

# MECHANICAL PROPERTIES OF THE HEART AND ITS INTERACTION WITH THE VASCULAR SYSTEM

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## Recommended Reading:

Guyton, A. *Textbook of Medical Physiology*, 10<sup>th</sup> Edition. Chapters 9, 14, 20.

Berne & Levy. *Principles of Physiology*. 4<sup>th</sup> Edition. Chapter 23.

Katz, AM. *Physiology of the Heart*, 3<sup>rd</sup> Edition. Chapter 15.

## Learning Objectives:

1. To understand the hemodynamic events occurring during the different phases of the cardiac cycle and to be able to explain these both on the pressure-volume diagram and on curves of pressure and volume versus time.
2. To understand how the end-diastolic pressure volume relationship (EDPVR) and the end-systolic pressure-volume relationship (ESPVR) characterize ventricular diastolic and systolic properties, respectively.
3. To understand the concepts of *contractility*, *preload*, *afterload*, *compliance*.
4. To understand what *Frank-Starling Curves* are, and how they are influenced by ventricular afterload and contractility.
5. To understand how afterload resistance can be represented on the PV diagram using the *Ea* concept and to understand how *Ea* can be used in concert with the ESPVR to predict how cardiac performance varies with contractility, preload and afterload.

## Glossary:

**Afterload** The mechanical "load" on the ventricle during ejection. Under normal physiological conditions, this is determined by the arterial system. Indices of afterload include, aortic pressure, ejection wall stress, total peripheral resistance (TPR) and arterial impedance.

**Compliance** A term used in describing diastolic properties ("stiffness") of the ventricle. Technically, it is defined as the reciprocal of the slope of the EDPVR ( $[dP/dV]^{-1}$ ). Colloquially, it is frequently used in describing the elevation of the EDPVR.

**Contractility** An ill-defined concept, referring the intrinsic "strength" of the ventricle or cardiac muscle. This notion is classically considered to be independent of the phenomenon whereby changes in loading conditions (preload or afterload) result in changes in pressure (or force) generation.

**Diastole** (Greek, "dilate"). The phase of the cardiac cycle during which contractile properties return to their resting state.

**EDPVR** end-diastolic pressure-volume relationship - The relationship between pressure and volume in the ventricle at the instant of complete relaxation (end-diastole).

**Ees** end-systolic Elastance - the slope of the ESPVR; has units of mmHg/ml, and is considered to be an index of "contractility".

**EF** ejection fraction - the ratio between SV and EDV. It is the most commonly used index of contractility, mostly because it is relatively easy to measure in the clinical setting. Its major limitation is that it is influenced by afterload conditions.

**Elastance** The change in pressure for a given change in volume within a chamber and is an indication of the "stiffness" of the chamber. It has units of mmHg/ml. The higher the elastance the stiffer the wall of the chamber.

**ESPVR** end-systolic pressure-volume relationship - The relationship between ventricular pressure and volume at the instant of maximal activation (end-systole) during the cardiac cycle. This relationship is reasonably linear, and reasonably independent of the loading conditions:  $P_{es} = E_{es} [ESV - V_0]$ .

**Preload** The "load" imposed on the ventricle at the end of diastole. Measures of preload include end-diastolic volume, end-diastolic pressure and end-diastolic wall stress.

**Systole** The first phase of the cardiac cycle which includes the period of time during which the electrical events responsible for initiating contraction and the mechanical events responsible for contraction occur. It ends when the muscles are in the greatest state of activation during the contraction.

**SV** stroke volume - the amount of blood expelled during each cardiac cycle.  $SV = EDV - ESV$ .

## I. INTRODUCTION

The heart is functionally divided into a right side and a left side. Each side may be further subdivided into a ventricle and an atrium. The primary role of each atrium is to act as a reservoir and *booster pump* for venous return to the heart. With the discovery of atrial natriuretic peptides, other homeostatic roles of the atrium have been proposed. The primary physiologic function of each ventricle is to maintain circulation of blood to the organs of the body. The left heart receives oxygenated blood from the pulmonary circulation and contraction of the muscles of the left ventricle provide energy to propel that blood through the systemic arterial network. The right ventricle receives blood from the systemic venous system and propels it through the lungs and onward to the left ventricle. The reason that blood flows through the system is because of the pressure gradients set up by the ventricles between the various parts of the circulatory system.

In order to understand how the heart performs its task, one must have an appreciation of the force-generating properties of cardiac muscle, the factors which regulate the transformation of muscle force into intraventricular pressure, the functioning of the cardiac valves, and something about the load against which the ventricles contract (i.e., the properties of the systemic and pulmonic vascular systems). This hand out will focus on a description of the pump function of the ventricles with particular attention to a description of those properties as represented on the **pressure-volume diagram**. Emphasis will be given to the clinically relevant concepts of *contractility*, *afterload* and *preload*. In addition, we will review how the ventricle and the arterial system interact to determine cardiovascular performance (cardiac output and blood pressure).

## II. THE CARDIAC CYCLE AND PRESSURE-VOLUME LOOPS

The cardiac cycle (the period of time required for one heart beat) is divided into two major phases: systole and diastole. Systole (from Greek, meaning "contracting") is the period of time during which the muscle transforms from its totally relaxed state (with crossbridges uncoupled) to the instant of maximal mechanical activation (point of maximal crossbridge coupling). The onset of systole occurs when the cell membrane depolarizes and calcium enters the cell to initiate a sequence of events which results in cross-bridge interactions (excitation-contraction coupling). Diastole (from Greek, meaning "dilation") is the period of time during which the muscle relaxes from the end-systolic (maximally activated) state back towards its resting state. Systole is considered to start at the onset of electrical activation of the myocardium (onset of the ECG); systole ends and diastole begins as the activation process of the myofilaments passes through a maximum. In the discussion to follow, we will review the hemodynamic events occurring during the cardiac cycle in the *left* ventricle. The events in the *right* ventricle are similar, though occurring at slightly different times and at different levels of pressure than in the left ventricle.

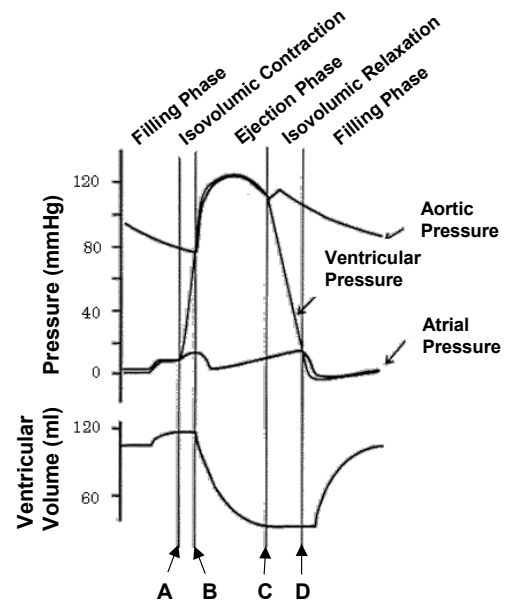


Figure 1

The mechanical events occurring during

the cardiac cycle consist of changes in pressure in the ventricular chamber which cause blood to move in and out of the ventricle. Thus, we can characterize the cardiac cycle by tracking changes in pressures and volumes in the ventricle as shown in the Figure 1 where ventricular volume (LVV), ventricular pressure (LVP), left atrial pressure (LAP) and aortic pressure (AoP) are plotted as a function of time.

Shortly prior to time "A" LVP and LVV are relatively constant and AoP is gradually declining. During this time the heart is in its relaxed (diastolic) state; AoP falls as the blood ejected into the arterial system on the previous beat gradually moves from the large arteries to the capillary bed. At time **A** there is electrical activation of the heart, contraction begins, and pressure rises inside the chamber. Early after contraction begins, LVP rises to be greater than left atrial pressure and the mitral valve closes. Since LVP is less than AoP, the aortic valve is closed as well. Since both valves are closed, no blood can enter or leave the ventricle during this time, and therefore the ventricle is contracting *isovolumically* (i.e., at a constant volume). This period is called **isovolumic contraction**. Eventually (at time **B**), LVP slightly exceeds AoP and the aortic valve opens. During the time when the aortic valve is open there is very little difference between LVP and AoP, provided that AoP is measured just on the distal side of the aortic valve. During this time, blood is ejected from the ventricle into the aorta and LV volume decreases. The exact shapes of the aortic pressure and LV volume waves during this **ejection** phase are determined by the complex interaction between the ongoing contraction process of the cardiac muscles and the properties of the arterial system and is beyond the scope of this lecture. As the contraction process of the cardiac muscle reaches its maximal effort, ejection slows down and ultimately, as the muscles begin to relax, LVP falls below AoP (time **C**) and the aortic valve closes. At this point ejection has ended and the ventricle is at its lowest volume. The relaxation process continues as indicated by the continued decline of LVP, but LVV is constant at its low level. This is because, once again, both mitral and aortic valves are closed; this phase is called **isovolumic relaxation**. Eventually, LVP falls below the pressure existing in the left atrium and the mitral valve opens (at time **D**). At this point, blood flows from the left atrium into the LV as indicated by the rise of LVV; also note the slight rise in LVP as filling proceeds. This phase is called **filling**. In general terms, systole includes isovolumic contraction and ejection; diastole includes isovolumic relaxation and filling.

Whereas the four phases of the cardiac cycle are clearly illustrated on the plots of LVV, LVP, LAP and AoP as a function of time, it turns out that there are many advantages to displaying LVP as a function of LVV on a "pressure-volume diagram" (these advantages will be made clear by the end of the hand out). This is accomplished simply by plotting the simultaneously measured LVV and LVP on appropriately scaled axes; the resulting pressure-volume diagram corresponding to the curves of Figure 1 is shown in Figure 2, with volume on the x-axis and pressure on the y-axis. As shown, the plot of pressure versus volume for one cardiac cycle forms a loop. This loop is called the **pressure-volume loop** (abbreviated PV loop). As time proceeds, the PV points go around the loop in a counter clockwise direction. The

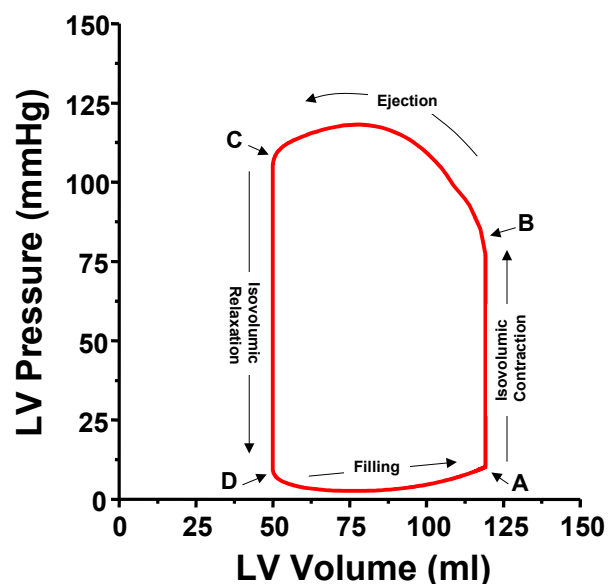


Figure 2

point of maximal volume and minimal pressure (i.e., the bottom right corner of the loop) corresponds to time **A** on Fig. 1, the onset of systole. During the first part of the cycle, pressure rises but volume stays the same (isovolumic contraction). Ultimately LVP rises above AoP, the aortic valve opens (**B**), ejection begins and volume starts to go down. With this representation, AoP is not explicitly plotted; however as will be reviewed below, several features of AoP are readily obtained from the PV loop. After the ventricle reaches its maximum activated state (**C**, upper left corner of PV loop), LVP falls below AoP, the aortic valve closes and isovolumic relaxation commences. Finally, filling begins with mitral valve opening (**D**, bottom left corner).

### Physiologic measurements retrievable from the pressure-volume loop .

As reviewed above, the ventricular pressure-volume loop displays the instantaneous relationship between intraventricular pressure and volume throughout the cardiac cycle. It turns out that with this representation it is easy to ascertain values of several parameters and variables of physiologic importance.

Consider first the volume axis (Figure 3). It is appreciated that we can readily pick out the maximum volume of the cardiac cycle. This volume is called the *end-diastolic volume (EDV)* because this is the ventricular volume at the end of a cardiac cycle. Also, the minimum volume the heart attains is also retrieved; this volume is known as the *end-systolic volume (ESV)* and is the ventricular volume at the end of the ejection phase. The difference between EDV and ESV represents the amount of blood ejected during the cardiac cycle and is called the *stroke volume (SV)*.

Now consider the pressure axis (Figure 4). Near the top of the loop we can identify the point at which the ventricle begins to eject (that is, the point at which volume starts to decrease) is the point at which ventricular pressure just exceeds aortic pressure; this pressure therefore reflects the pressure existing in the aorta at the onset of ejection and is called the *diastolic blood pressure (DBP)*. During the ejection phase, aortic and ventricular pressures are essentially equal; therefore, the point of greatest pressure on the loop also represents the greatest pressure in the aorta, and this is called the *systolic blood pressure (SBP)*. One additional pressure, the *end-systolic pressure (Pes)* is

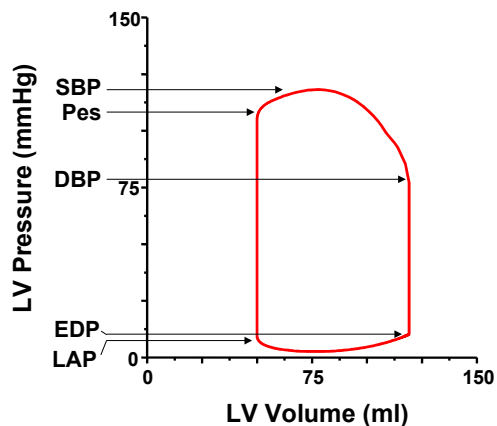


Figure 4

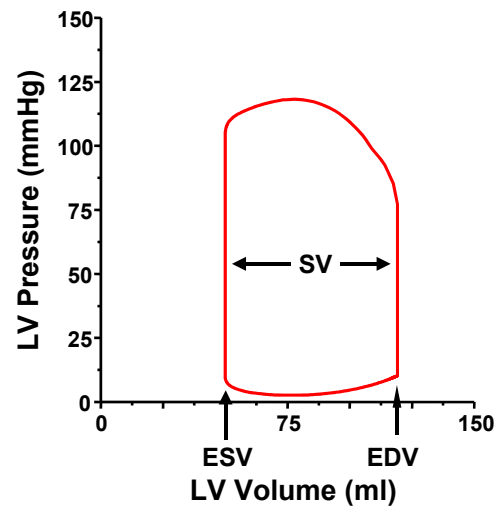


Figure 3

identified as the pressure of the left upper corner of the loop; the significance of this pressure will be discussed in detail below. Moving to the bottom of the loop, we can reason that the pressure of the left lower corner (the point at which the mitral valve opens and ejection begins) is roughly equal to the pressure existing in the left atrium (**LAP**) at that instant in time (recall that atrial pressure is not a constant, but varies with atrial contraction and instantaneous atrial volume). The pressure of the point at the bottom right corner of the loop is the pressure in the ventricle at the end of the cardiac cycle and is called the *end-diastolic pressure (EDP)*.

### III. PRESSURE-VOLUME RELATIONSHIPS

It is readily appreciated that with each cardiac cycle, the muscles in the ventricular wall contract and relax causing the chamber to stiffen (reaching a maximal *stiffness* at the end of systole) and then to become less stiff during the relaxation phase (reaching its minimal *stiffness* at end-diastole). Thus, the mechanical properties of the ventricle are time-varying, they vary in a cyclic manner, and the period of the cardiac cycle is the interval between beats. In the following discussion we will explore one way to represent the time-varying mechanical properties of the heart using the pressure-volume diagram. We will start with a consideration of ventricular properties at the extreme states of *stiffness* -- end systole and end diastole -- and then explore the mechanical properties throughout the cardiac cycle.

#### End-diastolic pressure-volume relationship (EDPVR)

Let us first examine the properties of the ventricle at end-diastole. Imagine the ventricle frozen in time in a state of complete relaxation. We can think of the properties of this ventricle with weak, relaxed muscles, as being similar to those of a floppy balloon. What would happen to pressure inside a floppy balloon if we were to vary its volume. Let's start with no volume inside the balloon; naturally there would be no pressure. As we start blowing air into the balloon there is initially little resistance to our efforts as the balloon wall expands to a certain point. Up to that point, the volume increases but pressure does not change. We will refer to this volume as  $V_0$ , or the maximal volume at which pressure is still zero mmHg; this volume is also frequently referred to as the unstressed volume. As the volume increases we meet with increasing resistance to our efforts to expand the balloon, indicating that the pressure inside the balloon is becoming higher and higher. The ventricle, *frozen* in its diastolic state, is much like this balloon. A typical relationship between pressure and volume in the ventricle at end-diastole is shown in Figure 5. As volume is increased initially, there is little increase in pressure until a certain point, designated " $V_0$ ". After this point, pressure increases with further increases in volume. Quantitative analysis of such curves measured from animal as well as from patient hearts has shown that pressure and volume are related by a nonlinear function such as:

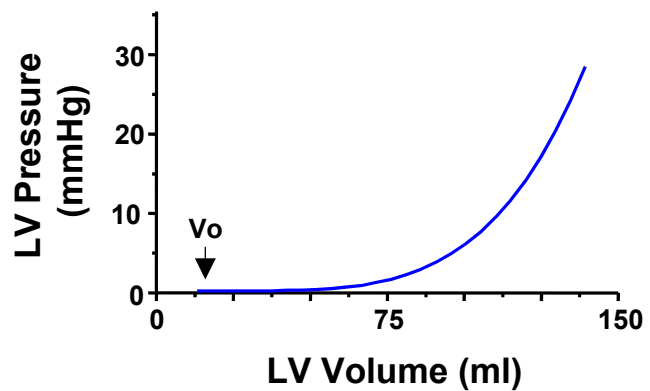


Figure 5

As volume is increased initially, there is little increase in pressure until a certain point, designated " $V_0$ ". After this point, pressure increases with further increases in volume. Quantitative analysis of such curves measured from animal as well as from patient hearts has shown that pressure and volume are related by a nonlinear function such as:

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$$EDP = P_0 + \beta V^\alpha \quad [1]$$

where EDP is the end-diastolic pressure,  $V$  is the volume inside the ventricle,  $P_0$  is the pressure asymptote at low volumes (generally close to 0 mmHg), and  $\alpha$  and  $\beta$  are constants which specify the curvature of the line and are determined by the mechanical properties of the muscle as well as the structural features of the ventricle. This curve is called the "end-diastolic pressure-volume relationship" (EDPVR).

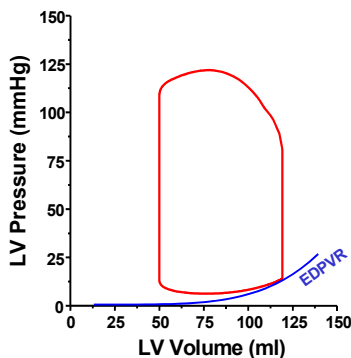


Figure 6

Under normal conditions, the heart would never exist in such a frozen state as proposed above. However, during each

contraction there is a period of time during which the mechanical properties of the heart are characterized by the EDPVR; knowledge of the EDPVR allows one to specify, for the end of diastole, EDP if EDV is known, or visa versa. Furthermore, since the EDPVR provides the pressure-volume relation with the heart in its most relaxed state, the EDPVR provides a boundary on which the PV loop falls at the end of the cardiac cycle as shown in Figure 6.

Under certain circumstances, the EDPVR may change. Physiologically, the EDPVR changes as the heart grows during childhood. Most other changes in the EDPVR accompany pathologic situations. Examples include the changes which occur with hypertrophy, the healing of an infarct, and the evolution of a dilated cardiomyopathy, to name a few.

**Compliance** is a term which is frequently used in discussions of the end-diastolic ventricular. Technically, *compliance* is the change in volume for a given change in pressure or, expressed in mathematical terms, it is the reciprocal of the derivative of the EDPVR ( $[dP/dV]^{-1}$ ). Since the EDPVR is nonlinear, the compliance varies with volume; compliance is greatest at low volume and smallest at high volumes (Figure 7). In the clinical arena, however, *compliance* is used in two different ways. First, it is used to express the idea that the diastolic properties are, in a general way, altered compared to normal; that is, that the EDPVR is either elevated or depressed compared to normal. Second, it is used to express the idea that the heart is working at a point on the EDPVR where its slope is either high or low (this usage is technically more correct). Undoubtedly you will hear this word used in the clinical setting, usually in a casual manner: "The patient's heart is noncompliant". Such a statement relays no specific information about what is going on with the diastolic properties of the heart. Statements specifying changes in the EDPVR or changes in the working volume range relay much more information. Understanding of these concepts has been highlighted recently with growing appreciation for the fact that some patients can experience heart failure when the EDPVR becomes elevated (as in hypertrophy) despite that fact that the strength of the heart during contraction is normal. This clinical phenomenon has been referred to as *diastolic dysfunction*.

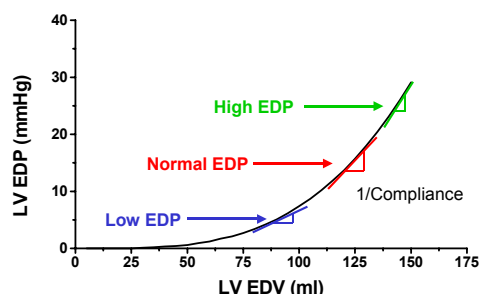


Figure 7

### End-systolic pressure-volume relationship (ESPVR)

Let us move now to the opposite extreme in the cardiac cycle: end-systole. At that instant of the cardiac cycle, the muscles are in their maximally *activated* state and it is easy to imagine the heart as a much stiffer chamber. As for end diastole, we can construct a pressure-volume relationship at end systole if we imagine the heart frozen in this state of maximal activation. An example is shown in Figure 8. As for the EDPVR, the end-systolic pressure volume relationship (**ESPVR**) intersects the volume axis at a slightly positive value ( $V_0$ ), indicating that a finite amount of volume must fill the ventricle before it can generate any pressure. For our purposes, we can assume that the  $V_0$  of the ESPVR and the  $V_0$  of the EDPVR are the same (this is not exactly true, but little error is made in assuming this and it simplifies further discussions). In contrast to the nonlinear EDPVR, the ESPVR has been shown to be reasonably linear over a wide range of

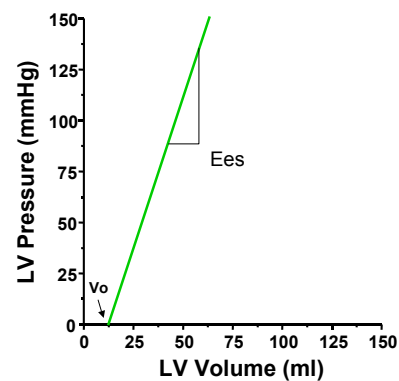


Figure 8



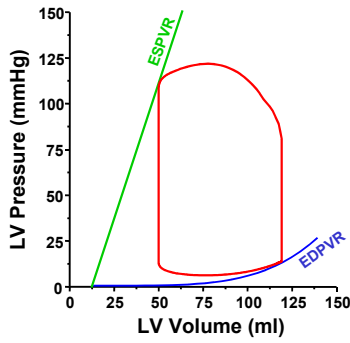


Figure 9

conditions, and can therefore be expressed by a simple equation:

$$P_{es} = E_{es} (V - V_0)$$

where  $P_{es}$  is the end-systolic pressure,  $V_0$  is as defined above,  $V$  is the volume of interest and  $E_{es}$  is the slope of the linear relation. **There is no *a priori* reason to expect that this relationship should be linear, it is simply an experimental observation.**  $E_{es}$  stands for *end systolic elastance*. *Elastance* means essentially the same thing as *stiffness* and is defined as the change in pressure for a given change in volume within a chamber; the higher the elastance, the stiffer the wall of the chamber.

As discussed above for the EDPVR, the heart would never exist in a *frozen* state of maximal activation. However, it does pass through this state during each cardiac cycle. The ESPVR provides a line which the PV loop will hit at end-systole, thus providing a second boundary for the upper left hand corner of the PV loop (Figure 9). Examples of different PV loops bounded by the ESPVR and EDPVR are shown in Figure 10. In the panel on the left, there are three PV loops which have the same EDV but have different aortic pressures; in obtaining these loops the properties of the arterial system were changed (specifically, the total peripheral resistance was modified) without modifying anything about the way the ventricle works. The upper left hand corner of each loop falls on the ESPVR, while the bottom right part of the loop falls on the EDPVR. In the panel on the right, three different loops are shown which have different EDVs and different aortic pressures. Here, the loops were obtained by modifying only the EDV without modifying anything about the heart or the arterial system. The upper left hand corner of each loop falls on the ESPVR, while the bottom right part of the loop falls on the EDPVR.

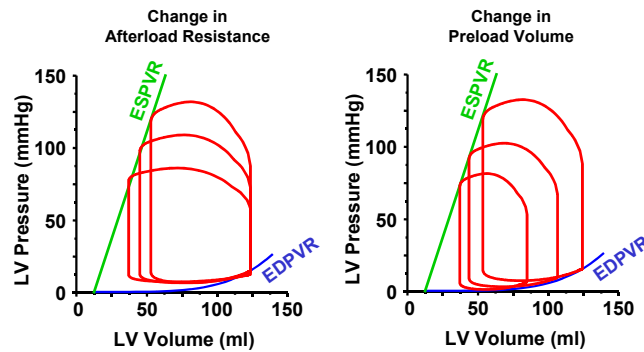


Figure 10

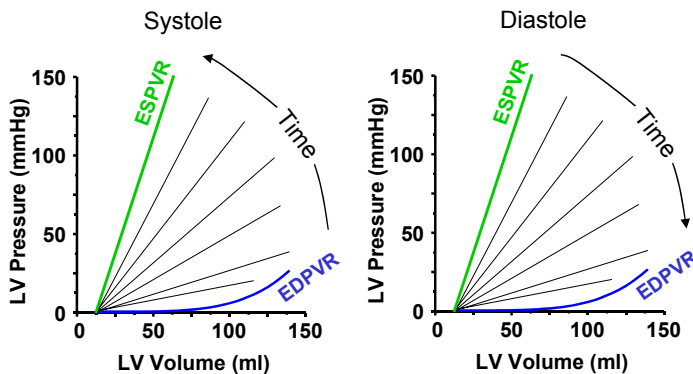


Figure 11

### Time varying elastance: $E(t)$

In the above discussion we have described the pressure-volume relationships at two instances in the cardiac cycle: end diastole and end systole. The idea of considering the pressure-volume relation with the heart frozen in a given state can be generalized to any point during the cardiac cycle. That is, at each instant of time during the cardiac cycle there exists a pressure-volume relationship. Such relations have been determined in the physiology laboratory. These experiments show, basically, that there



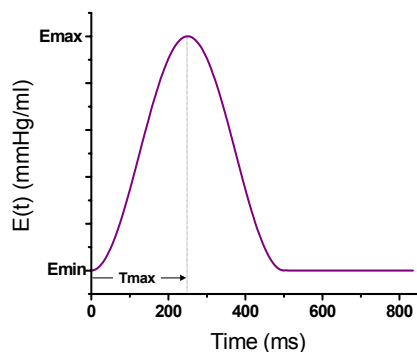


Figure 12

ventricular mechanical properties by plotting the time course of change in the slope of the instantaneous PVR. Above, we referred to the slope of the ESPVR as an *elastance*. Similarly, we can refer to the slopes of the instantaneous PVRs as *elastances*. A rough approximation of the instantaneous elastance throughout a cardiac cycle is shown in Figure 12. Note that the maximal value,  $E_{es}$ , is the slope of the ESPVR. The minimum slope,  $E_{min}$ , is the slope of the EDPVR in the low volume range. We refer to the function depicted in Fig. 11 as the *time varying elastance* and it is referred to as  $E(t)$ . With this function it is possible to relate the instantaneous pressure ( $P$ ) and volume ( $V$ ) throughout the cardiac cycle:  $P(V,t) = E(t) [V(t) - V_0]$ , where  $V_0$  and  $E(t)$  are as defined above and  $V(t)$  is the time varying volume. This relationship breaks down near end-diastole and early systole when there are significant nonlinearities in the pressure-volume relations at higher volumes. More detailed mathematical representations, beyond the scope of this hand out, are now available to describe the time-varying contractile properties of the ventricle which account for the nonlinear EDPVR. Nevertheless, the implication of this equation is that if one knows the  $E(t)$  function and if one knows the time course of volume changes during the cycle, one can predict the time course of pressure changes throughout the cycle.

#### IV. CONTRACTILITY

*Contractility* is an ill-defined concept used when referring to the intrinsic strength of the ventricle or cardiac muscle. By *intrinsic strength* we mean those features of the cardiac contraction process that are intrinsic to the ventricle (and cardiac muscle) and are independent of external conditions imposed by either the preload or afterload (i.e., the venous, atrial or arterial) systems. For example consider, once again, the PV loops in Fig. 10. We see that in the panel on the left that the actual amount of pressure generated by the ventricle and the stroke volume are different in the three cases, although we stated that these loops were obtained by modifying the arterial system and not changing anything about the ventricle. Thus, the changes in pressure generation in that figure do not represent changes in *contractility*. Similarly, the changes in pressure generation and stroke volume shown in the panel on the right side of the figure were brought about simply by changing the EDV of the ventricle and do not represent changes in ventricular *contractility*.

Now that we have demonstrated changes in ventricular performance (i.e., pressure generation and SV) which do *not* represent changes in contractility, let's explore some changes that do result from changes in contractility. First, how can contractility be changed? Basically, we consider ventricular contractility to be altered when any one or combination of the following events occurs:

is a relatively smooth transition from the EDPVR to the ESPVR and back. For most parts of the cardiac cycle these relations can be considered 1) to be linear and 2) to intersect at a common point, namely  $V_0$ . This idea is schematized in Figure 11. In the left panel the transition from the EDPVR towards the ESPVR during the contraction phase is illustrated, and the relaxation phase is depicted in the right panel. Since the instantaneous pressure-volume relations (**PVR**) are reasonably linear and intersect at a common point, it is possible to characterize the time course of change in

- 1) the amount of calcium released to the myofilaments is changed
- 2) the affinity of the myofilaments for calcium is changed
- 3) there is an alteration in the number of myofilaments available to participate in the contraction process.

You will recall that calcium interacts with troponin to trigger a sequence of events which allows actin and myosin to interact and generate force. The more calcium available for this process, the greater the number of actin-myosin interactions. Similarly, the greater troponin's affinity for calcium the greater the amount of calcium bound and the greater the number of actin-myosin interactions. Here we are linking *contractility* to cellular mechanisms which underlie excitation-contraction coupling and thus, changes in ventricular contractility would be the global expression of changes in contractility of the cells that make up the heart. Stated another way, ventricular contractility reflects *myocardial contractility* (the contractility of individual cardiac cells).

Through the third mechanism, changes in the number of muscle cells, as apposed to the functioning of any given muscle cell, cause changes in the performance of the ventricle as an organ. In acknowledging this as a mechanism through which ventricular contractility can be modified we recognize that ventricular contractility and myocardial contractility are not always linked to each other.

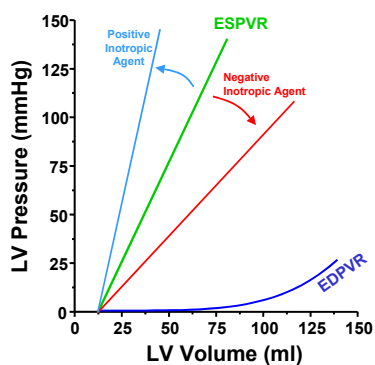


Figure 13

Humoral and pharmacologic agents can modify ventricular contractility by the first two mechanisms.  $\beta$ -adrenergic agonists (e.g. norepinephrine) increase the amount of calcium released to the myofilaments and cause an increase in contractility. In contrast,  $\beta$ -adrenergic antagonists (e.g., propranolol) blocks the effects of circulating epinephrine and norepinephrine and reduce contractility. Nifedipine is a drug that blocks entry of calcium into the cell and therefore reduces contractility when given at high doses. One example of how ventricular

contractility can be modified by the third mechanism mentioned above is the reduction in ventricular contractility following a myocardial infarction where there is loss of myocardial tissue, but the unaffected regions of the ventricle function normally.

While it is true that when contractility is changed there are generally changes in ventricular pressure generation and stroke volume, we have seen above that both of these can occur as a result of changes in EDV or arterial properties alone. Thus, measures like stroke volume and pressure would not be reliable indices of contractility. It turns out that we can look towards changes in the ESPVR to indicate changes in contractility, as shown in Figure 13. When agents known to increase ventricular contractility are administered to the heart there is an increase in  $E_{es}$ , the slope of the ESPVR. Such agents are known as positive "inotropic" agents. (*Inotropic*: from Greek meaning influencing the contractility of muscular tissue). Conversely, agents which are negatively inotropic reduce  $E_{es}$ . It is significant that neither  $V_0$  (the volume-axis intercept of the ESPVR) nor the EDPVR are affected significantly by these acute changes in contractility. Thus, because  $E_{es}$  varies with ventricular contractility but is not affected by changes in the arterial system properties nor changes in EDV,  $E_{es}$  is considered to be an index of contractility.

The major draw back to the use of  $E_{es}$  in the clinical setting is that it is very difficult to measure ventricular volume. Clearly, it is required that volume be measured in the assessment of  $E_{es}$ . Currently, the most commonly employed index of contractility in the clinical arena is *ejection fraction (EF)*. EF is defined as the ratio between EDV and SV:

$$EF = SV/EDV * 100. \quad [4]$$

This number ranges from 0% to 100% and represents the percentage of the volume present at the start of the contraction that is ejected during the contraction. The normal value of EF ranges between 55% and 65%. EF can be estimated by a number of techniques, including echocardiography and nuclear imaging techniques. The main disadvantage of this index is that it is a function of the properties of the arterial system. This can be appreciated by examination of the PV loops in the panel on the left of Figure 10, where ventricular contractility is constant yet EF is changing as a result of modified arterial properties. Nevertheless, because of its ease of measurement, and the fact that it does vary with contractility, EF remains and will most likely continue to be the preferred index of contractility in clinical practice for the foreseeable future.

## V. PRELOAD

*Preload* is the hemodynamic load or *stretch* on the myocardial wall at the end of diastole just before contraction begins. The term was originally coined in studies of isolated strips of cardiac muscle where a weight was hung from the muscle to prestretch it to the specified load before (*pre-*) contraction. For the ventricle, there are several possible measures of *preload*: 1) EDP, 2) EDV, 3) wall stress at end-diastole and 4) end-diastolic sarcomere length. Sarcomere length probably provides the most meaningful measure of muscle preload, but this is not possible to measure in the intact heart. In the clinical setting, EDP probably provides the most meaningful measure of preload in the ventricle. EDP can be assessed clinically by measuring the pulmonary capillary wedge pressure (PCWP) using a Swan-Ganz catheter that is placed through the right ventricle into the pulmonary artery.

## VI. AFTERLOAD

*Afterload* is the hydraulic *load* imposed on the ventricle during ejection. This load is usually imposed on the heart by the arterial system, but under pathologic conditions when either the mitral valve is incompetent (i.e., leaky) or the aortic valve is stenotic (i.e., constricted) *afterload* is determined by factors other than the properties of the arterial system (we won't go into this further in this hand out). There are several measures of afterload that are used in different settings (clinical *versus* basic science settings). We will briefly mention four different measures of afterload.

1) **Aortic Pressure.** This provides a measure of the pressure that the ventricle must overcome to eject blood. It is simple to measure, but has several shortcomings. First, aortic pressure is not a constant during ejection. Thus, many people use the mean value when considering this as the measure of afterload. Second, as will become clear below, aortic pressure is determined by properties of both the arterial system and of the ventricle. Thus, mean aortic pressure is not a measure which uniquely indexes arterial system properties.

2) **Total Peripheral Resistance.** The total peripheral resistance (TPR) is the ratio between the mean pressure drop across the arterial system [which is equal to the mean aortic pressure (MAP) minus the central venous pressure (CVP)] and mean flow into the arterial system [which is equal to the cardiac output (CO)]. Unlike aortic pressure by itself, this measure is independent of the functioning of the ventricle. Therefore, it is an index which describes arterial properties. According to its mathematical definition, it can only be used to relate mean flows and pressures through the arterial system.

3) **Arterial Impedance.** This is an analysis of the relationship between pulsatile flow and

pressure waves in the arterial system. It is based on the theories of Fourier analysis in which flow and pressure waves are decomposed into their harmonic components and the ratio between the magnitudes of pressure and flow waves are determined on a harmonic-by-harmonic basis. Thus, in simplistic terms, impedance provides a measure of resistance at different driving frequencies. Unlike TPR, impedance allows one to relate instantaneous pressure and flow. It is more difficult to understand, most difficult to measure, but the most comprehensive description of the intrinsic properties of the arterial system as they pertain to understanding the influence of afterload on ventricular performance.

**4) Myocardial Peak Wall Stress.** During systole, the muscle contracts and generates force, which is transduced into intraventricular pressure, the amount of pressure being dependent upon the amount of muscle and the geometry of the chamber. By definition, *wall stress* ( $\sigma$ ) is the force per unit cross sectional area of muscle and is simplistically interrelated to intraventricular pressure (LVP) using Laplace's law:  $\sigma = \text{LVP} \cdot r/h$ , where  $r$  is the internal radius of curvature of the chamber and  $h$  is the wall thickness. In terms of the muscle performance, the peak wall stress relates to the amount of force and work the muscle does during a contraction. Therefore, peak wall stress is sometimes used as an index of afterload. While this is a valid approach when trying to explain forces experienced by muscles within the wall of the ventricular chamber, wall stress is mathematically linked to aortic pressure which, as discussed above, does not provide a measure of the arterial properties and therefore is not useful within the context of indexing the afterload of the ventricular chamber.

## VII. QUANTIFYING THE DETERMINANTS OF VENTRICULAR PERFORMANCE

Two primary measures of overall cardiovascular performance are the arterial blood pressure and the cardiac output. These parameters are also of primary concern in the clinical setting since both an adequate blood pressure and an adequate cardiac output are necessary to maintain life. It is important to appreciate, however, that both cardiac output and blood pressure are determined by the interaction between the heart, the arterial system (afterload) and the venous system (preload); this is a fundamental concept. Furthermore, it is important to develop an appreciation for how the heart and vasculature interact to determine these indices of performance. This is highlighted by the fact that one major facet of intensive care medicine deals with maintaining adequate blood pressure and cardiac output by manipulating ventricular contractility, heart rate, arterial resistance and ventricular preload. Two approaches to understanding how these parameters regulate cardiovascular performance will be reviewed: a classical approach, commonly referred to as *Frank-Starling Curves*, and a more modern approach based upon pressure-volume analyses.

### Frank-Starling Curves

Otto Frank (1899) is credited with the seminal observation that peak ventricular pressure increases as the end-diastolic volume is increased (as in Fig. 8). This observation was made in an isolated frog heart preparation in which ventricular volume could be measured with relative ease. Though of primary importance, the significance may not have been appreciated to the degree it could have been because it was (and remains) difficult to measure ventricular volume in more intact settings (e.g., experimental animals or patients). Thus it was difficult for other investigators to study the relationship between pressure and volume in these more relevant settings.

Around the mid 1910's, Starling and coworkers observed a related phenomenon, which they presented in a manner that was much more useful to physiologists and ultimately to

clinicians. They measured the relationship between ventricular filling pressure (related to end-diastolic volume) and cardiac output ( $CO=SV \times HR$ ). They showed that there was a nonlinear relationship between end-diastolic pressure (EDP, also referred to as ventricular *filling pressure*) and CO as shown in Fig. 14; as filling pressure was increased in the low range there is a marked increase in CO, whereas the slope of this relationship becomes less steep at higher filling pressures.

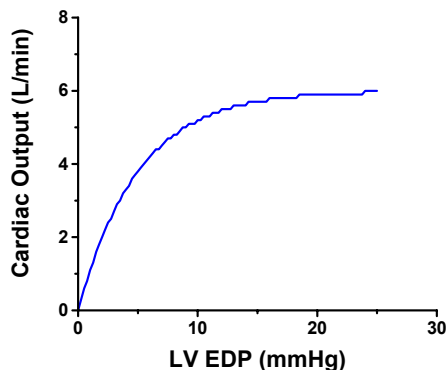


Figure 14

The observations of Frank and of Starling form one of the basic concepts of cardiovascular physiology that is referred to as the Frank-Starling Law of the Heart: ***cardiac performance (its ability to generate pressure or to pump blood) increases as preload is increased.*** There are a few caveats, however. Recall from the anatomy of the cardiovascular system that left ventricular filling pressure is approximately equal to pulmonary venous pressure. As pulmonary venous pressure rises there is an increased tendency (*Starling Forces*) for fluid to leak out of the capillaries and into the interstitial

space and alveoli. When this happens, there is impairment of gas exchange across the alveoli and hemoglobin oxygen saturation can be markedly diminished. This phenomenon typically comes into play when pulmonary venous pressure rises above ~20mmHg and becomes increasingly prominent with further increases. When pulmonary venous pressures increase above 25-30 mmHg, there can be profound transudation of fluid into the alveoli and *pulmonary edema* is usually prominent. Therefore, factors extrinsic to the heart dictate a practical limit to how high filling pressure can be increased.

As noted above, factors other than preload are important for determining cardiac performance: ventricular *contractility* and afterload properties. Both of these factors can influence the Frank-Starling Curves. When ventricular contractile state is increased, CO for a given EDP will increase and when contractile state is depressed, CO will decrease (Fig. 15). When arterial resistance is increased, CO will decrease for a given EDP while CO will increase when arterial resistance is decreased (Fig. 16). Thus, shifts of the Frank-Starling curve are nonspecific in that they may signify either a change in contractility or a change in afterload. It is for this reason that Starling-Curves are *not* used as a means of indexing ventricular contractile strength.

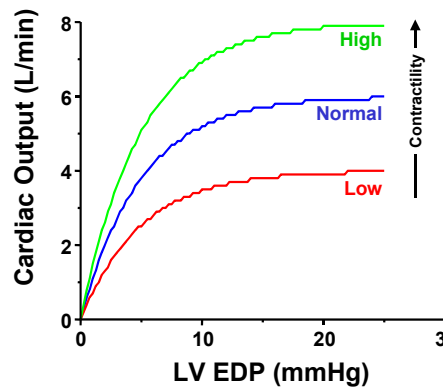


Figure 15

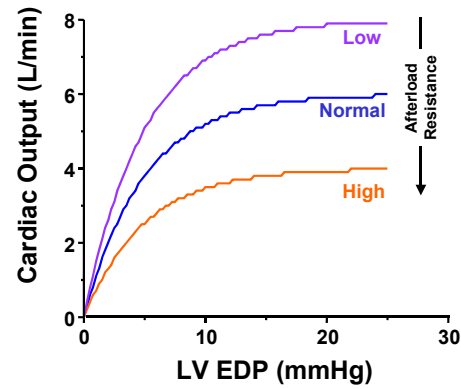


Figure 16

### Ventricular-Vascular Coupling Analyzed on the Pressure-Volume Diagram

We have already discussed in detail how ventricular properties are represented on the PV diagram and how these are modified by inotropic agents. We have seen examples of PV loops obtained with constant ventricular properties at different EDVs and arterial properties (Figure 10). Therefore, let us now turn to a discussion of how arterial properties can be represented on the PV diagram. Specifically, we will explore how TPR can be represented on the PV diagram an index of afterload, called  $E_a$  which stands for *effective arterial elastance*, that is closely related to TPR. The ultimate goal of the discussion to follow is to provide a quantitative method of uniting ventricular afterload, heart rate, preload and contractility on the PV diagram so that cardiovascular variables such as cardiac output (stroke volume) and arterial pressure can be determined from ventricular and vascular properties.

Let us start with the definition of TPR:

$$\text{TPR} = [\text{MAP} - \text{CVP}] / \text{CO} \quad [4]$$

where CVP is the central venous pressure and MAP is the mean arterial pressure. Cardiac output (CO) represents the mean flow during the cardiac cycle and can be expressed as:

$$\text{CO} = \text{SV} * \text{HR} \quad [5]$$

where SV is the stroke volume and HR is heart rate. Substituting Eq. [5] into Eq. [4] we obtain:

$$\text{TPR} = [\text{MAP} - \text{CVP}] / (\text{SV} * \text{HR}) . \quad [6]$$

At this point we make two simplifying assumptions. First, we assume that CVP is negligible compared to MAP. This is reasonable under normal conditions, since the CVP is generally around 0-5 mmHg. Second, we will make the assumption that MAP is approximately equal to the end-systolic pressure in the ventricle ( $P_{es}$ ). Making these assumptions, we can rewrite Eq. [6] as:

$$\text{TPR} \approx P_{es} / (\text{SV} * \text{HR}) \quad [7]$$

which can be rearranged to:

$$\text{TPR} * \text{HR} \approx P_{es} / \text{SV} \quad [8]$$

Note, as shown in Fig. 17, that the quantity  $P_{es}/\text{SV}$  can be easily ascertained from the pressure volume loop by taking the negative value of the slope of the line connecting the point on the volume-axis equal to the EDV with the end-systolic pressure-volume point. Let us define the slope of this line as  $E_a$ :

$$E_a = P_{es}/\text{SV} \quad [9]$$

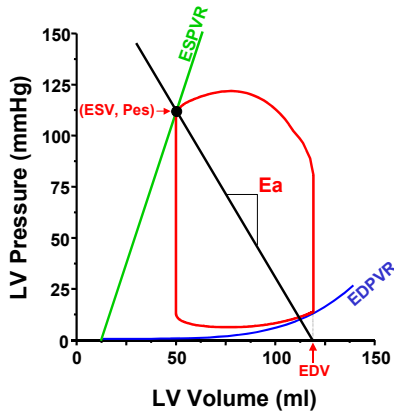


Figure 17

pressure-volume diagram to demonstrate that it is possible to estimate how the ventricle and arterial system interact to determine such things as mean arterial pressure (MAP) and SV when contractility, TPR, EDV or HR are changed. In order to do this, we reiterate the parameters which characterize the state of the cardiovascular system. First, are those parameters necessary to quantify the systolic pump function of the ventricle; these are  $E_{es}$  and  $V_o$ , the parameters which specify the ESPVR. Second, are the parameters which specify the properties of the arterial system; we will take  $E_a$  as our measure of this, which is dependent on TPR and heart rate. Finally we must specify a preload; this can be done by simply specifying EDV or, if the EDPVR is known, we can specify EDP. If we specify each of these parameters, then we can estimate a value for MAP and SV (and CO, since  $CO=SV \cdot HR$ ) as depicted in Fig. 19.

In order to do this, first draw the ESPVR line (panel A). Second (panel B), mark the

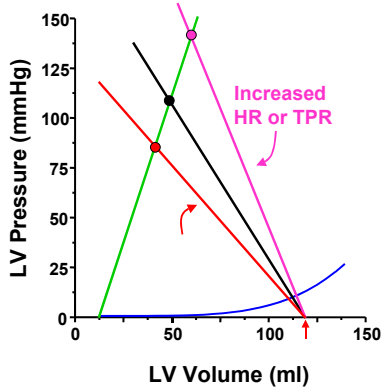


Figure 18

This term is designated  $E$  for "elastance" because the units of this index are mmHg/ml (the same as for  $E_{es}$ ). The "a" denotes that this term is for the arterial system. Note that this measure is dependent on the TPR and heart rate. If the TPR or HR goes up, then  $E_a$  goes up, as illustrated in Fig. 18; reduction in either TPR or HR cause a reduction in  $E_a$ . As shown in this Figures 17 and 18, the  $E_a$  line is drawn on the pressure-volume diagram (the same set of axes as the ESPVR and the EDPVR); it starts at EDV and has a slope of  $-E_a$  and intersects with the ESPVR at one point.

Next, we will use these features of the pressure-volume diagram to demonstrate that it is possible to estimate how the ventricle and arterial system interact to determine such things as mean arterial pressure (MAP) and SV when contractility, TPR, EDV or HR are changed. In order to do this, we reiterate the parameters which characterize the state of the cardiovascular system. First, are those parameters necessary to quantify the systolic pump function of the ventricle; these are  $E_{es}$  and  $V_o$ , the parameters which specify the ESPVR. Second, are the parameters which specify the properties of the arterial system; we will take  $E_a$  as our measure of this, which is dependent on TPR and heart rate. Finally we must specify a preload; this can be done by simply specifying EDV or, if the EDPVR is known, we can specify EDP. If we specify each of these parameters, then we can estimate a value for MAP and SV (and CO, since  $CO=SV \cdot HR$ ) as depicted in Fig. 19.

In order to do this, first draw the ESPVR line (panel A). Second (panel B), mark the EDV on the volume axis and draw a line through this EDV point with a slope of  $-E_a$ . The ESPVR and the  $E_a$  line will intersect at one point. This point is the estimate of the end-systolic pressure-volume point. With that knowledge you can draw a box which represents an approximation of the PV loop under the specified conditions, with the bottom of the box determined by the EDPVR (Panel C). SV and  $P_{es}$  can be measured directly from the diagram. Recall that  $P_{es}$  is roughly equal to MAP.



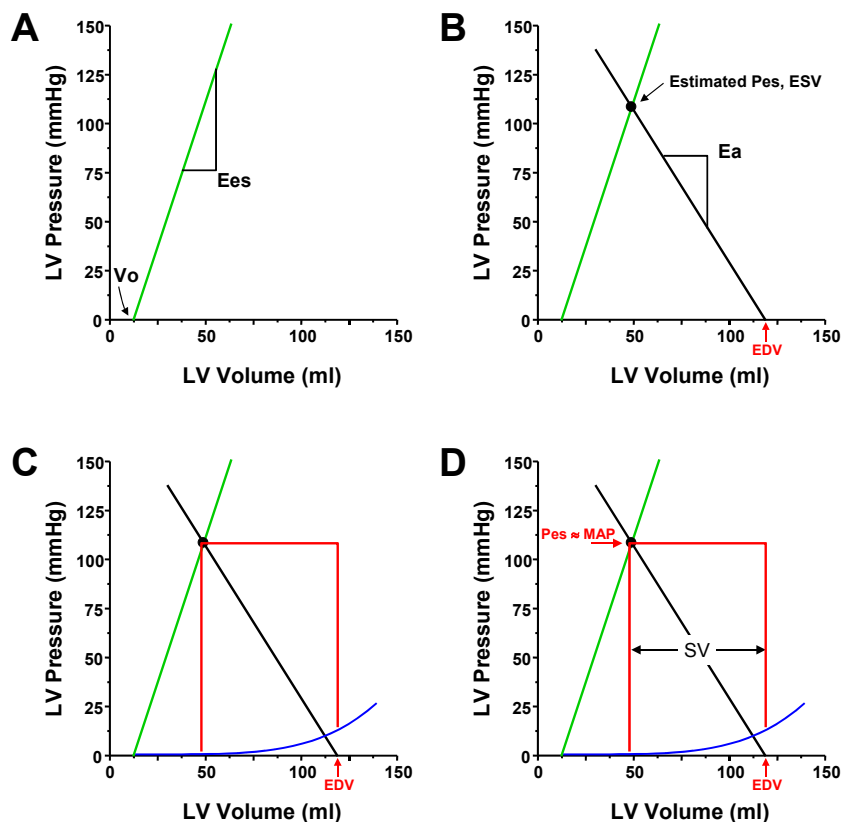


Figure 19

Use of this technique is illustrated in Figure. 20 through 23. In each case, the ESPVR and  $E_a$  for the specified conditions are drawn on the pressure-volume diagram superimposed on actual PV loops. In Fig. 20 we see what happens if TPR is altered, but EDV is kept constant. As TPR is increased, the slope of the  $E_a$  line increases and intersects the ESPVR at an increasingly higher pressure and higher volume. Thus, increasing TPR increases MAP but decreases SV (and CO) when ventricular properties ( $E_{es}$ ,  $V_o$  and HR) are constant.

The influence of preload (EDV) is shown in the three loops of Fig. 21. Here, the ESPVR, HR and TPR are constant so that  $E_a$  is also constant. The slope of the  $E_a$  line is not altered when preload is increased, the  $E_a$  line is simply shifted in a parallel fashion. With each increase in preload volume,  $P_{es}$  and SV increase, and clearly it is possible to make a quantitative prediction of precisely how much.

The influence of contractility is shown in Fig. 22. In this case, nothing is changed in the arterial system and the EDV is constant;  $E_{es}$  is the only thing to change. When  $E_{es}$  is increased, the  $E_a$  line intersects the ESPVR at a higher pressure and lower volume. Therefore, despite increased MAP, SV increases (which contrasts with the decreased SV obtained with increased MAP when TPR is increased).

Finally, the influence of HR is shown in Fig. 23. The effect of increasing HR is similar to the effect of increasing TPR as predicted by the fact that both influence  $E_a$  in the same way.

For those of you that are quantitatively inclined, you can derive the following equations which mathematically predict  $P_{es}$  ( $\approx$  MAP) and SV based on the graphical techniques described above:

$$P_{es} = [EDV - V_o] / [1/E_a + 1/E_{es}]$$

$$SV = [EDV - V_o] / [1 + E_a/E_{es}]$$

The technique described above is useful in predicting  $P_{es}$  and SV when the parameters of the system are known. It is also useful in making qualitative predictions (e.g., does SV increase or decrease) when only rough estimates of the parameters are available. Thus, this system provides a simple means of understanding the determinants of cardiac output and arterial pressure.

