

- Everyone take a \$1

- for $i = 1$ to 1000
follow some rule I make up

① What is the maximum amt. of money
I can end up with?

~~A. $(80 \text{ people}) (1000 \text{ cents}) = \$80,000$~~ (weak upper bound)

B. \$80 total (tighter upper bound)

Sometimes a standard analysis is too weak. Doesn't take into account restrictions on what can happen over a series of steps.

Oct 22-4:08 PM

Heap Sort

insert $O(\lg n)$ time.

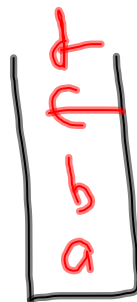
n inserts consecutively
 $O(n \lg n) \rightarrow O(n)$

Oct 22-4:19 PM

Stack

PUSH

POP



$O(1)$ time



Push 1
Push 2
Push 3
Pop
Push 4
MultiPop 2

Push 5
Pop
Push 6
Push 7
MultiPop 5

Oct 22-4:21 PM

Push $O(1)$
Pop $O(1)$
MultiPop $O(k)$

n operations

each operation takes $O(n)$ time
 n ops

total time = $O(n^2)$

Example of n ops taking $\Omega(n^2)$ time.
- need MultiPops on large stacks

$\underbrace{P P P P P P P P}_{k}$ MP(k) $\underbrace{P P P P P P P P}_{k}$ MP(k)

$k = \frac{n}{2}$

Can't construct such an example.

Oct 22-4:25 PM

Any seq. of n Push, Pop, MP takes $O(n)$ time.

Amortized time / op = $O(n)/n = O(1)$.

Amortized Analysis

3 methods

- Aggregate Analysis
- Banker's Method
- Potential function analysis

Oct 22-4:31 PM

1) Aggregate Analysis (Push, MP (MP(1)=Pop))

let $m(i)$ = # of pops in the i th multipop

let p = # of pushes done overall

PPP MP PPP MP PPPP MP

$$\sum_i m(i) \leq p.$$

$$\begin{aligned} \text{Total time} &= \# \text{ pushes} + \text{time for all multipops} \\ &= p + \sum_i m(i) \\ &\leq p + p = 2p \leq 2n \quad (p \leq n) \end{aligned}$$

Oct 22-4:34 PM

Banker's Method.

	Real Cost c_i	Am. Cost $\hat{c}_i$
Push	1	2
Pop	1	0
MP(k)	k	0

Conditions
 $\forall l, \sum_{i=1}^l \hat{c}_i \geq \sum_{i=1}^l c_i$

$$\text{show } \sum_{i=1}^n \hat{c}_i \leq X$$

$$\Rightarrow \sum_{i=1}^n c_i \leq \sum_{i=1}^n \hat{c}_i \leq X$$

Prove $\sum_{i=1}^n \hat{c}_i \geq \sum_{i=1}^n c_i$

Proof Whenever you push leave \$1 on the item, & that pays for the future pop.
 Because each item is pushed before popped, I always have the \$ to pay $\Rightarrow \sum \hat{c}_i \geq \sum c_i$

Oct 22-4:41 PM

P1
 P2
 P3
 Pop
 P4
 P5
 MP(3)

5\$
 4\$
 3\$
 2\$
 1\$

payed 6
 - Real cost 3
 bank 3
 2

Total Am. cost. $\sum \hat{c}_i \leq 2n$
 $\therefore \sum c_i \leq 2n$

Oct 22-4:49 PM

Potential function

D_i = state of system after i^{th} operation

Define $\Phi(D_i)$ potential assoc. w/ D_i

Real costs c_i

Am. costs $\hat{c}_i = c_i + \Phi(D_i) - \Phi(D_{i-1})$

$$\sum_{i=1}^n \hat{c}_i = \sum_{i=1}^n \left(c_i + \cancel{\Phi(D_1)} - \cancel{\Phi(D_0)} \right. \\ \left. + \cancel{\Phi(D_2)} - \cancel{\Phi(D_1)} \right. \\ \left. + \cancel{\Phi(D_3)} - \cancel{\Phi(D_2)} \right. \\ \left. \vdots \right. \\ \left. + \cancel{\Phi(D_n)} - \cancel{\Phi(D_{n-1})} \right)$$

$$\Rightarrow \sum_{i=1}^n \hat{c}_i = \sum_{i=1}^n c_i + \Phi(D_n) - \Phi(D_0)$$

$$\text{Want } \sum_{i=1}^n \hat{c}_i \geq \sum_{i=1}^n c_i \Rightarrow \Phi(D_n) \geq \Phi(D_0)$$

Oct 22-4:52 PM

If $\Phi(D_n) \geq \Phi(D_0)$ then $\sum_{i=1}^n \hat{c}_i \geq \sum_{i=1}^n c_i$ &
 $\sum_{i=1}^n \hat{c}_i$ is an upper bound.

(Typically we choose Φ s.t. $\Phi(D_0) = 0$
 $\Phi(D_n) \geq 0$.)

Oct 22-4:58 PM

Choose $\Phi(D_i) = \# \text{ items in stack.}$

$$\begin{aligned} \Phi(D_0) &= 0 \\ \Phi(D_n) &\geq 0 \end{aligned} \Rightarrow \Phi \text{ is a valid pot. func.}$$

Am. cost.

$$\begin{aligned} \text{Push } \hat{c}_i &= c_i + \Phi(D_i) - \Phi(D_{i-1}) \\ &= 1 + 1 = 2 \end{aligned}$$

$$\begin{aligned} \text{Pop } \hat{c}_i &= c_i + \Phi(D_i) - \Phi(D_{i-1}) \\ &= 1 + (-1) = 0 \end{aligned}$$

$$\begin{aligned} \text{MP}(k) \hat{c}_i &= c_i + \Phi(D_i) - \Phi(D_{i-1}) \\ &= k + (-k) = 0 \end{aligned}$$

For any seq. of n Push, Pop, MP

$$\sum_{i=1}^n \hat{c}_i \leq 2n \rightarrow \sum_{i=1}^n c_i \leq 2n$$

Oct 22-4:59 PM



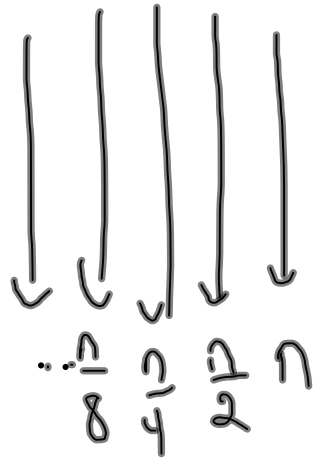
k-bit counter
cost (increment) = # bits flipped

n increments
flip $\leq nk$ bits
overall.

Fact n increments, k bit counter, # flips = $O(n)$.

Oct 22-5:06 PM

Total bits flipped



$$\begin{aligned} \text{total flips} &\leq n + \frac{n}{2} + \frac{n}{4} + \dots + 1 \\ &\leq n \left(1 + \frac{1}{2} + \frac{1}{4} + \dots + \right) \\ &\leq 2n. \end{aligned}$$

Oct 22-5:12 PM

Pot. func.

$\Phi(D_i) = \# \text{ 1's in the counter}$

$$\begin{aligned} \Phi(D_0) &= 0 \\ \Phi(D_i) &\geq 0 \Rightarrow \Phi \text{ valid} \end{aligned}$$

$$\begin{aligned} \hat{C}_i &= C_i + \underbrace{\Phi(D_i) - \Phi(D_{i-1})}_{\Delta} \\ &= (f_{01} + \cancel{f_{10}}) + (f_{01} - \cancel{f_{10}}) \\ &= 2f_{01} = 2(1) = 2. \\ &\quad (\text{assuming no wraparound}) \end{aligned}$$

Def: $f_{01} =$
bits flipped
 $0 \rightarrow 1$
 $f_{10} =$ # bits
flipped $1 \rightarrow 0$

Oct 22-5:18 PM

w/ wlpaiamd $2 + \frac{k}{2^k} \leq 3.$

Oct 22-5:25 PM