# Regulatory T Cells and Maintenance of Tolerance

Stephen Canfield, MD, PhD Asst. Prof. Medicine smc12@columbia.edu

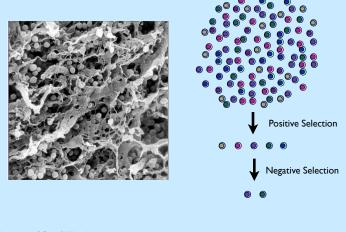
### What constitutes immune "tolerance"?

- Discrimination between:
  - non-self and self
    - e.g., virally infected from normal
  - harmless and dangerous
    - e.g., egg white from E. coli proteins in spoiled mayonnaise
  - Attack focused on dangerous non-self
  - Important components contributed by both innate and adaptive arms

### Mechanisms Regulating Adaptive Response

Central	Peripheral
Selection During Development	Responder Cell Intrinsic ("Recessive")
B Cells - bone marrow	Ignorance
T cells - thymus	Activation Induced Cell Death
	Anergy
	Responder Cell Extrinsic ("Dominant")
	Regulatory (Suppressor) T Cells

#### Central Tolerance: Thymic Selection



on		
011		
on		

munobiology, eds. Janeway, C., Travers, P., Walport, M., Shlomchik, M. (6th Ed., Garland Science, 2004)

#### Thymocyte Avidity Profile is "Molded"

- T cells mature from thymic precursors
- Specificities are "filtered" by positive and negative selection on self antigens
  - Anti-self TCR avidities range from low to moderate
  - Anti-foreign TCR avidities are "unfiltered" (range from undetectable to high)

#### Thymic Self-Representation

#### • AIRE: Autoimmune Regulator

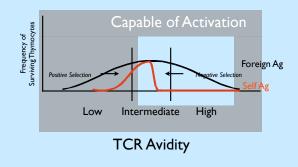
- Gene highly expressed in thymic epithelium
- Encodes a transcriptional activator
- Induces expression of "ectopic" self proteins
  Pancreas-, retina- and ovary-specific
- APECED
  - Clinical condition from mutation in AIRE
  - Autoimmune attack on multiple endocrine structures (thyroid, parathyroid, adrenals, β-islets, gonads), vitiligo, alopecia

### Affinity and Avidity

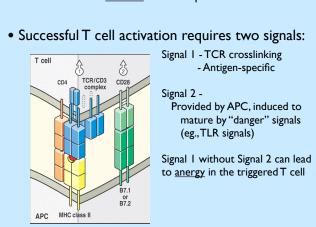
- "Affinity"- binding strength of one receptor to one ligand
- "Avidity"- aggregate binding strength of multiple receptors on one surface to ligands on another
- TCR avidity contributes to T cell activation:
  - High avidity activated at low antigen concentrations
  - Moderate avidity requires higher Ag concentrations
  - Low Avidity largely "ignorant" (not activated)



#### Thymocyte Selection By TCR Avidity



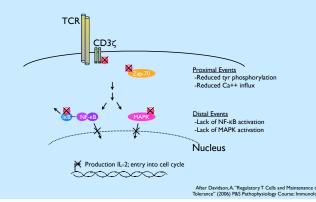
#### Peripheral Tolerance: Mechanisms <u>intrinsic</u> to the responder T cell



nmunobiology, eds. Janeway, C., Travers, P., Walport, M., Shlomchik, M. (6th Ed., Garland Science, 2004)

### T Cell Anergy

# T cell unresponsiveness despite TCR engagement in the presence of costimulation

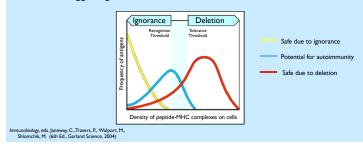




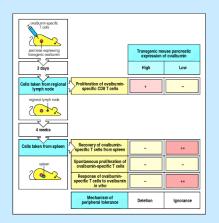
#### Peripheral Tolerance:

Mechanisms intrinsic to the responder T cell

- Ignorance: Low TCR-Ag/MHC avidity and/or low antigen abundance result in failure to produce "signal 1"
  - Example: anatomically "privileged" Ags: CNS, eye (sympathetic ophthalmia)
- Clonal Deletion: High antigen density persistent TCR triggering in the absence of costimulation → AICD



#### Peripheral Tolerance: Mechanisms intrinsic to the responder T cell





nmunobiology, eds. Janeway, C., Travers, P., Walport, M., Shlomchik, M. (6th Ed., Garland Science, 2004)

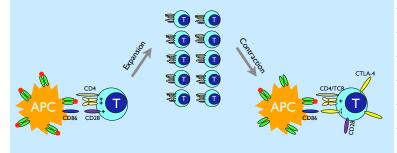
#### Peripheral Tolerance:

Mechanisms intrinsic to the responder T cell

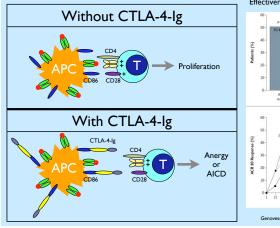
- Activation-induced cell death (AICD)
  - Elimination of clonally expanded effector T cells in the "terminal phase" of an antigen response
  - Persistent or repeated TCR triggering
  - Cell death is apoptotic largely fas mediated
    - fas is upregulated following T cell activation
    - anti-fas or anti-fasL antibodies inhibit AICD
  - fas- or fasL-deficiency→lymphoproliferation/autoimmunity
  - Inappropriate AICD may result in pathological T cell loss in HIV, EBV, VZV

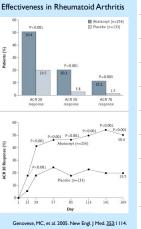
### **Clonal Expansion/Contraction**

- CTLA-4: Natural break on T cell response
  - Expressed on activated T cells
  - Binds CD80/CD86 with higher avidity than CD28
  - Delivers an inhibitory signal to responder T cell



#### CTLA-4-Ig: Abatacept (Bristol-Myers-Squibb)

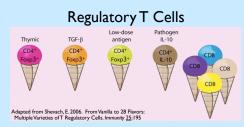






# Peripheral Tolerance:

Mechanisms  $\underline{extrinsic}$  to the responder T cell



- Concept of "dominant tolerance" has existed for 40 years
- Since 1995, multiple investigators have identified distinct sets of  $T_{\text{reg}}\text{s}$
- The relationship between these varieties in vivo remains unclear
- All  $T_{\text{reg}}s$  are defined <u>functionally</u>: when mixed with "responder" T cells,  $T_{\text{reg}}s$  inhibit the proliferative and/or cytokine response to antigen

#### Regulatory T Cells

- CD4<sup>+</sup>/CD25<sup>+</sup> T<sub>reg</sub>'s\*
  - "Natural" or "thymic" develop in the thymus
  - "Adaptive" or "induced conversion of naive CD4's in periphery
- Other CD4<sup>+</sup>
  - T<sub>H</sub>3
  - Trl
- CD8<sup>+</sup> also described but less well established

\*CD25 = IL-2R $\alpha$  chain, required for the high-affinity IL-2R

### CD4<sup>+</sup>/CD25<sup>+</sup> T<sub>reg</sub>'s

- 1969 Early thymectomy (day 3-4) → autoimmune organ attack
   Prevented if mice received splenic CD4<sup>+</sup> T cells from a normal adult
- 1995 Identification by Sakaguchi
  - Transfer T cells into athymic mice  $\rightarrow$  normal immune function
  - Transfer CD25-depleted T cells into athymics  $\rightarrow$  autoimmunity
    - thyroiditis, insulitis, gastritis, adrenalitis, arthritis, etc.
    - short time window to rescue by transfer of CD25<sup>+</sup> fraction

#### CD4<sup>+</sup>/CD25<sup>+</sup> T<sub>reg</sub>'s (cont'd)

- 10% of circulating CD4<sup>+</sup> cells bear CD25 (<1% of CD8's)
  - Upon triggering with CD3/CD28 crosslinking in vitro  $\rightarrow$ 
    - no proliferation
    - $\bullet$  no secretion of IL-2, IL-4, or IFN- $\gamma$
    - $\bullet$  contact-dependent inhibition of local "responder" T cells
  - Capable of self-renewal in vivo
  - Constitutively express CTLA-4
  - Dependent on IL-2 for maintenance of regulatory phenotype or for survival
    - IL-2-deficiency and CD25-deficiency are both associated with autoimmunity

### T<sub>reg</sub> Function (In Vitro Studies)

- Require activation via TCR to implement suppression
- Once activated, suppression of other cells is not MHC restricted
- Activated T<sub>reg</sub>'s mediate "bystander" suppression
- Optimal suppression requires cell contact
- Targets include CD4<sup>+</sup> and CD8<sup>+</sup>T cells, B cells, MΦ, NK cells, mast cells

The majority (>80%) of circulating Treg's are of thymic origin

- The nT<sub>reg</sub> career choice occurs late in thymic development
  - CD4 single positive stage
  - After positive and negative selection, but before exit
- Requirements:
  - TCR triggering by self Ag
  - CD28 ligation by CD80/86
  - IL-2 stimulation (also IL-15)
- Decisive event: activation of FoxP3
  - T<sub>reg</sub> transcriptional program regulator

#### FoxP3: Transcription Factor Implicated in Autoimmunity

- Two naturally occurring diseases characterized by lymphoproliferation and autoimmunity
  - Human IPEX\*
  - Mouse Scurfy



- 2001 common genetic defect identified: FoxP3
  - Transcription factor that binds DNA using a protein domain termed a "forkhead box" (Fox)
  - Highly conserved between human, mouse, and rat
  - FoxP3-deficient subjects have no CD4<sup>+</sup>/CD25<sup>+</sup>T cells

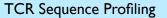
\*Immune Dysregulation Polyendocrinopathy Enteropathy X-linked

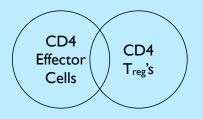
CD4<sup>+</sup>/CD25<sup>+</sup> "Lineage Marker" FoxP3?

- Possible "master controller" for T<sub>reg</sub> program
  - FoxP3 represses TCR-triggered IL-2 transcription, induces CTLA-4 and CD25 transcription
  - $\bullet$  FoxP3+ function is stable in  $nT_{\text{reg}}\mbox{'s}$  transferrable by adoptive transfer
  - Mouse: FoxP3<sup>+</sup> T cells are >90% CD4<sup>+</sup>/CD25<sup>+</sup> and functionally suppressive

#### What do nT<sub>reg</sub>'s recognize?

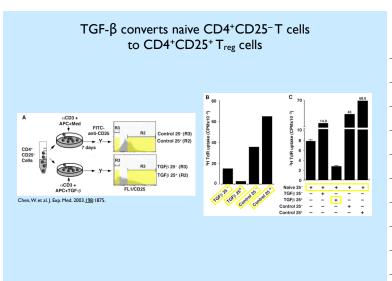
- The natural target antigens of Treg's are unknown
- TCR receptor usage shows only ~20% overlap between nT<sub>reg</sub>'s and effector CD4<sup>+</sup> T helpers





#### Inducible T<sub>reg</sub>'s Arise in the Periphery

- Naive CD4<sup>+</sup> T cells → CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup> under the following circumstances:
  - TCR X-linking in presence of TGF- $\beta$  (in vitro)
  - Suboptimal antigen presentation (in vivo)
    - Slow infusion low dose soluble antigen (in vivo)
    - Injection of antigen targeted to DC's
       Fusion of Ag with anti-DC antibody
- Requirements
  - CTLA-4
  - IL-2
  - Relative lack of CD28 co-stimulation



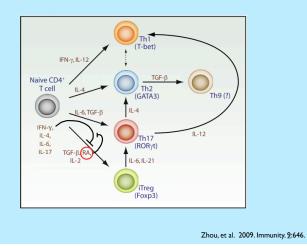
Copyright ©2003 Rockefeller University Press

**JEM** 

#### GI-Associated Lymphoid Tissue (GALT): A Tolerogenic Environment

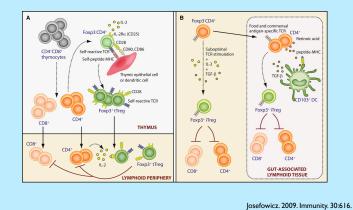
- Lamina Propria DC's balance T<sub>reg</sub> vs. T<sub>H</sub>17
  - Normally low levels of co-stimulation (CD80/86)
  - Secrete TGF- $\beta$  and retinoic acid
    - RA reduces the sensitivity of naive CD4<sup>+</sup> T cells to inflammatory cytokines: IL-6, IFN-γ, IL-17
  - With tissue damage/pathogen invasion
    - Increase production of IL-6
    - $\bullet$  Convert from  $T_{\text{reg}}$  generation to  $T_{\text{H}}17$  generation

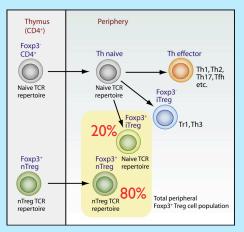
## CD4<sup>+</sup> T Cell Lineage Plasticity





Origins of  $T_{reg}$  Cells





Origins of  $T_{\text{reg}}\sb{s}$  (cont'd)



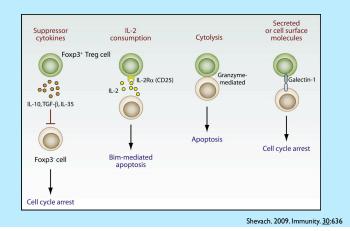
Lafaille. 2009. Immunity. <u>30</u>:626

# nT<sub>reg</sub> - iT<sub>reg</sub> Differences

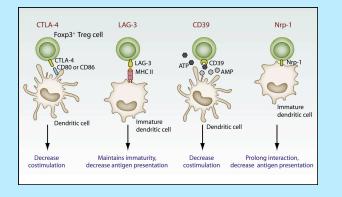
		nTreg cell	iTreg cell
Generation:	Tissue	Thymus	GALT, spleen, lymph node, inflamed tissue
	Costimulation requirement	CD28	CTLA-4
	Cytokine requirement	TGF-β (?) IL-2 or IL-15	TGF-β IL-2
Specificity		Self (?)	Allergens, commensal microbiota, neoantigens (tumor), alloantigens, self (inflammation)

#### Lafaille. 2009. Immunity. <u>30</u>:626






### Proposed T<sub>reg</sub> Suppressive Mechanisms: Indirect

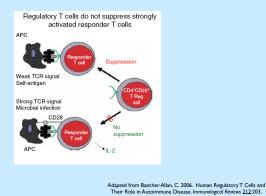




Shevach. 2009. Immunity. <u>30</u>:636

#### T<sub>reg</sub> Mechanisms (cont'd)

#### Suppression is over-ridden by strong stimulus





#### Other CD4<sup>+</sup> Regulatory T Cells

- Antigen-specific CD4<sup>+</sup>CD25<sup>-</sup>T cells with regulatory effects can be generated under specific circumstances:
  - Oral administration of antigen  $\rightarrow$  TGF- $\beta$ -secreting CD4<sup>+</sup>T cells termed T<sub>H</sub>3 cells<sup>\*</sup>
  - Stimulation of CD4<sup>+</sup> T cells with antigen *in vitro* in the presence of IL-10 yields a regulatory T cell that secretes predominantly IL-10, and some TGF- $\beta$  (termed Tr1 cells\*)

\*Nomenclature here is <u>not</u> important. Simply be aware that other CD4<sup>+</sup> regulatory T cells have been described, and appear to function via secretion of TGF- $\beta$  and/or IL-10

#### Summary

- Self and non-self are *relative* terms. For the lymphocyte, "self" is best defined as those antigens present during the cell's development (in bone marrow or thymus). By definition, thymocyte development can only be "molded" on selfantigens. Every mature T cell has a narrow range of TCR avidities for self but a wide range of avidities for foreign proteins.
- 2. T cells emerging from the thymus may have high enough avidity for self proteins as to permit activation under circumstances of high antigen concentration and co-stimulation. Peripheral tolerance mechanisms are therefore critical to dampening the self-reactivity of T cells.
- 3. Responder-intrinsic tolerance mechanisms include ignorance (low TCR avidity, low Ag conc.); anergy ("signal 1 w/o signal 2" → unresponsiveness); and AICD (T cell death due to increased sensitivity to apoptosis following activation; fas-dependent). CTLA-4 is critical to maintenance of T cell homeostasis (overall cell number), and may also function in AICD. CTLA-4-Ig harnesses this regulatory function for therapeutic use. Dysfunction in native fas or CTLA-4 lead to lymphoproliferation/autoimmunity.

### Summary

- 4. Responder-extrinsic tolerance mechanisms are those mediated by committed regulatory cells. The most widely studied of these are CD4<sup>+</sup>/CD25<sup>+</sup>T<sub>reg</sub>'s, a lineage characterized by FoxP3 expression. These cells arise in both thymic development (natural) and in the periphery (adaptive) and require IL-2 for survival. The mechanism by which they target responder cells in unknown. Contact-dependent and -independent mechanisms of suppression have been implicated, involving CTLA-4 and TGF- $\beta$ .
- 5. Other CD4<sup>+</sup> regulatory cells include T<sub>H</sub>3 cells (oral antigen;TGF- $\beta$ -secreting); Tr1 cells (antigen stim. in presence of IL-10; IL-10-producing).