#### MUCOSAL IMMUNITY

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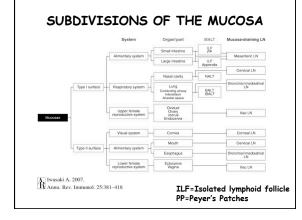
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- CHALLENGES FACED BY THE MUCOSAL SYSTEM
- SPECIALIZATION OF CELLS INVOLVED IN MUCOSAL IMMUNITY
- ORGANIZATION OF THE MUCOSAL IMMUNE SYSTEM
- CLINICAL IMPLICATIONS

#### **DEFINITIONS**

#### MALT= MUCOSA-ASSOCIATED LYMPHOID TISSUE

MALT is the highly specialized immune system which protects mucosal surfaces. The lymphoid elements associated with different mucosal sites share organizational as well as functional similarities. It is the largest mammalian lymphoid organ system and in an adult it comprises approximately 80% of all lymphocytes.



### Distinct features of type I and type II mucosal surfaces

	Type I	Type II
Epithelia	Simple	Stratified
Presence of MALT	+	-
Presence of pIgR	+	-
Major Ig isotype	IgA	IgG
Goblet cells	+	-

pIgR=polymeric Ig receptor

#### THE CHALLENGES

- MOST FREQUENT PORTAL OF ENTRY FOR HARMFUL SUBSTANCES. THUS THE MALT HAS TO MOUNT AN EFFECTIVE RESPONSE AGAINST A VAST NUMBER OF POTENTIAL PATHOGENS.
- THE MUCOSAL MEMBRANES OF THE DIGESTIVE TRACT MUST ALLOW FOR THE ABSORPTION OF NUTRIENT'S BY THE HOST. THUS THE MALT MUST REMAIN HYPORESPONSIVE TO AN ENTIRE ARRAY OF HARMLESS SUBSTANCES.

### SPECIALIZED COMPONENTS OF MALT

#### B CELLS

- HUMORAL RESPONSES ARE CENTRAL TO AN EFFECTIVE MUCOSAL IMMUNITY.
- THE MAIN HUMORAL MEDIATORS OF SPECIFIC MUCOSAL IMMUNITY ARE SECRETORY IGA AND, TO A LESSER EXTENT, SECRETORY IGM.
- THE NORMAL INTESTINAL MUCOSA CONTAINS AT LEAST 20 TIMES MORE IgA+ THAN IgG+ LYMPHOCYTES.

### CRITICAL FEATURES OF SECRETORY IGA

- RESISTANCE AGAINST COMMON INTESTINAL PROTEASES
- INABILITY TO INTERACT WITH COMPLEMENT OR CELLS IN A WAY TO CAUSE INFLAMMATION

#### MECHANISMS OF PROTECTION BY SIGA AT MUCOSAL SURFACES

- INHIBITION OF ADHERENCE
- VIRUS NEUTRALIZATION
- NEUTRALIZATION OF ENZYMES AND TOXINS
- IMMUNE EXCLUSION AND INHIBITION OF ANTIGEN ABSORPTION

# FACTORS CONTROLLING IGA ISOTYPE SWITCHING ACTIVATION ISOTYPE SWITCHING PROLIFERATION DIFFERENTIATION DIFFERENTIATION DIFFERENTIATION DIFFERENTIATION DIFFERENTIATION DIFFERENTIATION DIFFERENTIATION CYTOKINE SECRETION TGF-B IL-5 IL-6 IL-10

### FACTORS CONTROLLING THE SECRETION OF IGA: THE J CHAIN

- THE J CHAIN IS A 15 KD POLYPEPTIDE THAT IS DISULFIDE-BONDED TO THE TAIL-PIECES OF BOTH IGM AND IGA
- Iga secreting B cells in the Bone Marrow do Not express the J chain and thus secrete Iga MONIMEDS
- THE MAJORITY OF IgA PRODUCING B CELLS IN THE MUCOSA EXPRESS THE J CHAIN AND THUS PRODUCE DIMERIC IgA
- THE J CHAIN STABILIZES THE MULTIMERS AND IT APPEARS TO DETERMINE THE POLYMERIC IgA AND IgM STRUCTURE WHICH ALLOWS POLYMERIC IgS TO COMPLEX WITH THE SECRETORY COMPONENT

# FACTORS CONTROLLING THE SECRETION OF IgA: THE SECRETORY PIECE (POLYMERIC Ig RECEPTOR) LAMINA PROPRIA MUCOSAL EPITHELIAL CELL LUMEN SECRETED IgA SECRETORY COMPONENT SECRETORY COMPONENT ENDOCYTOSED COMPLEX OF IgA AND SECRETORY COMPONENT

#### T CELLS

#### LAMINA PROPRIA LYMPHOCYTES

- LYMPHOCYTES WHICH ARE <u>SCATTERED</u> DIFFUSELY THROUGHOUT THE LAMINA PROPRIA OF THE INTESTINE. (LAMINA PROPRIA-LAYER OF CONNECTIVE TISSUE BETWEEN THE EPITHELIUM AND THE MUSCULARIS MUCOSA)
- LARGEST SINGLE T-CELL SITE IN HUMANS.
   MOST OF THE T CELLS WITHIN THE LAMINA PROPRIA ARE CD4+.

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#### INTRAEPITHELIAL LYMPHOCYTES (IELs)

- IELS ARE LYMPHOCYTES WHICH ARE INTERSPERSED BETWEEN THE COLUMNAR EPITHELIAL CELLS OF THE VILLI IN THE SMALL AND LARGE INTESTINE
- $\bullet$  IN HUMANS, MOST OF THE IELS ARE CD8+ T CELLS. APPROXIMATELY 10% OF IELS ARE  $\gamma\delta$  CELLS
- $\bullet$  BOTH THE  $\gamma\delta$  AND THE  $\alpha\beta$  TCR+ IELs SHOW LIMITED DIVERSITY OF T CELL RECEPTOR

#### FUNCTIONAL PROPERTIES OF IELS

- FIRST IMMUNE CELL LINE OF DEFENSE IN THE INTESTINE
- DISPLAY CYTOTOXIC ACTIVITY
- SECRETE LARGE AMOUNTS OF CYTOKINES ESPECIALLY IFN- $\gamma$  AND TNF- $\alpha$
- MODULATE THE KINETICS OF EPITHELIAL CELL RENEWAL

#### REGULATORY T CELLS

- TH3 CELLS: A POPULATION OF CD4+T CELLS THAT PRODUCE TGF-β. ISOLATED FROM MICE FED LOW DOSE OF ANTIGEN FOR TOLERANCE INDUCTION
- TR1 CELLS: A POPULATION OF CD4+T CELLS THAT PRODUCE IL-10. CAN PRODUCE SUPPRESSION OF EXPERIMENTAL COLITIS IN MICF
- CD4+CD25+ REGULATORY T CELLS: A POPULATION OF CD4+T CELLS THAT CAN PREVENT AUTOREACTIVITY IN VIVO.

#### REGULATORY T CELLS

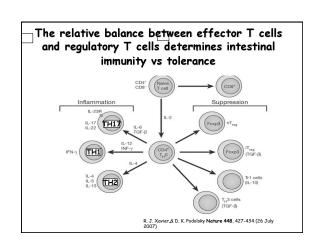
- CD8+SUPPRESSOR T CELLS: THE FIRST IDENTIFIED POPULATION OF REGULATORY T CELLS THOUGHT TO BE INVOLVED IN ORAL TOLERANCE.
- γδ T CELLS: STUDIES IN MICE INDICATE THAT THEY HAVE AN IMPORTANT ROLE IN SOME MODELS OF ORAL TOLERANCE.

#### ORAL TOLERANCE

- ORAL ADMINISTRATION OF A PROTEIN ANTIGEN MAY LEAD TO SUPPRESSION OF SYSTEMIC HUMORAL AND CELL-MEDIATED IMMUNE RESPONSES TO IMMUNIZATION WITH THE SAME ANTIGEN.
- POSSIBLE MECHANISMS:
  - INDUCTION OF ANERGY OF ANTIGEN-SPECIFIC T CELLS

  - CLONAL DELETION OF ANTIGEN-SPECIFIC T CELLS
  - SELECTIVE EXPANSION OF CELLS PRODUCING IMMUNOSUPPRESSIVE CYTOKINES (IL-4, IL-10, TGF-β)

# ORAL TOLERANCE Immunity 43 Nature Rev



#### EPITHELIAL CELLS

#### M CELLS





Scanning electron microscopy of a single microdissected dome (a) of a murine Peyer's patch. The M cells are identified by their relatively short, dark brush border; they are restricted to the dome epithelium (upper half in b). Crypts (arrows) are opening to the cleft between the dome and the neighboring villi.

Gebert et al., Am. J. Pathol. 154:1573, 1999

#### CHARACTERISTICS OF M CELLS

- M ("membrane-like") CELLS ARE SPECIALIZED EPITHELIAL CELLS WHICH OVERLIE LYMPHOID FOLLICLES DOMES ALONG THE LENGTH OF THE SMALL AND LARGE INTESTINE.
- STRUCTURAL FEATURES INCLUDE:
  - FEW SHORT IRREGULAR MICROVILLI
  - ABUNDANT ENDOCYTIC VESICLES
  - LOW LYSOSOMAL CONTENT DISTINCTIVE GLYCOCALIX

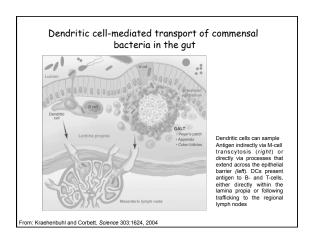
  - BINDING SITES FOR SECRETORY IGA BUT NO SC
  - POCKETS IN THE BASOLATERAL SURFACE

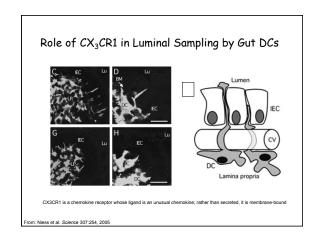
#### FUNCTIONS OF M CELLS

- ANTIGEN SAMPLING
- PORTAL OF ENTRY FOR SELECTED **PATHOGENS**

# FUNCTIONS OF FAE ENTEROCYTES Neutra et al. Nature Reviews Immunology 6, 148-158 (February 2006) | doi:10.1038/nri1777 nature Reviews Immunology

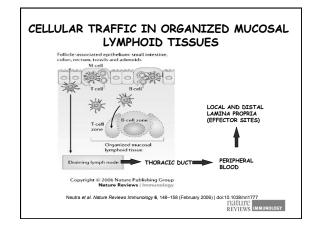
#### DENDRITIC CELLS

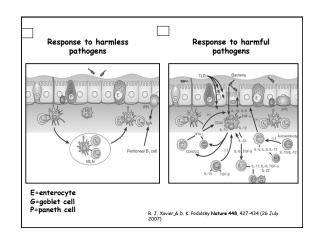




## ORGANIZATION OF MALT

# INDUCTIVE SITES=PEYER'S PATCHES ORGANIZED MUCOSAL LYMPHOID FOLLICLES WHICH LACK AFFERENT LYMPHATICS PEYER'S PATCHES ARE FOUND IN THE SMALL INTESTINE FOLLICLES SIMILAR TO PEYER'S PATCHES ARE FOUND IN THE APPENDIX, IN THE REST OF THE GI TRACT AND IN THE RESPIRATORY TRACT From: Iwatsukit et al., Hunchen. Cell Biol. 117:1363, 200





#### CLINICAL IMPLICATIONS

#### IgA DEFICIENCY

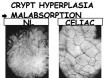
- IT IS THE MOST COMMON PRIMARY **IMMUNODEFICIENCY**
- IT IS USUALLY DEFINED BY A SERUM IgA CONCENTRATION OF LESS THAN 50 µg/ml
- Iga DEFICIENT INDIVIDUALS OFTEN APPEAR PERFECTLY HEALTHY AND ARE IDENTIFIED
  - UPON SERVING AS BLOOD DONORS
  - UPON UNDERGOING ANAPHYLACTIC SHOCK WHEN RECEIVING BLOOD TRANSFUSIONS

#### CLINICAL MANIFESTATIONS OF IgA DEFICIENCY

- INCREASED INCIDENCE OF INFECTIONS → UPPER AND LOWER RESPIRATORY TRACT - GASTROINTESTINAL
- HIGHER INCIDENCE OF AUTOIMMUNE DISFASES
- HIGHER INCIDENCE OF ALLERGIC DISEASES
- HIGHER INCIDENCE OF CELIAC DISEASE

#### CELIAC DISEASE

- CELIAC DISEASE IS A T CELL MEDIATED IMMUNE DISEASE OF THE SMALL INTESTINE TRIGGERED BY GLUTEN
- MAJOR FEATURES:
  - → VILLOUS ATROPHY WITH A LYMPHOCYTIC INFILTRATE
  - → INCREASED EPITHELIAL PROLIFERATION WITH CRYPT HYPERPLASIA







#### CELIAC DISEASE: IMMUNOLOGIC **FEATURES**

- ANTIGEN: GLUTEN (gliadin and glutenins)
- IT IS ASSOCIATED WITH HLA-DQ2 OR HLA-DQ8
  RESTRICTED LAMINA PROPRIA CD4+ T CELLS THAT
  RECOGNIZE GLUTEN AND SECRETE INTERFERON γ (98% OF
  PEOPLE WILL CARRY THESE HAPLOTYPES)
- GLIADIN IS A SUBSTRATE OF TISSUE TRANSGLUTAMINASE (TRANSFORMS POSITIVELY CHARGED GLUTAMINES TO NEGATIVELY CHARGED GLUTAMIC ACID)
- INCREASED B CELL ACTIVITY
   ANTIBODIES AGAINST GLIADIN (IgA-AGA, IgG-AGA)
   ENDOMYSIAL ANTIBODY (IgA-EMA)
   TISSUE TRASGLUTAMINASE (IgA-+TG)

## CELIAC DISEASE PATHOGENESIS cytokines (IFN-y) and activation of MMPs T cell activation (activation of pathogenic CD4\* T cells) take and processing of "gluten" peptides (luminal and early mucosal events) off, M. F. J. Clin. Invest. 2007;117:41-49

#### INFLAMMATORY BOWEL DISEASE (IBD)

- IBD IS A CHRONIC, RELAPSING AND REMITTING INFLAMMATORY CONDITION
- TWO OVERLAPPING PHENOTYPES:

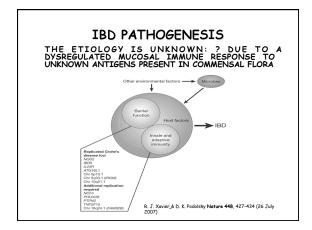
   CROHN'S DISEASE (CD), WHICH AFFECTS THE DISTAL SMALL INTESTINE AS WELL AS THE COLON IN A TRANSMURAL MANNER
  - ULCERATIVE COLITIS (UC), WHICH PREDOMINANTLY AFFECTS THE COLON IN A SUPERFICIAL MANNER

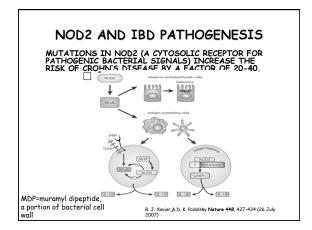




#### IBD: IMMUNOLOGIC FEATURES

- CELL-MEDIATED IMMUNITY (ACTIVE CD):
   → INCREASED NUMBER OF ACTIVATED MUCOSAL T CELLS SECRETING IFN-y (TH1)
  - INCREASED MUCOSAL PRODUCTION OF CYTOKINES THAT ACTIVATE THI CELLS (IL-12 AND IL-18)
     DEFECTS IN REGULATORY (IL-10 PRODUCING) T CELLS
- HUMORAL IMMUNITY: MASSIVE INCREASE IN THE NUMBER OF PLASMA CELLS AND IN IgG PRODUCTION (IgG2 IN CD AND IgG1 IN UC)
- IMBALANCE OF PRO-INFLAMMATORY (TNF-α, IL-1, IL-8, IL-12) AND ANTI-INFLAMMATORY CYTOKINES (IL-10, IL-4, IL-13)





#### IBD:EMERGING BIOLOGIC **THERAPIES**

- INHIBITORS OF PROINFLAMMATORY CYTOKINES
   Anti-TNF therapies: infliximab
- ANTIINFLAMMATORY CYTOKINES
  - TL-10
- ANTI-LEUKOCYTE ADHESION THERAPIES
  - Anti-α4 integrin: Natalizumab ?
- INHIBITORS OF TH1/TH17 POLARIZATION
  - Anti-IL-12/IL-23
    Anti-IL-18
    Anti-IFN-y

#### MUCOSAL **IMMUNIZATION**

#### MUCOSAL VACCINES

- VACCINES AGAINST MUCOSAL INFECTIONS MUST STIMULATE THE MALT IN ORDER TO BE EFFICACIOUS
- BECAUSE OF SUBCOMPARTMENTALIZATION WITHIN THE MALT, VACCINES MUST BE ADMINISTERED BY THE APPROPRIATE ROUTE
- NONREPLICATING ANTIGENS ARE OFTEN RELATIVELY INEFFICIENT IN YIELDING STRONG AND LONG-LASTING MUCOSAL ANTIBODY RESPONSES

#### MUCOSAL VACCINES

- NEW STRATEGIES FOR ANTIGEN DELIVERY:
  - → LIVE ATTENUATED RECOMBINANT BACTERIA AND VIRUSES WITH KNOWN MUCOSAL TROPISM
  - → PROTECTIVE VEHICLES, E.G. LIPOSOMES AND BIODEGRADABLE MICROSPHERES
  - → MUCOSAL LECTIN-LIKE MOLECULES ENDOWED WITH IMMUNOSTIMULATORY PROPERTIES, E.G. CHOLERA TOXIN

#### EFFECT OF IMMUNIZATION ROUTE ON LOCAL AND DISTAL ANTIBODY RESPONSE

Immunogen	Route	Specific serum IgG	Responses	of specific	IgA antibo	dies*		References
			Small intestine	Large intestine	Cervix/ vagina	Salivary glands	Nasal cavity	
Cholera toxin B subunit	Nasal Oral Rectal Vaginal	*****	ND ++++ ND ND	++ ++ +++++ +/-	+++ +/- - +++	- +/- +/-	- ND ND	16,17, 114–116
Live attenuated Salmonella typhi Ty21a	Oral Rectal	**	+++		+/-	+/-	+/-	117,118
Poliovirus vaccine	Oral Colonic Vaginal		ND ND	++ +++ ND	- ND +++	ND ND ND	<u>.</u>	119,120

ecipiens. No, not determined.

Neutra et al. Nature Reviews Immunology 6, 148–158 (February 2006) | doi:10.1038/nri1777

nature Reviews immunology

#### MUCOSAL IMMUNOTHERAPY

- STRATEGY TO ATTEMPT TO TREAT ILLNESSES RESULTING FROM IMMUNE REACTIONS AGAINST AUTOANTIGENS ENCOUNTERED IN NONMUCOSAL TISSUES
- HUMAN TRIALS HAVE BEEN CONDUCTED IN MULTIPLE SCLEROSIS, RHEUMATOID ARTHRITIS, UVEORETINITIS, AND TYPE I DIABETES

#### MUCOSAL IMMUNOTHERAPY

- POTENTIAL PROBLEMS:
  - → LIMITED SUCCESS IN SUPPRESSING THE EXPRESSION OF AN ALREADY ESTABLISHED IMMUNE RESPONSE
  - → MASSIVE AMOUNTS OF TOLEROGENS ARE REQUIRED
  - → IMMUNOSUPPRESSIVE EFFECT IS OF SHORT DURATION

lable 3   Oral t	olerance in hun	nan diseases			
Disease	Oral antigen	Dose	Prophylactic or therapeutic	Outcome	References
Food allergy	Allergen	Increasing dose over time	Therapeutic	About 80% of patients are successfully desensitized	130
uveitis r	Sequestered retinal antigens, HLA-B27PD	4 mg capsules 3 times a week for 12 weeks	Therapeutic	Marginal clinical benefit. All patients relapsed after cessation of treatment	131,132
	Retinal S-antigen, soluble retinal antigens	30 mg S-antigen or 50 mg soluble retinal antigens or both. Decreasing dose, starting from 3 times a week for 8 weeks, ending with once a week	Therapeutic	No benefit, with possible exacerbation of disease in patients receiving a mixture of soluble retinal antigens	133
Rheumatoid Co arthritis	Collagen	0.1 mg bovine type II collagen daily for 1 month, followed by 0.5 mg daily for 6 months	Therapeutic	No benefit	135
		20, 100, 500 or 2,500 µg chicken type II collagen daily for 24 weeks	Therapeutic	Clinically significant response at 20 µg dose	136
		0.05, 0.5 or 5 mg bovine type II collagen daily for 6 months	Therapeutic	Response at 0.5 mg	137
		0.5 mg bovine type II collagen daily for 3 months	Therapeutic	Response at 0.5 mg	138
		0.1 mg chicken type II collagen daily for 1 month, followed by 0.5 mg for 2 months	Therapeutic	Improvement in most clinical measures, 4 out of 28 patients had complete remission	139
Type 1 diabetes Insul	Insulin	7.5 mg insulin	Prophylactic	No benefit	
		2.5 mg or 7.5 mg insulin	Therapeutic	No benefit	140
Multiple sclerosis	Myelin	300 mg bovine myelin	Therapeutic	No clinically significant benefit	52,141