

## HIV Diagnosis and Pathogenesis

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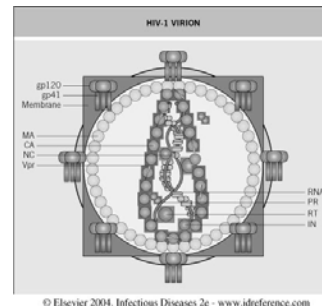
## Laboratory Diagnosis of Acute HIV-1 Infection

- Patients with acute HIV infection may present to a health care facility before full antibody seroconversion
  - ELISA may be negative
  - ELISA may be positive with negative or indeterminate Western blot
- Plasma HIV-1 RNA level should be done if acute HIV infection is suspected
- Follow-up antibody testing should be performed to document full seroconversion (positive ELISA and WB)

## HIV Diagnosis

- Consider in anyone presenting with symptoms and signs compatible with an HIV-related syndrome or in an asymptomatic person with a risk factor for acquisition
- Full sexual and behavioral history should be taken in all patients
  - Assumptions of risk (or lack thereof) by clinicians are unreliable

## HIV-1 Virion

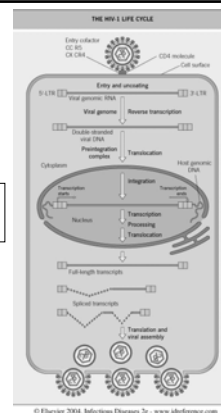


## Laboratory Diagnosis of Established HIV Infection: Antibody Detection

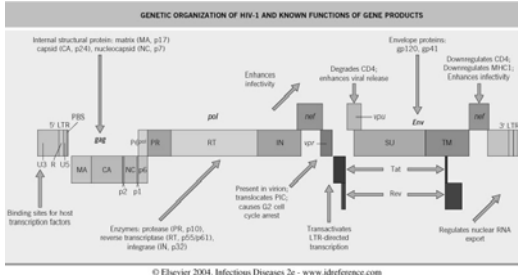
- Screening
  - Serum ELISA
  - Rapid blood or salivary Ab tests
- Confirmation
  - Western blot
- Written consent for HIV Ab testing must be obtained and be accompanied by pre- and post-test counselling

## HIV Life Cycle

Tat = transcriptional activator  
Rev = regulator of mRNA nuclear export



## HIV-1: Genetic Organization



## Determinants of Outcome: Selected Viral Factors

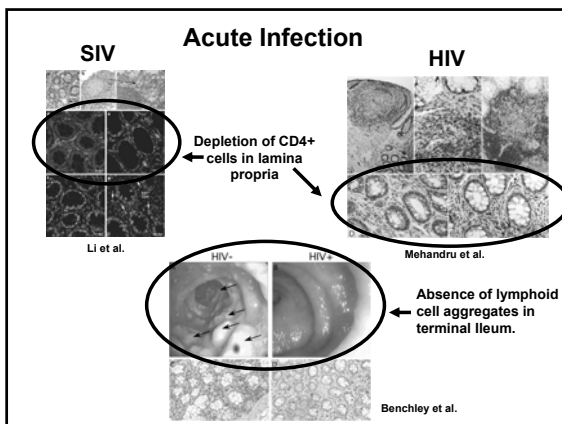
- **Escape from immune response**
  - Under immune selective pressure (cellular and humoral), mutations in *gag*, *pol* and *env* may arise
- **Attenuation**
  - *nef* deleted viruses associated with slow or long-term nonprogression in case reports and small cohorts
- **Tropism**
  - R5 to X4 virus conversion associated with increased viral pathogenicity and disease progression
- **Subtypes**
  - Potential for varied subtypes to exhibit differential transmissibility and virulence
    - » Potential for greater heterosexual spread of some subtypes

## Established HIV Infection: Pathogenesis

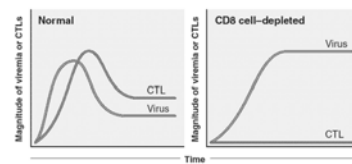
- **Active viral replication present throughout course of disease**
- **Major reservoirs of infection exist outside of blood compartment**
  - Lymphoreticular tissues
  - Central nervous system
  - Genital tract
- **Virus exists as multiple quaspecies**
  - Mixtures of viruses with differential phenotypic and genotypic characteristics may coexist
- **At least  $10 \times 10^9$  virions produced and destroyed each day**
- **$T_{1/2}$  of HIV in plasma is <6 h and may be as short as 30 minutes**
- **Immune response, chemokine receptor status and HLA type are important codeterminants of outcome**

## Host Factors in HIV Infection (I)

- **Cell-mediated immunity**
  - Cytotoxic T cells
    - » Eliminate virus infected cells
    - » Play prominent role in control of viremia, slowing of disease progression and perhaps prevention of infection
  - T-helper response
    - » Vital for preservation of CTL response
- **Humoral immunity**
  - Role in prevention of transmission and disease progression unclear



## Role of CTL's in Control of Viremia

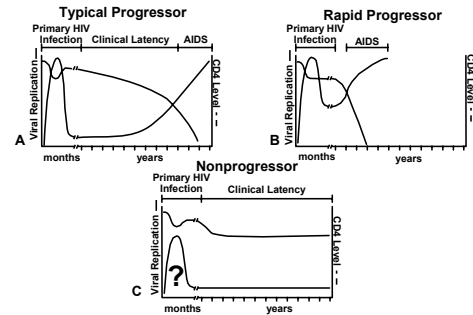


Letvin N & Walker B: Nature Med 2003;9:861-866

## Host Factors in HIV Infection (II)

- **Chemokine receptors**
  - CCR5-Δ32 deletion
    - » Homozygosity associated with decreased susceptibility to R5 virus infection
    - » Heterozygosity associated with delayed disease progression
  - CCR2-V64I mutation
    - » Heterozygosity associated with delayed disease progression
  - CCR5 promoter polymorphisms
    - » 59029-G homozygosity associated with slower disease progression
    - » 59356-T homozygosity associated with increased perinatal transmission

## The Variable Course of HIV-1 Infection

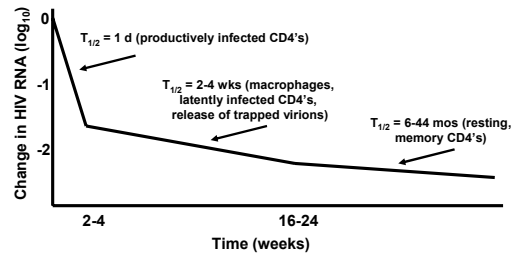


Reprinted with permission from Haynes. In: DeVita et al, eds. *AIDS: Etiology, Treatment and Prevention*. 4th ed. Lippincott-Raven Publishers; 1997:89-99.

## Host Factors in HIV Infection (III)

- **Other genetic factors**
  - Class I alleles B35 and Cw4
    - » Associated with accelerated disease progression
  - Heterozygosity at all HLA class I loci
    - » Appear to be protective
  - HLA-B57, HLA-B27, HLA-Bw4, HLA-B\*5701
    - » Associated with long-term non-progression
  - HLA-B14 and HLA-C8
    - » ?Associated with long-term nonprogression

## Phases of Decay Under the Influence of Potent Antiretroviral Therapy



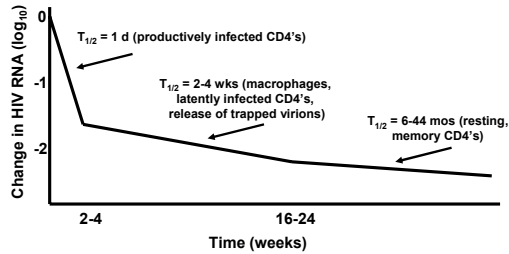
## Mechanisms of CD4+ Cell Death in HIV Infection

- **HIV-infected cells**
  - Direct cytolytic effect of HIV
  - Lysis by CTL's
  - Apoptosis
    - » Potentiated by viral gp120, Tat, Nef, Vpu
- **HIV-uninfected cells**
  - Apoptosis
    - » Release of gp120, Tat, Nef, Vpu by neighboring, infected cells
  - Activation induced cell death

## Therapeutic Implications of First and Second Phase HIV RNA Declines

- **Antiviral potency can be assessed in first 7-14 days**
  - Should see 1-2 log declines after initiation of therapy in persons with drug susceptible virus who are adherent
- **HIV RNA trajectory in first 1-8 weeks can be predictive of subsequent response**
  - Durability of response translates into clinical benefit

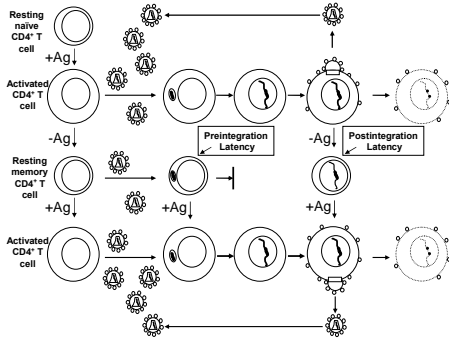
### Phases of Decay Under the Influence of Potent Antiretroviral Therapy



### Initiation of Therapy in Established HIV Infection: Considerations

- Patient's disease stage
  - Symptomatic status
  - CD4 cell count
  - Plasma HIV-1 RNA level
- Patient's commitment to therapy
- Philosophy of treatment
  - Pros and cons of 'early' intervention

### Model of Post-Integration Latency



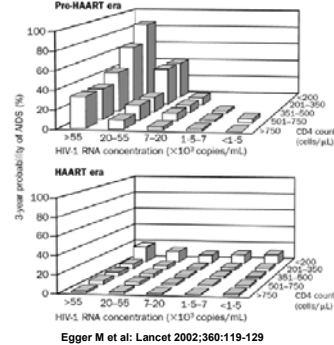
### Initiation of Therapy in Asymptomatic Persons: Population Based Studies

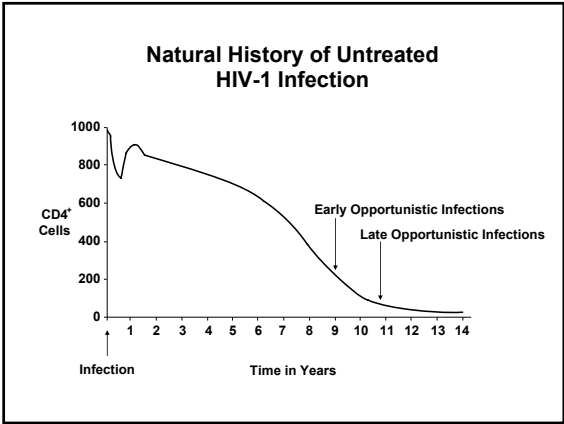
- Clinical outcome compromised if Rx begun when CD4 <200
  - Miller et al (EuroSIDA), Ann Intern Med 1999;130:570-577
  - Hogg et al (British Columbia), JAMA 2001;286:2568
  - Sterling et al (JHU), AIDS 2001;15:2251-2257
  - Palella et al (HOPS), Ann Intern Med 2003;138:620-626
  - Sterling et al (JHU), J Infect Dis 2003;188:1659-1665
- No virologic or immunologic advantage to starting at CD4 >350 vs. 200-350; increased rate of virologic failure when starting at CD4 <200
  - Cozzi-Lepri et al (ICONA), AIDS 2001;15:983-990
- Virologic responses comparable among groups with CD4 >200; slower decline to RNA <500 in those with RNA's >100,000 at baseline
  - Phillips et al (SHCS, EuroSIDA, Frankfurt), JAMA 2001;286:2560-2567
- Clinical outcome compromised if Rx begun when CD4 <200 or RNA >100,000
  - Egger et al (13 cohorts, >12,000 persons), Lancet 2002;360:119-129

### Therapeutic Implications of Third Phase of HIV RNA Decay: Latent Cell Reservoir

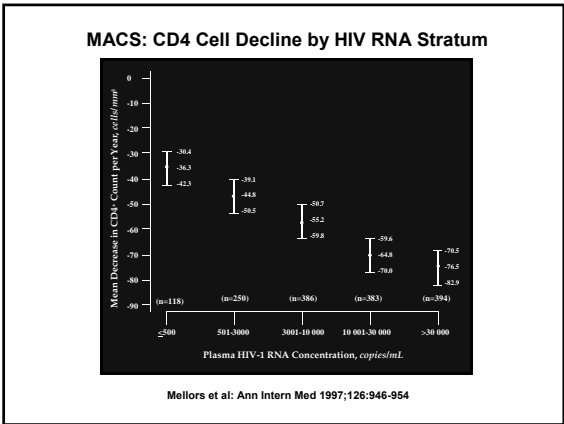
- Viral eradication not possible with current drugs
- Archive of replication competent virus history is established
  - Drug susceptible and resistant
- Despite the presence of reservoir(s), minimal degree of viral evolution observed in patients with plasma HIV RNA levels <50 c/ml suggests that current approach designed to achieve maximum virus suppression is appropriate

### Prognosis According to CD4 and RNA: ART Cohort Collaboration





- ### CD4 and HIV-1 RNA (II)
- **Good but incomplete surrogate markers**
    - For both natural history and treatment effect
  - **Thresholds are arbitrary**
    - Disease process is a biologic continuum
    - Gender specificity of HIV RNA in early-mid stage disease needs to be considered
  - **Treatment decisions should be individualized**
    - Baseline should be established
    - Trajectory determined



- ### HIV Resistance: Underlying Concepts
- **Genetic variants are continuously produced as a result of high viral turnover and inherent error rate of RT**
    - Mutations at each codon site occur daily
      - » Survival depends on replication competence and presence of drug or immune selective pressure
    - Double mutations in same genome also occur but 3 or more mutations in same genome is a rare event
    - Numerous natural polymorphisms exist

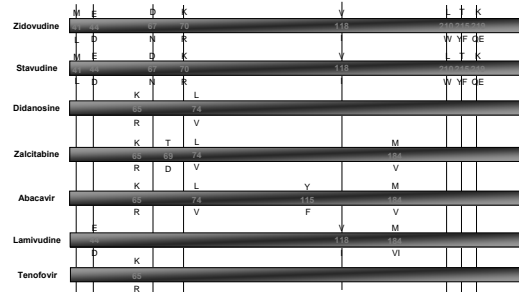
- ### CD4 and HIV-1 RNA (I)
- **Independent predictors of outcome in most studies**
  - **Near-term risk defined by CD4**
  - **Longer-term risk defined by both CD4 and HIV-1 RNA**
  - **Rate of CD4 decline linked to HIV RNA level in untreated persons**

- ### Pre-existence of Resistant Mutants
- **Viral replication cycles:  $10^9$ - $10^{10}$ /day**
  - **RT error rate:  $10^{-4}$ - $10^{-5}$ /base/cycle**
  - **HIV genome:  $10^4$  bp**
  - **Every point mutation occurs  $10^4$ - $10^5$  times/day**
    - In drug naive individuals
      - » Single and double mutants pre-exist
      - » Triple and quadruple mutants would be predicted to be rare

## HIV Resistance: Underlying Concepts

- Implications
  - Resistance mutations may exist before drug exposure and may emerge quickly after it is introduced
  - Drugs which develop high level resistance with a single mutation are at greatest risk
    - » e.g., 3TC, NNRTI's (nevirapine, efavirenz)
  - Resistance to agents which require multiple mutations will evolve more slowly
  - Partially suppressive regimens will inevitably lead to emergence of resistance
  - A high 'genetic barrier' needs to be set to prevent resistance
    - » Potent, combination regimens

## Mutations Associated with nRTIs/ntRTIs

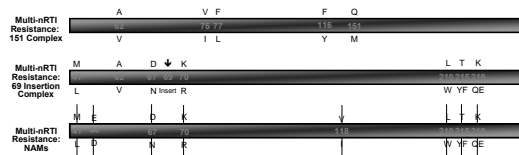


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## HIV Drug Resistance: Definitions

- Genotype
  - Determines phenotype
  - Major and minor mutations for PIs
- Phenotype
  - Drug susceptibility
- Virtual phenotype
  - Result of large relational genotype and phenotype database

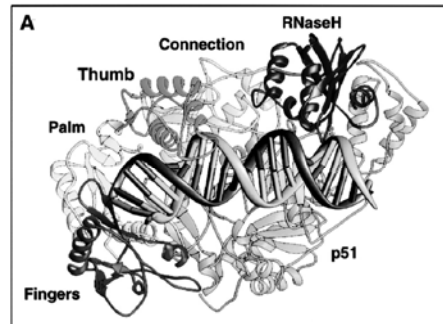
## Mutations Associated with nRTIs/ntRTIs



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## HIV Drug Resistance: Methodologies

- Genotyping
  - Different platforms
    - » Dideoxy sequencing
    - » Gene chip
    - » Point mutation assays
- Phenotyping
  - Recombinant virus assays
- Virtual phenotyping
  - Informatics



## Nucleoside Analog Resistance

TAM's (M41L, D67N, K70R, L210W, T215F/Y, K219Q/E/N)	M184V	K65R
Confer ZDV resistance thru ZDV-MP excision	Confers 3TC resistance thru decreased 3TC-TP incorporation	Confers non-ZDV NRTI resistance thru decreased analog incorporation
Antagonize K65R	Decreases ZDV resistance thru decreased ZDV-MP excision	Decreases ZDV resistance thru decreased ZDV-MP excision

## Mutations Selected by PIs

Multi-PI Resistance: Accumulation of Mutations

Multi-PI Resistance: Accumulation of Mutations

Indinavir

Ritonavir

Saquinavir

Nelfinavir

Amprenavir

Lopinavir/Ritonavir

Atazanavir

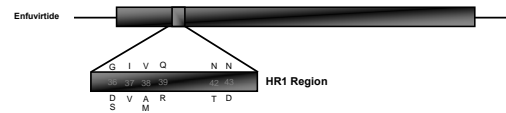
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## Pyrophosphorylation



Courtesy M. Parniak  
Mellors, 9<sup>th</sup> CROI, 2002

## Mutations in the GP41 Envelope Gene Associated With Resistance to Entry Inhibitors



## Mutations Selected by NNRTIs

Multi-NNRTI Resistance

Multi-NNRTI Resistance: Accumulation of Mutations

Nevirapine

Delavirdine

Efavirenz

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## Progress in HIV Disease

