

energy of the radiation: the higher the energy, the greater the gain in energy.

$h\nu$

in ergs  
 $h\nu = 6.5 \times 10^{-27} \text{ erg sec}$

in this way may bring about increased energy to raise electrons to higher energy levels. The energy that a given molecule can absorb depends on its vibrational or electronic states that are permitted. The infrared spectrum of a compound is a plot that shows the amount of radiation absorbed (or transmitted) at each frequency. It is a fingerprint of a compound's structure.

For a given compound, the one that, by itself, gives the structure is its infrared spectrum.

Chemical bonds *stretch* (and contract), and *bend*. The vibrations of a molecule are caused by the absorption of energy beyond (lower frequency, longer wavelength) the visible spectrum.

Wavelength is referred to either by its wavelength or its frequency. Wavelength is expressed in microns ( $\mu$ ). Frequency is expressed, not in hertz, but in *reciprocal centimeters*; the wavenumber is the frequency, and is equal to the reciprocal of the wavelength.

An infrared spectrum is a highly characteristic fingerprint. For example, the spectra in Fig. 17.2—and the spectra of two compounds and to reveal the structure of a new compound by comparing its spectrum with those of known compounds.

Infrared spectra are, in effect, identical in the absorption of light at thousands of microns. (One micrometer is certainly be the same compound. (One micrometer is, appropriately, the *fingerprint* region.) The infrared spectrum of a new compound by comparing its spectrum with those of known compounds. A particular *absorption band*; that is to say, a particular frequency of absorption. The *OH* group of alcohols absorbs strongly in the region around  $3400 \text{ cm}^{-1}$ ; the *C=O* group of ketones at  $1710 \text{ cm}^{-1}$ ; the *C≡N* group of nitriles at  $2200 \text{ cm}^{-1}$ .

It is not a simple matter. Bands may be weak. Overtones (harmonics) may appear. The absorption band of a compound is a structural feature—conjugation, electronic effects, angle strain or van der Waals strain,

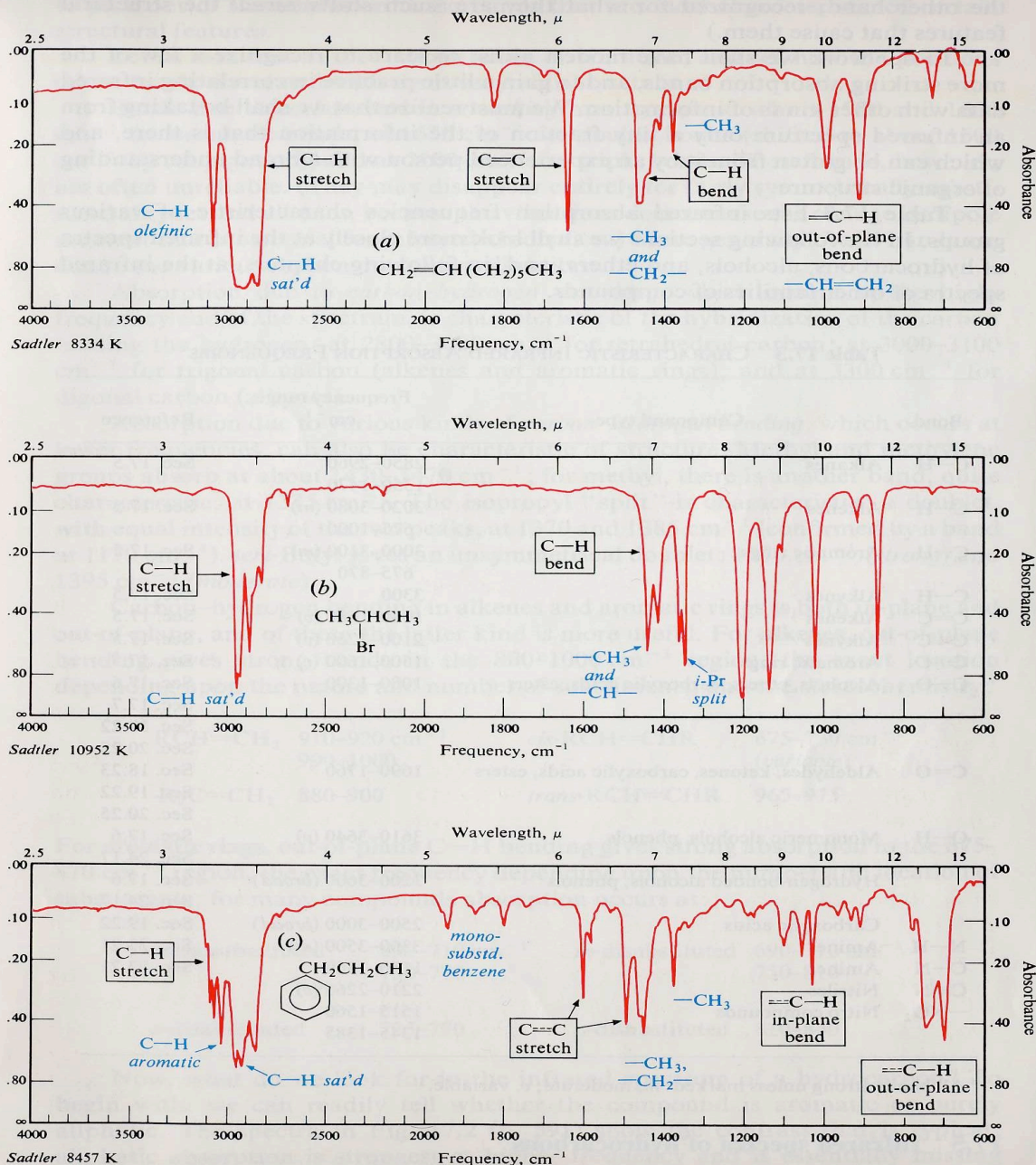


Figure 17.2 Infrared spectra. (a) 1-Octene; (b) isopropyl bromide; (c) *n*-butylbenzene.



hydrogen bonding—and be mistaken for a band of an entirely different group. (On the other hand, recognized for what they are, such shifts *reveal* the structural features that cause them.)

In our work we shall have modest aims: to learn to recognize a few of the more striking absorption bands, and to gain a little practice in correlating infrared data with other kinds of information. We must realize that we shall be taking from an infrared spectrum only a tiny fraction of the information that is there, and which can be gotten from it by an experienced person with a broad understanding of organic structure.

Table 17.3 lists infrared absorption frequencies characteristic of various groups. In the following sections we shall look more closely at the infrared spectra of hydrocarbons, alcohols, and ethers; and, in following chapters, at the infrared spectra of other families of compounds.

**Table 17.3** CHARACTERISTIC INFRARED ABSORPTION FREQUENCIES<sup>a</sup>

| Bond             | Compound type                                | Frequency range, cm <sup>-1</sup> | Reference  |
|------------------|--|-----------------------------------|------------|
| C—H              | Alkanes                                      | 2850–2960                         | Sec. 17.5  |
|                  |  | 1350–1470                         |            |
| C—H              | Alkenes                                      | 3020–3080 ( <i>m</i> )            | Sec. 17.5  |
|                  |  | 675–1000                          |            |
| C—H              | Aromatic rings                               | 3000–3100 ( <i>m</i> )            | Sec. 17.5  |
|                  |  | 675–870                           |            |
| C—H              | Alkynes                                      | 3300                              | Sec. 17.5  |
| C=C              | Alkenes                                      | 1640–1680 ( <i>v</i> )            | Sec. 17.5  |
| C≡C              | Alkynes                                      | 2100–2260 ( <i>v</i> )            | Sec. 17.5  |
| C≡C              | Aromatic rings                               | 1500, 1600 ( <i>v</i> )           | Sec. 17.5  |
| C—O              | Alcohols, ethers, carboxylic acids, esters   | 1080–1300                         | Sec. 17.6  |
|                  |  |                                   | Sec. 17.7  |
|                  |  |                                   | Sec. 19.22 |
|                  |  |                                   | Sec. 20.25 |
| C=O              | Aldehydes, ketones, carboxylic acids, esters | 1690–1760                         | Sec. 18.23 |
|                  |  |                                   | Sec. 19.22 |
|                  |  |                                   | Sec. 20.25 |
| O—H              | Monomeric alcohols, phenols                  | 3610–3640 ( <i>v</i> )            | Sec. 17.6  |
|                  |  |                                   | Sec. 24.17 |
|                  | Hydrogen-bonded alcohols, phenols            | 3200–3600 ( <i>broad</i> )        | Sec. 17.6  |
|                  |  |                                   | Sec. 24.17 |
|                  | Carboxylic acids                             | 2500–3000 ( <i>broad</i> )        | Sec. 19.22 |
| N—H              | Amines                                       | 3300–3500 ( <i>m</i> )            | Sec. 23.21 |
| C—N              | Amines                                       | 1180–1360                         | Sec. 23.21 |
| C≡N              | Nitriles                                     | 2210–2260 ( <i>v</i> )            |            |
| —NO <sub>2</sub> | Nitro compounds                              | 1515–1560                         |            |
|                  |  | 1345–1385                         |            |

<sup>a</sup> All bands strong unless marked: *m*, moderate; *v*, variable.

## 17.5 Infrared spectra of hydrocarbons

In this first encounter with infrared spectra, we shall see absorption bands due to vibrations of carbon–hydrogen and carbon–carbon bonds: bands that will constantly reappear in all the spectra we meet, since along with their various functional groups, compounds of all kinds contain carbon and hydrogen. We must

expect to find these spectra complicated and, at first, confusing. Our aim is to learn to pick out of the confusion those bands that are most characteristic of certain structural features.

Let us look first at the various kinds of vibration, and see how the positions of the bands associated with them vary with structure.

Bands due to carbon–carbon stretching may appear at about 1500 and 1600 cm<sup>-1</sup> for aromatic bonds, at 1650 cm<sup>-1</sup> for double bonds (shifted to about 1600 cm<sup>-1</sup> by conjugation), and at 2100 cm<sup>-1</sup> for triple bonds. These bands, however, are often unreliable. (They may disappear entirely for fairly symmetrically substituted alkynes and alkenes, because the vibrations do not cause the change in dipole moment that is essential for infrared absorption.) More generally useful bands are due to the various carbon–hydrogen vibrations.

Absorption due to carbon–hydrogen stretching, which occurs at the high-frequency end of the spectrum, is characteristic of the hybridization of the carbon holding the hydrogen: at 2800–3000 cm<sup>-1</sup> for tetrahedral carbon; at 3000–3100 cm<sup>-1</sup> for trigonal carbon (alkenes and aromatic rings); and at 3300 cm<sup>-1</sup> for digonal carbon (alkynes).

Absorption due to various kinds of carbon–hydrogen bending, which occurs at lower frequencies, can also be characteristic of structure. Methyl and methylene groups absorb at about 1430–1470 cm<sup>-1</sup>; for methyl, there is another band, quite characteristic, at 1375 cm<sup>-1</sup>. The isopropyl “split” is characteristic: a doublet, with equal intensity of the two peaks, at 1370 and 1385 cm<sup>-1</sup> (confirmed by a band at 1170 cm<sup>-1</sup>). *tert*-Butyl gives an unsymmetrical doublet: 1370 cm<sup>-1</sup> (*strong*) and 1395 cm<sup>-1</sup> (*moderate*).

Carbon–hydrogen bending in alkenes and aromatic rings is both in-plane and out-of-plane, and of these the latter kind is more useful. For alkenes, out-of-plane bending gives strong bands in the 800–1000 cm<sup>-1</sup> region, the exact location depending upon the nature and number of substituents, and the stereochemistry:

|                                  |                          |                       |                          |
|----------------------------------|--------------------------|-----------------------|--------------------------|
| RCH=CH <sub>2</sub>              | 910–920 cm <sup>-1</sup> | <i>cis</i> -RCH=CHR   | 675–730 cm <sup>-1</sup> |
|                                  | 990–1000                 |                       | ( <i>variable</i> )      |
| R <sub>2</sub> C=CH <sub>2</sub> | 880–900                  | <i>trans</i> -RCH=CHR | 965–975                  |

For aromatic rings, out-of-plane C—H bending gives strong absorption in the 675–870 cm<sup>-1</sup> region, the exact frequency depending upon the number and location of substituents; for many compounds absorption occurs at:

|                         |                          |                         |                          |
|-------------------------|--------------------------|-------------------------|--------------------------|
| monosubstituted         | 690–710 cm <sup>-1</sup> | <i>m</i> -disubstituted | 690–710 cm <sup>-1</sup> |
|                         | 730–770                  |                         | 750–810                  |
| <i>o</i> -disubstituted | 735–770                  | <i>p</i> -disubstituted | 810–840                  |

Now, what do we look for in the infrared spectrum of a hydrocarbon? To begin with, we can readily tell whether the compound is aromatic or purely aliphatic. The spectra in Fig. 17.2 (p. 591) show the contrast that is typical: aliphatic absorption is strongest at higher frequency and is essentially missing below 900 cm<sup>-1</sup>; aromatic absorption is strong at lower frequencies (C—H out-of-plane bending) between 650 and 900 cm<sup>-1</sup>. In addition, an aromatic ring will show C—H stretching at 3000–3100 cm<sup>-1</sup>; often, there is carbon–carbon stretching at 1500 and 1600 cm<sup>-1</sup> and C—H in-plane bending in the 1000–1100 cm<sup>-1</sup> region.



An alkene shows C—H stretching at  $3000\text{--}3100\text{ cm}^{-1}$  and, most characteristically, strong out-of-plane C—H bending between  $800$  and  $1000\text{ cm}^{-1}$ , as discussed above.

A terminal alkyne,  $\text{RC}\equiv\text{CH}$ , is characterized by its C—H stretching band, a strong and sharp band at  $3300\text{ cm}^{-1}$ , and by carbon-carbon stretching at  $2100\text{ cm}^{-1}$ . A disubstituted alkyne, on the other hand, does not show the  $3300\text{ cm}^{-1}$  band and, if the two groups are fairly similar, the  $2100\text{ cm}^{-1}$  band may be missing, too.

Some of these characteristic bands are labeled in the spectra of Fig. 17.2 (p. 591).

**Problem 17.2** What is a likely structure for a hydrocarbon of formula  $\text{C}_6\text{H}_{12}$  that shows strong absorption at  $2920$  and  $2840\text{ cm}^{-1}$ , and at  $1450\text{ cm}^{-1}$ ; none above  $2920\text{ cm}^{-1}$ ; and below  $1450\text{ cm}^{-1}$  none until about  $1250\text{ cm}^{-1}$ ?

**Problem 17.3** Give a structure or structures consistent with each of the infrared spectra in Fig. 17.4 (pp. 595–596).

## 17.6 Infrared spectra of alcohols

In the infrared spectrum of a hydrogen-bonded alcohol—and this is the kind that we commonly see—the most conspicuous feature is a strong, broad band in the  $3200\text{--}3600\text{ cm}^{-1}$  region due to O—H stretching (see Fig. 17.3).

**O—H stretching, strong, broad**

Alcohols, ROH (or phenols, ArOH)  $3200\text{--}3600\text{ cm}^{-1}$

(A monomeric alcohol gives a sharp, variable band at  $3610\text{--}3640\text{ cm}^{-1}$ .)

Another strong, broad band, due to C—O stretching, appears in the  $1000\text{--}1200\text{ cm}^{-1}$  region, the exact frequency depending on the nature of the alcohol:

**C—O stretching, strong, broad**

|                      |                             |                      |                             |
|----------------------|-----------------------------|----------------------|-----------------------------|
| $1^\circ\text{ ROH}$ | about $1050\text{ cm}^{-1}$ | $3^\circ\text{ ROH}$ | about $1150\text{ cm}^{-1}$ |
| $2^\circ\text{ ROH}$ | about $1100\text{ cm}^{-1}$ | ArOH                 | about $1230\text{ cm}^{-1}$ |

(Compare the locations of this band in the spectra of Fig. 17.3.)

Phenols (ArOH) also show both these bands, but the C—O stretching appears at somewhat higher frequencies. Ethers show C—O stretching, but the O—H band is absent. Carboxylic acids and esters show C—O stretching, but give absorption characteristic of the carbonyl group,  $\text{C}=\text{O}$ , as well. (For a comparison of certain oxygen compounds, see Table 20.3, p. 786.)

**Problem 17.4** Upon hydrogenation, compound A ( $\text{C}_4\text{H}_8\text{O}$ ) is converted into B ( $\text{C}_4\text{H}_{10}\text{O}$ ). On the basis of their infrared spectra (Fig. 17.6, p. 598), give the structural formulas of A and B.

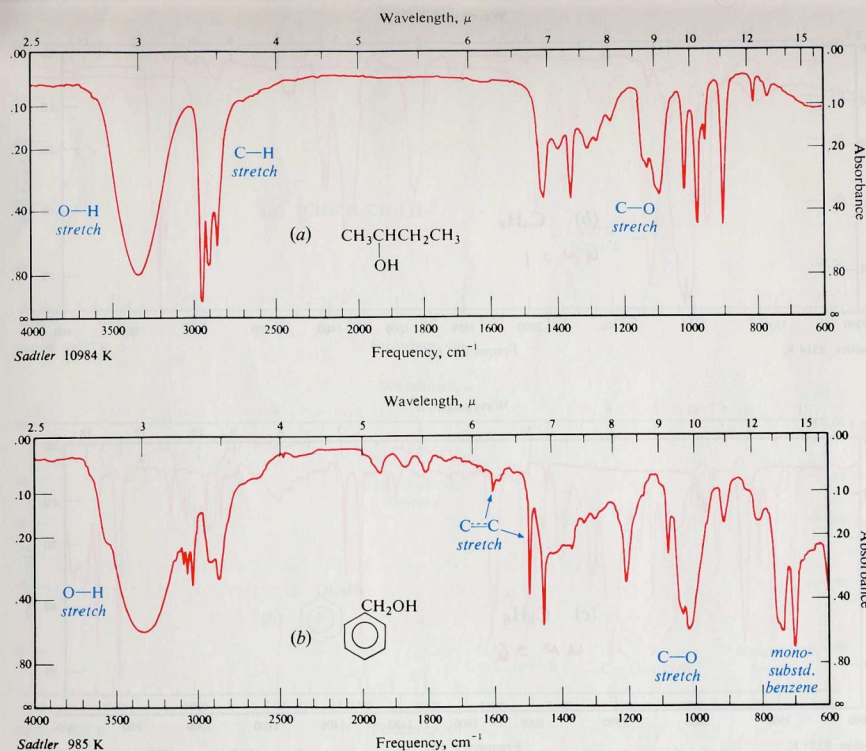


Figure 17.3 Infrared spectra of (a) *sec*-butyl alcohol and (b) benzyl alcohol.

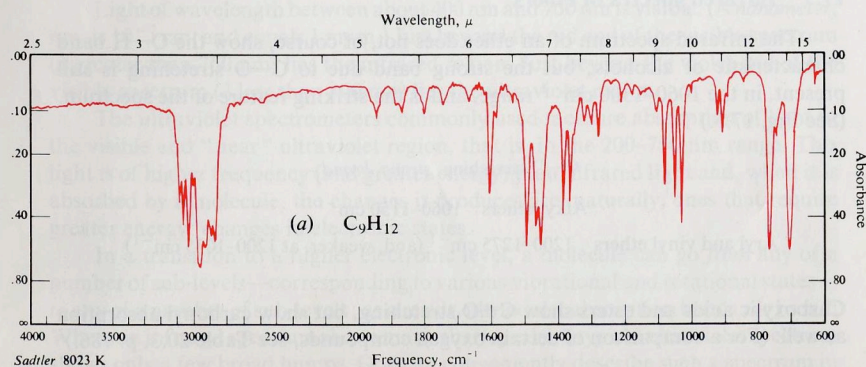


Figure 17.4(a) Infrared spectra for Problem 17.3, p. 594.

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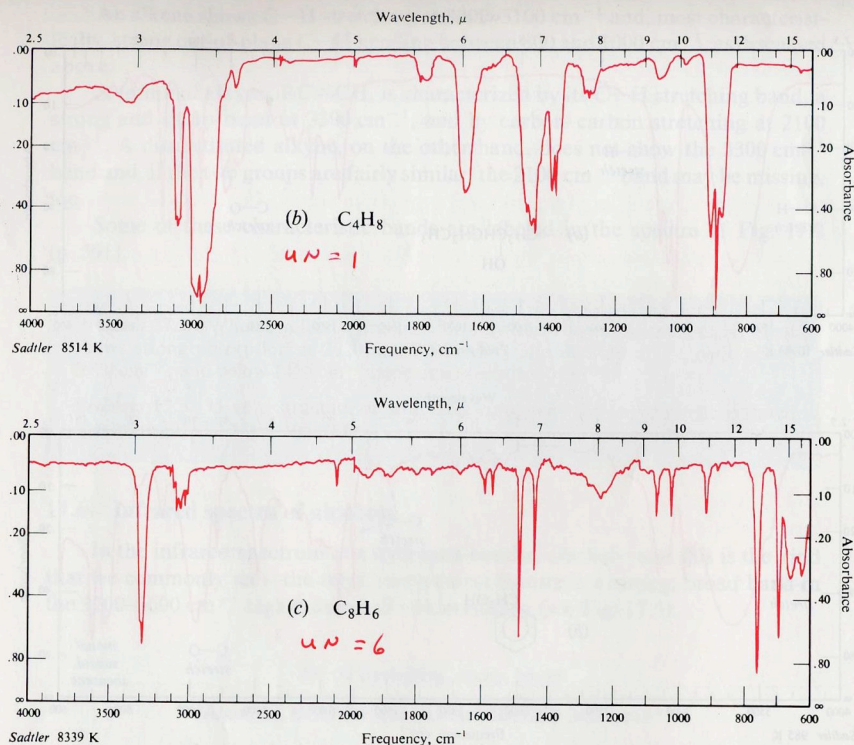


Figure 17.4(b), (c) Infrared spectra for Problem 17.3, p. 594.

### 17.7 Infrared spectra of ethers

The infrared spectrum of an ether does not, of course, show the O—H band characteristic of alcohols; but the strong band due to C—O stretching is still present, in the  $1060\text{--}1300\text{ cm}^{-1}$  range, and is the striking feature of the spectrum. (See Fig. 17.5.)

**C—O stretching, strong, broad**

Alkyl ethers  $1060\text{--}1150\text{ cm}^{-1}$

Aryl and vinyl ethers  $1200\text{--}1275\text{ cm}^{-1}$  (and, weaker, at  $1200\text{--}1075\text{ cm}^{-1}$ )

Carboxylic acids and esters show C—O stretching, but show carbonyl absorption as well. (For a comparison of certain oxygen compounds, see Table 20.3, p. 786.)

**Problem 17.5** Give a structure or structures for the compound whose infrared spectrum is shown in Fig. 17.7 (p. 598).

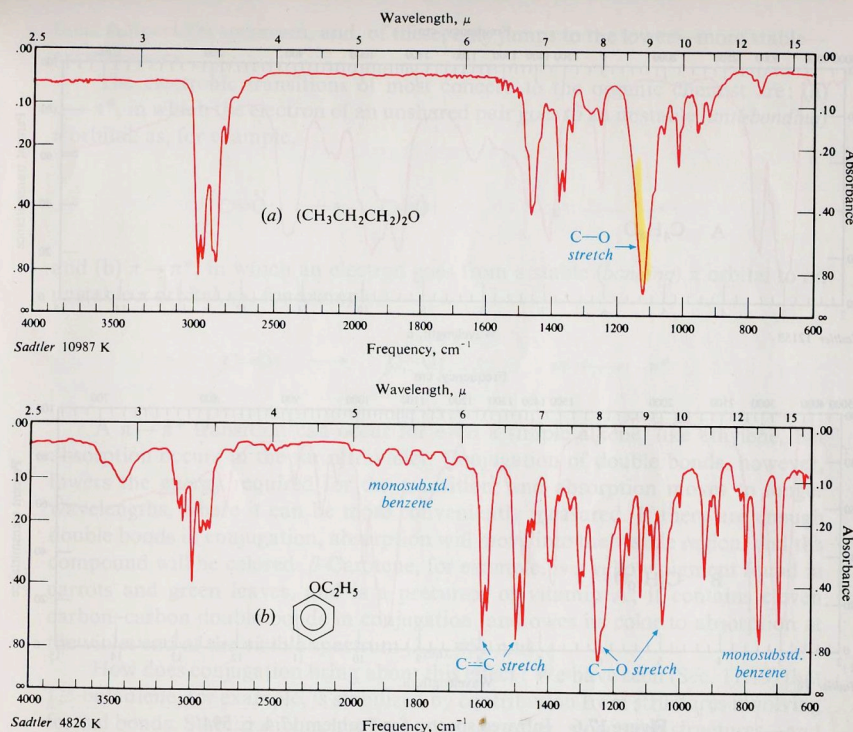


Figure 17.5 Infrared spectra of (a) di-*n*-propyl ether and (b) ethyl phenyl ether.

### 17.8 The ultraviolet spectrum

Light of wavelength between about 400 nm and 750 nm is visible. (A nanometer, nm, is  $10^{-7}$  cm, and equals 1 mμm.) Just beyond the red end of the visible spectrum ( $\lambda$  greater than 750 nm) lies the infrared region. Just beyond the violet end of the visible spectrum ( $\lambda$  less than 400 nm) lies the ultraviolet region.

The ultraviolet spectrometers commonly used measure absorption of light in the visible and “near” ultraviolet region, that is, in the 200–750 nm range. This light is of higher frequency (and greater energy) than infrared light and, when it is absorbed by a molecule, the changes it produces are, naturally, ones that require greater energy: changes in electronic states.

In a transition to a higher electronic level, a molecule can go *from* any of a number of sub-levels—corresponding to various vibrational and rotational states—to any of a number of sub-levels; as a result, ultraviolet absorption bands are broad. Where an infrared spectrum shows many sharp peaks, a typical ultraviolet spectrum shows only a few broad humps. One can conveniently describe such a spectrum in terms of the *position* of the top of the hump ( $\lambda_{max}$ ) and the *intensity* of that absorption ( $\epsilon_{max}$ , the extinction coefficient).

When we speak of a molecule as being raised to a higher electronic level, we mean that an electron has been changed from one orbital to another orbital of