Peter Wollen
The Two Avant-Gardes
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The Two Avant-Gardes*

Peter Wollen

Film history has developed unevenly, so that in Europe today there are two
distinct avant-gardes. The first can be identified loosely with the Co-op
movement. The second would include film-makers such as Godard, Straub
and Huillet, Harouni, Janusco. Naturally there are points of contact between
these two groups and common characteristics, but they also differ quite
sharply in many respects: aesthetic assumptions, institutional framework,
type of financial support, type of critical backing, historical and cultural
origin. There are other film-makers too who do not fit neatly into either
camp, and films which fall somewhere in between or simply somewhere else —
Jackie Raynal's Deux Fois, for instance — but in general the distinction
holds good.

At the extreme, each would tend to deny the others the status of avant-garde
at all. Books like Steve Dwoskin's Film Is or David Curtis's Experimental
Film* do not discuss the crucial post-1968 work of Godard and Gorn, for
example. And supporters of Godard — and Godard himself — have often
denounced the 'Co-op avant-garde' as hopelessly involved with the established
bourgeois art world and its values. The reasons for dismissal are often
quite beside the point and misplaced: 'By no means all the directors (to use
a word taboo in the other camp) in one group work with narrative in 35mm,
as you might sometimes imagine — Godard has worked in 16mm for years
and recently with video (to open up another hornet's nest). Conversely,
many Co-op film-makers are well aware of political issues and see themselves
in some sense as militant. (Not that political militancy in itself is any guarantee
of being avant-garde).

The position is complicated too by the fact that in North America there is
only one avant-garde, centred on the various Co-ops. There are no obvious
equivalents of Godard or Straub-Huillet, although, their influence can
occasionally be seen — in Jon Jost's Speaking Directly for example. Moreover,
American critics and theorists of the avant-garde have long tended to over-
look their European counterparts or see them as derivative. The Europeans—
and perhaps particularly the English — then tend to react by stressing their
own credentials, making claims to have occupied the same ground as the

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Americans earlier or independently. From outside, the quarrel often looks of secondary importance. After all, no one denies that the capital of narrative fiction 35mm film-making is Hollywood, however innovative European directors such as Antonioni or Fellini or Pasolini may be. In the same way, New York is clearly the capital of the Co-op movement. Consequently, from New York, Godard looks much more distinctively European than Koen or Le Grice, a fact which simply reflects the realities of power in the art world, to which the Co-op movement is closely tied. Indeed, there is a sense in which avant-garde Co-op film-making in Europe is closer to New York than Californian film-making is, and the leading New York critics and tastemakers—Sindey, Michelson, etc.—are not appreciated in San Francisco any more than they are in London.

It seems to me much more important to try and understand what unites and separates Godard and Straub-Huillet on the one hand, and, say, Gidal and Wybom on the other, than what unites and separates Europe and North America within the Co-op ambit. Moreover, I think the absence of any avant-garde of the Godard type in North America will ultimately prove a severe limitation on the development of the New American Cinema itself, narrowing its horizons and tying it unnecessarily closely to the future of the other visual arts, condemning it to a secondary status within the art world. Close relationship with ‘art’—painting, post-painting, etc.—is both a strength and a weakness.

To understand further the split which has developed within the avant-garde it is necessary to go back into history. A similar split can be seen in the twenties. On the one hand, films were being made by Buck-Murphy, Peabody-Chair, Eggeling, Richter, Man Ray, Moholy-Nagy and others—many of them discussed in Stanford Lawer's recent book on The Cubist Cinema—this which were attempts to extend the scope of painting, to move outside the confines of the canvas, to introduce the dimension of space, to use light directly as well as colour, and so on. On the other hand, there were the Russian directors, whose films were clearly avant-garde but in a different sense: Eisenstein's Strike, Dovzhenko's Earth, Vertov's Man with the Movie Camera. It was only at the very end of the decade that there was any real contact between the two groups when Lissitzky (who ideas about the electro-mechanical spectacle and admiration for Eggeling put him clearly in the 'painters' group) first met Vertov to discuss the Stuttgart Film and Photo exhibition, and then Eisenstein met Richter on his first trip out of the Soviet Union and went with him to the conference at La Sorbonne, which turned out to mark the end rather than the beginning of an epoch.

As today, part of the difference lies in the backgrounds of the people involved. One group came from painting. The other from theatre (Eisenstein), and futurist sound-poetry (Vertov); Dovzhenko, in fact, had trained as a painter but deliberately gave it up, leaving all his painting materials behind him in Kharkov when he set off for Odessa and the film studios, seeking a complete break with his past. And, of course, there are premonitory links between these different currents of the twenties and those of recent years—Godard and Gorin carried out their collaboration under the name of the Dziga Vertov Group; Van Doesburg, in 1929, already anticipated many of the ideas of expanded cinema, realized decades later: 'The spectator space will become part of the projection space. The separation of "projection surface" is abolished. The spectator will no longer observe the film like a theatrical presentation, but will participate in it optically and acoustically.'

Painting. I think it can be argued, played the leading role in the development of modernism in the other arts. The break, the confère—and thus the Eutheusserian technology—was a shift of terrain which marked the substitution of one paradigm or other. For another, the beginning of modernism, the work of the historic avant-garde, was a break which took place in painting pre-eminently, with the discoveries of Cubism. It is not hard to show how painting affected the other arts, how early Cubism had a decisive impact on Gertrude Stein and Ezra Pound, for example, in literature, and later on William Carlos Williams. Apollinaire, Marinetti, Mayakovsky, Klementor, all were influenced at a crucial point by their encounter with Cubism. The innovations of Picasso and Braque were seen as having an implication beyond the history of painting itself. They were intuitively felt, they were very early on, to represent a specific semiotic shift, a change of concept and practice of sign and signification. This is why we may now see that the opening up of a space, a disjunction between signifier and signify and the emergence of a new kind of relationship, the classic problem of realism, to that of signifier and signify within the sign itself.

When we look at the development of painting after the cubist breakthrough, however, the constant trend is towards an apparently even more radical development: the suppression of the signified altogether, an art of pure signifiers detached from meaning as much as from reference, and in their place the pure form. This tendency towards abstraction could be justified in various ways: a transcendental signified could be postulated, in symbolist or spiritualist terms, a meaning located in the Uberwelt of pure ideas; a theory of formalism, of art as pure design, could be proposed; the work of art could be defended in terms of objective, pure presence: it could be explained as a solution to a problem, often set by the relationship between a signifier and a signified, between expression, in Hollins' phrase, and its physical, material support (the matter or substance of expression).}

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as natural or artificial noise, can form elements of the sound-track. Theatre and dance can be elements of the pro-filmic event, placed in front of the camera to be photographed. Editing can be used to develop a narrative or to produce a 'visual rhythm' by analogy with music. Film itself can be painted or paintings can be animated. Light can be used as a medium, and through projection, a third dimension can be introduced to produce a kind of mobile light sculpture. Cinema too has its own, specifically cinematic, codes and materials associated with the various phases of film production.

As a result of this variety and multiplicity, ideas have fed into film-making from a variety of sources in the other arts. One powerful influence has come from painting bringing with it a tendency to abstraction - pure light or colour; and non-figurative design or deformation of conventional photographic imagery, involving prismatic fragmentation and splintering, the use of filters or stippled glass, mirror-shots, extreme and microscopic close-ups, bizarre angles, negative images, etc., all of which are to be found in twentieth films. Editing tended to follow principles of association (related to poetry or dream) or analogies with music - shots of fixed length, repetition and variation, attempts at synaesthetic effects, theories of counterpoint.

But this influence, and the films associated with it, are marked as much by what they excluded as what they included. Primarily of course verbal language was missing and also narrative. During the silent period, the absence of language was not foregrounded; it seemed a natural quality of film, but in retrospect its significance can be seen. Language is still excluded from an enormous number of avant-garde films, which are shown either silent or with electronic or other musical tracks. Again, there are real technical and financial reasons for this, but these practical disincentives coincide with an aesthetic which has its roots in avant-garde painting and sculptural postures which exclude verbal language from their field, and may be active hostile to it. This is part of the legacy of the modernism which has survived the modernist break almost unchallenged, except in isolated instances-Lissitzky, Duchamp, Picaud and, extremely important, recent conceptualist work.

There is one further important point which must be made about the development of film in relation to art history. Film-makers at a certain point became dissatisfied with the approach simply for 'kinetic solutions to pictorial problems' as in the films of Man Ray and Moholy-Nagy, and began to concentrate on what they saw as specifically cinematic problems. Structural film-making or 'film-making' over the last decade has thus represented a displacement of concerns from the art world to the film world rather than an extension. The way of thinking has remained one which film-makers have in common with painters and other visual artists, but an effort has been made to insist on the ontological autonomy of film. This, for instance, Cid's work has foregrounded and been in a sense 'about' focus; Le Grice's work has foregrounded and been in a sense 'about' printing or projection. The tendency of painting to concentrate on its own sphere of materials and signification, to be self-sufficient, has been translated into specifically cinematic terms and concerns, though here again 'specifically cinematic' is taken to mean primarily the picture-track.

Thus the impact of avant-garde ideas from the world of visual arts has ended up pushing film-makers into a position of extreme purism or 'essentialism', ironically, anti-illusionist, anti-realist film has ended up with many preoccupations in common with its worst enemies. A theorist like Andre Bazin, for instance, committed to realism and representationalism, based his commitment on an argument about cinematic ontology and essence, which is in turn the photographic reproduction of the natural world. We now have, so to speak, both an exoterced and an introverted ontology of film, one seeking the soul of cinema in the nature of the pro-filmic event, the other the nature of the cinematic process, the code of light or the grain of silver. The frontier reached by this avant-garde has been an ever-narrowing preoccupation with pure light, with film 'about' a film, a dissolution of signification into objecthood or tautology. We should add, perhaps, that this tendency is even more marked in the United States than in Europe.

Where does the other avant-garde stand? Here, as one would expect, the tendency goes in the opposite direction. The Soviet directors of the twenties, though they saw themselves in some sense as avant-garde, were also preoccupied with the problem of realism. For the most part they remained within the bounds of narrative cinema. The most clearly avant-garde passages and episodes in Eisenstein's films (experiments in intellectual montage, etc.) remain passages and episodes which appear as interpolations within an otherwise homogeneous and classical narrative. There is no doubt that the revolution in modernism rather than traditional - the crowd as hero, type, inverted, etc. - but these are not facets which can be attributed to a break with rather than a renovation of classical theatre. They are modes of achieving a heightened emotional effect or presenting an idea with an unexpected vividness or force.

In Eisenstein's work the signified - content in the conventional sense - is always dominant and, of course, he went so far as to dismiss Vertov's Man with the Movie Camera as 'formalist' (insofar as a shot-to-shot montage of a subject). To contrast its use of slow motion with Eisenstein's La Chute de la Maison Usher, in which, according to Eisenstein, it is used to heighten emotional pressure, to achieve an effect in terms of a desired content or goal. Vertov's film was, of course, a milestone for the avant-garde and it is a sign of its rejections that it can be seen as a precursor both of Cinema-vert and of structural film, though also, evidently, a sign of its ambiguity, of its uncertainty caught between an ideology of photographic realism and one of formal innovation and experiment.

In broad terms, what we find with the Soviet film-makers is a recognition that a new type of content, a new realm of signified, demands formal innovation, on the level of the signifier, for its expression. Thus Eisenstein wanted to translate the dialectical materialism of his worldview from an approach to subject-matter to an approach to form, through a theory of montage which was itself dialectical. The aesthetic was still content-based, it saw signifiers primarily as means of expression, but at the same time it demanded a radical transformation of those means. It was an aesthetic which had much in common with the avant-garde positions of our day - Le Grice & Man Ray, but which also kept a distance, a distance of which the fear of formalism is symptomatic. It is as if they felt that once the signifier was freed from bondage to the signified, it was certain to celebrate by doing away with its old master altogether in a fit of irresponsible ultra-leftism and utopianism. Which, as we have seen, was not so far wrong.
The case of Godard, working forty years or so later, is slightly different. In Godard's post-1968 films we glimpse something of an alternative route between content-ism and formalism, a recognition that it is possible to work within the space opened up by the disjunction and dislocation of signifier and signified. Clearly, Godard was influenced by Eisenstein's theory of dialectical montage, but he develops it in a much more radical way. In the last resort, for Eisenstein, conflict was primarily between the successive signifieds of images. Although he recognizes a form of dialectical montage in the surrealist paintings of Malevich, he himself remains within the confines of 'naturalism.' Interestingly enough, he identifies a middle road between naturalism and abstraction, which he relates, somewhat surprisingly, to Bally's primitive Italian futurism. Godard takes the idea of formal conflict and struggle and translates it into a concept of conflict, not between the content of images, but between different codes and signifiers. Thus, in Le Gai Savoir, which he began shooting before the events of May 1968, but completed after, Godard tries programmatically to 'return to zero' and re-compose sounds and images. For Godard, conflict becomes not simply collision through juxtaposition, as in Eisenstein's model, but an act of negativity, a splitting apart of an apparently natural unity, a disjunction. Godard's view of the cinema is one of a discourse which gains its power from its apparent naturalness, the implosion of necessity which seems to bind a signifier to a signified, a sound to an image, in order to provide a convincing representation of the world. He wants not simply to represent an alternative 'world view', but to investigate the whole process of signification out of which a world view or an ideology is constructed. Le Gai Savoir ends with the following words on the soundtrack: 'This film has not wished to, could not wish to explain, the cinema or even constitute its object, but more modestly, to offer a few effective means for arriving there. This is the film which must be made, but it shows how, if one is to make a film, one must necessarily follow some of the paths traveled here.' In other words, the film deliberately suspends 'meaning', avoids any teleology or finality, in the interests of a destruction and reassembly, a recombination of the order of the sign as an experiment in the dissolution of old meanings and the generation of new ones from the semantic process itself.

Put another way, Le Gai Savoir is not a film with a meaning, something to say about the world, nor is it a film 'about' film (which, after all, is simply a limited part of the world of interest in itself to film-makers and film-students) but a film about the possibility of meaning itself, of generating new types of meaning. The array of sign-systems at work in the cinema are thus brought into a new kind of relationship with each other and with the world. Nor, of course, is it indifferent to Godard what types of new meaning are produced. Although his work is open-ended, it does not of offer itself simply for a dilation of interpretation as though meaning could be read in at will by the spectator. Signifiers are neither fixed, or fixed as far as possible, as they are in conventional cinema, nor are they freed from any constraint, as though the end of a content-dominated art meant the end of any control over content.

In a sense, Godard's work goes back to the original breaking-point at which the modern avant-garde began—neither realist or expressionist, on the one hand, nor abstractionist, on the other. In the same way, the Demoiselles d’Avignon is neither realist, expressionist or abstractionist. It dislocates signifier from signified, asserting—as such a dislocation must— the primacy of the first, without in any way displacing the second. It is not a portrait group or a study of nude in the representational tradition, but on the other hand, to see it simply as an investigation of pantyless or formal problems or possibilities is to forget its original title, Le Baiser Philosophe. The same could be said, of course, about The Large Glass. The battle between realism/illusion/literature in art, and abstraction/reflexivity/fragmentation, is not so simple or all-encompassing as it may sometimes seem.

There are two other topics which should be mentioned here. The first is politics. As I suggested above, it is often too easily asserted that one avant-garde is 'political' and the other is not. Peter Gidal, for example, defends his films on grounds which clearly imply a political position. And the supporters of Godard and Straub/Huillet, by distinguishing their films from those of Karmitz or Pontecorvo, are constantly forced to assert that being 'political' is not in itself enough that there must be a break with bourgeois norms of division/subversion, and reconstruction of codes, etc., a line of argument which, unless it is thought through carefully or stopped arbitrarily at some safe point, leads inevitably into the positions of the other avant-garde. Nonetheless, in discussing Godard, the fact that his films deal explicit with political issues and ideas is obviously important. He does not wish to cut himself off from the political—Marxist culture in which he has steeped himself from as early as 1968 and increasingly since. This culture, moreover, is one of books and verbal language. The important point, though, is that a film like Le Gai Savoir— unlike some later work of Godard, in the area under Brecht's influence—is not simply didactic or expository, but presents the language of Marxism itself, a deliberately chosen language, as itself problematic.

Politics— the influence and presence of Marxist writing— has been an obvious force of impetus and strength for Godard, but it also relates to another question—that of audience. On the whole, the Co-op avant-garde, happy though it would have doubt about to find a mass audience, is reconciled to its minority status. The consciously political film-maker, on the other hand, is often uneasy about this. The representations of Marxist culture are on the whole aesthetically conservative and avant-gardism is damned as elitism. Godard, as well-known, defended himself against this charge by citing Mao's dictum about the three types of struggle and placing his own work under the banner of scientific experiment, rather than class struggle, an instance in which theoretical work could be justified and taken precedence over political work. The short term, at least. Yet it is also clear that it was pressure to rediscover a mass, popular audience which led to the artistic retreat of Fenêtre sur Biev, which abandons avant-gardism for a stylized didacticism, set within a classical realist frame, though with some interpolations in the Eisensteinian way.

The second topic is that of 'intertextuality', to use Julia Kristeva's terminology. One of the main characteristics of modernism, once the priority of immediate reference to the real world had been dispelled, was the play of allusion within and between texts. Quotation, for instance, plays a crucial role in the Demoiselles d’Avignon and, indeed, in The Large Glass. In avant-garde writing it is only necessary to think of Pound and Joyce. Again, the effect is to break up the
homogeneity of the work, to open up spaces between different texts and types of discourse. Godard has used the same strategy, not only in the sound-track where whole passages from books are recited, but also on the picture-track, as in the quotations from the Hollywood western and the cinema noire in Vent d’Est. Similarly, the films of Straub-Huillet are almost all layered like a palimpsest—in this case, the space between texts is not only semantic but historical too, the different textual strata being the residues of different epochs and cultures.

It is significant perhaps that the latest films of Malcolm Le Grice have a similar quality of intertextuality in their quotation of Lumière and Le Désastre sur l’Herbe. The Lumière film is especially interesting—in comparison with, for example, Bill Brand’s re-make of Lumière’s destruction of a wall film. It is not simply a series of optical recombinations, like cinematic anagrams, but an investigation into the process of narration itself, which by countering different narrative tones, so to speak, neither dissolves nor redeploys Lumière’s simple story, L’Arroseur arrosé, but foregrounds the process of narration itself. And this, as we have seen, is semiotically very different from foregrounding the process of projection. The way into narrative cinema is surely not forbidden to the avant-garde filmmaker, any more than the way into verbal language.

Cinema, I have stressed earlier, is a multiple system—the search for the specifically cinematic can be deceptively purist and reductive. For most people, after all, cinema is unthinkable without words and stories. To recognize this fact is by no means to accept a conventional Hollywood-oriented (or Bergman/Antonioni/Buñuel-oriented) attitude to the cinema and the place of stories and words within it. It is perhaps the idea, so strongly rooted by now, that film is a visual art which has brought about a blockage. Yet this idea is obviously a half-truth at best. The danger which threatens is the introduction of words and stories—of signifiers—will bring back dissolution of representationism in full flood. Clearly this fear is the converse of Eisenstein’s anxiety about ‘unmotivated camera mischief’. There are good reasons for these fears, but surely they can be overcome.

I have tried to show how the two avant-gardes we find in Europe originated and what it is that holds them apart. To go further, I would have to discuss as well the institutional and economic framework in which filmmakers find themselves. The basis of the Co-op movement, as has often been pointed out, lies in artisanal production, with filmmakers who do as much as possible themselves at every stage of the making process. If there are performers involved they are usually few, generally friends of the filmmaker, often other filmmakers. The other avant-garde has its roots much more in the commercial system, and even when making in 16mm Godard would use stars known in the commercial cinema. The difference is not simply one of budgets—Dwoskin or Wyborne have made films for TV as well as Godard, and Dwoskin’s are clearly much more conventional, yet they are almost automatically assigned different cultural places. It is much more one of the filmmakers’ frames of reference, the places from which they came and the culture to which they relate.

The facts of uneven development mean too that it would be utopian to hope for a simple convergence of the two avant-gardes. The most revolutionary work, both of Godard and of Straub-Huillet, was done in the 60’s—Le Gai Savoir and The Bridal Groom, The Comedienne and the Pimp. In comparison Tout Va Bien and Moses and Aaron are a step backwards. Godard works increasingly in isolation, cut off from any real collective work or movement. In Le Gai Savoir, Juliet Berto says towards the end that half the shots are missing from the film, and Jean-Pierre Leaud replies that they will be shot by other filmmakers—Bertolucci, Straub, Glauber Rocha. We can see now how wrong Godard was in some of his judgements—the shots which were missing from his film would be supplied by the other avant-gardes—and it is not clear that he has ever realized this.

Nonetheless, though a simple convergence is very unlikely, it is crucial that the two avant-gardes be confronted and juxtaposed—this is part of the value, for instance, of the Brussels Festival of Independent Cinema, as opposed to Knokke or Pescara. History in the arts goes on, as Viktor Shklovsky long ago pointed out, by knight’s moves. During the first decade of this century, when the historic avant-garde embarked on its path, the years of the coupure, the cinema was still in its infancy, scarcely out of the fairground and the nickelodeon, certainly not yet the Seventh Art. For this reason—and for others, including economic reasons—the avant-garde made itself felt late in the cinema and it is still very marginal, in comparison with painting or music or even writing. Yet in a way, the cinema offers more opportunities than any other art—the cross-fertilization which was so striking a feature of those early decades, the reciprocal interlocking and input between painting, writing, music, theatre, etc., could take place within the field of cinema itself. This is not a plea for a great harmony, a synesthetic Gesamtkunstwerk in the Wagnerian sense. But cinema, because it is a multiple system, could develop and elaborate the semantic shifts which marked the origins of the avant-garde in a uniquely complex way, a dialectical montage within and between a complex of codes. At least, writing now as a filmmaker, that is the fantasy I like to entertain.
9. See my article on ontology and materialism in film in *Screen*, Spring, 1976.
11. Eisenstein, "A Dialectic Approach to Film Form", *op. cit.*
15. The British landscape film-makers often use a new type of narrativity, in which both film-maker and "narrator" as causal agent play the role of protagonist. A pre-filmic event, which is a conventional signified (landscape), intervenes actively in the process of filming, determining operations on the "specifically cinematic" codes.