

# Pulmonary Physiology: A Review

Robert C. Basner, MD

Associate Professor of Clinical Medicine

Director, Adult Pulmonary Diagnostic Unit

Director, Cardiopulmonary Sleep and Ventilatory Disorders Center

Columbia University College of Physicians and Surgeons

# Pulmonary Physiology

- Control of Breathing
- Mechanics/Work of Breathing
- Ventilation
- Gas transport (including pulmonary circulation)
- Gas Exchange (including diffusion of gas/gas transfer)

“When you can’t breathe, nothing else matters.”

# Control of Breathing

- Keep  $\text{PCO}_2$  40 mmHg awake
- Neural Control
- Chemical Control

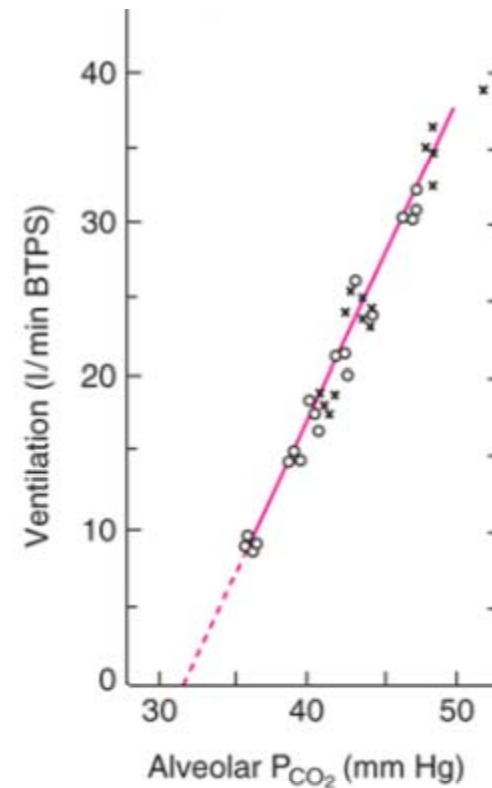
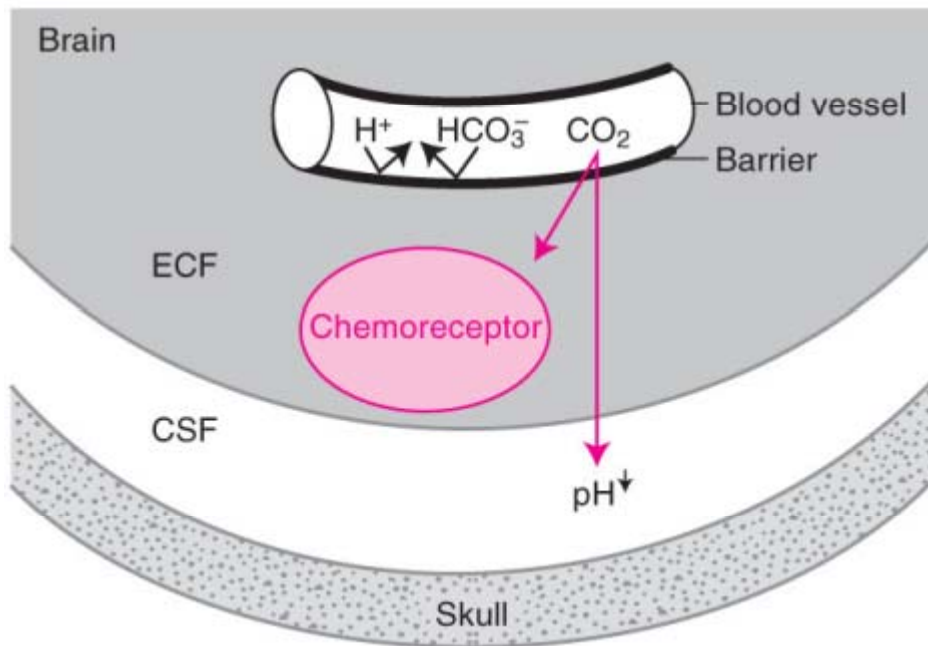
# Neural Control

- Inspiratory inhibition reflex (Hering Breuer)
  - irritant, mechano, j receptors: stimulation in patients with, e.g., interstitial fibrosis, pulmonary embolism, atelectasis
- Stimulation of mechanoreceptors in airways: can cause tachypnea, bronchoconstriction

# Chemical control

- CO<sub>2</sub> stimulation
- Hypoxemic stimulation
- H<sup>+</sup> stimulation

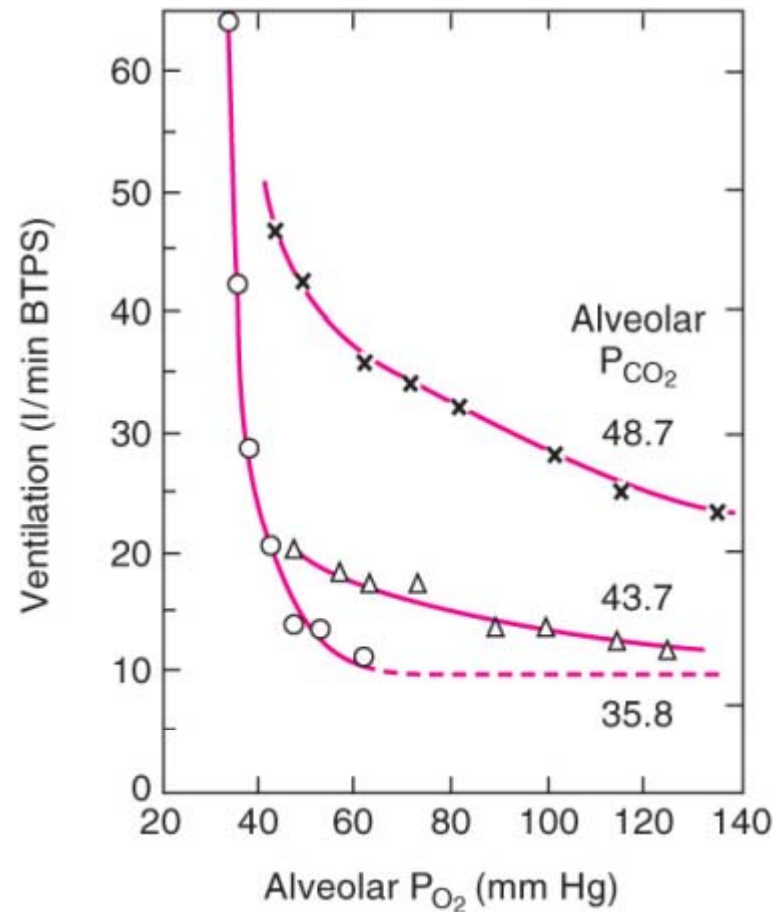
# Chemical Control: CO<sub>2</sub> stimulation



- Central >> peripheral chemoreceptors
- Chronically elevated PaCO<sub>2</sub> = increased ECF [HCO<sub>3</sub><sup>-</sup>] so acute increase in PaCO<sub>2</sub> will induce less of a change in [H<sup>+</sup>] and therefore less stimulus to ventilation

# Chemical Control: Hypoxemic Stimulation

- Peripheral chemoreceptors only
- Low  $P_{aO_2} \rightarrow$  increased  $V_E$
- The increase in  $V_E$  is attenuated by the decreased  $P_{aCO_2}$  that results (see previous slide)





## Chemical Control: Hydrogen ion stimulation

- Metabolic acidosis stimulates peripheral chemoreceptors
- Acute metabolic acidemia  $\rightarrow$  increased  $V_E$
- Chronic metabolic acidemia  $\rightarrow$  attenuated by  $\downarrow$   $\text{PaCO}_2$

# Chemical Control of Breathing

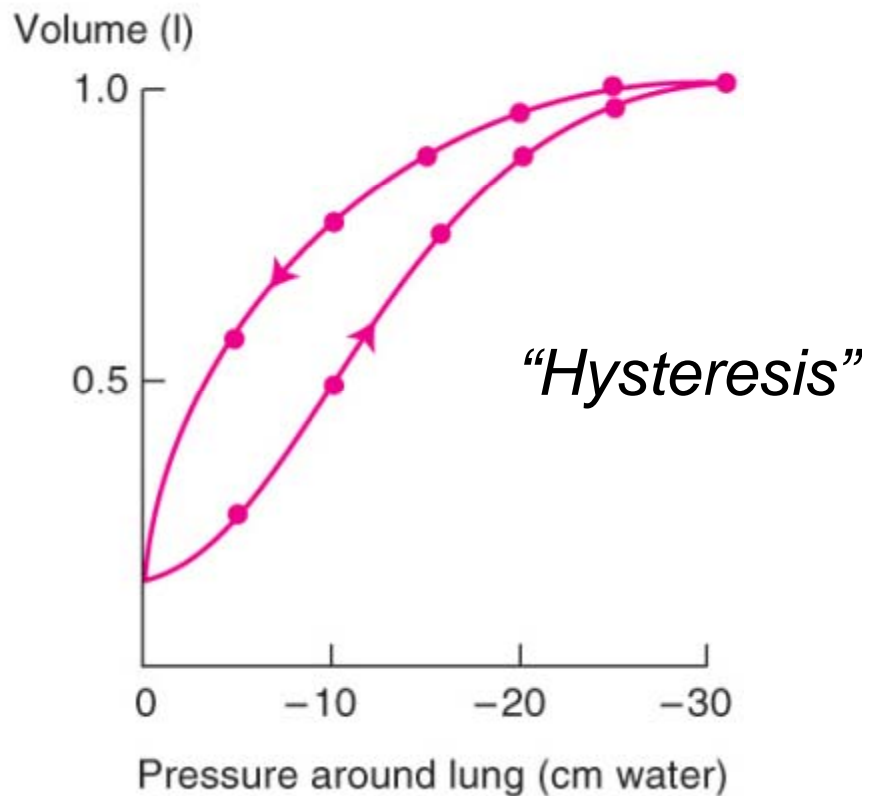
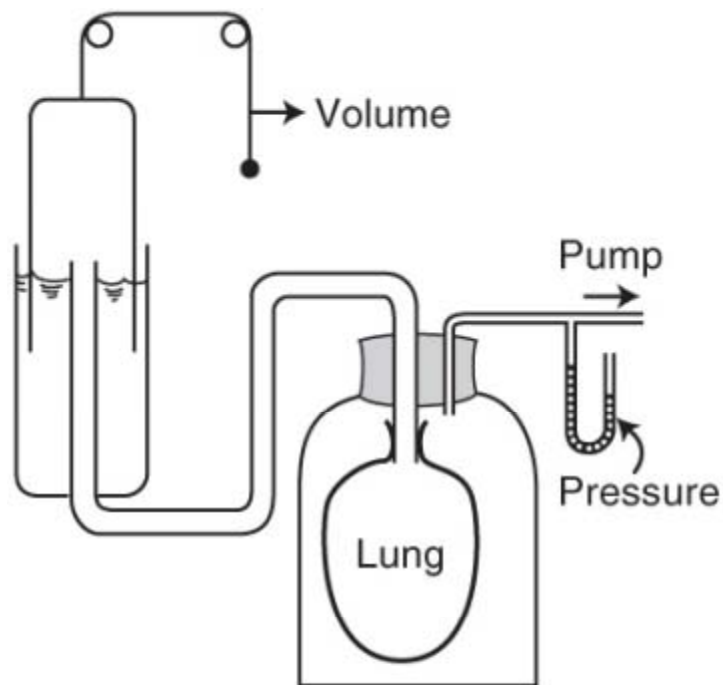
- When WOB elevated,  $PCO_2$  not as potent a stimulus to breathe
- Sleep depresses ventilatory stimulation;  $PaCO_2$  rises by several mmHg in sleep (most in REM sleep)

# Mechanical Properties of the Respiratory System

- Lung Compliance
- Chest Wall Compliance
- Airway Resistance
  
- In disease states, these mechanical properties are altered!!!

# Lung Compliance

$$\text{Compliance} = \frac{1}{\text{Elastance}} = \frac{\Delta \text{volume}}{\Delta \text{pressure}}$$

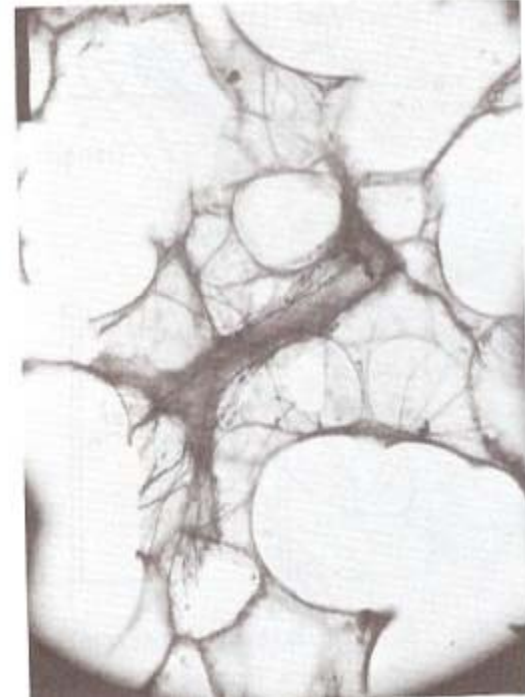


# Two determinants of lung compliance

- Elastic properties of lung parenchyma
- Surface tension in alveoli

# Elastic Properties of Lung Parenchyma

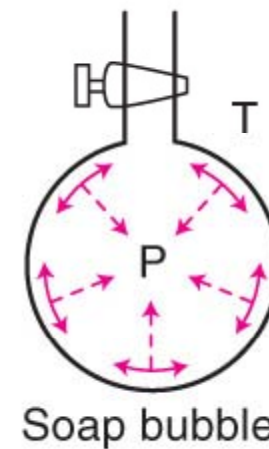
- Elastic fibers (easily stretched)
  - Elastin
  - Microfibrils
- Fibril forming collagens
  - Tensile strength
  - Types I, II, III, V, XI
- Geometric arrangement
  - “Nylon stocking” elasticity
    - Nylon stocking is easy to stretch
    - Nylon threads are difficult to stretch



Section of human lung showing elastic fibers in alveolar walls surrounding blood vessels.

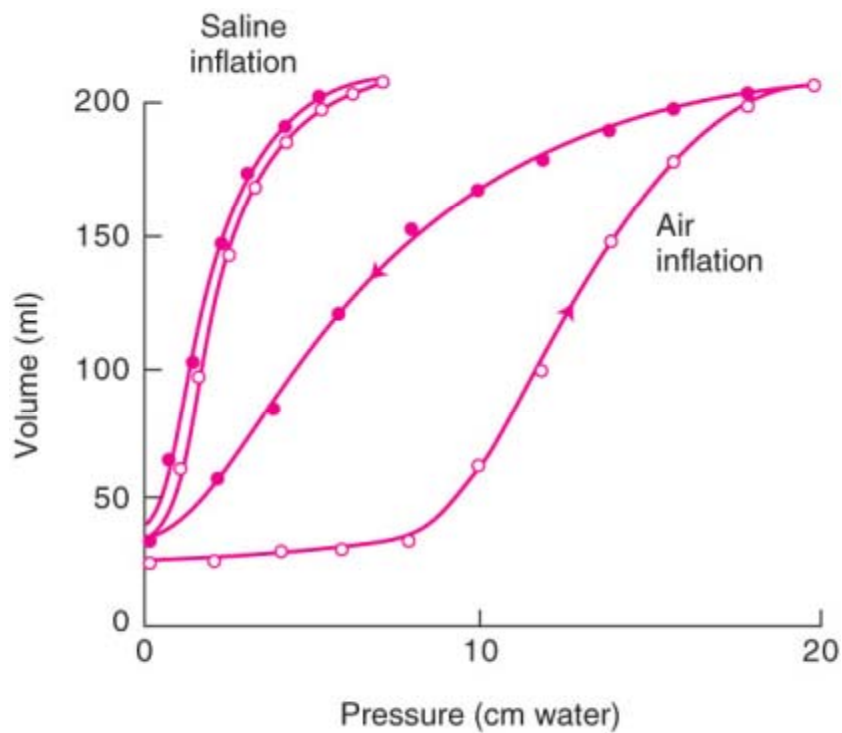
# Surface Tension of Alveolar Lining Fluid

- Surface Tension
  - **Technical definition:** “the force acting across an imaginary line 1cm long in the surface of the liquid”
  - **Better definition:** the force that minimizes liquid surface area
  - Attractive forces are stronger between two liquid molecules than between gas and liquid molecules



# Pulmonary Surfactant decreases Alveolar Surface Tension

- Type II pneumocytes produce surfactant
- Low surface tension = increased compliance

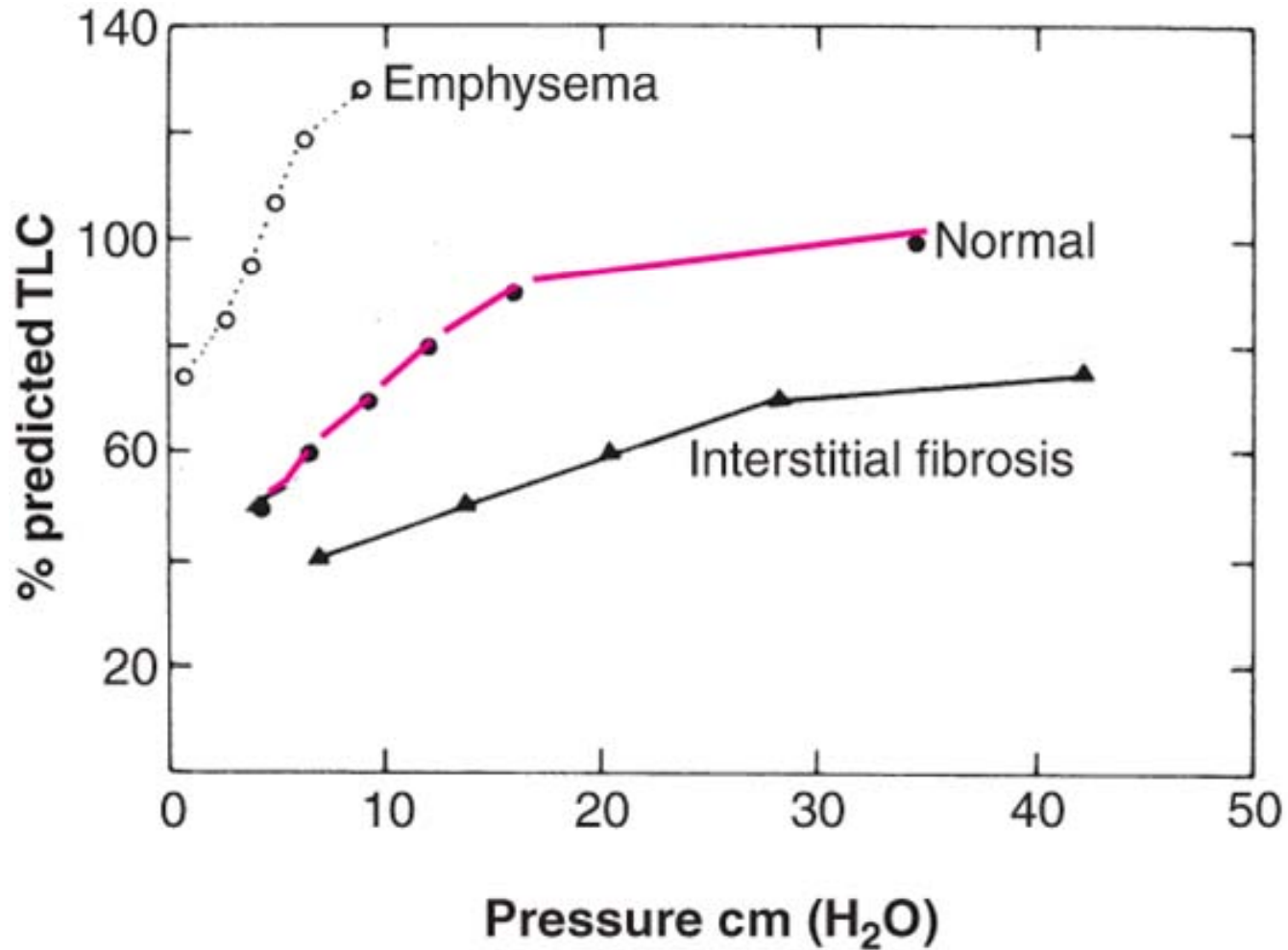




# Clinical correlation

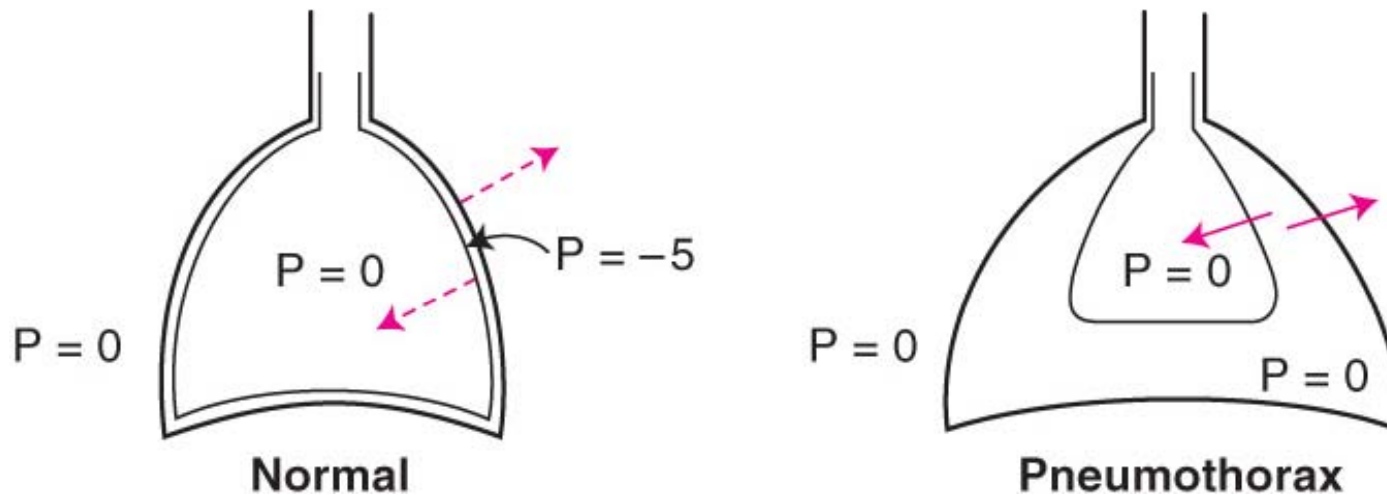
- What happens if...
  - the lung has too much interstitial water?
  - the lung has too much collagen?
  - the elastic tissue of the lung is partially destroyed?
  - the lung has too little surfactant?
  - all of the gas is removed from the right lower lobe?

# Pressure-Volume Curves



# Chest Wall Compliance

- The chest wall is elastic too!



At FRC, chest wall elastic recoil (pulling outward) = lung elastic recoil (pulling inward)

# Clinical correlation

- What happens if...
  - There is air in the pleural space?
  - There is too much liquid in the pleural space?
  - The visceral pleural is covered in scar tissue?

# Airway Resistance during Laminar Flow

Ohm's Law

$$\dot{V} = \frac{\Delta P}{R}$$

$$R = \frac{8\eta l}{\pi r^4}$$

$V$  = flow rate

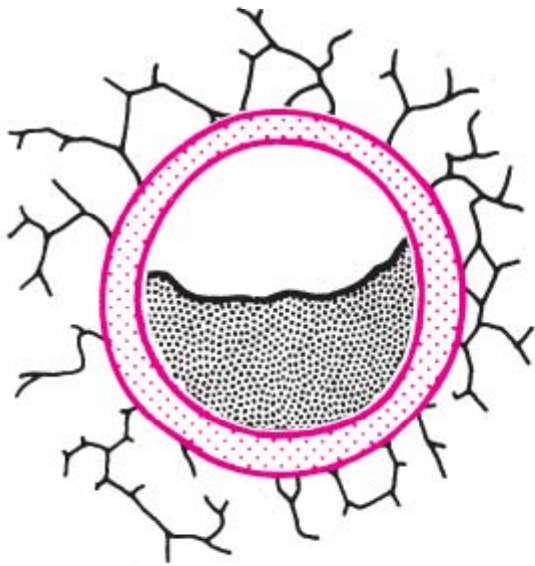
$\Delta P$  = driving pressure

$r$  = radius of the tube

$\eta$  = viscosity

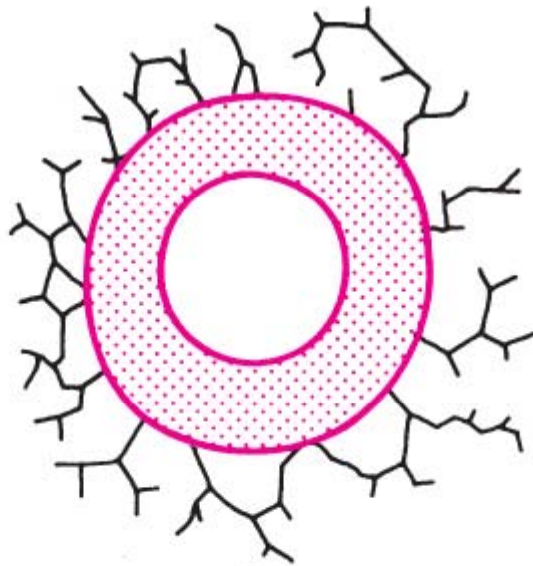
$l$  = length of the tube

# Airway Resistance is determined by Airway Caliber



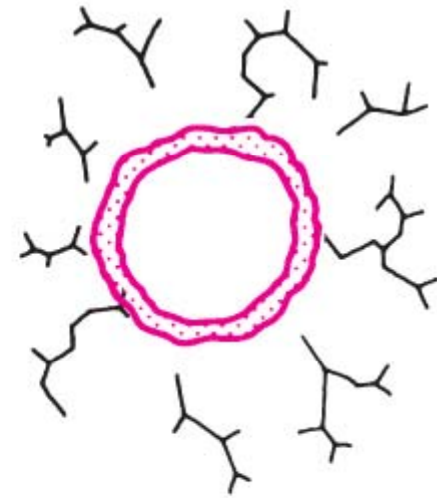
A

**Intraluminal:**  
e.g., Secretions



B

**Intramural:**  
e.g., Edema



C

**Extraluminal:**  
e.g., Loss of radial tractor

# Application of the Alveolar Ventilation Equation

$$P_aCO_2 \propto \frac{\dot{V}_{CO_2}}{\dot{V}_A}$$

What happens if...

1. Dead space increases (minute ventilation held constant)
2. Minute ventilation increases ( $V_D$  is constant)
3.  $CO_2$  production increases

## Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

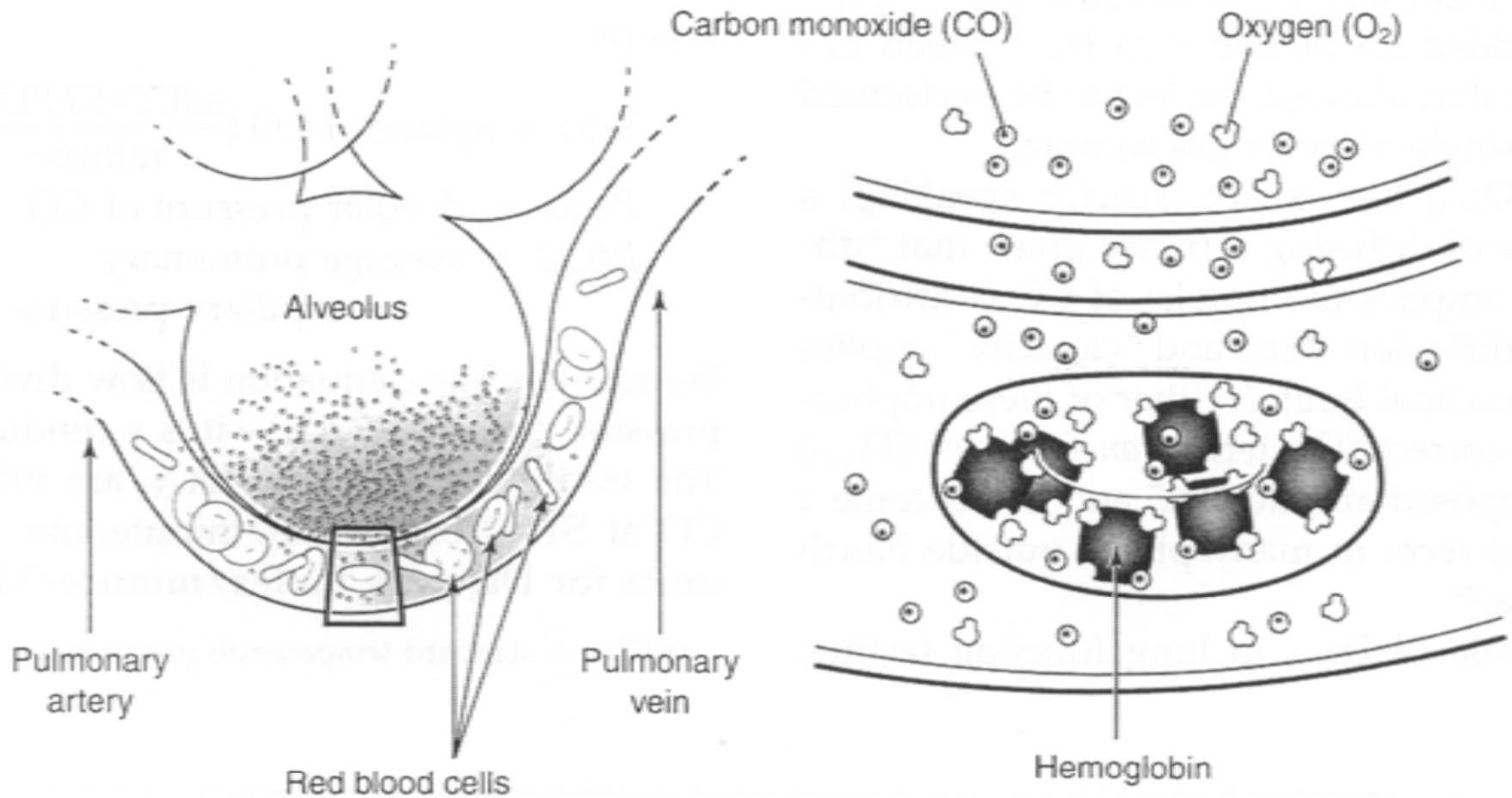
- Conduction of blood coming from the tissues through the alveolar capillaries so that  $O_2$  can be added and  $CO_2$  removed.
- Pulmonary vessels=low pressures and low resistance to flow (thin walled)
- Resistance=driving pressure/flow (Q)
- Most resistance in the arterioles and capillaries
- Driving pressure=pressure at the beginning of the pulmonary circulation (the pulmonary artery) and other end (left atrium); normally, eg, blood flow 6 L/min and mean driving pressure of 9 mmHg, resistance is  $9\text{mmHg}/6\text{ L/min}$ , or  $1.5\text{ mmHg/L/min}$  (~10% of systemic pressure).



## Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Pulmonary capillary blood volume increases during inspiration and exercise
- Reduced when patients receive mechanical ventilation (intrathoracic pressure is raised, thus impeding venous return to the heart)
- Patients with increased pulmonary pressure (eg pulmonary hypertension, pulmonary embolism)=cardiodynamic consequences as well as disturbance of gas transfer

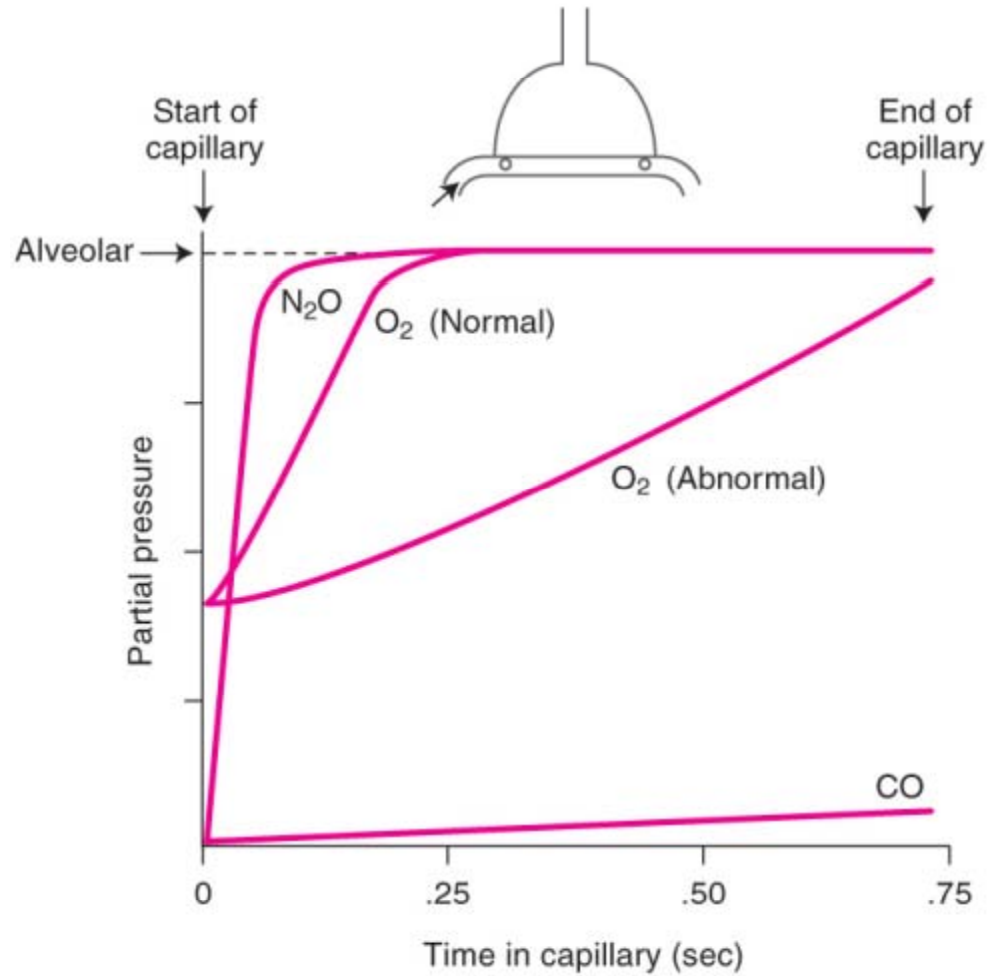
# Diffusing Capacity (Transfer Factor)



## Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

- Transfer of O<sub>2</sub> and CO<sub>2</sub> between alveolar gas and pulmonary capillary blood is entirely passive
- The *rate of diffusion* of gas across alveolar-capillary barrier is determined by
  - solubility of gas in liquid
  - density of gas
  - partial pressure difference between alveolar air and pulmonary capillary blood
  - surface area available for diffusion
- CO<sub>2</sub> diffusion not a clinical problem because CO<sub>2</sub> much more soluble and diffusible than oxygen between air and blood
- Total diffusing capacity includes uptake by hemoglobin and rate of flow

# Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)



# “Diffusion Capacity” vs Diffusion

- Note that: decreased diffusing capacity/gas transfer abnormality can result from numerous abnormalities not having anything to do with diffusion block itself

# “Diffusion Capacity” vs Diffusion

- So when we say diffusion abnormality=cause of hypoxemia, we mean those abnormalities which involve some form of diffusion block, or other inability to transfer gas completely (eg, low  $P_{IO_2}$ + **decreased** circulatory time) so that insufficient transfer of alveolar  $PO_2$  occur
- Low alveolar volume, low Hgb, may result in low diffusing capacity as measured by transfer of CO, and low  $O_2$  content, but not low  $PaO_2$

# Gas Transport: CO<sub>2</sub>

- CO<sub>2</sub> in physical solution: most carried in RBCs either as bicarbonate, or bound to Hgb (carbaminoHgb)
- Some is dissolved in plasma

# Gas Transport:Oxygen

- $O_2$  combined with Hgb in RBCs, and dissolved  $O_2$  in physical solution in the plasma
- Normal: 1 gm of Hgb able to combine chemically with 1.34 ml  $O_2$
- Thus:  $O_2$  capacity=1.34 ml  $O_2$  /gmHgb
- If 15 gm Hgb/100 ml blood,  $O_2$  capacity=20 ml  $O_2$  /100 ml blood=200 ml  $O_2$  /liter blood
- Dissolved  $O_2$  = .003 ml  $O_2$  /100 ml blood/mmHg  $PaO_2$
- **$CaO_2 = SaO_2 \times [O_2 \text{ capacity}] + \text{dissolved } O_2$**
- If  $PaO_2 = 100$  mmHg, and Hgb=15, then  $O_2$  content = 200 ml  $O_2$  /liter blood + 3 ml $O_2$ /liter blood= $\sim 203$  ml $O_2$ /liter blood x  $SaO_2$



# Hypoxemia

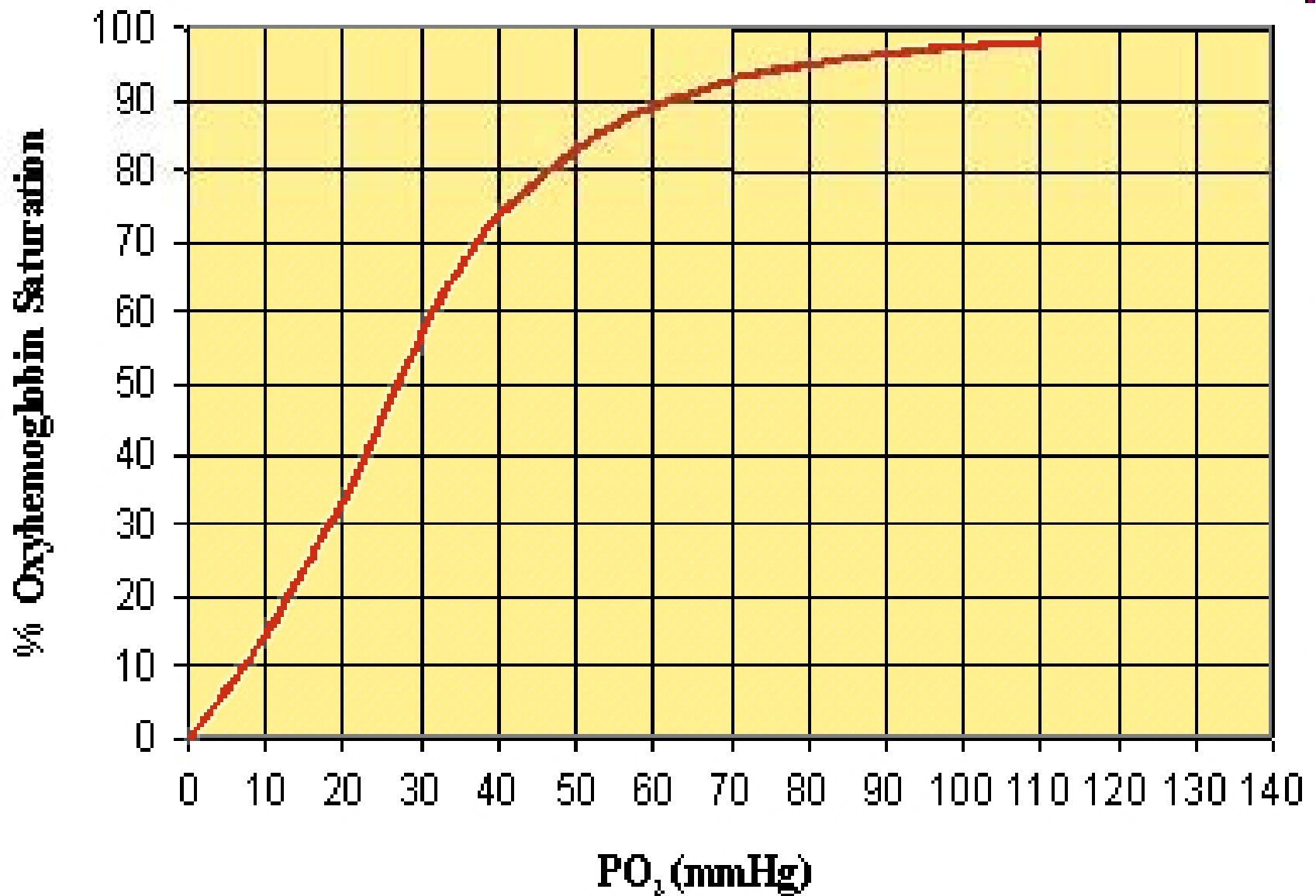
- Low partial pressure of O<sub>2</sub> in blood (PaO<sub>2</sub>) OR low O<sub>2</sub> content

# Hypoxia

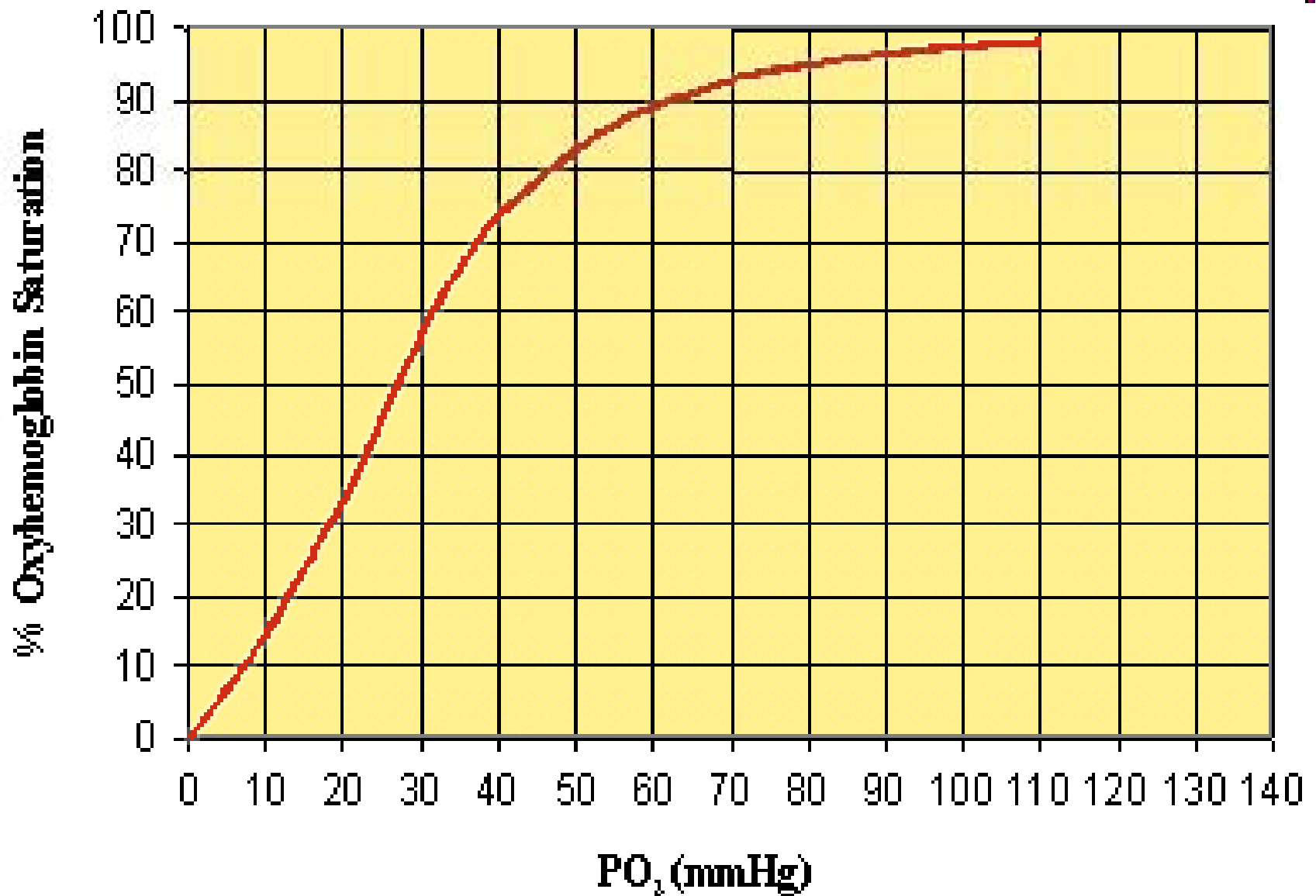
- Metabolic O<sub>2</sub> deficiency unable to meet tissue demands
- Hypoxia causes are:
  - o “stagnant”, as with impaired blood flow; normal PaO<sub>2</sub> and SaO<sub>2</sub>
  - o “histocytotoxic”, as with metabolic impairment using O<sub>2</sub>, such as cyanide poisoning; normal PaO<sub>2</sub> and SaO<sub>2</sub>
  - o “anemic”, as with low Hgb or carbon monoxide poisoning; normal PaO<sub>2</sub> and SaO<sub>2</sub>
  - o “hypoxic” or “hypoxemic”, as with impaired oxygenation such as low V/Q, shunt, diffusion block, or low PIO<sub>2</sub> such as high altitude; PaO<sub>2</sub> and SaO<sub>2</sub> decreased

## Gas Transport: Pulmonary Circulation and Diffusion of Gas (Gas Transfer)

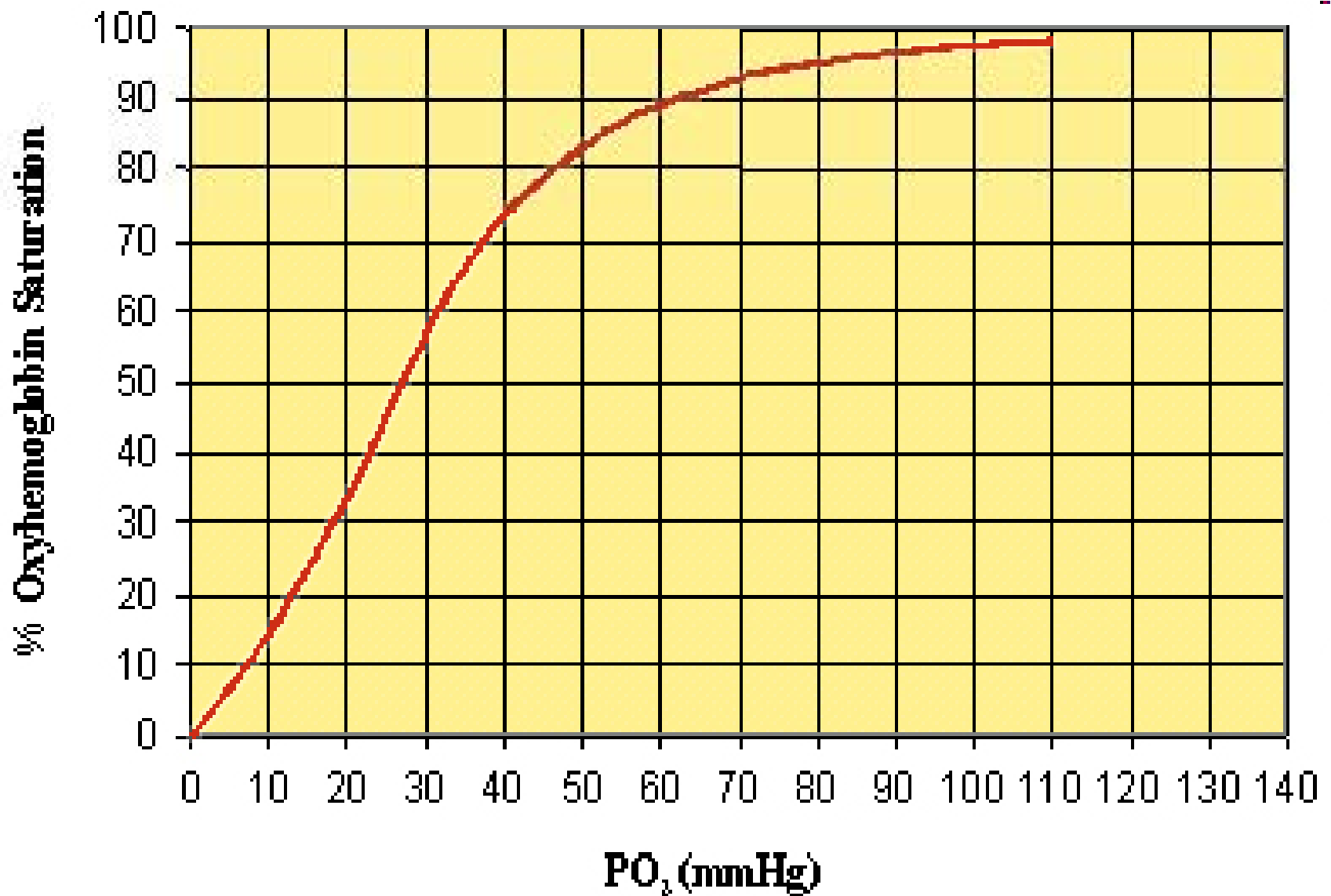
- Causes of Hypoxemia
  - Hypoventilation
  - Low  $PiO_2$
  - Diffusion abnormality (must be severe if at rest)
  - V/Q mismatch
  - Shunt
- Note that low V/Q does not=shunt
- Degree of  $O_2$  saturation depends on  $O_2$  tension



- Below PaO<sub>2</sub> 60 mmHg, O<sub>2</sub> sat and content decrease rapidly
- (ie, rapid dissociation and tissue unloading)



- Right shift = decreased  $O_2$  affinity (decreased  $SaO_2$ ) for a given  $PaO_2$
- (ie, more tissue unloading: increased temp, 2,3 DPG,  $PCO_2$ , low pH)



- Left shift=increased O<sub>2</sub> affinity (increased SaO<sub>2</sub>) for a given PaO<sub>2</sub>
- (ie, less tissue unloading: low 2,3 DPG, high CO, low temp, methHgb, fetal Hgb)

# Physiologic Causes of Hypoxemia

- **Widening of AaDO<sub>2</sub>**
  - Diffusion Abnormality
  - V/Q mismatch
  - Shunt
- **No widening of AaDO<sub>2</sub>:**
  - Hypoventilation
  - Low PIO<sub>2</sub>
    - may contribute to widening if impaired diffusion

# Alveolar Gas Equation

$$P_I O_2 = F_i O_2 \times (P_B - P_{H_2O})$$

$$P_A O_2 = P_I O_2 - \frac{P_A CO_2}{R} + \left[ P_A CO_2 \times F_i O_2 \times \frac{(1-R)}{R} \right]$$

$$P_A O_2 \approx P_I O_2 - \frac{P_A CO_2}{R}$$

- R=Respiratory Exchange Ratio: (gas R=CO<sub>2</sub> added to alveolar gas by blood/amount of O<sub>2</sub> removed from alveolar gas by blood; low V/Q=low R); normal=0.8



Two patients breathing room air at sea level:

1.  $\text{PaO}_2=40$  mmHg,  $\text{PaCO}_2=90$  mmHg:

2.  $\text{PaO}_2=40$  mmHg,  $\text{PaCO}_2=22$  mmHg:

Calculate the Alveolar-arterial PO<sub>2</sub> gradient

What is the pulmonary pathophysiology?