Pulmonary Physiology

• Control of Breathing
• Mechanics/Work of Breathing
• Ventilation
• Gas transport (including pulmonary circulation)
• Gas Exchange (including diffusion of gas/gas transfer)

"When you can't breathe, nothing else matters."

Control of Breathing

• Keep PCO$_2$ 40 mmHg awake
• Neural Control
• Chemical Control

Neural Control

• Inspiratory inhibition reflex (Hering Breuer); irritant, mechano, j receptors: stimulation in patients with, e.g., interstitial fibrosis, pulmonary embolism, atelectasis
• Stimulation of mechanoreceptors in airways: can cause tachypnea, bronchoconstriction

Chemical control

• CO$_2$ stimulation
• Hypoxemic stimulation
• H$^+$ stimulation
**Chemical Control: CO₂ stimulation**

- Rise in Paco₂ = increase in [H⁺] concentration in ECF and ventrolateral surface of the medulla, stimulating ventilation (hyperventilation)
- In turn, dilation of CNS blood vessels by increased CO₂ leads to increased removal of CO₂ and decrease in central CO₂ stimulation; also, the hyperventilation results in lower CO₂ in ECF, and thus less stimulus to ventilation
- Chronically elevated Paco₂ = increased ECF [HCO₃⁻] = elevated pH and [H⁺] so acute increase in Paco₂ will induce less of a change in [H⁺] and therefore less stimulus to ventilation

**Chemical Control: Hypoxemic Stimulation**

- Peripheral chemoreceptors (carotid body, aorta) respond primarily to low PaO₂; also can respond to PCO₂ and [H⁺] as well as decreased blood flow and increased temperature
- Sleep depresses ventilatory stimulation; PaCO₂ rises by several mmHg in sleep (most in REM sleep)
- Low PaO₂=increased V̇E, then decreased arterial and CNS CO₂ and [H⁺] = less central stimulus to breathe, [HCO₃⁻] reduced but [H⁺] restored in several days, and thus unopposed stimulus to breathe by low PO₂ remains

**Chemical Control: Hydrogen ion stimulation**

- Metabolic acidosis stimulates; alkalosis inhibits breathing primarily through peripheral chemoreceptors, -7.30 to 7.50
- Acute metabolic acidemia = increased ventilation; then decreased PaCO₂ and CNS PCO₂, decreased [H⁺], decreased [HCO₃⁻], and after 24 hours normalization of CNS [H⁺]
- So, chronically low HCO₃⁻ = easier to stimulate by CO₂. Conversely, met alkalosis = low VE; eventual increase in CNS [HCO₃⁻] = more difficult to stimulate by CO₂ to induce a rise in [H⁺]
- Note: when WOB elevated, PCO₂ not as potent a stimulus to breathe

**Mechanics of breathing**

- Total mechanical work of breathing=overcoming elastic-resistive work+ flow-resistive work; in normal individual this applies to inspiration.
- Severe airway obstruction; may need expiratory work to overcome expiratory flow resistance
- Asthma= normal elastic resistance, high flow resistance; pulmonary fibrosis/stiff lungs (ARDS)= normal flow resistance, high elastic resistance and need for work to overcome this.

**Mechanics of breathing**

- Elastic forces: recoil of lungs and recoil of chest wall =equilibrium at FRC (functional residual capacity)
- Elastance= ΔP/ΔV, this is the distensibility of the respiratory system (lungs, chest wall)
- Lung volume dependent
- Compliance= ΔV/ΔP
- Healthy: Lung compliance=0.2 L/cmH₂O: eg, change inspiratory pressure 5 cmH₂O, 1.0 L air is inspired,=1 L/5 cmH₂O=0.2 L/cmH₂O
- Emphysema: increased compliance due to loss of elastic recoil pressure: e.g., change in inspiratory pressure 5 cmH₂O, 0.4 L/cmH₂O compliance
- (Sounds like a good thing for inspiration, but less efficient expiration)
- Pulmonary fibrosis: increased elastic recoil pressure (stiff lungs): 5 cmH₂O inspiratory pressure change with 0.5 L air inspired; compliance=0.10 L/cmH₂O
Mechanics of Breathing

- Transpulmonary pressure: difference between pleural pressure (usually measured as esophageal pressure) and mouth pressure static=no airflow
- Static compliance: relationship of transpulmonary pressure under static conditions (no airflow) to different degrees of lung inflation (volumes)
- Static inspiratory compliance = $V/I/P_{\text{plateau}} - P_{\text{PEEP}}$
- Volume vs pressure curve shifted up and to the left in patient with chronic airflow limitation (eg emphysema); shifted down and to the right in obesity and pulmonary fibrosis

Mechanics of Breathing

- Dynamic compliance: compliance determined during breathing
- Dynamic compliance = $V/I/P_{\text{dynamic}} - P_{\text{PEEP}}$

Mechanics of Breathing

- Inspiratory airway resistance = pressure difference across airways between mouth and alveoli = $P_{\text{plateau}}/\text{flow}$ (normal=4 cmH20/L/sec)
- Maximal inspiratory flow rate depends primarily upon muscular effort
- Expiration: higher volumes=higher flow rates, but once ~50% TLC, rate declines with greater effort because of dynamic airway compression
- Dynamic airway compression = more collapse of airways in expiration in emphysema (loss of elastance/increased compliance) as effort increases = gas trapping

Mechanics of Breathing

- Elastic resistance high (low compliance) = increased respiratory frequency; $V$ usually low, so rapid, shallow breathing=least work
- Eg, pulmonary fibrosis = low lung compliance; obesity, kyphoscoliosis = low chest wall compliance
- Flow resistance is high= decreased respiratory frequency; generally deeper and slower breathing (eg, chronic airflow limitation)
- Note, however: with lung hyperinflation, volume pressure curve changes with decreasing compliance and the patient may breathe more rapidly and shallowly as well

Mechanics/Work of Breathing

- Note that low and high respiratory rates cause increased mechanical work of breathing:
- High rates=low lung volumes=need to increase total ventilation so that alveolar ventilation is maintained, since there is increased wasted (dead space) ventilation, so increased work to overcome flow resistance
- Slow rates=little flow resistive work because of low flow rates but must increase VT to maintain alveolar ventilation; thus must use increased work to overcome elastic resistance

Mechanics/Work of Breathing

- Metabolic work:
- Oxygen consumption (VO2) in normals~1.0 ml/liter of ventilation; O2 cost of breathing increases in patients with respiratory disorders due to the increased work required
Ventilation

• $\text{PACO}_2 = \frac{\text{VCO}_2}{\text{VA}} \times K$ (the constant is actually 863 mmHg, derived from ideal gas laws).
• The ratio of $\text{VCO}_2/\text{VA}$ for normal people at rest, at sea level, is about $1/21.6$; thus, normal $\text{PACO}_2 = \frac{1}{21.6} \times 863$ mmHg $ \approx 40$ mmHg.

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

• Conduction of blood coming from the tissues through the alveolar capillaries so that $\text{O}_2$ can be added and $\text{CO}_2$ removed.
• Pulmonary vessels=low pressures and low resistance to flow (thin walled)
• Resistance=driving pressure/flow ($Q$)
• Most resistance in the arterioles and capillaries
• Driving pressure=pressure at the beginning of the pulmonary circulation (the pulmonary artery) and other end (left atrium); normally, eg, blood flow $6 \text{ L/min}$ and mean driving pressure of $9$ mmHg, resistance is $9/6$ or $1.5$ mmHg/L/min ($\approx 10\%$ of systemic pressure).

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

• Pulmonary capillary blood volume increases during inspiration and exercise
• Reduced when patients receive mechanical ventilation (intrathoracic pressure is raised, thus impeding venous return to the heart)
• Patients with increased pulmonary pressure (eg pulmonary hypertension, pulmonary embolism)=cardiodynamic consequences as well as disturbance of gas transfer

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

• Transfer of $\text{O}_2$ and $\text{CO}_2$ between alveolar gas and pulmonary capillary blood is entirely passive, with the rate of diffusion of gas across alveolar-capillary barrier determined by (1) solubility of gas in liquid, (2) density of gas, (3) partial pressure difference between alveolar air and pulmonary capillary blood, and (4) surface area available for diffusion
• $\text{CO}_2$ diffusion not a clinical problem because $\text{CO}_2$ much more soluble and diffusible than oxygen between air and blood
• Total diffusing capacity includes uptake by hemoglobin and rate of flow

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

• Low diffusion capacity not typically low $\text{PaO}_2$, since so much redundancy:
• Complete exposure of alveolar $\text{PO}_2$ to capillary blood=no decrease in end capillary $\text{PO}_2$, even if there is less of it (low lung volume, low Hgb) and no change in $\text{AaDO}_2$ (note that if less of it, lower $\text{O}_2$ CONTENT, not $\text{PaO}_2$)
• But incomplete transfer = decrease in end capillary $\text{PO}_2$ and widened $\text{AaDO}_2$

“Diffusion Capacity” vs Diffusion

• Note that: decreased diffusing capacity/gas transfer abnormality can result from numerous abnormalities not having anything to do with diffusion block itself
• So when we say diffusion abnormality=cause of hypoxemia, we mean those abnormalities which involve some form of diffusion block, or other inability to transfer gas completely (eg, low $\text{PIO}_2+$ increased circulatory time) so that insufficient transfer of alveolar $\text{PO}_2$ occurs
• Low alveolar volume, low Hgb, may result in low diffusing capacity as measured by transfer of $\text{CO}_2$, and low $\text{O}_2$ content, but not low $\text{PaO}_2$
Gas Transport: CO₂

- CO₂ in physical solution: most carried in RBCs either as bicarbonate, or bound to Hgb (carbaminoHgb)
- Some is dissolved in plasma

Gas Transport: Oxygen

- O₂ combined with Hgb in RBCs, and dissolved O₂ in physical solution in the plasma
- Normal: 1 gm of Hgb able to combine chemically with 1.34 ml O₂
- Thus: O₂ capacity=1.34 ml O₂ /gm Hgb
- If 15 gm Hgb/100 ml blood, O₂ capacity=20 ml O₂ /100 ml blood=200 ml O₂/liter blood
- Dissolved O₂ = .003 ml O₂ /100 ml blood/mmHg
- CaO₂ =SaO₂ x [O₂ capacity + dissolved O₂]/liter/mmHg PaO₂
- If PaO₂ =100 mmHg, O₂ content = 200 ml O₂ /liter blood + 3 mlO₂/liter blood=203 mlO₂/liter blood \times SaO₂

Hypoxemia

- Low partial pressure of O₂ in blood (PaO₂) OR low O₂ content

Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Hypoxemia: hypoventilation, low PIO₂, diffusion abnormality (must be severe if at rest), V/Q mismatch, shunt (note that shunt and diffusion block manifest similarly in corresponding areas of lung; diffusion abnormality (if not block) does NOT equal shunt)
- Note that low V/Q does not=shunt
- O₂ saturation=O₂ content/O₂ capacity \times 100
- Degree of O₂ saturation depends on O₂ tension

Physiologic Causes of Hypoxemia

- Alveolar Hypoventilation
- Decreased PIO₂
- Diffusion Abnormality
- V/Q mismatch
- Shunt
Physiologic Causes of Hypoxemia

**Widening of AaDO2:**
- Diffusion Abnormality
- V/Q mismatch
- Shunt

**No widening of AaDO2:**
- Hypoventilation
- Low PIO2 (may slightly widen if impaired diffusion)

Gas Exchange

- Alveolar Gas Equation:
  - \( PAO_2 = FIO_2 \times (PB-PH_20) - PCO_2/R + [PACO_2 \times FIO_2 \times 1-R/R] \) (full)
  - \( PAO_2 = FIO_2 \times (PB-PH_20) - PCO_2/R \) (simplified)
- \( R = \) Respiratory Exchange Ratio: (gas \( R = \) CO2 added to alveolar gas by blood/amount of O2 removed from alveolar gas by blood; low V/Q=low R); normal=0.8

Abnormal Ventilation, Abnormal Gas Exchange

Good Moves

- Two patients breathing room air at sea level:
  1. \( PaO_2=40 \text{ mmHg}, PaCO_2=90 \text{ mmHg} \)
  2. \( PaO_2=40 \text{ mmHg}, PaCO_2=22 \text{ mmHg} \)

Ventilation and Gas Exchange

- Objective: to achieve adequate tissue oxygenation and remove metabolically produced CO2.
- Ventilation: concerned with delivery of fresh volume of air to gas exchanging units, and the removal of a sufficient volume of mixed gas out.
- Gas Exchange: the ability to move gas across the alveolar-capillary membrane.
Ventilation and Gas Exchange

- The failure of either or both results in impaired arterial blood gases and ultimately respiratory failure.
- Ventilatory failure: Hypercapnic respiratory failure
- Gas exchange failure: Hypoxemic respiratory failure
- Hypoxemia is the inevitable result of both

Hypoxemia

- Low partial pressure of O₂ in blood (PaO₂) OR low O₂ content (CaO₂:SaO₂ × O₂ carrying capacity + .03 ml O₂/l/mmHg PaO₂)

Hypoxemia

- Hypoxemia is not synonymous with:
  - Hypoxia (metabolic O₂ deficiency; may be "stagnant", "histotoxic", "hypoxic", and "anemic")
  - Low O₂ carrying capacity (1.34 ml O₂/gm Hgb; if 15 gmHgb/100ml blood, then 20 ml O₂/100ml blood, or 200 ml O₂/liter of blood)
  - Low O₂ delivery (Ca O₂ x C.O.)
Physiologic Causes of Hypoxemia

- Alveolar Hypoventilation
- Decreased PPO<sub>2</sub>
- Diffusion Abnormality
- V/Q mismatch
- Shunt

Ventilation

- Minute Ventilation (VE) = tidal volume (VT) x respiratory frequency (*dead space* volume not accounted for)
- Alveolar ventilation (VA) = that part of minute ventilation which participates in gas exchange (that volume of fresh gas entering the respiratory exchange zone each minute)
- Alveolar ventilation = alveolar volume (tidal volume - dead space volume) x respiratory frequency

Ventilation

- Alveolar PCO<sub>2</sub> (PACO<sub>2</sub>) = VCO<sub>2</sub>/VA x K
- VCO<sub>2</sub> = CO<sub>2</sub> production
- VA = alveolar ventilation
- Normal: VCO<sub>2</sub>/VA = 1/21.6; K = 863 mmHg, so PACO<sub>2</sub> = ~40 mmHg
- Alveolar PCO<sub>2</sub> = CO<sub>2</sub> leaving lungs after gas exchange; directly reflects arterial PCO<sub>2</sub>
- e.g., halving alveolar ventilation with constant CO<sub>2</sub> production will double the alveolar PCO<sub>2</sub>
- e.g., doubling the alveolar PCO<sub>2</sub> reflects halved alveolar ventilation

Hypoventilation

- Inability to inspire and expire a volume of air/gas sufficient to meet metabolic demands
- Inability to bring a fresh volume of O<sub>2</sub> with each breath to the gas exchanging unit, and inability to remove CO<sub>2</sub> produced by metabolism.
- *Sine qua non*: Increased arterial PCO<sub>2</sub> (PaCO<sub>2</sub>); decreased arterial PO<sub>2</sub> (PaO<sub>2</sub>) breathing room air (parallel changes!!)

Hypoventilation/Alveolar hypoventilation

- All hypoventilation concerns either:
  - increased dead space/tidal volume ratio (anatomic or physiologic), or
  - Decreased MINUTE ventilation (decreased tidal volume, and/or decreased respiratory rate)
- Each may result in alveolar hypoventilation (PaCO<sub>2</sub> elevated)

Alveolar Hypoventilation: 2 Clinical Pearls

- Does not widen the AaDO<sub>2</sub>
- The hypoxemia may be readily ameliorated with supplemental O<sub>2</sub>
- Challenge: Write a proof for this latter statement
Alveolar Gas Equation

- $PAO_2 = PIO_2 - PACO_2 / R$
- $PAO_2 = PIO_2 - PACO_2 / R + [PCO_2 \times FIO_2 \times 1-R/R]$

Alveolar Gas Equation

- $PAO_2 = PIO_2 - PACO_2 / R$
- $PIO_2: FIO_2 (Patm-PH20)$

- $PACO_2 = PaCO_2$
- $R = \text{Respiratory Exchange Ratio}: (\text{gas R} = \text{CO}_2 \text{ added to alveolar gas by blood/amount of O}_2 \text{ removed from alveolar gas by blood; low V/Q=low R); normal}=0.8$

Case History

- Room air: $PaO_2 = 30 \text{ mmHg, PaCO}_2 = 90 \text{ mmHg, pH}=7.08$
- $PAO_2 = 0.21 \ (760-47) \ -90/0.8$

Case History

- Room air: $PaO_2 = 30 \text{ mmHg, PaCO}_2 = 90 \text{ mmHg, pH}=7.08$
- $PAO_2 = 0.21 \ (760-47) \ -90/0.8$
- $PAO_2 = 150-112.5 = 37.5$
Case History

• PaO2=30 mmHg, PaCO2=90 mmHg, pH=7.08
• PAO2 = 0.21 (760-47) –90/0.8
• PAO2=150-112.5=37.5
• AaDO2=7.5 mmHg

Alveolar Hypoventilation

• CNS: central hypoventilation; infectious, traumatic, vascular damage to medullary centers; pharmacologic and sleep suppression of ventilatory drive

Alveolar Hypoventilation

• CNS: central hypoventilation; infectious, traumatic, vascular damage to medullary centers; pharmacologic and sleep suppression of ventilatory drive
• Peripheral nervous system/myoneural junction: poliomyelitis, Guillain-Barre, myasthenia gravis

Alveolar Hypoventilation

Respiratory muscles: muscular dystrophy, ALS, increased inspiratory loading (eg emphysema)

Alveolar Hypoventilation

Respiratory muscles: muscular dystrophy, increased inspiratory loading (eg emphysema)
Chest wall/mechanical restriction: kyphoscoliosis, trauma, splinting, obesity
Airway obstruction: upper airway, lower airway
### Alveolar Hypoventilation

- Respiratory muscles: muscular dystrophy, increased inspiratory loading (e.g., emphysema)
- Chest wall/mechanical restriction: kyphoscoliosis, trauma, splinting, obesity
- Airway obstruction: upper airway, lower airway
- Increased dead space ventilation: pulmonary embolism, COPD

### Hypercapnic Respiratory Failure

- Primary deficit = hypoventilation without gas exchange abnormality
- Hypoxemia MUST result if patient breathing room air

### AaDO₂ and Hypoxemia

- Widened in diffusion disorder, V/Q mismatch, and shunt
- Not widened in alveolar hypoventilation and decreased PIO₂
- Normal 10-15 mmHg in young adult

### Climbing Everest (Decreased PIO₂)

- \( P_{atm} = 250 \text{ mmHg} \)
- \( \text{PaCO}_2 = 18 \text{ mmHg}; R=1 \)
- \( \text{PAO}_2 = \text{PIO}_2 - \text{PCO}_2 / R \)
- \( \text{PAO}_2 = 21 \times (250 - 47) - 18 / 1 = 24.6 \text{ mmHg} \)
- Recent data: altitude 8400 m, mean \( \text{PaO}_2 = 30 \text{ mmHg}, \text{Mean AaDO}_2 5.4 \text{ mmHg} \) (wider than expected): Grocott et al., *NEJM* 360:2:141

### Case History

- RA: \( \text{PaO}_2 = 70, \text{PaCO}_2 = 30 \text{ mmHg} \)

### Case History

- RA: \( \text{PaO}_2 = 70, \text{PaCO}_2 = 30 \text{ mmHg} \)
- No treatment: RA \( \text{PaO}_2 = 50 \text{ mmHg}, \text{PaCO}_2 = 28 \text{ mmHg} \)
- What happened?
**What happened?**

- \( \text{PAO}_2 = \text{PIO}_2 - \text{PACO}_2 / R \)
- \( 0.21 \text{ FIO}_2, \text{PaO}_2 = 50 \text{ mmHg}, \text{PaCO}_2 = 28 \text{ mmHg} \)
- \( \text{PAO}_2 = 0.21(713) - 28 / 0.8 = 150 - 35 = 115 \text{ mmHg} \)
- \( \text{AaDO}_2 = 115 - 50 = 65 \text{ mmHg} \)

**Hypoxemia**

- No widening of \( \text{AaDO}_2 \): hypoventilation, low \( \text{PIO}_2 \).
- Widened \( \text{AaDO}_2 \): shunt, low \( \text{V/Q} \), low diffusing capacity
- Hypoxemia of each may be overcome with supplemental \( \text{O}_2 \) except: shunt.
- Note: no gas exchange = no amelioration of hypoxemia with \( \text{O}_2 \), whether dead space, shunt, or no diffusion.

**Low V/Q**

- “Venous admixture”
- Alveolar filling: pneumonia, pulmonary edema (cardiogenic/non-cardiogenic)
- COPD a common situation of low \( \text{V/Q} \)
- Usually will involve some infinitely low \( \text{V/Q} \) (shunt) and decreased diffusion.

**Low V/Q**

- Low relationship of \( \text{V} \) to \( \text{Q} \); NOT low ventilation in all alveolar capillary units
- That is, Low \( \text{V/Q} \) is NOT hypoventilation (unless all units are the same low \( \text{V/Q} \))

**Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)**

- Transfer of \( \text{O}_2 \) between alveolar gas and pulmonary capillary blood is entirely passive, with the rate of diffusion of gas across alveolar-capillary barrier determined by (1) solubility of gas in liquid, (2) density of gas, (3) partial pressure difference between alveolar air and pulmonary capillary blood, and (4) surface area available for diffusion
- Total diffusing capacity includes uptake by hemoglobin and rate of flow
**Diffusion Abnormality**

- Alveolar-capillary membrane thickening (pulmonary hypertension, pulmonary vasculitis, pulmonary embolism)
- Alveolar-capillary membrane destruction (emphysema)
- Pulmonary interstitial thickening (pulmonary fibrosis)
- Alveolar filling (pulmonary edema, pneumonitis)

**Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)**

- Low gas transfer may also result from processes not clearly blocking diffusion, such as low Hgb, or increased rate of flow disallowing adequate gas transfer
- All diffusion abnormalities do not typically result in low PaO₂ or low O₂ content, since so much redundancy:
  - Complete exposure of alveolar PO₂ to capillary blood=no decrease in end capillary PO₂, even if there is less of it (low lung volume, low Hgb) and no change in AaDO₂ (note that if less of it, lower O₂ CONTENT, not PaO₂)
  - But incomplete transfer = decrease in end capillary PO₂ and widened AaDO₂

**Shunt**

- Infinitely low V/Q (but NOT low V/Q)
- Supplemental O₂ will not raise PaO₂ with large shunt
- Clinical examples: ARDS, other severe pneumonia, cardiogenic pulmonary edema
- May also be cardiogenic R-L shunt

**Shunt Fraction (Qs/Qt):**

\[
\frac{C_c'O_2 - C_a'O_2}{C_c'O_2 - C_v'O_2}
\]

(normal <5%)

- Where C_a'O₂ is arterial O₂ content;
- C_c'O₂ is end capillary oxygen content;
- C_v'O₂ is mixed venous (pulmonary artery) O₂ content
Hypoxemic Respiratory Failure

- Primary deficit: hypoxemia without hypoventilation, until late (?)
- Gas exchange abnormality: shunt, low V/Q, low diffusing capacity, all...

SUMMARY

- Hypoventilation: High PaCO₂, Low PaO₂, no widening of AaDO₂
- Gas exchange abnormality: Low PaO₂, normal or low PaCO₂, widened AaDO₂
- Hypoxemia of all hypoventilation and gas exchange abnormalities may be sufficiently overcome by supplemental O₂ unless gas exchange abnormality is absolute (eg shunt)

Good Moves

PaO₂=40 mmHg, PaCO₂=90 mmHg:
Severe alveolar hypoventilation; no gas exchange abnormality: ventilate, give oxygen if necessary; find and treat cause (s) of hypoventilation

PaO₂=40 mmHg, PaCO₂=22 mmHg:
Severe gas exchange abnormality; oxygenate; find and treat cause (s) of gas exchange problem (or low PIO2)