# Investigating topographic neural map development of the visual system

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Daniel Clark (Columbia University) Investigating topographic neural map develop

#### Overview

#### Background

- Early visual system
- Receptive fields and cell responses
- Gain control

#### Self-organizing maps

- Plasticity and connectivity
- LISSOM
- GCAL

#### 4 Topographica

- Functionality
- Implementation
- Applications and further research

#### Acknowledgements

#### References

- Most complex, efficient (and elegant?) information processor known
- How do the architecture and neural response cooperate to encode images?
- How does this network develop?
- Can we simulate and experiment with this?

#### Goals

- Develop intuition on visual system architecture
- Understand this in context of self-organizing map models
- Validate Topographica's core model and implementation
- Consider potential uses for and takeaways from software package

# Visual System

- Retinal photoreceptors (rods, cones)
- Bipolar cells
- Retinal ganglion cells (RGC)
- Optic nerve
- Lateral geniculate nucleus (LGN)
- Optic radiation
- Primary visual cortex (V1)



#### Retina

- Cones bright environments, wavelength-sensitive (color)
- Rods dark environments, peripheral vision
- Rods/cones synapse with bipolar cells
- Bipolar cells ON/OFF types, temporal types, color-driven types
- Horizontal cells feedback for photoreceptors, shape response
- Amacrine cells tuning and control of RGC response
- RGC's relay response to LGN



# LGN

- LGN in both left and right hemisphere of brain
- Serves as relay center for many sensory systems
- A given LGN receives inputs from both eyes
- Composed of 6 layers of neural sheets
- Columnar structure of retinotopy consistent with retinal arrangment
- Credited with (some) temporal encoding
- Relays to V1 (layer 4)



# V1

- Back of left and right hemisphere of brain
- Credited with feature extraction of images
- Also composed of 6 layers of neural sheets
- Columnar structure of features (e.g. orientation)
- Complex connection architecture
   afferent, lateral, feedback
- Projects to and receives feedback from higher brain centers
- Simple and complex cell types



#### Receptive fields

- Region which defines (linear) response of neuron
- Spatiotemporal f(x, y, t)
- Subcortical difference-of-Gaussian (DoG)
- Cortical Gabor



#### Neural responses

#### • Linear-nonlinear (LN) model



where,

$$L(t) = \int \int g(x, y, s) c(x, y, t - s) dx dy ds,$$
$$R(t) = r_0 + F(L(t)).$$

# Neural responses (cont'd)

- Simple cells phase variant, highly tuned
- Complex cells phase invariant, broad response
- LN great model for simple cells
- Sum together simple responses to get complex cells

$$R_{cc}(t) = R_{se}^2(t) + R_{so}^2(t)$$
 [3]

•  $R_{se}(t)$  and  $R_{so}(t)$  are opposite in phase.



#### Gain control

- Natural images large deviation in mean luminance and contrast ( $\approx$  1000)
- Gain control adaptability to broad range of conditions, dynamic range
- Underlying physiological mechanism?
- Mainly associated in the retina horizontal, amacrine, bipolar cells, and LGN



# Divisive normalization and pooling

- Efficient coding theory exploit statistical dependencies of input
- Traditionally accomplished via linear transforms (ICA)
- Non-linear more realistic because of occlusion, simple/complex cell response [6]
- Divisive normalization (DN) scaling of input by nonlinear function of its weighted combination

$$\mathbf{y} = \phi(\mathbf{x}) = \frac{\mathbf{x}}{f(\alpha, ||\mathbf{x}||)}$$

- Often termed "pooling" of neuron responses
- Benefits in mitigating noise, adaptability, cooperation of neurons

#### Self-organizing maps

- 10<sup>11</sup> neurons and 10<sup>14</sup> synaptic connections in brain vs. 10<sup>9</sup> transistors on-chip
- Genome only contains 10<sup>5</sup> genes or less
- How to get  $10^{14}$  from  $10^5$ ?
- System must adapt on its own, self-organize

#### Plasticity

- Feature extraction requires correlations between neuronal responses and features of visual stimuli
- Correlations are not inherent from prenatal development, neurons must adapt short and long-term
- Neuron can adjust its influence over its connections, synaptic plasticity
- Input-driven development, neural maps form as a result of input statistics (Hubel and Weisel), Plato's cave
- Hebbian learning, weighting the connection strengths "fire together, wire together"

# Connectivity

- Afferent feedforward connections, excitatory, preserves retinatopy
- Lateral excitatory, inhibitory
- Feedback excitatory, inhibitory
- Each neuron has a connection field



#### Setup

- Laterally interconnected synergetically self-organizing map
- Topographically organized neural sheet forms V1
- Each neuron in V1 has:
  - Afferent (excitatory) connections
  - Short-range lateral (excitatory) connections
  - Long-range lateral (inhibitory) connections
- Lateral connections are order of magnitude weaker than afferent, especially long-range
- Biological merit as neurons actually have these types of connections, long-range lateral have fewer synapses on postsynaptic neurons
- Point firing-rate (non-spiking) framework

#### Input presentation

- Input space modeled as a vector of photoreceptor activations
- Each afferent connection to V1 has non-negative associated strength (weight) which scales input
- Neuron forms an initial firing response

$$\eta_j(t_0) = f\left(\sum_{i \in h} \omega_{ij} c_i(t_0)\right) \tag{1}$$

- $\eta_j(t_0)$  postsynaptic neuron j's initial response
- h afferent connection field (elements of vector)
- $\omega_{ij}$  weight from visual unit *i* to neuron *j*
- $c_i(t_0)$  initial stimulus at unit *i* at time  $t_0$
- f nonlinear activation function

#### Activation function

• Nonlinear stage of LN model:

$$f(x) = egin{cases} 0 & x \leq \delta, \ (x-\delta)/(eta-\delta) & \delta < x < eta \ 1 & x \geq eta \end{cases}$$

- f(x) piecewise-linear sigmoid approximation
- $\delta$  activation threshold
- $\beta$  saturation threshold



#### Lateral connection influence

• Lateral influence adjusts neuron response for next time step

$$\eta_{j}(t+dt) = f\Big(\sum_{i\in h} \omega_{ij}c_{i}(t+dt) + \gamma_{e}\sum_{k\in\ell}\xi_{e,kj}\eta_{k}(t) - \gamma_{i}\sum_{k\in\ell}\xi_{i,kj}\eta_{k}(t)\Big)$$
(2)

- *dt* discretized time step
- $\gamma_e$  excitatory overall connection strength
- $\gamma_i$  inhibitory overall connection strength
- $\ell$  lateral connection field
- $\xi_{e,jk}$  excitatory weight from presynaptic laterally connected neuron k
- $\xi_{i,jk}$  inhibitory weight from presynaptic laterally connected neuron k

#### Weight updates

- Weights are updated on a separate (longer) timescale, *n*, via Hebbian learning rule to allow for activity settling in network
- For afferent weights:

$$\omega_{ij}^{n+1} = \frac{\omega_{ij}^n + \alpha \eta_j(t_n) c_i}{\{\sum_{i \in h} [\omega_{ij}^n + \alpha \eta_j(t_n) c_i]^2\}^{1/2}}$$
(3)

• For lateral weights:

$$\xi_{jk}^{n+1} = \frac{\xi_{jk}^n + \alpha_L \eta_j(t_n) \eta_k(t_n)}{\sum_{k \in \ell} [\xi_{jk}^n + \alpha_L \eta_j(t_n) \eta_k(t_n)]}$$
(4)

- $\alpha$  the Hebbian learning rate (for afferent or L lateral connections)
- t<sub>n</sub> time when weights are updated

# Weight updates (cont'd)

#### • Biological plausibility for:

- Simultaneous development of afferent and lateral connections Has been reported in the cat [9] where afferent connections form ocular dominance columns in V1 as the lateral connections evolve to begin extracting features
- Hebbian rule allows for normalization Neurons conserve synaptic resources [10], this allows the model to assume total synaptic strength to be constant
- Weight normalization over neuron as a unit Argued in [8] that summing over all weights of a neuron converges to the same result as biologically-grounded synaptic inhibition methods found in [11] as long as numerous synapses are located locally on the neuron

# Activation function updates

• Activation function updated on same timescale as weights, n

$$\delta_j^{n+1} = \min(\delta_j^n + \alpha_\delta \eta_j, \delta_{max})$$
  
$$\beta_j^{n+1} = \max(\beta_j^n + \alpha_\beta \eta_j, \beta_{min}).$$

- $\alpha_{\delta}$  update learning rate for response threshold
- $\alpha_{\beta}$  update learning rate for saturation threshold
- Provides for additional nonlinearity in neural response as f(x) becomes narrower, firing rate will vary more easily
- Biological plausibility immature neurons fire more easily, linearly. Mature neurons harder to depolarize, but because of developed ion channels at synapse it fires stronger [12]

#### Connection death

• "Prune" weak lateral connections after time duration

if  $\xi_{kj} < w_c$  after initial time  $t_o$ , then  $\xi_{kj} = 0$ ; else if  $\xi_{kj} < w_c$  after subsequent intervals  $t_c$ then  $\xi_{kj} = 0$ 

- Model gives the connection weights a timeframe to recover before death as opposed to immediate removal
- Biological plausibility has been observed in many cases where the majority of long-range lateral connections don't survive cortical development [9]

# LISSOM methodology review

- Afferent weight vectors initially randomly distributed, lateral weight vectors randomly distributed at various radii d for excitatory, and d' for inhibitory; total weight of each set is fixed to 1.0
- Image presentation initial neuron response proportional to similarity of input and afferent weights
- Sesponse refined through lateral interaction
- Activity settles over multiple time steps, dt, to form activity "bubbles"
- **(3)** Time step hits  $t_n$  when the weight and activation updates take place
- New image presentation, and repeat
- **(2)** Lateral connections are pruned at  $t_o$  and subsequent  $t_c$  intervals

# LISSOM performance

- Initially random input afferent weights
- Each weight vector is transformed into the two-dimensional coordinate system and plotted as a point connected to its four immediate neighbors
- As inputs are randomly drawn, the network evolves to form a retinatopic map represented by the afferent weights (after 30,000 iterations)



### **RF-LISSOM** performance

- Same as LISSOM but with local, retinotopically-centered receptive fields predefined
- Ocular dominance results use two photoreceptor sheets (left and right eyes)
- Realistic results with left, right, and binocular preference



(a) Connections of a Monocular Neuron



#### LISSOM takeaways

- Great base framework for map development, but certain elements lack biological plausability
- Supervised logical compare for threshold update is unrealistic
- No gain control, contrast and luminance of input could drastically affect performance

#### GCAL

- Gain control, adaptation, laterally connected model improves upon LISSOM
- Incorporates feedforward gain control
- Single-neuron homeostatic adaptation of firing-rate threshold
- Comprised of (at least):
  - Photoreceptor sheet
  - RGC/LGN On sheet
  - RGC/LGN Off sheet
  - V1

#### Initial mechanisms

- Connection field for afferent projection from photoreceptors to RGC/LGN predefined as local to neuron
- Weights are fixed as a DoG, where they take the form

$$\omega_{ij} = \alpha \exp\left(-\frac{(x_i - x_j)^2 + (y_i - y_j)^2}{2\sigma_c^2}\right) + \beta \exp\left(-\frac{(x_i - x_j)^2 + (y_i - y_j)^2}{2\sigma_s^2}\right)$$
(5)

- $\alpha$ , *beta* positive or negative scaling factors depending on on-center or off-center
- $x_i$ ,  $y_i$  location of presynaptic unit *i* in photoreceptor sheet
- $x_j$ ,  $y_j$  location of postsynaptic neuron j in RGC/LGN sheet
- $\sigma_c$ ,  $\sigma_s$  width of center and surround Gaussians, respectively

# RGC/LGN neuron activation

• Activations are updated similar to LISSOM Eq. (1)

$$\eta_j(t+dt) = f\Big(\gamma_L \sum_{i \in F_j} \omega_{ij} c_i(t)\Big), \tag{6}$$

- $\gamma_L$  constant strength of afferent connections
- f half-wave rectifier (no predefined limits)
- $F_j$  neuron j's DoG connection field
- ...gain control?

# RGC/LGN gain control

 Gain control is implemented by divisive normalization from lateral neuron's through connection weights, ω<sub>ij,S</sub>

$$\omega_{ij,S} = \exp\Big(-\frac{(x_i - x_j)^2 + (y_i - y_j)^2}{2\sigma_S^2}\Big),$$
(7)

- $\sigma_S$  width of Gaussian
- Gain control update of activity, on *n* timescale

$$\eta_j^{n+1}(t_n) = f\Big(\frac{\eta_j^n(t_n)}{\gamma_S \sum_{i \in L_j} \omega_{ij,S} \eta_i^n(t_n)}\Big),\tag{8}$$

- $\gamma_S$  strength scaling factor
- L<sub>S</sub> lateral connection field
- Gain control provides presynaptic stability of response variation

# V1 activity update

 Implemented in much the same way as LISSOM, can be described more succinctly as

$$\eta_{j}(t+dt) = f\left(\sum_{p} \gamma_{p} \left(\sum_{i \in F_{jp}} \omega_{ij,p} \eta_{i}(t)\right)\right), \tag{9}$$

- p afferent, lateral exc., or lateral inh. projection
- $\gamma_p$  strength factor for projection p, (+) for excitatory, (-) for inhibitory
- $X_{jp}$  contribution from projection p to neuron j
- $\eta_j$  is updated throughout the presentation of a single image over multiple time steps until  $t_n$  as in LISSOM settling process
- V1 activity is reset to 0 before the next presentation allows for discontinuous image presentations and non-biased feature maps

### V1 homeostatic activation update

- Activation function is updated as a result of average activity for each neuron
- Smoothed exponential average of neuron activity is calculated

$$\bar{\eta}_j^{n+1} = (1-\beta)\eta_j(t_n) + \beta\bar{\eta}_j^n, \qquad (10)$$

- $\beta \approx 0.999$  smoothing parameter
- Average  $\bar{\eta}_j^{n+1}$  is used to update the threshold activity for neuron j for the next presentation

$$\delta_j^{n+1} = \delta_j^n + \lambda(\bar{\eta}_j^{n+1} - \mu), \tag{11}$$

- $\lambda \approx 0.0001$  learning rate
- $\mu$  target activity rate (spontaneous firing)
- Provides postsynaptic stability of neuron response

#### V1 weights update

 Weights initialized similarly as in RGC/LGN but with cut-off radius r<sub>p</sub> for projection p

$$\omega_{ij,p} = \begin{cases} u \exp\left(-\frac{(x_i - x_j)^2 + (y_i - y_j)^2}{2\sigma_p^2}\right), & (x_i - x_j) \le r_p, \\ 0, & otherwise \end{cases}$$

- u = 1 for lateral excitatory, random scalar otherwise
- Finally, weights are updated and normalized in the same way as in LISSOM

$$\omega_{ij,p}^{n+1} = \frac{\omega_{ij,p}^n + \alpha \eta_j(t_n) \eta_i(t_n)}{\sum_k \left( \omega_{kj,p}^n + \alpha \eta_j(t_n) \eta_k(t_n) \right)}$$

# GCAL performance example

• Leads to robust and stable map development - consistently developing orientation preferences throughout training and contrast invariant tuning



# GCAL takeaways

- Biological plausibility is same or better than LISSOM
- Gain control mechanism plausible with horizontal and amacrine cells contribution
- Homeostatic adaptation converges towards spontaneous firing rate, realistic assumption
- RGC/LGN weights are most likely not fixed in vivo
- Important to keep in mind model and results are judged on comparing simulated maps with real ones, if they match the model is a good fit

# GCAL vs LISSOM

LISSOM:

- Biological Plausibile
- No aspect of gain control
- Logical compare enforced in activation function
- Model allows for continuous inputs
- Each neuron interprets entire input space

#### GCAL:

- Biologically plausible
- Feedforward gain control in RGC/LGN
- Activation function based on moving average
- Model assumes discontinuous inputs, reset activity
- Each neuron has fixed radius connection fields

#### Overview

- Open source software package designed for topographic neural map development on large-scale
- Is ideal for implementing LISSOM and GCAL models
- $\bullet\,$  Can interface with other languages for allocating computing tasks C/C++, MATLAB, Python
- Also interface with small-scale, individual neuron analysis packages -GENESIS, NEST, NEURON

#### Setting up a network

- Architecture setup before training or testing, user-specified
- Minimally must have a photoreceptor sheet and cortical sheet, but more are common
- User must also specify connection field types between sheets and how they project to postsynaptic units
- A wide range of built-in visual patterns and user image files can be presented



#### Basic features

- Calculation of weights, presynaptic, postsynaptic activity is completely customizable
- Once network is setup, can be ran over *n* iterations, or stepped through by *dt* timescale
- Throughout the training process, one can view network results including sheet activity, individual connection strengths, sheet-wide projections
- Feature preference can also be viewed (e.g. orientation), however, these calculations can take a while

# Interfacing

- Topographica can use outside simulators to compute the response of input activity, including spiking neuron models (NEST, NEURON)
- Accomplished by exporting activity through a Python wrapper (e.g. PyNN), specifying run time and number of neurons
- Import matrix of spike times for each neuron from external simulator, compute average firing rate
- Use this as the activity input for the next neural sheet
- An example of this is well documented in [16]

#### Framework

- Each sheet represented as a two-dimensional array of neurons
- Neurons specified in sheet coordinates and matrix coordinates
- Density parameters specifies how many units are present in a 1.0 length of sheet
- Sheet size increases from cortex down to photoreceptors  $(1.0 \times 1.0 \text{ to } \approx 2.75 \times 2.75)$  to avoid boundary effects
- Weights are defined within connection field radius



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#### Function and sheet types

- Pattern generators, transfer, response, learning functions
- Generator sheets, projection sheets, joint normalizing continuous sheets

#### Input-driven development

- Patterns presented to network are crucial for how it develops
- Natural images are the best for simulating real experience
- However, for specific feature extraction, mathematical function patterns provide interesting insight
- For example, take a Gabor, human face, and line all randomly rotated and translated between presentations



Implementation

# Input-driven development (cont'd)





(a) Gabor trained

(b) Human face trained



(c) Straight line trained Image: A math a math

# Complex cell development

- In [14], Topographica was utilized to show realistic development of complex cells
- Use two layers of V1, layer  $4C\beta$  and layer 2/3, only  $4C\beta$  receives LGN connection directly
- Lateral connections in layer  $4C\beta$  are several times weaker than in 2/3
- Afferent projection from  $4C\beta$  to 2/3, feedback from 2/3 to  $4C\beta$
- Interesting dynamic weak connections and random initialization cause local phase variations in  $4C\beta$
- Layer 2/3 pools together phase variance to produce complex cell-like responses
- Feedback from 2/3 (strong lateral connections) to  $4C\beta$  preservers orientation preference while traversing layers (consistent with early visual system architecture)

# Complex cell development (cont'd)

- Training sequence for this model was very elaborate
- Two stages of training prenatal (retinal waves), post natal (natural images)
- Retinal waves simulated as a concentric ring convolved with white noise, natural images from database in [17]



# Complex cell development (cont'd)



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# TCAL

 Initial experiments were developed to derive a spatiotemporal RF by exposing a trained network to white noise and using reverse correlation

$$D(x, y, \tau) = \frac{Q_{Lc}(x, y, -\tau)}{\sigma_c^2} = \frac{1}{T} \int_0^T L(t)c(x, y, t+\tau)dt$$
(12)

- D(x, y, τ) spatiotemporal RF, Q<sub>Lc</sub>(x, y, τ) correlation of white noise stimulus and output firing rate L(t) of neuron
- However, the built-in models were all setup to reset V1 activity between input presentations
- Develops of Topographica are working on TCAL (Temporally CALibrated GCAL) to integrate with training to test on continuous inputs [19]

#### Further research

- Find relationship between afferent projection weights and receptive field of cortical unit to give a quantitative measure of the impact of lateral connectivity
- Evaluate encoding performance of different stimuli on various models, and training input patterns
- Integration of spiking neurons for performance comparison between point firing rate units and more realistic neural behavior
- Reproduce the experimental results from early-stage models to the latest ones to develop an intuition on how each additional component influences performance
- Suggestions...?

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