Double Jeopardy: The Impact of Poverty on Early Child Development

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It is clear that poverty places children at risk for a variety of adverse behavioral and developmental outcomes; it is less clear why this is so. Children growing up in similarly impoverished environments commonly have very different outcomes. Even within the same family, one child may fail while another excels. The risk factors associated with poverty frequently, but not invariably, lead to untoward outcomes for children. In this article we shall discuss the mechanisms by which poverty impacts on early developmental functioning and suggest interventions to ameliorate these effects.

Children living in poverty experience double jeopardy. First, they are exposed more frequently to such risks as medical illnesses, family stress, inadequate social support, and parental depression. Secondly, they experience more serious consequences from these risks than do children from higher socioeconomic status. It is the synergistic double jeopardy of increased exposure to and greater sequelae from environmental risks that predisposes children living in poverty to adverse developmental outcomes.

It is important to acknowledge an important limitation of the literature in this area: an inordinate emphasis is placed on the intelligence quotient (IQ) as an outcome measure. IQ tests are easily quantified and widely used. However, there are many other aspects of early childhood functioning of equal importance. Outcomes such as a child's sense of mastery, self-esteem, motivation to learn, and joy in life are rarely addressed. Consequently, the complexity and richness of childhood functioning are notably absent from most studies. The available data provide a very limited understanding of the impact of poverty on child development and, if anything, underestimate its true cost.

POVERTY AND CHILD DEVELOPMENT: A TRANSACTIONAL MODEL

Early theories of child development implied that negative developmental outcomes could result from a single risk factor, such as an early insult to the central

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nervous system. This approach is called the main effect model and implies a linear cause-and-effect relationship between risk and outcome. It was best articulated and gained wide acceptance after a retrospective study by Pasaminick and Knobloch, in which they hypothesized a "continuum of reproductive causality" to describe the relationship between perinatal factors (e.g., perinatal asphyxia, low birthweight, delivery complications) and poor outcomes (e.g., cerebral palsy, epilepsy, mental retardation, or learning disorders).

With such a model in mind, researchers in the 1970s were confronted with a curious fact: the best predictor of long-term developmental outcomes for infants born at risk was parental socioeconomic status (SES), rather than the type or degree of neonatal illness. For example, in a landmark followup study of 26,760 infants enrolled in the National Collaborative Perinatal Project, SES and maternal education were the factors most predictive of children's intellectual performance at 4 years. This finding was unexpected to the researchers, who included 158 biomedical and only 11 socio-behavioral independent variables in their analysis.

Perhaps the most elegant and sustained longitudinal study of developmental outcomes for children has been conducted by Werner. Her group has reported data at 19 years on 95 percent of all 688 children born in 1955 on the island of Kauai, Hawaii. A significant interaction between the quality of the caretaking environment and the amount of perinatal stress has been apparent at each stage of followup. For example, 2-year-old children from high SES who had experienced perinatal complications had mean IQ scores 5 to 7 points lower than children from the same social class with no perinatal problems. In contrast, 2 year olds from low SES with perinatal complications had IQs 19 to 37 points less than their unstressed counterparts.

Werner found that children from high SES with the most severe perinatal complications had mean IQ scores similar to children with no perinatal complications from poor homes. The children with the most significant delays had experienced severe perinatal complications and grew up in the poorest homes. By 38 years of age, ten times as many children with poor behavioral or developmental outcomes lived in poverty than had been exposed to significant perinatal stress. Other studies have since confirmed that poverty places children at greater developmental risk from perinatal insults.

In a recent study of 215 full-term children, Sameroff et al. demonstrated the cumulative nature of social risk factors in predicting IQ at 4 years. These risk factors included: maternal mental health problems, maternal anxiety, impaired mother-child interactions, low maternal education, negative parental attitudes and values, unemployment, minority group status, inadequate social support, large family size, and stressful life events. Although these risk factors tended to cluster in poor families, a cumulative deleterious effect was evident regardless of SES. In the highest SES group, for example, the mean IQ of 4 year olds with zero to one risk factor was 120, compared to a mean IQ of 100 for children exposed to four or more risk factors. The lowest SES group demonstrated a similar trend, with a mean IQ of 113 associated with zero to one risk factor and a mean IQ of 91 with 4 or more risk factors.

These data demonstrate that SES is a marker for potential psychosocial risk factors that may lead to developmental and behavioral morbidity. These factors additively or synergistically interact with the child's inherent strengths and vulnerabilities to shape outcomes. This viewpoint was articulated by Sameroff and Chandler in 1975 and called a transactional model of child development. It evolved out of the studies that demonstrated developmental outcomes to be largely unexplainable solely by the presence or degree of a biologic insult such as perinatal asphyxia, neurologic insults, abnormal neonatal neurologic examination, and prematurity, unless these insults were of the most severe variety with clear organic sequelae. In this model of development, outcomes can only be understood by considering the transaction between the content of the child's behaviors and the context in which they are manifested.

The transactional model addresses the dynamic interplay between the environment and the child. Characteristics of the child (e.g., genetic endowment, temperament, health) shape his or her responses to the environment. These interactions, in turn, transform environmental responsivity. Just as the child is shaped by the environment, so is the environment actively modified by the child. The child brings a host of attributes to the transaction. Three that have been particularly well studied are genetic endowment, temperamental style, and health status. These characteristics in part determine how the child will respond to the environment.

The environment likewise brings specific attributes to the transactions with the child. (In referring to environmental characteristics, the term low SES will be used interchangeably with poverty, although there are many other ways to define SES.) In a low SES environment, more risk factors for adverse developmental and increased stress, diminished social support, and maternal depression. These risk factors, in turn, exert their influence on the child through the quality of the home environment and the parent-child interactions, to name two of the most widely studied mechanisms.

As an example of a transactional view of child development, consider a child born at 34 weeks' gestation to a single mother living in poverty who received minimal prenatal care. Following a 3-week hospitalization, the infant was mildly hypotonic and had difficulty maintaining an alert state. The mother felt overburdened maternal feelings of inadequacy that resulted in a deepening of her depression. Positive interactions with her child were rare. The child did not look to the mother for stimulation and rarely vocalized. This further heightened the child's passivity engendered maternal feelings of inadequacy. By 2 years the child was clearly delayed in his language and cognitive development.

What is the etiology of this child's developmental delays? Is it biologic vulnerability secondary to prematurity? Maternal depression? Temperamental passivity? Inadequate environmental stimulation? Insufficient social support? A transactional analysis considers all of these factors as operating together to shape this outcome. Each factor modifies and potentiates the other. Together they weave a complex pattern that cannot be understood by examining the thread of only a single risk.

While adding considerable complexity to the determinants of child outcomes, such a model also suggests practical strategies for intervention. Changes in any aspect of the ecology of the child's world (e.g., maternal depression) can create positive transformations in another (e.g., environmental stimulation). Although characteristics of the child and the environment are discussed separately in this article, these distinctions are arbitrary and for purposes of clarity only.

CHILD CHARACTERISTICS

Genetic Endowment

An analysis of the interaction between the child and her environment must begin with an understanding of the child's role in that transaction. No variable has been demonstrated to be a more powerful predictor of cognitive outcomes than the child's genetic endowment.

The most compelling data concerning the heritability of intelligence derives
from comparisons of identical twins raised together or apart. In one study the correlation for cognitive development at age 56 years for monozygotic twins raised apart was o.03 compared to 0.66 for identical twins raised together. In comparison, a summary of 11 studies found the average IQ correlation for adoptive siblings from different biological parents to be 0.30. Although studies of adopted children demonstrate IQ scores about 6 to 10 points higher than their biological parents due to the enriched environment of the adoptive home, their IQs are still more closely correlated to the biological than to the adoptive parents. Thus, individuals with similar genotypes raised apart are far more alike in intellectual functioning than are individuals with disparate genotypes raised in similar environments.

Estimates of the contribution of genotype to the variance of IQs range from 40 to 60 per cent. This means that genotypes account for one half of the observed differences in IQ between individuals. Such data do not support strict nativists who believe genes are destiny, nor strict environmentalists who believe in the limitless potential for intellectual achievement in every person. Intelligence is malleable, but only within the limits circumscribed by a child's genotype.

Scarr and McCartney have proposed a transactional resolution to this nature/nurture controversy. They believe that the child's genetic endowment drives development by determining early responsivity to the environment. These genotypically determined responses not only shape the interaction with the environment but also influence the kind of experiences sought by the child. This active influence of the child's genotype explains the variability of outcomes for children raised in similar environments and the startling similarities in personalities and intelligence of identical twins raised apart. Poverty's risk factors may be attenuated by a resilient constitution or accentuated by a child who requires more environmental stimulation to achieve his or her true potential.

Temperament

Every infant is born with a distinct temperamental style. The importance of temperament in the developmental process was first highlighted by the pioneering work of Thomas and Chess. They describe temperament as the "how" of behavior, as compared to motivations (the "why" of behavior) and abilities (the "what" of behavior). Nine temperamental dimensions are identified in their model: activity level, regularity of biologic functions, approach/avoidance tendencies, adaptability, responsibility to stimuli, intensity of reactions, quality of mood, distractibility, and persistence.

Thomas and Chess followed a cohort of 133 middle-class children from birth to young adulthood to relate early temperamental characteristics to long-term outcomes. Forty per cent of their sample were described as having an "easy" temperament, characterized by a predominantly positive mood, high adaptability to change, and a positive approach to unfamiliar stimuli. Children with irregular biologic functions, withdrawal from novel stimuli, and intense expressions of mood were characterized as "difficult" and constituted 10 per cent of the sample. A third group, labeled the "slow-to-warm-up child," constituted 15 per cent of the sample. The remainder of the children did not fall into any category.

Children with difficult temperaments were more likely to have behavioral problems in the first 5 years of life. By early adulthood, however, this relationship was not seen. In analyzing the development of each child, Thomas and Chess found the nature of the interaction between the child's temperament and the environment to be the best predictor of long-term outcomes. They rated this interaction by its "goodness of fit." If the environmental expectations were compatible with the child's style, healthy development occurred. When the environment made inappropriate demands on the child, especially in relation to his innate temperament, a poor fit resulted, which left that child vulnerable to behavioral dysfunction in young adulthood.

Temperamental characteristics have not been directly associated with SES. However, an easy disposition may moderate the impact of stress on a child. In the Kauai Longitudinal Study, for example, temperamental traits considered rewarding to caretakers, such as social responsiveness and a normal activity level, were associated with fewer learning and behavioral problems at age 10 years. Children who are more adaptable, less intense, and more responsive are less likely to manifest behavioral problems when stressed. Additionally, children with a positive mood, high regularity, and high adaptability are less likely to be the target of parental hostility, criticism, and irritability. Difficult temperaments are associated with a higher incidence of physical abuse when other family stresses are also present. A child with a difficult temperament is a source of added stress, especially to parents who already feel overwhelmed and unsupported.

Biologic Insults

Children born into poverty are at greater risk for a host of biologic insults that can affect outcomes. The data support both a higher prevalence and greater sequelae of illnesses for poor children. In the prenatal period, for example, low SES increases the risk of contracting a cytomegalovirus (CMV) infection. Infants infected with CMV from poor families have lower IQ scores and 2.7 times more school failure than do matched controls. In contrast, these outcomes were not seen for infected infants of middle or upper class backgrounds. Poverty exposes children to double jeopardy from CMV infections: more exposure and greater developmental morbidity.

There are other examples of increased biologic risks for children in poverty that are detailed in this volume. Prenatal insults to the developing nervous system from maternal drug use, malnutrition, intrauterine infections, or other medical illnesses are more common. The incidence of low birthweight is two to three times higher and developmental morbidity greater in low SES groups. Poor children are also far more likely to experience lead poisoning, failure to thrive, otitis media, and other infectious diseases. In reviewing the effects of biologic insults on child development, Shonkoff concludes that children in poverty "carry a disproportionate burden of biologic vulnerability that is largely related to the increased health risks of poverty... Their developmental outcomes will be determined by a highly complex series of transactions among a great number of biological and environmental facilitators and constraints." In the next section some of these environmental constraints will be discussed.

ENVIRONMENTAL RISKS

Stress

The concept of stress was introduced by Hans Selye in 1950 to explain a number of physiologic disorders caused by hypersecretion of the adrenal gland. The psychogenic causes of stress have since been catalogued, but there is still no consensus as to its definition. Most recently, Carney and Rutter have defined stress as a stimulus "requiring a change in adaptation (strain), mental state (distress), and bodily reaction or response."

People living in poverty experience stress more frequently and more chronically than do middle and upper class families. For example, Ogilvie et al. examined the rates of stressful life events in different social classes. The incidence of major stressors (e.g., housing problems, financial shortages, death of a relative or friend,
school difficulties) was two to four times greater for mothers with incomes of less than $6000 (in 1969) than for those with more financial resources. Stress appears to be especially high for poor women with children under 6 years of age.  

In addition to a higher frequency of stressful events, there is evidence that stress begets stress. For example, inadequate financial resources greatly exacerbate the problems experienced by children and parents in divorced families. Equivalent levels of stress also engender more clinical depression among women from lower SES. Chronic stress, in the form of unemployment, lack of material goods, and so forth is also more prevalent in poor families and far more likely to have negative consequences than acutely stressful events.

Stress is associated with adverse consequences for parents and, directly or indirectly, for children. Children from highly stressed environments are at increased risk for a variety of developmental and behavioral problems, including poorer performance on developmental tests at 8 months,

lower IQ scores and impaired language development at 4 years,

and poorer emotional adjustment and increased school problems at school age. This last relationship is strongest for children in low SES/hight stress families and much less apparent for children in high SES/high-stress families. These findings suggest that the psychological and material resources associated with higher social class buffer families from the vicissitudes of stress.

Increased stress interferes with the mother’s ability to respond appropriately to her infant. It has been associated with impaired bonding behaviors between mothers and their premature infants and less positive interactions at 4 months.

Inadequate social support is defined as “the availability of meaningful and enduring relationships that provide nurturance, security and a sense of interpersonal commitment.” The benefits of social support fall into three categories: material supports (e.g., day care, nutritional supplements, availability of emergency help), emotional supports (e.g., friendships, counseling), and information/referral services (e.g., community resource availability, child-rearing techniques). Social support may derive from formal networks (e.g., health care providers, educational services, or peer groups) or informal networks (e.g., family, friends, or the media).

Families living in poverty are at greater risk for experiencing inadequate social support. The most common problem mentioned by poor families living in hotel rooms, for example, is the lack of emotional support. Pascoe et al. demonstrated an association between low SES and low social support. Single parents are especially susceptible to social isolation. Since the absence of social support is particularly damaging to families under stress, their children are again placed in double jeopardy. For example, low levels of social support are associated with decreased cognitive abilities at 8 months, more behavior problems among 5 to 8 year olds, lower IQ and receptive language skills at 4 years, and a higher incidence of child abuse.

Social support exerts its influence on children’s development by direct and indirect means. Indirect effects occur by providing parents with access to emotional support, material assistance, external monitoring of their child rearing practices, and positive role models. These are especially important for infants and young children, who frequently have little contact with anyone other than their primary caretakers. The direct benefits of providing the child with cognitive and social stimulation, emotional support, positive role models, and a widened social network may play a larger role, especially when they are lacking in the child’s home environment.

Adequate social support has been associated with enhanced parental functioning in general and more positively about their maternal role. Additionally, the anxiety, and other psychiatric problems has been well established.

Further support for the role of social support in reducing the incidence of maternal depression, more positive parent-child interactions are seen in families with helpful support networks. Mothers who feel supported, for example, use less punishment and are more involved with their children at 2 to 4 years. This improvement in mother-child interactions helps to explain the positive relationship found between social support and secure attachment behaviors in toddlers who had been irritable infants.

In addition to enhancing parent-child interactions, the presence of adequate social support is associated with a more stimulating and appropriate home environment, with less stress, and more positive psychological development. Mothers who reported more social support provided more stimulation to their 3-year-old infants. Strong associations also were seen between social support and depression. In summary, these studies have shown the quality of the home environment to be one of the most powerful determinants of long-term outcomes for children. By enabling stressed parents to improve outcomes for children.

Maternal Depression

Depression is defined as a mood characterized by sadness, hopelessness, guilt, loss of interest, emotional emptiness, and a feeling of “flatness.” Inadequate financial resources, lower educational attainment, recent immigrant status, support can all contribute to an increased incidence of depression. With these risks between low SES and depression, Brown et al. for example, described the prevalence of depression in a London borough as 5 per cent for middle class women and 25 per cent for lower class women.

Mothers of young children are at much higher risk for becoming depressed. The prevalence of depression in such circumstances has been estimated from 12 per cent (when strict diagnostic criteria are used) to 40 per cent (when self-reporting is used). The younger the mother at the time of her first depression, the lower the number of young children, the greater risk for depression and, in conjunction with poor social support and increased stress, may experience the most severe consequences.

Maternal depression has been linked to adverse health outcomes for their children such as lower birthweights, more accidents, failure to thrive, complaints also is associated with a number of negative behavioral and developmental outcomes such as sleep problems, depression, attention deficit disorder, withdrawn and defiant behaviors.

A number of studies have examined the mechanisms underlying these associations. Three-month-old infants will respond negatively when their mothers simulate...
function. A child with a positive self-concept seeks, establishes, and maintains the kind of supportive relationships and experiences that promote successful outcomes. These successes enhance the child’s self-esteem and sense of mastery, which leads to further positive experiences and relationships. The cycle of success can be as interventions must be to establish environments and relationships for the child that promote a positive self-concept.

IMPLICATIONS FOR INTERVENTION

The developmental costs of poverty for children are excessively high. Approximately two thirds of all children who test as mildly retarded have grown up in unemployment, delinquency, unwanted pregnancies, and the intergenerational transmission of failure. Successful interventions require both the amelioration of risk factors and the enhancement of protective mechanisms.

The care of adolescent mothers and their infants is an example of the necessity of such an approach. It is known that the adolescent mother’s nutritional status plays an important role in the increased incidence of low birthweight among their infants. The child’s subsequent developmental and social functioning will be related to birthweight, as well as social support from the maternal grandmother, the level of involvement of the baby’s father, and the mother’s mental health status. Optimal interventions for adolescent mothers should address all of these factors as well as the mother and child.

The most ambitious and comprehensive intervention of this type has recently begun in Chicago. This program, called the Beethoven Project, is providing pregnant and social support from the prenatal period through the first 5 years of the child’s life. It is an exciting effort that addresses child health and the ecology of family functioning in an early, continuous, and comprehensive fashion. If it proves successful and cost effective, it will serve as a model program in the future.

Transactional theory implies that even partial interventions may benefit other areas of functioning. For example, improving the health status of children can lessen their vulnerability to a disorganized home environment. Interventions also have benefits beyond their intended scope. The first studies of the Head Start programs, conducted in the 1960s, revealed only short-term gains in IQ scores. More recent long-term benefits in such areas as diminished special education services and less grade retention have been demonstrated that early intervention services young adulthood. These studies have led to the Head Start program for Children, Youth, and Parents to estimate that every $1.00 spent on preschool education saves at least $4.75 in later educational and social costs.

Early intervention is effective for children at biologic or environmental risk. Current research is examining the necessary and sufficient interventions to achieve long-term benefits. Until this question is resolved, current wisdom dictates that interventions start early, be comprehensive, and continue for as long as possible.

The first level of intervention must address basic needs for children and their parents living in poverty. Such interventions are best accomplished by public health measures such as the provision of material support (e.g., food, shelter, and money) and accessible medical care. The emphasis on improved prenatal care for women at risk, for example, has reduced the incidence of low birth weight deliveries and...
saved $3.35 in medical costs for every $1.00 invested.\textsuperscript{37} The developmental benefits of these interventions, although more difficult to quantify, may be even more impressive.

Pediatricians are comfortable with assessment and intervention for medical risks. However, the prevention of developmental and behavioral morbidity secondary to poverty requires a broader focus. During a health care visit the family's material resources for nutrition, housing, and other finances should be addressed. The clinician can evaluate the child's developmental characteristics and the "goodness of fit" with the environment. The earlier a mismatch is identified, the more successful can be the interventions.

The clinician should also attend to potential social risks for the child in the environment. Is there significant family stress? Do the parents appear depressed and overwhelmed? What is the level of social support available for the family? What is the quality of the parent-child interaction seen in the office? What sort of home environment awaits the child after the visit? Any or all of these questions can be addressed in the medical setting. The answers will determine the appropriate interventions. For one family, social support in the form of a visiting nurse may be helpful. For another, advocacy for adequate housing is necessary. A third may require a comprehensive, early intervention program to provide the child with adequate stimulation and emotional nurturance.

Finally, the clinician should try to identify these risks to developmental and behavioral outcomes as early as possible. Developmental screening tests alone, however, poorly predict long-term problems unless the context of the child's environment also is considered. Using such an approach at Boston City Hospital, early childhood educators have been integrated into medical settings such as the failure to thrive, lead poisoning, adolescent mother, and neurology clinics, as well as the inpatient wards. The goal has been to identify children in need of services to prevent developmental morbidity. The assessment includes not only developmental testing but an evaluation of the child's learning style, self-esteem, sense of mastery, the parent-child interaction, "goodness of fit," and health and social risk factors. Using this approach, 23 percent of the first 853 children were judged to be in need of new or additional services. Health care settings provide the earliest and best opportunity to intervene with children at risk. The challenge for us all is to recognize risk early and draw on available resources to eliminate the double jeopardy for children living in poverty.

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Foster Care

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Our society has always been faced with the problem of providing care for children without living parents or whose parents are unable or unwilling to care for them. In preindustrial America there was incentive for taking such children into a family because they could be expected to materially contribute to the welfare of the family. Seen as important resources and necessary to the economy, dependent children were apprenticed or "bound out" to other families until the age of majority. This provided the child with some sense of permanency while he or she was expected to pay his or her own way. It also led to abuses of child labor. As the country developed, childhood became a more protected life stage, in which expectations of children to contribute materially were limited. The late 1800s also were characterized by immigration from both outside and within the country or region. Industrialization created a dispersion of extended families and isolated individuals from established systems of social support. These circumstances contributed to family dysfunction and in the extreme case the inability of more parents to parent their children adequately. As a consequence, society responded by developing institutions for the care of children, foundling homes and orphanages. The minority of children were truly orphans, and most came from homes that were unable to care adequately for them.

At the 1909 White House Conference on Children, the principles of supporting families so that they could continue caring for their children, or alternatively, placing children in foster families to be cared for until their biological families were able to resume care, were adopted. This recommendation was formalized in law when in 1935, Congress enacted Title IV-A, Aid to Dependent Children, as a component of the Social Security Act. The objective of this Title was to provide financial assistance to widows and widowers to avoid the breakup of families from economic hardship. The title was subsequently amended to include assistance to the parent and certain specified relatives and the name was changed to its current one, Aid to Families with Dependent Children (AFDC). The program has changed considerably since its inception, especially in the decade from 1967 to 1976 when the number of supported children increased from 3.6 to 8.1 million. The upward trend was accompanied by a marked decrease in children who were paternal orphans to a rapid increase in children with living fathers absent from the home. Despite programs to support the family, the number of children in need of substitute parenting increased. In 1961, Title IV-A was amended to provide Federal matching

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