

# Psychiatric Research Interview for Substance and Mental Disorders (PRISM): Reliability for Substance Abusers

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*Objective:* The purpose of this study was to investigate the reliability of a new semistructured diagnostic interview, the Psychiatric Research Interview for Substance and Mental Disorders (PRISM), for substance-abusing patients. The reliability of psychiatric diagnoses for individuals who drink heavily or use drugs has been shown to be problematic. The PRISM was designed to improve the reliability for such individuals. *Method:* A test-retest reliability study of the PRISM was conducted with 172 patients being treated in dual-diagnosis or substance abuse settings. *Results:* Good to excellent reliability was shown for many diagnoses, including affective disorders, substance use disorders, eating disorders, some anxiety disorders, and psychotic symptoms. The interview has recently been updated for DSM-IV diagnoses. *Conclusions:* The PRISM offers a method of producing psychiatric diagnoses with improved reliability for patients and other research subjects who have problems with alcohol or drugs.  
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Understanding and treating comorbid alcohol, drug, and psychiatric disorders has become increasingly important in the last 10 years. Many clinical and epidemiologic studies have revealed a high degree of co-occurrence of substance abuse and psychiatric disorders. However, the diagnosis of psychiatric disorders in individuals with heavy alcohol or drug use has been problematic. According to DSM-III-R, a psychiatric diagnosis can be made if "it cannot be established that an organic factor initiated and maintained the disturbance" (p. 223), and organic disorders are diagnosed on the basis of "evidence from the history, physical examination, or laboratory tests of a specific organic factor (or factors) that is judged to be etiologically related to the disturbance" (p. 103). Rather than offering guidelines on taking a clinical history, DSM-III-R suggests using biologically oriented tests such as urine and blood tests and "challenges with a known intoxicant." These procedures are of limited use in the most common diagnostic situation, when the main source of information is a clinical interview and possibly an informant's report, and when the time frame of

assessment extends more than a few days into the past. This situation has been problematic for research as well as clinical practice. A review of the methodological problems in diagnosing coexisting DSM-III-R psychiatric disorders in substance abusers (1) indicated that this lack of clarity resulted in the use of very different diagnostic procedures from study to study.

As is widely known, several general-purpose diagnostic interviews have been developed to assess the main adult axis I psychiatric disorders as defined by the different sets of diagnostic criteria such as DSM-III-R. Clinician-administered procedures (2-4) leave the differentiation of "organic" versus "nonorganic" to clinical judgment. Interviews designed for lay interviewers (5, 6) leave this differentiation to subjects' attribution on a symptom-by-symptom basis. Both of these situations are conducive to criterion variance: differences in formal inclusion and exclusion criteria used to summarize data into psychiatric diagnoses (7). Criterion variance is one of the principal contributors to diagnostic unreliability.

There is surprisingly little empirical evidence on the reliability or validity of psychiatric diagnosis among heavy drinkers or drug users. In a sample of substance abusers in treatment, the Schedule for Affective Disorders and Schizophrenia, Lifetime Version (SADS-L) was administered 1 week and 4 weeks after admission; the reliability of lifetime diagnoses was found to be poor (8). We have been unable to find a report of a standard test-retest study of psychiatric diagnoses made with the National Institute of Mental Health Diagnostic Interview Schedule (DIS) (5) or the Composite Inter-

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shows an example of PRISM items). This includes a middle column where the clinical feature to be rated is described (e.g., diagnostic symptoms or criteria), a left column with probes for interviewers to use in obtaining the needed information, and a right column where items are rated. Also, in the PRISM (as in the SCID and all semistructured diagnostic interviews), interviewers use the probes in the left column as a starting point but are expected to ask additional probing questions as needed to obtain the information for rating each item.

Listening to audiotapes of discordant SCID test-retest pairs of interviews (provided to us by the Biometrics Research staff of the New York State Psychiatric Institute), we found that often interviewers conscientiously probed unclear points with subjects but remained unclear (and inconsistent) about how to rate the information. This occurred because the SCID does not provide sufficiently specific rating guidelines, allowing for item-level criterion variance (7). Many features of the PRISM were designed to overcome this problem. Some of these are specific to comorbidity issues, while others are more general.

New features of the PRISM that are specific to comorbidity include 1) relocation of the alcohol and drug sections from the middle of the interview to the beginning (following the introductory section); thus, PRISM interviewers have a thorough knowledge of the subject's patterns of drinking and drug use and history of alcohol and drug use disorders when they start covering psychiatric disorders; 2) an increase in the level of structure and completeness in taking an alcohol and drug use history before the alcohol and drug diagnostic sections; and 3) the addition of separate organic exclusion items for alcohol, drugs, and prescribed medications, as well as interviewer instructions and middle-column guidelines to assist in differentiating organic from nonorganic disorders. As we have noted, DSM-III-R is not very specific about making this differentiation on the basis of the clinical history, and there is controversy in the field about the most valid definitions. However, reliance solely on clinical judgment in previous semistructured interviews had not produced reliable diagnoses, so we believed that some guidelines were better than none.

New features of the PRISM that were designed to increase reliability in general, not just specific to comorbidity issues, included the following. 1) Specific rating guidelines were introduced throughout the interview, including frequency and duration requirements, exclusion specifications, and decision rules for frequently encountered sources of uncertainty. Since the PRISM was first used in a community sample (albeit of heavy drinkers [12]), great care was taken to clarify the distinctions between subthreshold and threshold ratings of symptoms. 2) Dissimilar components of individual criteria were separated into different items, to ensure that interviewers assess all of the components and to allow for simplified rating guidelines. 3) Many probes were added to the left column to standardize probing to some extent and to indicate the questions usually needed to

explore a response that does not fully answer an interviewer's question. Through repeated testing by experienced diagnostic interviewers, these probes were adjusted for suitability with a variety of subjects (black, white, English-speaking Hispanic, male, and female). 4) The level of structure in the introductory section was increased. Items were added to obtain indirect indicators of alcohol, drug, or psychiatric problems (for example, an interrupted education or employment history or a treatment history). 5) Computer programs were developed to produce diagnoses from the interview data. These programs reduce clerical error and also reduce the possibility that clinicians will diagnose by opinion rather than by the DSM criteria. 6) A training package consisting of a manual, videotapes, prescribed role plays, and other training aids was developed. This training standardizes semistructured interviewing techniques and comprehension of the interview material. The training assumes education of at least a master's degree level in a field such as social work or psychology.

At an intermediate point in the development of the PRISM, the instrument was subjected to a test-retest study with 75 community residents, most of whom were prescreened for heavier than average drinking (a description of the screening and recruitment procedures is provided elsewhere [12]). This preliminary study indicated reliabilities of 0.86 and 0.66 for current and past major depressive disorder, respectively, and 0.65 for dysthymia (our unpublished data). Reliability for alcohol, drug, and eating disorders was also generally good, but low prevalences in some areas prevented complete testing of the instrument, and problems in some sections indicated the need for further development. The PRISM was extensively revised after that first trial and subjected to a larger test-retest study in a clinical sample; this study is described below.

## METHOD

The subjects were 172 patients being treated in one of two sites, an inpatient dual-diagnosis psychiatric setting and an outpatient drug counseling setting. The 82 female subjects were consecutively admitted patients, and the 90 male subjects were a random subgroup of consecutively admitted patients, so as to have a study group as evenly balanced for gender as possible. About 52% of the subjects were male, and about 20% had not completed high school. About 59% of the subjects were white, 29% black, 11% Hispanic, and the remainder "other." The mean age of the subjects was 34.97 years ( $SD=9.7$ ).

Interviews were conducted shortly after admission and after withdrawal was complete, if applicable. A complete description of the study was given to the subjects, and then written informed consent was obtained. The response rate among those approached was 93%. The median interval between interviews was 7 days, and 75% of the subjects were reinterviewed within 12 days, although a few of the second interviews took place several weeks later. The second interviewer was always blind to the results of the first interview.

All interviewers had a master's degree in social work or psychology and at least some clinical experience with patients with substance abuse or psychiatric patients. Before being hired, all potential interviewers were required in their job interviews to show ability to do PRISM-type interviewing through role playing of brief segments of the PRISM. Some of the interviewers who worked on this study had





This diagnosis has shown reliability problems in other study groups as well (9, 18). The rating guidelines for generalized anxiety disorder items have been clarified as much as possible for the DSM-IV version of the PRISM.

PRISM DSM-III-R antisocial personality disorder had a lower kappa than has been found in other studies (19). Although the main focus of these other studies was personality disorder, and most involved some level of prescreening for this type of disorder, we feel that the kappa for PRISM diagnoses of antisocial personality disorder reflected two problems in the DSM-III-R version of the PRISM. First, PRISM items on conduct symptoms determined whether they had occurred at all and then whether they occurred before age 15, since age 15, or in both time frames. (This was done to investigate aspects of the antisocial personality disorder criteria.) Some of the discordance with respect to antisocial personality disorder occurred because subjects identified inconsistent time frames for conduct symptoms. This complexity has been removed from the DSM-IV version of the PRISM, in which conduct symptoms are now queried and coded only in the "before age 15" time frame. Second, we had attempted to increase the focus on lack of remorse to improve the validity of the antisocial personality disorder section, but the several PRISM remorse items were not very reliable. In the DSM-IV version of the PRISM, coverage of remorse has been made more standard and comparable to that in other diagnostic interviews, which should increase the reliability of the antisocial personality disorder diagnosis to customary levels.

This study focused entirely on reliability. Validity is also an important dimension of an instrument's psychometric performance. In the absence of a "gold standard," validity research is more complex than reliability testing, since multiple measures are usually involved. Investigation of the validity of the PRISM is outside the scope of this report but is currently underway and will be reported when complete.

One may question the generalizability of this study because of the qualifications of the interviewers and supervisor, the level of care taken in the hiring, training, and supervision, and the quality checking of the data. All of these factors undoubtedly contributed to the reliability reported here. If we had used research assistants as interviewers (a common practice), the reliabilities might well have been lower. In our view, the methods used in this study would be applicable to any data collection involving complex diagnostic issues and a semistructured interview. Good research assistants can almost always ask the initial probes about symptoms and code the responses. However, without considerable extra training, such interviewers do not have the knowledge to formulate semistructured follow-up probes on the timing, intensity, or characteristics of symptoms. Perhaps, as empirical knowledge about the relationships of specific substance use patterns to different types of psychopathology accumulates, a future interview can be developed with probes on these relationships that are substance-specific but

simple enough to be administered by research assistants or other lay interviewers.

DSM-IV provides considerably more information on making psychiatric diagnoses of substance-abusing patients than earlier nomenclatures and also provides (for the first time) some guidelines for using the clinical history to differentiate the two types of syndromes. As the PRISM was revised for DSM-IV, adjustments were made in the interview to take these changes into account, to improve further the reliability of PRISM diagnoses based on aspects of the test-retest data, and to shorten and simplify the interview wherever possible. The main additions across all sections of the PRISM consist of 1) guidelines in the middle column on the psychotomimetic effects of specific substances, 2) items providing for characterization of the primary/secondary distinction between substance use disorders and psychiatric disorders, and 3) items on onset, recency, and duration of substance-induced psychiatric disorders as well as primary psychiatric disorders. Figure 1 contains an example of material from the depression section of the DSM-IV version of the PRISM. The figure illustrates the specificity that enables interviewers to rate information consistently. For completeness, sections covering specific phobias, obsessive-compulsive disorder, and posttraumatic stress disorder have also been added. The updated DSM-IV version takes between 50 and 150 minutes to administer once interviewers have become familiar with the interview. The range depends on the complexity of the substance use and psychiatric history.

A longitudinal version of the PRISM, the PRISM-L, has also been prepared for follow-up studies. A notable feature of the PRISM-L is the inclusion of timeline grids modeled on the Longitudinal Interval Follow-up Evaluation (20) to rate remission, relapse, and other aspects of the clinical status of disorders as well as use of alcohol and drugs over time. This type of data is used in survival analyses of the course of disorders and interrelationships between disorders when patients are followed prospectively. The PRISM-L will be undergoing a test-retest reliability study shortly, which will be reported later.

Recall that a number of PRISM features were designed to enhance general reliability apart from comorbidity issues. Thus, we suspect that the PRISM would work well for subjects who do not abuse alcohol or drugs. Without the need for a detailed alcohol and drug history, the PRISM would also be shorter for such subjects. However, whether the PRISM would offer better reliability than other instruments for these subjects is speculative unless empirically tested.

In summary, the PRISM, a semistructured diagnostic interview, has demonstrated reliability at least as good for substance-abusing patients as other interviews have been shown to be for general samples. Since initial development of the PRISM took place with community residents and good preliminary results were also obtained in that setting, the PRISM should also be useful in groups where substance abuse is common even if the

subjects are not in treatment for such problems. Since a number of investigators are currently planning studies that include the PRISM as the diagnostic interview, presentation of these reliability results seems timely. The PRISM interview is a bit more demanding in terms of personnel and resources than some other widely used interviews. However, the findings suggest that when diagnostic issues are complex (as they are in substance-using populations), the improved reliability is worth the extra effort in terms of the ability to test hypotheses and investigate relationships between psychiatric diagnoses and other variables in subjects who abuse alcohol or drugs.

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