

# HIV Diagnosis and Pathogenesis

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## HIV Diagnosis

- Consider in anyone presenting with symptoms and signs compatible with an HIV-related syndrome or in an asymptomatic person with a risk factor for acquisition
- Full sexual and behavioral history should be taken in all patients
  - Assumptions of risk (or lack thereof) by clinicians are unreliable

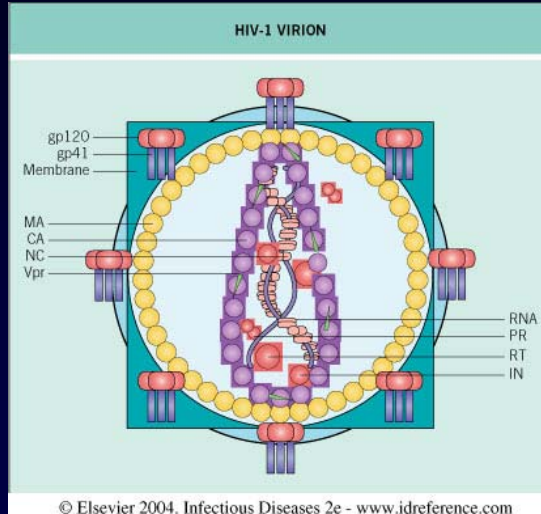
## Laboratory Diagnosis of Established HIV Infection: Antibody Detection

- **Screening**
  - Serum ELISA
  - Rapid blood or salivary Ab tests
- **Confirmation**
  - Western blot
- **Written consent for HIV Ab testing must be obtained and be accompanied by pre- and post-test counselling**

## Laboratory Diagnosis of Acute HIV-1 Infection

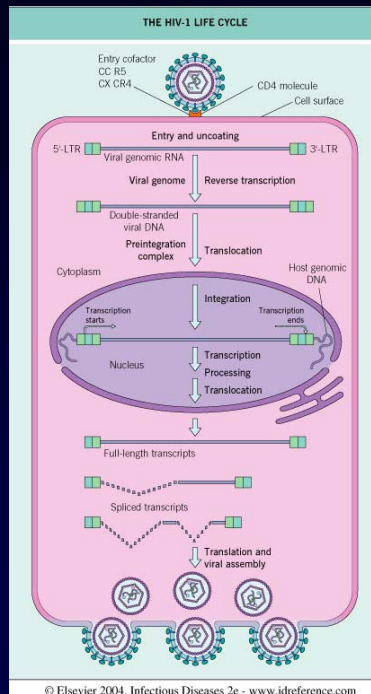
- **Patients with acute HIV infection may present to a health care facility before full antibody seroconversion**
  - ELISA may be negative
  - ELISA may be positive with negative or indeterminate Western blot
- **Plasma HIV-1 RNA level should be done if acute HIV infection is suspected**
- **Follow-up antibody testing should be performed to document full seroconversion (positive ELISA and WB)**

# HIV-1 Virion

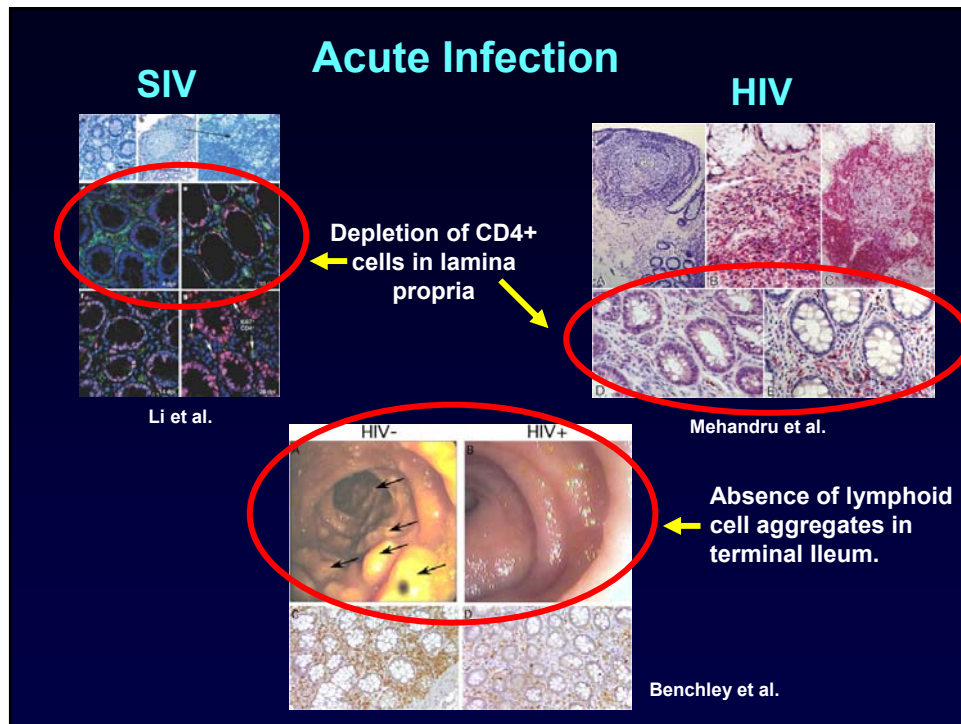


# HIV Life Cycle

**Tat = transcriptional activator**  
**Rev = regulator of mRNA nuclear export**







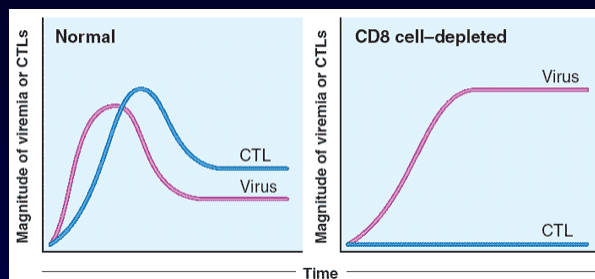
## Determinants of Outcome: Selected Viral Factors

- **Escape from immune response**
  - Under immune selective pressure (cellular and humoral), mutations in *gag*, *pol* and *env* may arise
- **Attenuation**
  - *nef* deleted viruses associated with slow or long-term nonprogression in case reports and small cohorts
- **Tropism**
  - R5 to X4 virus conversion associated with increased viral pathogenicity and disease progression
- **Subtypes**
  - Potential for varied subtypes to exhibit differential transmissibility and virulence
    - » Potential for greater heterosexual spread of some subtypes

## Host Factors in HIV Infection (I)

- **Cell-mediated immunity**
  - **Cytotoxic T cells**
    - » Eliminate virus infected cells
    - » Play prominent role in control of viremia, slowing of disease progression and perhaps prevention of infection
  - **T-helper response**
    - » Vital for preservation of CTL response
- **Humoral immunity**
  - Role in prevention of transmission and disease progression unclear

## Role of CTL's in Control of Viremia



Letvin N & Walker B: Nature Med 2003;9:861-866

## Host Factors in HIV Infection (II)

- **Chemokine receptors**
  - **CCR5-Δ32 deletion**
    - » Homozygosity associated with decreased susceptibility to R5 virus infection
    - » Heterozygosity associated with delayed disease progression
  - **CCR2-V64I mutation**
    - » Heterozygosity associated with delayed disease progression
  - **CCR5 promoter polymorphisms**
    - » 59029-G homozygosity associated with slower disease progression
    - » 59356-T homozygosity associated with increased perinatal transmission

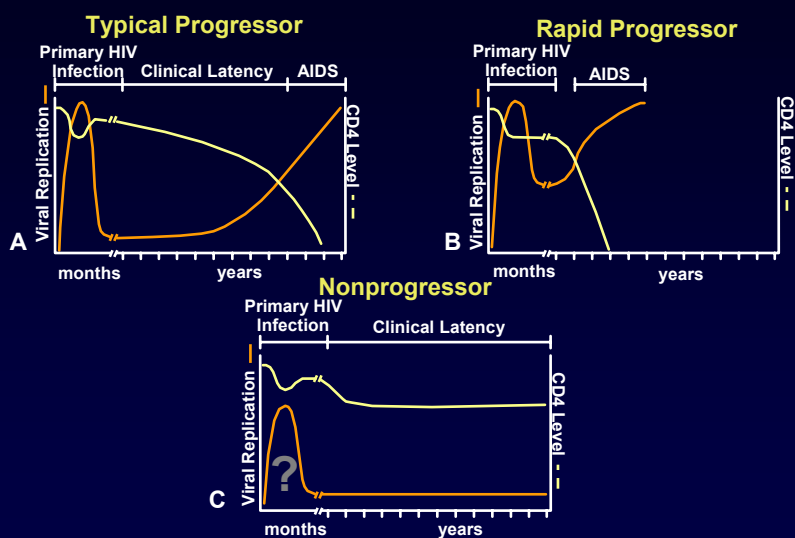
## Host Factors in HIV Infection (III)

- **Other genetic factors**
  - **Class I alleles B35 and Cω4**
    - » Associated with accelerated disease progression
  - **Heterozygosity at all HLA class I loci**
    - » Appear to be protective
  - **HLA-B57, HLA-B27, HLA-Bω4, HLA-B\*5701**
    - » Associated with long-term non-progression
  - **HLA-B14 and HLA-C8**
    - » ?Associated with long-term nonprogression

## Mechanisms of CD4+ Cell Death in HIV Infection

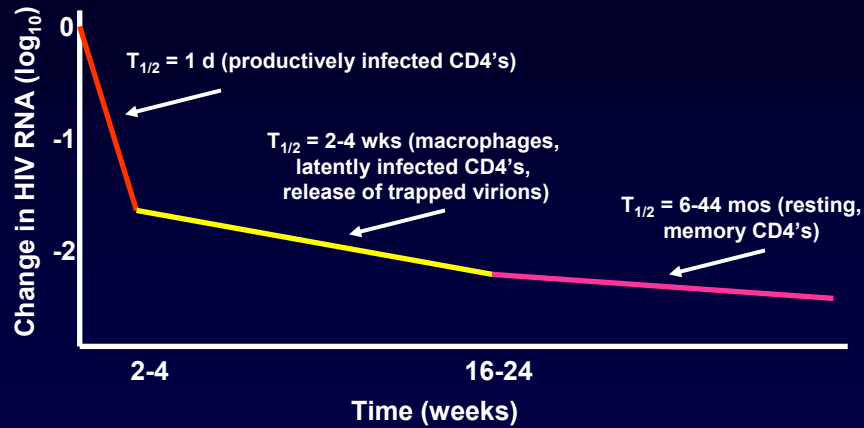
- HIV-infected cells
  - Direct cytolytic effect of HIV
  - Lysis by CTL's
  - Apoptosis
    - » Potentiated by viral gp120, Tat, Nef, Vpu
  
- HIV-uninfected cells
  - Apoptosis
    - » Release of gp120, Tat, Nef, Vpu by neighboring, infected cells
  - Activation induced cell death

## The Variable Course of HIV-1 Infection



Reprinted with permission from Haynes. In: DeVita et al, eds. *AIDS: Etiology, Treatment and Prevention*. 4th ed. Lippincott-Raven Publishers; 1997:89-99.

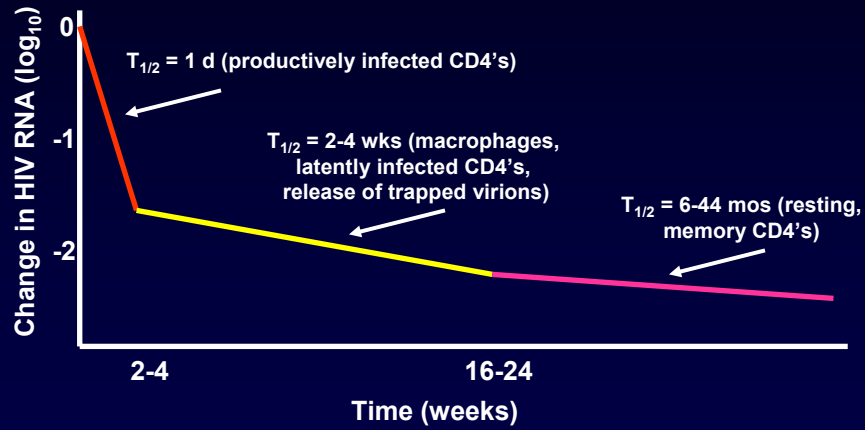
## Phases of Decay Under the Influence of Potent Antiretroviral Therapy



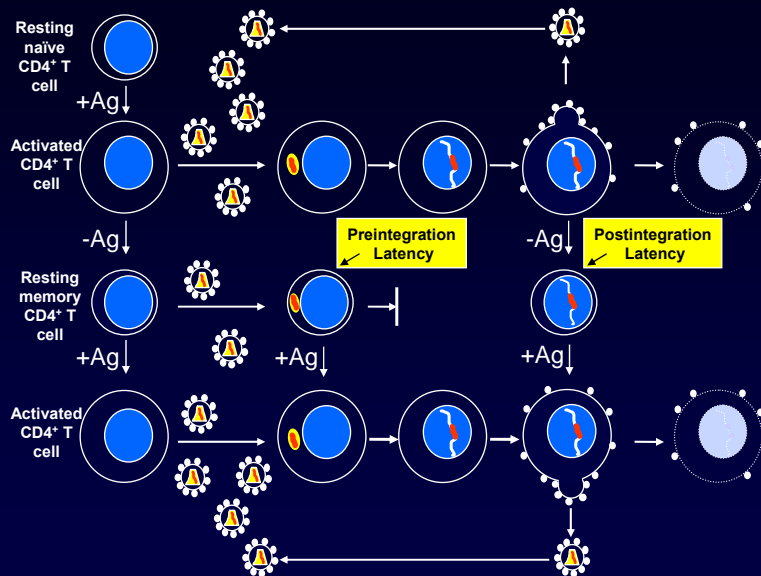
## Therapeutic Implications of First and Second Phase HIV RNA Declines

- Antiviral potency can be assessed in first 7-14 days
  - Should see 1-2 log declines after initiation of therapy in persons with drug susceptible virus who are adherent
- HIV RNA trajectory in first 1-8 weeks can be predictive of subsequent response
  - Durability of response translates into clinical benefit

## Phases of Decay Under the Influence of Potent Antiretroviral Therapy



## Model of Post-Integration Latency



Siliciano R et al

## **Therapeutic Implications of Third Phase of HIV RNA Decay: Latent Cell Reservoir**

- **Viral eradication not possible with current drugs**
- **Archive of replication competent virus history is established**
  - Drug susceptible and resistant
- **Despite the presence of reservoir(s), minimal degree of viral evolution observed in patients with plasma HIV RNA levels <50 c/ml suggests that current approach designed to achieve maximum virus suppression is appropriate**

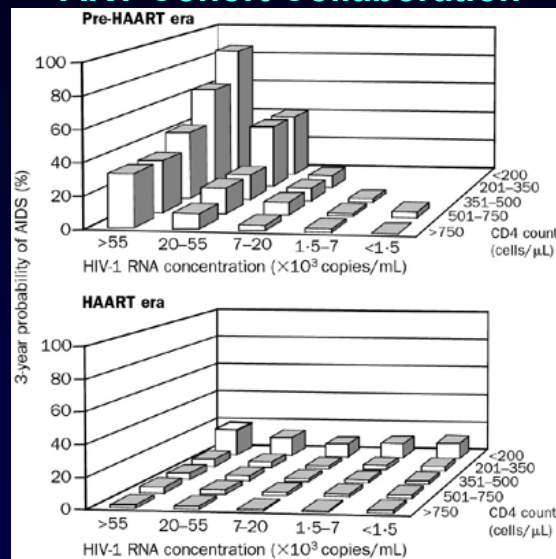
## **Initiation of Therapy in Established HIV Infection: Considerations**

- **Patient's disease stage**
  - Symptomatic status
  - CD4 cell count
  - Plasma HIV-1 RNA level
- **Patient's commitment to therapy**
- **Philosophy of treatment**
  - Pros and cons of 'early' intervention

## Initiation of Therapy in Asymptomatic Persons: Population Based Studies

- Clinical outcome compromised if Rx begun when CD4 <200
  - Miller et al (EuroSIDA), Ann Intern Med 1999;130:570-577
  - Hogg et al (British Columbia), JAMA 2001;286:2568
  - Sterling et al (JHU), AIDS 2001;15:2251-2257
  - Pallela et al (HOPS), Ann Intern Med 2003;138:620-626
  - Sterling et al (JHU), J Infect Dis 2003;188:1659-1665
- No virologic or immunologic advantage to starting at CD4 >350 vs. 200-350; increased rate of virologic failure when starting at CD4 <200
  - Cozzi-Lepri et al (ICONA), AIDS 2001;15:983-990
- Virologic responses comparable among groups with CD4 >200; slower decline to RNA <500 in those with RNA's >100,000 at baseline
  - Phillips et al (SHCS, EuroSIDA, Frankfurt), JAMA 2001;286:2560-2567
- Clinical outcome compromised if Rx begun when CD4 <200 or RNA >100,000
  - Egger et al (13 cohorts, >12,000 persons), Lancet 2002;360:119-129

## Prognosis According to CD4 and RNA: ART Cohort Collaboration

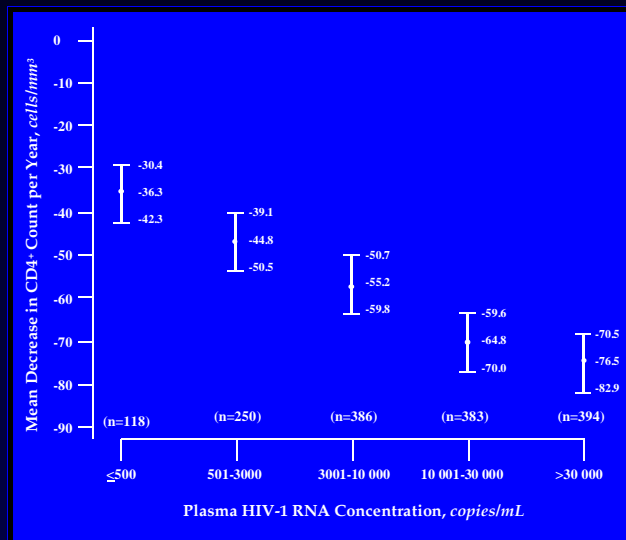


Egger M et al: Lancet 2002;360:119-129

## Natural History of Untreated HIV-1 Infection



## MACS: CD4 Cell Decline by HIV RNA Stratum



Mellors et al: Ann Intern Med 1997;126:946-954

## CD4 and HIV-1 RNA (I)

- Independent predictors of outcome in most studies
- Near-term risk defined by CD4
- Longer-term risk defined by both CD4 and HIV-1 RNA
- Rate of CD4 decline linked to HIV RNA level in untreated persons

## CD4 and HIV-1 RNA (II)

- Good but incomplete surrogate markers
  - For both natural history and treatment effect
- Thresholds are arbitrary
  - Disease process is a biologic continuum
  - Gender specificity of HIV RNA in early-mid stage disease needs to be considered
- Treatment decisions should be individualized
  - Baseline should be established
  - Trajectory determined

## HIV Resistance: Underlying Concepts

- Genetic variants are continuously produced as a result of high viral turnover and inherent error rate of RT
  - Mutations at each codon site occur daily
    - » Survival depends on replication competence and presence of drug or immune selective pressure
  - Double mutations in same genome also occur but 3 or more mutations in same genome is a rare event
  - Numerous natural polymorphisms exist

## Pre-existence of Resistant Mutants

- Viral replication cycles:  $10^9$ - $10^{10}$ /day
- RT error rate:  $10^{-4}$ - $10^{-5}$ /base/cycle
- HIV genome:  $10^4$  bp
- Every point mutation occurs  $10^4$ - $10^5$  times/day
  - In drug naïve individuals
    - » Single and double mutants pre-exist
    - » Triple and quadruple mutants would be predicted to be rare

## HIV Resistance: Underlying Concepts

- **Implications**
  - Resistance mutations may exist before drug exposure and may emerge quickly after it is introduced
  - Drugs which develop high level resistance with a single mutation are at greatest risk
    - » e.g., 3TC, NNRTI's (nevirapine, efavirenz)
  - Resistance to agents which require multiple mutations will evolve more slowly
  - Partially suppressive regimens will inevitably lead to emergence of resistance
  - A high 'genetic barrier' needs to be set to prevent resistance
    - » Potent, combination regimens

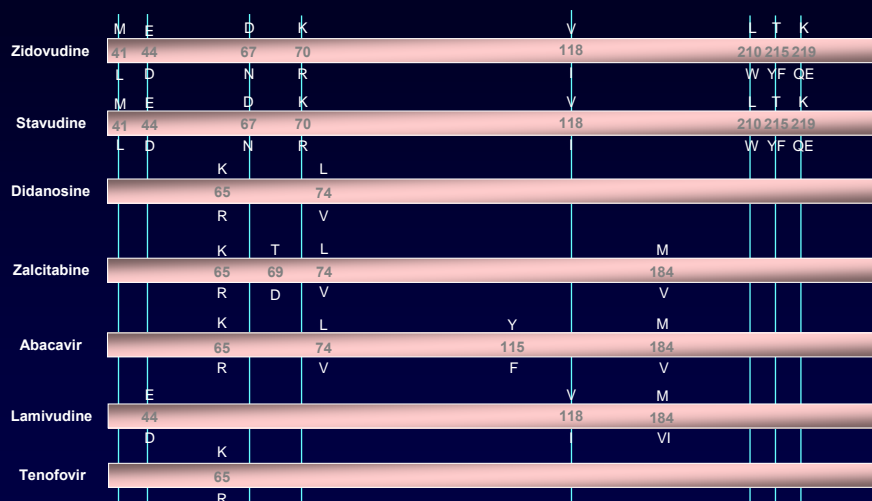
## HIV Drug Resistance: Definitions

- **Genotype**
  - Determines phenotype
  - Major and minor mutations for PIs
- **Phenotype**
  - Drug susceptibility
- **Virtual phenotype**
  - Result of large relational genotype and phenotype database

## HIV Drug Resistance: Methodologies

- **Genotyping**
  - Different platforms
    - » Dideoxy sequencing
    - » Gene chip
    - » Point mutation assays
- **Phenotyping**
  - Recombinant virus assays
- **Virtual phenotyping**
  - Informatics

## Mutations Associated with nRTIs/ntRTIs



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## Mutations Associated with nRTIs/ntRTIs

Multi-nRTI Resistance: 151 Complex	A	V F	F	Q
	62	75 77	116	151
	V	I L	Y	M

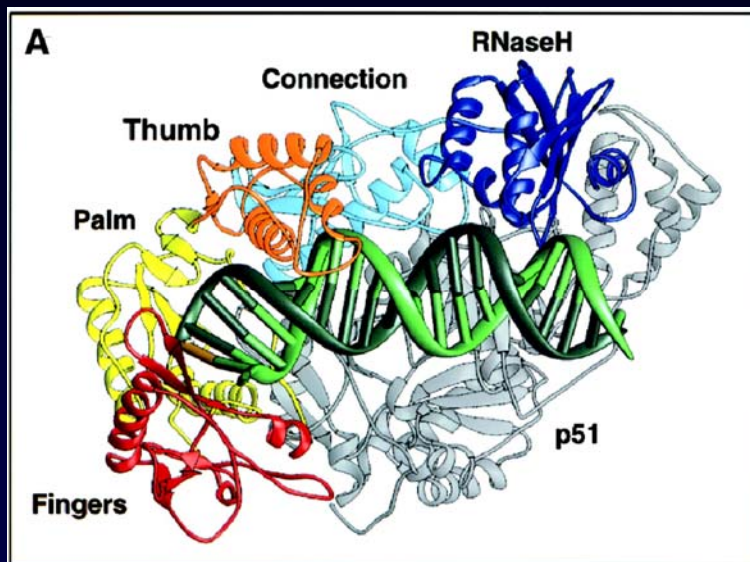
  

Multi-nRTI Resistance: 69 Insertion Complex	M	A	D ↓	K	L T K
	41	62	67 69 70		210 215 219
	L	V	N Insert R		W YF QE

Multi-nRTI Resistance: NAMs	M	E	D	K		L T K
	41 44		67	70	118	210 215 219
	L D		N R			W YF QE

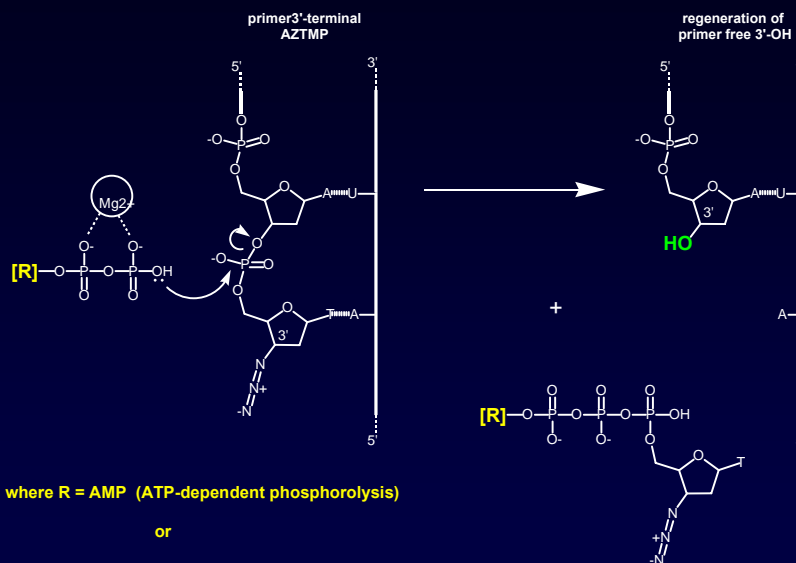
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## Nucleoside Analog Resistance

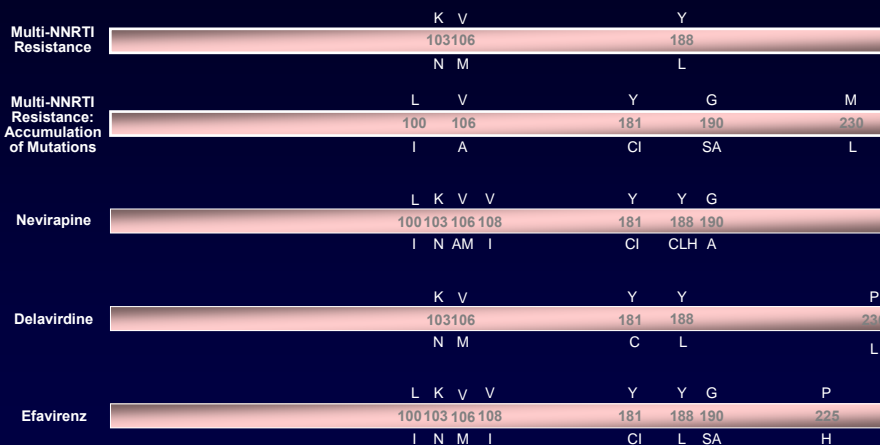
TAM's (M41L, D67N, K70R, L210W, T215F/Y, K219Q/E/N)	M184V	K65R
Confer ZDV resistance thru ZDV-MP excision	Confers 3TC resistance thru decreased 3TC-TP incorporation	Confers non-ZDV NRTI resistance thru decreased analog incorporation
Antagonize K65R	Decreases ZDV resistance thru decreased ZDV-MP excision	Decreases ZDV resistance thru decreased ZDV-MP excision

## Pyrophosphorolysis



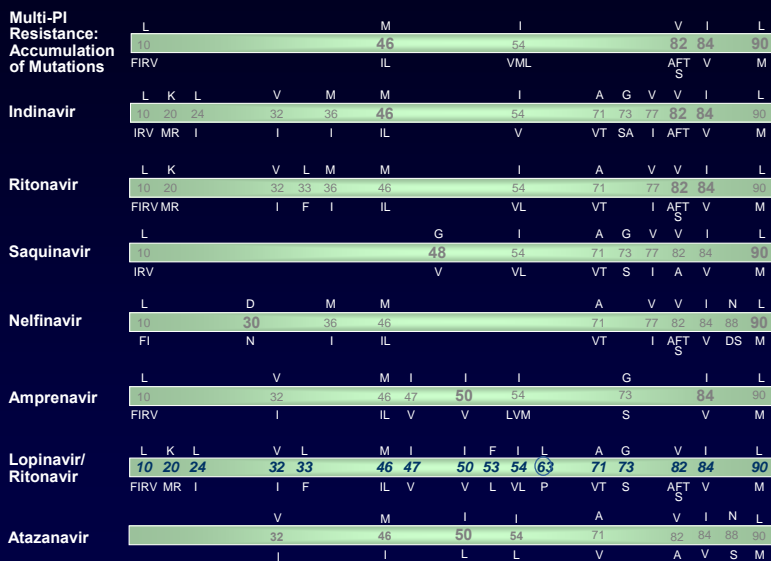
Courtesy M. Parniak  
Mellors, 9<sup>th</sup> CROI, 2002

## Mutations Selected by NNRTIs



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## Mutations Selected by PIs



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## Mutations in the GP41 Envelope Gene Associated With Resistance to Entry Inhibitors



## Progress in HIV Disease

