

VITA

DAVID EPSTEIN

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EDUCATION

Ph.D. Political Economics, Graduate School of Business, Stanford University, 1992

A.B. Applied Mathematics, Harvard University, 1987

EMPLOYMENT

2006- Adjunct Professor of Law, Columbia University

2002- Professor, Department of Political Science, Columbia University

1997-02 Associate Professor, Department of Political Science, Columbia University

1997-98 Visiting Professor, Graduate School of Business, Stanford University

1995-96 Post-Doctorate Fellow in Positive Political Economy, Harvard University

1991-97 Assistant Professor, Department of Political Science, Columbia University

RESEARCH

Books

Delegating Powers: A Transaction Cost Politics Approach to Policy Making Under Separate Powers. (Co-authored with Sharyn O'Halloran.) Cambridge University Press, 1999.

The Future of the Voting Rights Act. (Co-edited with Rodolfo de la Garza, Sharyn O'Halloran and Richard Pildes.) New York: Russell Sage, 2006.

Dividing Lines: Racial Redistricting and Substantive Representation. (Co-authored with Sharyn O'Halloran.) Manuscript: Columbia University.

Articles

"Heterogeneous Parties and Political Organization: The U.S. Senate, 1880-1920" (co-authored with David Brady and Richard Brody). *Legislative Studies Quarterly* **14**: 205-223, 1989.

Reprinted in:

Hibbing, John and John Peters, eds. 1990. *The Changing World of the U.S. Senate*. Berkeley, CA: IGS Press; and in

Silbey, Joel, ed. 1991. *The United States Congress in a Nation Transformed, 1896-1963*. Brooklyn: Carlson Publishers.

"Ambition and Constraint: The Stabilizing Role of Institutions" (co-authored with David Soskice and Robert Bates). *Journal of Law, Economics, and Organization* **8**: 547-560, 1992.

"Administrative Procedures, Information, and Agency Discretion: Slack vs. Flexibility" (co-authored with Sharyn O'Halloran). *American Journal of Political Science* **38**: 697-722, 1994.

"Money Talks: Deterring Quality Challengers in Congressional Elections" (co-authored with Peter Zemsky). *American Political Science Review* **89**: 295-308, 1995.

"A Theory of Strategic Oversight: Congress, Lobbyists, and the Bureaucracy" (co-authored with Sharyn O'Halloran). *Journal of Law, Economics and Organization* **11**: 227-55, 1995.

"The Partisan Paradox and the Tariff, 1877-1934" (co-authored with Sharyn O'Halloran). *International Organization* **50**: 301-324, 1996.

"Divided Government and the Design of Administrative Procedures: A Formal Model and Empirical Test" (co-authored with Sharyn O'Halloran). *Journal of Politics* **58**: 393-417, 1996.

"Do Majority-Minority Districts Maximize Substantive Black Representation in Congress?" (co-authored with Charles Cameron and Sharyn O'Halloran). *American Political Science Review* **90**: 794-812, 1996.

Cited by Supreme Court in:

Georgia v. Ashcroft, 123 S.Ct. 2498 (2003).

"An Informational Rationale for Committee Gatekeeping Power." *Public Choice* **91**: 271-99, 1997. Winner, Duncan Black Award for Best Paper.

"A Comparative Approach to Legislative Organization: Careerism and Seniority in the United States and Japan" (co-authored with David Brady, Sadafumi Kawato and Sharyn O'Halloran). *American Journal of Political Science* **41**: 965-88, 1997.

- "Intra-Party Preferences, Heterogeneity & the Origins of the Modern Congress: Progressive Reformers in the House and Senate, 1890-1920." (co-authored with David Brady). *Journal of Law, Economics & Organization* **13**: 26-49, 1997.
- "Uncovering Some Subtleties of the Uncovered Set." *Social Choice and Welfare* **15**: 81-93, 1998.
- "Partisan and Bipartisan Signaling in Congress." *Journal of Law, Economics & Organization* **14**: 183-204, 1998.
- "Legislating from Both Sides of the Aisle: Information and the Value of Bipartisan Consensus." *Public Choice* **101**: 1-22, 1999.
- "Asymmetric Information, Delegation, and the Structure of Policy Making" (co-authored with Sharyn O'Halloran). *Journal of Theoretical Politics* **11**: 37-56, 1999.
- "The Non-Delegation Doctrine and Separate Powers: A Political Science Approach" (co-authored with Sharyn O'Halloran). *The Cardozo Law Review* **20** (January): 947-87, 1999.
- "Measuring the Electoral and Policy Impact of Majority-Minority Voting Districts." (co-authored with Sharyn O'Halloran). *American Journal of Political Science* **43** (April): 367-95, 1999.
- "A Social Science Approach to Race, Redistricting, and Representation." (co-authored with Sharyn O'Halloran). *American Political Science Review* **93** (March): 187-91, 1999.
- "The Institutional Face of Power: Congressional Delegation of Authority to the President" (co-authored with Sharyn O'Halloran). In *Presidential Power: Forging the Presidency for the 21st Century*, edited by Robert Shapiro, et. al. New York: Columbia University Press, 2000.
- "Majority-Minority Districts and the New Politics of Congressional Elections." (co-authored with Sharyn O'Halloran). In *Continuity and Change in House Elections*, edited by David Brady, John Cogan, and Morris Fiorina. Stanford: Stanford University Press, 2000.
- "Delegation and Executive Power." (co-authored with Sharyn O'Halloran). *Presidential Studies*, Fall 2000.
- "Legislative Organization Under Separate Powers" (co-authored with Sharyn O'Halloran). *Journal of Law, Economics & Organization* **17** (October): 373-396, 2001.
- "No Net Effect: Internet Voting and Youth Participation in the 2000 Democratic Primary." (co-authored with Sharyn O'Halloran and Noah Kaplan). Pew Charitable Trusts Report, 2001.

- “Delegation, Comitology, and the Separation of Powers in the European Union.” (co-authored with Alexander Ballmann and Sharyn O’Halloran). *International Organization* **56** (Summer): 551-574, 2002.
- “Conditional Presidential Leadership: Pivotal Players, Gridlock, and Delegation.” (co-authored with Ida Kristensen and Sharyn O’Halloran). Forthcoming, in *The Modern Presidency*, Bert Rockman and Richard Waterman, eds., London: Routledge Press, 2005.
- “A Theory of Efficient Delegation.” (co-authored with Sharyn O’Halloran). In *Delegation in Contemporary Democracies*, Dietmar Braun and Fabrizio Gilardi, eds. London: Routledge Press, 2006.
- “Trends in Substantive and Descriptive Minority Representation, 1974-2000.” (co-authored with Sharyn O’Halloran). In *The Future of the Voting Rights Act*, David Epstein, Rodolfo de la Garza, Sharyn O’Halloran and Richard Pildes, eds. New York: Russell Sage, 2006.
- “A Strategic Dominance Argument for Retaining Section 5 of the VRA.” (co-authored with Sharyn O’Halloran). *Election Law Journal* **5**: 283-292, 2006.
- “Democratic Transitions.” (co-authored with Robert Bates, Jack Goldstone, Ida Kristensen and Sharyn O’Halloran). *American Journal of Political Science* **50** (July):551-569, 2006.
- “The Paradox of Retrogression in the New VRA: Comment on Persily.” (co-authored with Sharyn O’Halloran). *Yale Law Journal* **117**, Pocket Part 10, 2007.
- “Estimating the Impact of Redistricting on Minority Substantive Representation.” (co-authored with Michael Herron, Sharyn O’Halloran, and David Park). *Journal of Law, Economics & Organization*, **23**: 499-518, 2007.
- “Delegation Games and Sovereignty in International Organizations.” (co-authored with Sharyn O’Halloran). *Law & Contemporary Problems* **71**: 77-92, 2008.
- “Does the New VRA Section 5 Overrule Georgia v. Ashcroft?” (co-authored with Sharyn O’Halloran). *NYU Annual Survey of American Law* **63**: 631-59, 2008.

“Gerrymanders as Tradeoffs: The Co-Evolution of Social Scientific and Legal Approaches to Racial Redistricting” (co-authored with Sharyn O’Halloran). In *Designing Democratic Governance*, Margaret Levi, James Johnson, Jack Knight, and Susan Stokes, eds. New York: Russell Sage, 2008.

“Caucuses and Primaries Under Proportional Representation.” (co-authored with Massimo Morelli and Sharyn O’Halloran). In *The Political Economy of Democracy*, Enriqueta Aragones, Carmen Bevia, Humberto Llavador and Norman Schofield, eds. Bilbao: Fundacion BBVA, 2009.

“WTO Dispute Settlement and U.S. Trade Policy.” (co-authored with Sharyn O’Halloran and Amy Widsten). In *Frontiers of Economics and Globalization*, James Hartigan, ed. New York: Elsevier Press, 2009.

“A Global Model for Forecasting Political Instability.” (co-authored with Jack Goldstone, Robert Bates, et. al.) *American Journal of Political Science* 54(1): 190-208, 2010.

Articles in Progress

“Modeling Madison: A Multiple Principals Model of Interest Group Competition” (co-authored with Sharyn O’Halloran). Manuscript, Columbia.

“Higher-Dimension Markov Models.” (co-authored with Sharyn O’Halloran). Paper presented at the Annual Political Methodology Meetings, Tallahassee, Florida, July 21-24, 2005.

“Racial Gerrymandering and Representative Democracy” (co-authored with Sharyn O’Halloran) Prepared for the Wallis Conference on Political Economy, University of Rochester, 2004.

“Minorities and Democratization” (co-authored with Bahar Leventoglu and Sharyn O’Halloran). Prepared for the Midwest Political Science Meetings, April 15, 2005.

“When Are Autocrats Economically Efficient?” (co-authored with Peter Rosendorff). Manuscript, Columbia University, 2005.

“Complexity in Legislatures.” (co-authored with Scott Page.) Manuscript, Columbia University 2005.

“Alternative Models of Binary-Time Series—Cross Section Models: The Case of State Failure.” (Co-authored with Neal Beck, Sharyn O’Halloran and Simon Jackman.) Presented at the 2003 Political Methodology Conference, Emory University, Atlanta, Georgia.

GRANTS AND AWARDS

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| 2005 | 2005 Decade of Behavioral Research Award |
| 2005 | Russell Sage Presidential Research Grant |
| 2005 | Center for International Business Education Research Grant |
| 2004 | Center for International Business Education Research Grant |
| 2003-04 | Visiting Scholar, Russell Sage Foundation |
| 2001 | Carnegie Foundation Grant |
| 2000-01 | Pew Charitable Trusts: The impact of internet voting on voter turnout |
| 1999-01 | National Science Foundation Research Grant |
| 1998-99 | Robert Eckles Swain National Fellowship, Hoover Institution |
| 1998-99 | Bechtel Initiative on Global Growth and Change Research Grant |
| 1998 | Harvard Center for International Development Research Grant |
| 1998 | World Bank Research Grant |
| 1998 | Center for International Business Education Research Grant |
| 1998 | Harvard Institute for International Development Grant—Conference on the Effect of Political Institutions on Economic Development, Cambridge MA |
| 1998 | Duncan Black Award for Best Paper in <i>Public Choice</i> |
| 1997-98 | Olin Foundation Faculty Fellowship |
| 1995-97 | National Science Foundation Research Grant |
| 1995-96 | Harvard-MIT Research Training Grant in Positive Political Economy |
| 1994 | Columbia Summer Faculty Research Fellowship |
| 1993 | Faculty Research Gift |
| 1992 | Visiting Scholar, Institute of Governmental Studies, UC Berkeley |
| 1989-90 | Merit Scholarship, Stanford University Graduate School of Business |
| 1988-89 | Olin Fellowship, Stanford University Graduate School of Business |

TEACHING

1. *Statistics and Quantitative Analysis*. Advanced course in statistical methods for graduate political science students, covering, multiple regression, time series, qualitative dependent variables, and survival analysis.
2. *Scope and Methods in Political Science*. Undergraduate course introducing students to the foundations of political analysis, including causality, validity, regression discontinuity, experiments and quasi-experiments, qualitative methods, case studies, statistical inference, and regression analysis.
3. *Game Theory*. First semester course introducing students to non-cooperative game theory, and its applications to political science. Topics include games in normal and strategic form, bargaining, risk aversion, social choice theory, mixed strategy equilibria, Nash equilibrium, and legislative institutions.
4. *Advanced Topics in Game Theory*. Second semester seminar in game theory, covering games of incomplete information, signaling games, principal-agent models, repeat-play games, and evolutionary game theory.
5. *Graduate Political Economy Seminar*. Investigates current topics in the political economy literature, including economic growth, development, democratization, corruption, and the structure of markets.
6. *Undergraduate Seminar in Political Economy*. Seminar for senior students in combined economics/political science major. Using various texts and topics of interest to students, the seminar taught students to identify a problem in the intersection of political and economic analysis, produce a testable hypothesis, gather data, and analyze the data to see if it supports the hypothesis. Topics have included the location of elite New York clubs, football stadium relocations, and comparative racial redistricting.
7. *Strategy in the Business Environment*. Assisted in development of course that addresses managerial issues in the social, political, legal, and ethical environment of business. Topics include integrated strategy, activists and the media, legislation affecting business, regulation and antitrust, intellectual property, Internet privacy, International trade policy, and ethics.
8. *American Politics*. Introductory undergraduate course in American politics, covering the Constitution, voter preferences and their aggregation, political institutions, and policy outcomes.

SERVICE TO PROFESSION

Reviewer

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|---------------------------------------|--|
| American Economic Review | International Organization |
| American Journal of Political Science | Oxford University Press |
| American Political Science Review | Journal of Law, Economics & Organization |
| Cambridge University Press | Western Politics Quarterly |
| Legislative Studies Quarterly | University of Michigan Press |
| Economics & Politics | Yale University Press |
| Games and Political Behavior | |

Awards Committees

Member, American Political Science Association Section on Political Economy; Best Dissertation Committee (1998)

Chair, American Political Science Association Section on Political Economy; Best Dissertation Committee (1999)

SERVICE TO DEPARTMENT

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| 2005- | Member, Omnibus Hiring Committee |
| 2004- | Undergraduate Advisor to Political Economy Majors |
| 2002- | Methods Field Coordinator |
| 2002- | American Politics Search Chair |
| 1999-2001 | American Politics Field Coordinator |
| 1999 | Dissertation Review Committee |
| 1999-2000 | Co-Director, Center on Political Economy and Comparative Institutional Analysis |
| 1996-7 | Coordinator, political economy seminar |
| 1996-7 | Redesigned requirements for Political Economy Majors |
| 1996-7 | Undergraduate Advisor to Political Economy Majors |
| 1994-5 | Coordinator, political economy seminar |
| 1993 | Extra section of game theory taught for interested faculty and graduate students |
| 1992-5 | Coordinator and Undergraduate Advisor for new Political Economy Major |

- 1991-4 Graduate Admissions Committee
- 1991-2 Rational Choice Seminar lectures given to faculty and graduate students

BUSINESS AND GOVERNMENT RELATIONS

- 2008 Co-author of report challenging the constitutionality of the death penalty, based on statistical analysis of the jury selection rules for the Southern District of New York.
- 2004- *Lexington County, South Carolina*. Expert witness for Lexington School District in voting rights case concerning the racial composition of the board.
- 2003 *Larios v. Cox*. Expert witness for state of Georgia in analyzing partisan gerrymandering claims relating to one-person-one-vote discrepancies.
- 2001-2004 *Georgia v. Ashcroft*. Expert witness for state of Georgia using statistical analysis to develop redistricting plans for Congress and state legislature consistent with the Voting Rights Act.
- 2001 *South Carolina*. Expert witness for state using statistical analysis to develop redistricting plans for Congress and state legislature consistent with the Voting Rights Act.
- 2000-01 *Harvard Center for International Development and World Bank*. Developed website for the dissemination of cross-national political and economic data: <http://paradocs.pols.columbia.edu/datavine/>.
- 1999- *World Bank, International Finance Group*. Developed game theoretic models and statistical tests of corruption, development, and economic growth.
- 1999- *State Failure Task Force*. Senior statistical consultant on commission established by Vice President to study democratic transitions and sources of political instability.
- 1996 *Smith v. Beasley*. Expert witness in South Carolina redistricting case. Performed econometric analysis showing that the existing gerrymander was anti-minority; led to the redrawing of all State Senate districts.