

Fractional & Integral Knapsack have optimal substructure.

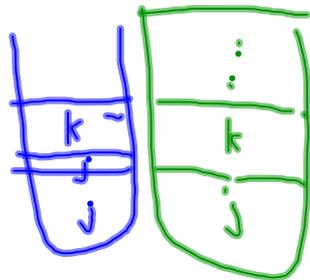
Only fractional problem has greedy choice property.

Statement

Let  $j$  be item w/ maximum  $\frac{v_i}{w_i}$ . Then  $\exists$  an opt. sol'n in which you take as much of  $j$  as possible.

Pf Suppose you didn't take as much as possible of item  $j$ , (and the knapsack is full). Then there exists some item  $k$ , w/  $\frac{v_k}{w_k} < \frac{v_j}{w_j}$  that is in the knapsack.

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not all of  $j$  is in

Then take a wt.  $\epsilon$  piece of  $k$  out,  
add a wt.  $\epsilon$  piece of  $j$  in.

Increase knapsack value by

$$\epsilon \frac{v_j}{w_j} - \epsilon \frac{v_k}{w_k} = \epsilon \left( \frac{v_j}{w_j} - \frac{v_k}{w_k} \right) > 0 \quad \otimes$$

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Alg

1. Sort items by  $v_j/w_j$ , remember.

2 for  $i = 1$  to  $n$

    Add as much as possible of item  $i$

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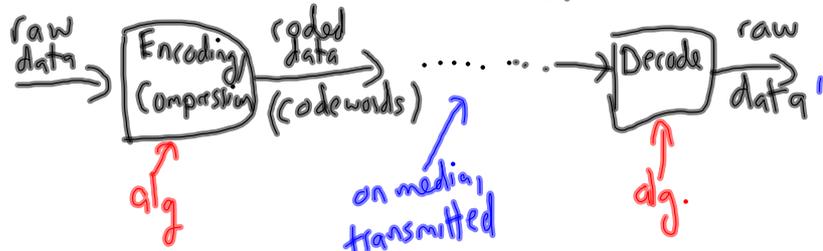
2	w20	
	v100	
3	w30	
	v120	
1	w10	
	v60	

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# Huffman Codes

(compression)

- data on media (CD, DVD)
- data over the internet



→ lossless - encode/decode → get back original data  
→ lossy - " → get back an approx. of original data

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Encode English letters  
Standard encoding  
ASCII - 8 bits

$$2^8 = 255$$

standard encoding of  $k$  symbols,  
you need  $\lceil \lg k \rceil$  bits

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encoding alg.

face

101000010100

decoding alg.

'code'

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Take advantage of different frequencies of letters in English.

E T A S I O ... .

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huff.pdf (application/pdf Object) - Mozilla Firefox

http://www.columbia.edu/~cs2035/courses/csor4231.F09/huff.pdf

**Different types of codes**

- **fixed length code.** Each codeword uses the same number of bits.
- **variable length code.** Codewords can use differing numbers of bits.

**Example**

character	frequency	fixed length code	variable length code
a	.45		1
b	.13		1
c	.12		2
d	.16		2
e	.09		2
f	.05		2

$.45(1) + .13(1) + .12(2) + .16(2) + .09(2) + .05(2) < 2$

11.00 x 8.50 in

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a 0  
 b 1  
 c 00  
 d 01  
 e 10  
 f 11

face  
 1100010  
 bhagabg  
 fcda  
 ⋮

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http://www.columbia.edu/~cs2035/courses/csor4231.F09/huff.pdf

• **variable length code.** Codewords can use differing numbers of bits.

character	frequency	fixed length code	variable length code
a	.45	000	0
b	.13	001	101
c	.12	010	100
d	.16	011	111
e	.09	100	1101
f	.05	101	1100

*Handwritten: 110001001101  
f a c e*

**Evaluation of code:** Expected number of bits per codeword.

**Fixed length code:** 3

**Variable length code:**

$$.45(1) + .13(3) + .12(3) + .16(3) + .09(4) + .05(4) = 2.24$$

**Prefix free codes:** No codeword is a prefix of any other codeword.

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*Handwritten:*

a	0	0
b	101	10
c	100	110
d	111	1110
e	1101	11110
f	1100	111110

*Handwritten:* path ( $\leftrightarrow$ ) codewords

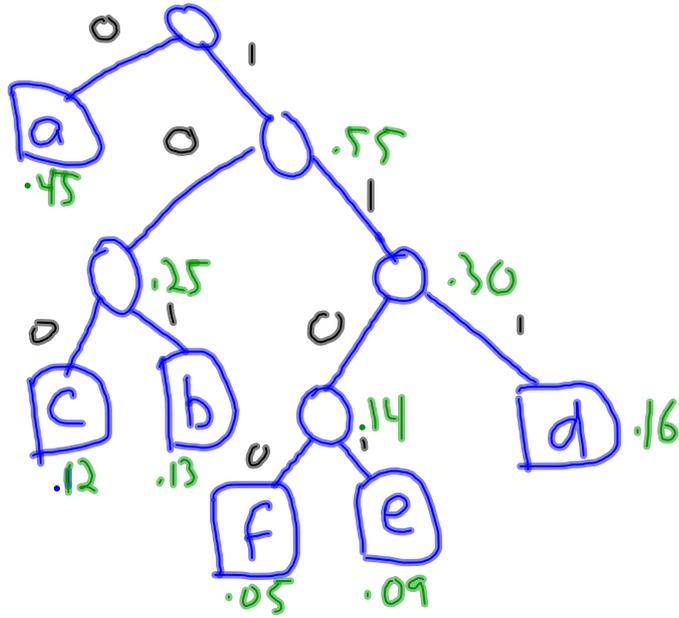
*Handwritten:* face

*Handwritten:* 110001001101

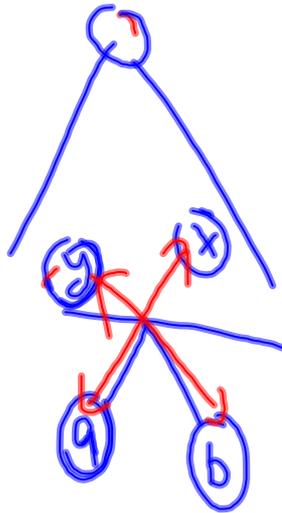
*Handwritten:* f a c e

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a .45  
 b .17  
 c .12  
 d .16  
 e .09  
 f .05  
~~ef .14~~  
~~bc .25~~  
~~df .3~~  
 .55



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x y lowest freq.

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http://www.columbia.edu/~cs2035/courses/csr4231.F09/huff.pdf

7 / 8 100% Find

- Let  $a$  and  $b$  be two characters that are sibling leaves of maximum depth in  $T$ . (wlog,  $f[a] \leq f[b]$  and  $f[x] \leq f[y]$ .)
- $f[x] \leq f[a]$  and  $f[y] \leq f[b]$ , since  $f[x]$  and  $f[y]$  are the two lowest leaf frequencies.
- Exchange the positions in  $T$  of  $a$  and  $x$  to produce a tree  $T'$ .
- Exchange the positions in  $T'$  of  $b$  and  $y$  to produce a tree  $T''$ .

Now look at the difference between  $B(T)$  and  $B(T')$

$$\begin{aligned}
 B(T) - B(T') &= \sum_{c \in C} f(c)d_T(c) - \sum_{c \in C} f(c)d_{T'}(c) \\
 &= f[x]d_T(x) + f[a]d_T(a) - f[x]d_{T'}(x) - f[a]d_{T'}(a) \\
 &= f[x]d_T(x) + f[a]d_T(a) - f[x]d_{T'}(a) - f[a]d_{T'}(x) \\
 &= (f[a] - f[x])(d_T(a) - d_T(x)) \\
 &\geq 0,
 \end{aligned}$$

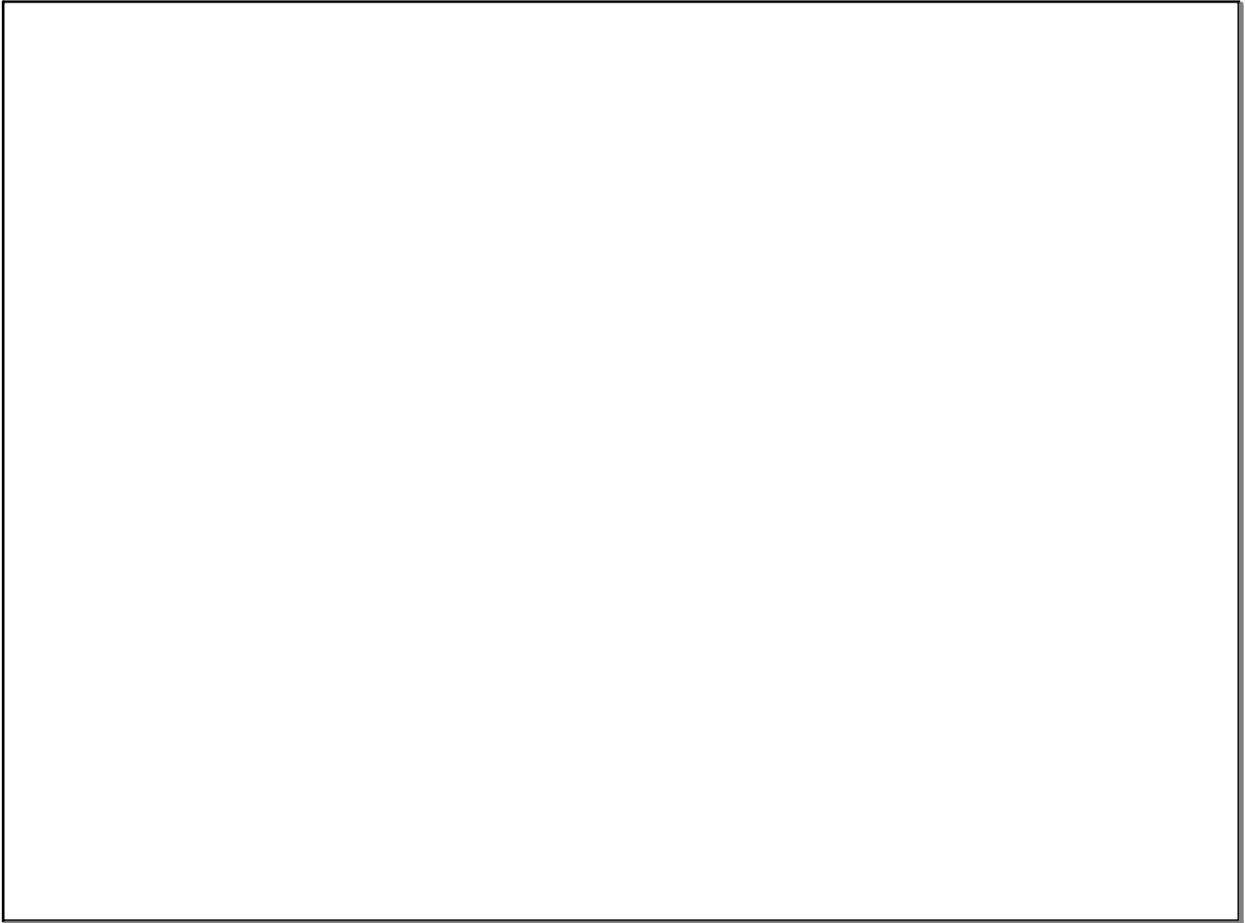
Reasons for last inequality:

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Assuming  
 - each character is encoded individually  
 into an integral # of bits,  
 Huffman coding is optimal  
 (minimized expected # of bits  
 transmitted)

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