}^+
\end{array}$$

(62-71%)

# 21.5 Ketone Synthesis via β-Keto Esters

# Ketone Synthesis



β-Keto acids decarboxylate readily to give ketones (Section 19.17).

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β-Keto esters can be prepared by the Claisen condensation.

