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# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

Г	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
	contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
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In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.

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# MECHANICAL ANALYSIS WITH HIGH ACCURACY THREE-DIMENSIONAL FINITE ELEMENTS

#### BACKGROUND

[0001] From a computational mechanics perspective, Courant (as described, for example, in R. Courant, "Variational methods for the solution of problems of equilibrium and vibration," Bulletin of the American Mathematical Society, 49:1–29, 1943, the content of which is incorporated by reference herein in its entirety) pioneered the technique of approximating continuous solutions of mathematical physics with finite number of scalar variables to calculate shear stresses on a multiply connected non-circular prismatic shaft subjected to end torques. With that technique, two-dimensional cross sections were triangulated and introduced piecewise linear interpolants on those "triangular" elements. The unknown weights were then calculated from the Ritz formulation (as described, for example, in W. Ritz. "Uber eine neue methode zur l'osung gewisser variationalprobleme der mathematischen physic," Journal Reine Angew, Math., 135:1–61, 1908, the content of which is incorporated by reference herein in its entirety).

[0002] Motivated by the structural mechanics applications, Clough (as described, for example, in Ray W. Clough, "The finite element method in plane stress analysis," In Proceedings, 2<sup>nd</sup> Conference on Electronic Computation, A.S.C.E. Structural Division, pages 345 – 378, Pittsburgh, PA, September 8 and 9, 1960, the content of which is incorporated by reference herein in its entirety) introduced an analogous technique, which is closely related to the Courant's methodology mentioned above, namely, the finite element technique. Under this technique, various important practical problems were solved by assuming piecewise linear displacement profiles. Therein, the principle of virtual work played the role of the Ritz functional. The strain energy density function remained constant within each triangulated zone. Hence, the exact integration was possible leading to computationally trustworthy element stiffness matrices. A weak version of equilibrium and compatibility, which were enforced only at the discrete vertices of Courant's triangles, led to unconditional convergence in all cases. However, for flexures – beams, plates and shell bending problems, due to the imposition of

constant stress fields – unacceptably high stiffness was encountered even within considerably fine "triangular" meshes.

#### DESCRIPTION

[0003] The present disclosure builds on the discussion in Columbia University's U.S. Patent No. 6,101,450 to Gautam Dasgupta, entitled "Stress Analysis Using a Defect-Free Four Node Finite Element Technique," the content of which is incorporated by reference herein in its entirety, to provide solutions for its three-dimensional counterpart. Applications to locking-free solid, plate and shell elements can be developed that are devoid of errors from element shape distortion and Poisson's effect. For example, in order to construct locking-free, three-dimensional brick elements, cubic polynomials, which depend upon Poisson's ratio(s), in the physical (x, y, z) coordinates are derived for twenty four Rayleigh modes. Their linear combinations yield twenty four shape functions that are associated with the degrees-of-freedom located on the vertices.

[0004] To maintain the high accuracy consistently, especially the locking-free features, numerical quadrature has been avoided in evaluating the stiffness-like system matrices. This analytical strategy, which is based on the divergence theorem, is computationally more efficient than any quadrature scheme.

[0005] The locking-free interpolants (for an eight node hexahedral brick element) in the physical (x, y, z) co-ordinates are cubic functions, hence the linear elastic stresses are quadratic in (x, y, z). Hence, the energy density expressions comprise of the terms in the expanded expression of  $(1 + x + y + z)^4$ . Each term, within a brick, can be exactly integrated (e.g., by a computer application) without resorting to any numerical quadrature.

[0006] Restrictions of convex elements do not apply and concavity, as it develops during elastoplastic deformation, can be considered. For general vector field problems, e.g., those of continuum mechanics, computer algebra systems can be incorporated seamlessly within, for example, a UNIX environment. The Modelica Language can achieve the same for all platforms.

Therein, general numerics can be optimized for efficiency and accuracy by utilizing, for example, C++ routines.

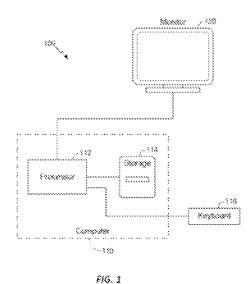
[0007] Thus, disclosed herein are methods, procedures, systems, devices, products, and other implementations, including a computer-implemented method that includes performing three-dimensional mechanical analysis for finite element analysis based on indefinite integral determined algebraically and based on Poisson's ratio dependent shape functions satisfying equilibrium throughout a finite element. In some embodiments, the finite element includes a three-dimensional brick element.

[0008] In some embodiments, for the three-dimensional brick element, a test function – displacement vector in the physical (x, y, z) coordinates – includes three full cubic polynomials in x, y and z, with sixty coefficients. The twelve coefficients pertaining to the constant and linear terms lead to six rigid body modes and five uniform deviatoric strains. The dilatation is zero and non-zero for incompressible and compressible solids, respectively. For quadratic terms, point-wise equilibrium and shear free bending requirements remove twelve coefficients out of eighteen, and thus completely effaces shear and Poisson locking. To obtain point-wise equilibrium, the coefficients for compressible solids are functions of the Poisson's ratio(s). For the cubic terms, equilibrium requirement eliminates nine out of thirty coefficients. Selection of bending under a constant shear force eliminates another set of fifteen coefficients. All other operations are generally similar to their two-dimensional counterparts (as more particularly described in U.S. patent No. 6,101,450).

[0009] Further details regarding the methods, procedures, systems, apparatus, devices, products and other implementations described herein are provided below in "Locking-free 'brick' interpolants – a 'high accuracy' finite element" (also identified as "[a] Rayleigh mode acquiescence to Single element test") by Gautam Dasgupta, and in "High Accuracy three-dimensional Finite Elements" by Gautam Dasgupta, the contents of all of which are incorporated by reference herein in their entireties.

[0010] Performing the various operations described herein may be facilitated by a processor-based computing system. Particularly, various devices / systems / units used in various

embodiments may be implemented, at least in part, using one or more processor-based devices. With reference to FIG. 1, provided below, a schematic diagram of a generic computing system 100 is shown.



[0011] The computing system 100 includes a processor-based device 110 such as a personal computer, a specialized computing device, and so forth, that typically includes a central processor unit 112. In addition to the CPU 112, the system includes main memory, cache memory and bus interface circuits (not shown in FIG. 1). The processor-based device 110 may include a mass storage element 114, such as a hard drive or flash drive associated with the computer system. The computing system 100 may further include a keyboard, or keypad, or some other user input interface 116, and a monitor 120, e.g., a CRT (cathode ray tube) or LCD (liquid crystal display) monitor, that may be placed where a user can access them.

[0012] The processor-based device 110 is configured to perform at least some of the operations / procedures described herein. The storage device 114 may thus include a computer program product that when executed on the processor-based device 110 causes the processor-based device to perform operations/procedures described herein. The processor-based device may further include peripheral devices to enable input/output functionality. Such peripheral devices may

include, for example, a CD-ROM drive and/or flash drive (e.g., a removable flash drive), or a network connection (e.g., implemented using a USB port and/or a wireless transceiver), for downloading related content to the connected system. Such peripheral devices may also be used for downloading software containing computer instructions to enable general operation of the respective system/device. Alternatively and/or additionally, in some embodiments, special purpose logic circuitry, e.g., an FPGA (field programmable gate array), an ASIC (application-specific integrated circuit), a DSP processor, etc., may be used in the implementation of the system 100. Other modules that may be included with the processor-based device 110 are speakers, a sound card, a pointing device, e.g., a mouse or a trackball, by which the user can provide input to the computing system 100. The processor-based device 110 may include an operating system, e.g., Windows XP® Microsoft Corporation operating system, Ubuntu operating system, etc.

[0013] Computer programs (also known as programs, software, software applications or code) include machine instructions for a programmable processor, and may be implemented in a high-level procedural and/or object-oriented programming language, and/or in assembly/machine language. As used herein, the term "machine-readable medium" refers to any non-transitory computer program product, apparatus and/or device (e.g., magnetic discs, optical disks, memory, Programmable Logic Devices (PLDs)) used to provide machine instructions and/or data to a programmable processor, including a non-transitory machine-readable medium that receives machine instructions as a machine-readable signal.

[0014] Some or all of the subject matter described herein may be implemented in a computing system that includes a back-end component (e.g., as a data server), or that includes a middleware component (e.g., an application server), or that includes a front-end component (e.g., a client computer having a graphical user interface or a Web browser through which a user may interact with an embodiment of the subject matter described herein), or any combination of such back-end, middleware, or front-end components. The components of the system may be interconnected by any form or medium of digital data communication (e.g., a communication network). Examples of communication networks include a local area network ("LAN"), a wide area network ("WAN"), and the Internet.

[0015] The computing system may include clients and servers. A client and server are generally remote from each other and typically interact through a communication network. The relationship of client and server generally arises by virtue of computer programs running on the respective computers and having a client-server relationship to each other.

[0016] In some embodiments, any suitable computer readable media can be used for storing instructions for performing the processes / operations / procedures described herein. For example, in some embodiments computer readable media can be transitory or non-transitory. For example, non-transitory computer readable media can include media such as magnetic media (such as hard disks, floppy disks, etc.), optical media (such as compact discs, digital video discs, Blu-ray discs, etc.), semiconductor media (such as flash memory, electrically programmable read only memory (EPROM), electrically erasable programmable read only Memory (EEPROM), etc.), any suitable media that is not fleeting or not devoid of any semblance of permanence during transmission, and/or any suitable tangible media. As another example, transitory computer readable media can include signals on networks, in wires, conductors, optical fibers, circuits, any suitable media that is fleeting and devoid of any semblance of permanence during transmission, and/or any suitable intangible media.

[0017] Although particular embodiments have been disclosed herein in detail, this has been done by way of example for purposes of illustration only, and is not intended to be limiting with respect to the scope of the appended claims, which follow. Some other aspects, advantages, and modifications are considered to be within the scope of the claims provided below. The claims presented are representative of at least some of the embodiments and features disclosed herein. Other unclaimed embodiments and features are also contemplated.

(will be inserted by the editor)

Locking-free 'brick' interpolants a 'high accuracy' finite element

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Received: date / Accepted: date

#### Abstract

'High accuracy' finite elements reproduce point-wise a set of stipulated continuum mechanics responses exactly. It addresses incompressibility as a kinematic constraint; therein, the element pressure and the Rayleigh (displacement) modal participation factors constitute the local degrees-of-freedom. The single element (patch) test suffices because all spatial integrations are carried out analytically. The demanded stress profiles beyond linear interpolants must be tensorially invariant. Needs to capturing outgoing waves in unbounded media, and to simulating viscoplastic responses in (fiber-reinforced, bio- and nano-) composites motivated the research. The details, in here, will enable incorporation into existing computer programs these 'high accuracy' elements — including concavity, since generating shape functions from the Rayleigh modes completely eliminates mesh distortional locking.

For the three-dimensional brick element, a test function — displacement vector in the physical (x,y,z) coordinates — consists of three full cubic polynomials in x,y and z, with all sixty coefficients. The twelve coefficients pertaining to the constant and linear terms lead to six rigid body modes and five uniform deviatoric strains. The dilatation is zero and non-zero for incompressible and compressible solids, respectively. For quadratic terms, point-wise equilibrium and shear free bending requirements annihilate twelve coefficients out of eighteen, and thus completely effaces shear and Poisson locking. To guarantee point-wise equilibrium, the coefficients for compressible solids are necessarily functions of the Poisson's ratio(s). For the cubic terms, equilibrium requirement eliminates nine out of thirty coefficients. Selection of bending under a constant shear force eliminates another set of fifteen coefficients. All other steps are identical to their two-dimensional counterparts.

Department of Civil Engineering and Engineering Mechanics School of Engineering and Applied Sciences

Waseda University, Tokyo, JAPAN, Tsunoda Senior Exchange Researcher from January to August 2013

**Keywords** brick element  $\cdot$  concave element  $\cdot$  cubic interpolants  $\cdot$  eight node element  $\cdot$  exact integration  $\cdot$  hexahedral element  $\cdot$  high accuracy element  $\cdot$  locking free  $\cdot$  patch test  $\cdot$  Poisson ratio dependent shape functions  $\cdot$  Rayleigh modes  $\cdot$  single element test  $\cdot$  symbolic computation  $\cdot$  three dimensional finite element

#### Author's note:

The Appendix is intended for the electronic version unless the reviewers want that to be a part of the printed pages.

Sufficient familiarity with the 2-D version, vide reference[17], will permit the paper to be shortened by about 30% and most of the footnotes and many repeatitions can also be eliminated.

#### 1 Introduction

From the computational mechanics perspective, Courant [8] pioneered the technique of approximating continuous solutions of mathematical physics with *finite* number of scalar variables to calculating shear stresses on a multiply connected non-circular prismatic shaft subjected to end torques. He triangulated the two-dimensional cross section and introduced piecewise linear interpolants on those "triangular" *elements* [41]. He then calculated the unknown weights from the Ritz formulation [42].

Motivated by the structural mechanics applications, Clough [7] christened an analogous technique, which is closely related to the Courant's methodology mentioned above, finite element. He solved various important practical problems by assuming piecewise linear displacement profiles. Therein, the principle of virtual work payed the role of the Ritz functional. The strain energy density function remained constant within each triangulated zone. Hence, the exact integration was possible leading to computationally trustworthy element stiffness matrices. A weak version of equilibrium and compatibility, which were enforced only at the discrete vertices of Courant's triangles, led to unconditional convergence in all cases. However, for flexures — beams, plates and shell bending problems, due to the imposition of constant stress fields — unacceptably high stiffness was encountered even within considerably fine "triangular" meshes.

To alleviate the shortcoming, which originated from linear interpolants, and especifically, to capturing spatial gradients in stress distributions — Taig [47] introduced four-node plane "quadrilateral" elements. This formulation, which indeed revolutionized the finite element industry — as commented by Wilson [60], proved effective in a large variety of problems including three-dimensional elastic deformations [33,28] and of course in plates and shells [20,2]. In Taig's original formulation, the kernel test functions were bi-linear functions — rather than full quadratic maps — on a square, hence, equilibrium was satisfied only in a limited number of cases. The strain energy density functions are quadratics in the computational (not physical) doamins and their integrations on arbitrary quadrilaterals necessarily invoked numerical quadratures. To assess the ambiguity so encountered, Irons introduced the patch test to examine both the theoretical and programming accuracies in any new formulation by judging its capabilities to reproducing known basic continuum mechanics solutions [29,31].

Departure from any analytical solution, caused failure in the *patch test* [37]. An element manifested *locking* — a concept originated by observing, under pure bending, finite element *non-zero* shear stresses that was termed *shear locking*. MacNeal [36] furnished a theorem, after closely examining all possible responses with four node *isoparametric*<sup>1</sup> finite elements. He conclu-

<sup>&</sup>lt;sup>1</sup> vide [30], iso — the same — parameteric representation is advocated to interpolating the displacement functions u(x,y,z), v(x,y,z), w(x,y,z) as well as physical x,y coordinates from the computational unit square in the  $\eta, \xi$  frame— Taig himself did not use the term isoparametric in his seminal publication, vide [47]

sively identified the inherent deficiency — the locking phenomenon — in Taig's formulation.

The single element patch test, vide [44,43,51], can assess the locking-free criteria, because it essentially verifies the quality of the shape functions and necessary characteristics of element stiffness matrices. Symbolic<sup>2</sup> and numeric treatment of test cases have been proved to be effective.

The main obstacle in seeking any alternative to Taig's computational domain approach with functions in the physical (x,y,z) frame, e.g., the polynomials in (x,y,z), Wachspress coordinates [56,26,38] with Padé interpolants [40], can be traced to be the difficulty originating from integrating strain energy densities within a finite element of an arbitrary shape. With the recent availability of exact integration — based on the divergence theorem — within arbitrary polyhedra, a 3D 'brick' can be completely diagnosed to be locking-free via the single element test.

#### 1.1 Exact volume integration

In a generic brick element, in **Fig.** 1, vertices 1, 2, 3 and 6 were supplied and nodes 4, 5, 7 and 8 in equation (1) were so generated by employing a random number generator that all faces come out to be flat.

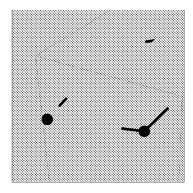


Fig. 1 A hexahedral finite element

<sup>&</sup>lt;sup>2</sup> vide lecture notes in:

http://www.colorado.edu/engineering/CAS/courses.d/AFEM.d/AFEM.Ch15.d

<sup>&</sup>lt;sup>3</sup> concavity was out of question in the isoparametric formulation

node:	1	2	3	4	5	6	7	8	
x	0	0	1	1.20272	0	0	1.21608	1.59793	(1)
У	1	0	0	1.31422	1.36807	0	0	1.90818	(1)
z	0	0	0	0	1.30599	1	1.23926	1.74118	

Table 1 nodal values for a brick element

The individual polynomial terms — needed to *exactly* integrating the energy density expressions in the element stiffness matrix for any convex or concave 'brick' element — appear in equation (2) alongside their *exact* values<sup>4</sup> pertaining to **Fig.** 1:

$$\begin{pmatrix} 1 & x & x^2 & x^3 & x^4 \\ y & xy & x^2y & x^3y & y^2 \\ xy^2 & x^2y^2 & y^3 & xy^3 & y^4 \\ z & xz & x^2z & x^3z & yz \\ xyz & x^2yz & y^2z & xy^2z & y^3z \\ z^2 & xz^2 & x^2z^2 & yz^2 & xyz^2 \\ y^2z^2 & z^3 & xz^3 & yz^3 & z^4 \end{pmatrix} \rightarrow \begin{pmatrix} 2.22344 & 1.53912 & 1.37624 & 1.37572 & 1.46922 \\ 1.71844 & 1.29807 & 1.23539 & 1.30083 & 1.73422 \\ 1.39974 & 1.39106 & 1.97293 & 1.68464 & 2.4153 \\ 1.63007 & 1.22254 & 1.15959 & 1.21846 & 1.39539 \\ 1.13712 & 1.14255 & 1.5175 & 1.31454 & 1.83679 \\ 1.54731 & 1.23191 & 1.21622 & 1.42495 & 1.22679 \\ 1.62714 & 1.64678 & 1.37935 & 1.61085 & 1.87798 \end{pmatrix}$$
 (2)

#### 1.2 Computer algebra implementation

The capability of analytically integrating the energy density function played a decisive role in this research that essentially circumvented the isoparametric mythology. In the physical (x, y, z) frame, the formulation was carried out within *Mathematica*. For general anisotropic solids, with twenty one Poisson's ratio parameters, use of computer algebra will be advantegeous.

Concept development is indeed formidable with any numerically oriented language but resulting *Mathematica* expressions can be translated into FORTRAN or C<sup>++</sup> with *MathCode* [21], rather effortlessly. Multi-domain modeling tools, e.g., *Modelica* [22], have emerged to accept physical description of an engineering problem in terms of partial differential field equations and generate numerically efficient C<sup>++</sup> codes.

Using *Mathematica*, the author obtained the algebraic expressions for the Rayleigh modes — as functions of the Poisson's ratio, for isotropic solids. Especially to eliminating constants in the polynomial expressions — on the physical basis of satisfying equilibrium and all other tensorial prescriptions *point-wise* — one needs special equation solving capabilities that cannot be found in matrix calculation procedures [25, 39].

<sup>&</sup>lt;sup>4</sup> A paper on exact integration of an arbitrary function within arbitrary polyhedra is under review [15] — if the exact integration fails then any predetermined amount of error can be prescribed — along the line of  $\epsilon - \delta$  argument for convergence; for polynomials always the exact integration is attainable

In this paper, all equations, figures and tables have been generated and exported by *Mathematica* as EPS, PDF and T<sub>E</sub>X outputs to a L<sup>A</sup>T<sub>E</sub>X file for typesetting.

1.3 The *locking-free* 'brick': a 3-D extension of four-node compressible/incompressible and convex/concave finite elements

This 'brick' formulation is the third paper in the sequel of implementing the Rayleigh modal construction that leads to algebraic expressions of element shape functions. For two-dimensional four node elements, not restricted to convex geometry, the author published [16,17] for the isochoric and compressible cases.

Here, all equilibrium equations are satisfied *point-wise* <sup>5</sup>, thus no *varia-tional crime* is committed. Subsequently, the energy density integrals, for the element stiffness matrix, are calculated *analytically*, hence, all controversies pertaining to numerical quadrature approximations have been averted.

This paper stats with a (coupled) triplet of cubic polynomials in the physical x, y, z frame. There are 60 unknown coefficients<sup>6</sup>. In order to comply with the *patch test* the displacement vector is taxonomized as follows <sup>7</sup>:

- (i) constant and linear terms: 12 coefficients 6 rigid body modes and 6 constant strain modes equilibrium is satisfied *identically*
- (ii) quadratic terms: 18 coefficients equilibrium condition reduces to 15 unknowns pure bending conditions eliminates shear and 9 terms and reduces to 6 unknowns: correspond to two orthogonal bending in each direction
- (iii) cubic terms: 30 coefficients equilibrium condition reduces to 21 unknowns 6 conditions are selected by setting axial stress  $\sigma_{xx}$  to x \* y and x \* z,;  $\sigma_{yy}$  to y \* z and y \* x, and  $\sigma_{zz}$  to z \* x and z \* y. These were identified from a cantilever beam under an end loading case.

For compressible solids, from the above three categories, twenty four Rayleigh modes are evaluated. Their linear combinations led to the shape functions.

For incompressible cases, the 60 coefficients of the cubic triplet reduce to 50. There are 11 linear terms, and as before 6 shear free pure bending cases and 6 'cantilever beam' terms led to Rayleigh modes with a *constant element pressure*.

<sup>&</sup>lt;sup>5</sup> in the *strong* not in an error minimization nor variational sense

 $<sup>^{6}\,</sup>$ each full cubic polynomial has 20 terms

<sup>&</sup>lt;sup>7</sup> In equation (12), combinations of constant and linear terms yield the simplex modes, viz.,. the rigid body and constant strain profiles while the quadratic terms are associated with (shear free) pure bending modes. In addition, the cubic terms in equation (12) depict the parabolic shear stress profiles that is observed in a beam with a constant shear force.

#### 1.4 Field equations

#### 1.4.1 Tensors and lists

In order to avoid confusion the term 'vector' will be restricted to denoting a single rank tensor, e.g., displacement  $\varpi$ . In general, a tensor quantity will be indicated with a bold face symbol, e.g.,  $\varpi, \Delta\epsilon, C_{ijkl}$ . In the traditional literature, a column matrix is also called a vector and a row matrix is often referred to be a row vector. These do not imply any tensorial sense because they are not abide by the coordinate transformation rules when viewed as a whole. In this paper, the author adopts the computer algebra terminology, consequently, terms a single row or column matrix to be (just) a list. However, a rectangular array will be called a matrix even though technically <sup>8</sup> it is also a list — list of lists.

#### 1.4.2 The body force vector

In order to conduct the *patch test* in the presence of zero *body force*, each shape function is designed to satisfy the equilibrium equation *unconditionally*. This guarantees the element against any locking due to its original geometrical shape<sup>9</sup> as well as Poisson's ratio(s)<sup>10</sup>. Furthermore, not to incur shear locking, only shear-free displacement fields pertaining to bending modes are selected.

Since the shape functions are constructed as linear combinations of Rayleigh modes, it is crucial to undertake the tensor treatment of elasticity field equations. To emphasize, the Cartesian coordinates and the associated displacement components are indicated as vectors:

$$\chi = \begin{Bmatrix} x \\ y \\ z \end{Bmatrix}; \quad \varpi(x, y, z) = \begin{Bmatrix} u(x, y, z) \\ v(x, y, z) \\ w(x, y, z) \end{Bmatrix}$$
(3)

The six — three axial and three shear strain  $\gamma~$  and stress  $\tau$  — components are then:

$$\gamma_{ij} = \frac{1}{2} \left( \frac{\partial \omega_i}{\partial \chi_j} + \frac{\partial \omega_j}{\partial \chi_i} \right) \tag{4}$$

$$\{\tau\} = [\mathcal{D}]\{\gamma\}; \quad [\mathcal{D}]$$
: constitutive matrix, in general with 21 constants (5)

In this static case the *body force* vector  $\mathbf{f}$  is:

$$\mathbf{f}_i = \frac{\partial \tau_{ij}}{\partial \mathbf{x}_j}$$
 — summation is implied over repeated indices (6)

In some places of this paper, for clarity, the axial stresses and strains are indicated by  $\sigma$ ,  $\epsilon$  respectively, for example:

$$\sigma_{xx} = \tau_{xx}; \quad \epsilon_{xx} = \gamma_{xx}; \quad -no \text{ sum over repeated indices}$$
 (7)

 $<sup>^8</sup>$  list of lists is also a list

<sup>&</sup>lt;sup>9</sup> "distortion" [32,46]

<sup>&</sup>lt;sup>10</sup> "dilatation or Poisson locking" [1]

#### 1.5 Rayleigh modal vis-à-vis the isoparametric formulation

For the deformed element, boundaries should not be constrained to piecewise linear functions <sup>11</sup>. because, this will impert additional stiffness in the system.

The *patch test* should be conducted in the absence of the *body force* vector. This demands that the shape functions necessarily satisfy equilibrium equations. From equation (6):

$$\mathbf{f}_i = 0 \text{ for } \forall \ (x, y, z) \tag{8}$$

that is not met by the *isoparametric* formulation, hence a *locking-free* solution field becomes unavoidable. Each Rayleigh displacement vector complies with the equilibrium condition, equation (8), *exactly*. The multi-linear  $^{12}$  isoparametric shape functions, which is oblivious to Poisson's ratio — the non-dimensional parameter responsible for coupling directional displacements into a vector, can never satisfy equilibrium *pointwise* in the physical x, y, z-frame. Furthermore, *isoparametric* representations decouple displacement vector. The elasticity displacement solutions are always coupled vector fields.

The incompressibility issue is tracted separately in the 'high accuracy' formulation. The *isoparametric* maps cannont reproduce zero delatation *pointwise*. Hence the *Poisson locking* is inevitable.

Ubiquitously, quadrature is destined to fetch numerical contamination into the stiffness matrix, hence, the strain energy in the element is not captured correcly. The nodal forces must always, without exception, be calculated as virtual work quantities, *vide* Clough [6], rather than simply lumping the applied traction only on the segment of application. The latter in *patch tests* miscalculates the total strain energy in an element.

#### 1.5.1 Unconditional possibility of analytical integration

For the isoparametric transformation, integration of the energy density functions poses a formidable task when a quadrilateral finite element  $\Omega_i$  departs from parallelograms. Even though Taig, vide page-15, equation 35) of [47], researched on analytical integration and derived closed–form integrals of trapezoidal elements, numerical quadrature [24] still captures the center stage even when closed form expressions are available [13] for Fortran and C<sup>++</sup> coding. With  $lower\ order$  interpolants a hypercube in  $\Re^m$  will have the following

<sup>&</sup>lt;sup>11</sup> straight line and plane for two and three dimensions respectively

<sup>&</sup>lt;sup>12</sup> bilinear and trilinear for 2-D and 3-D cases respectively

element stiffness matrix K expression:

$$\mathcal{K}^{(i)} = \int_{\Omega_i} \mathcal{B}^T \mathcal{D} \mathcal{B} \ d\Omega \tag{9}$$

for the element i of domain  $\Omega_i$  with  $2^m$  nodes and

m degrees-of-freedom per node

 $\mathcal{B}$ : strain-displacement matrix —  $\wp^{n-1}$ 

 $\mathcal{D}$ : stress-strain matrix — constant

 $\wp^m$ : polynomial of degree m

m: spatial dimension of  $\Omega \subset \Re^m - (x_1, x_2, \dots, x_m)$ 

Each Rayleigh mode is  $\wp^m$  in  $(x_1, x_2, \ldots, x_m)$  and their linear combinations are the element shape functions. Exact integration[17]<sup>13</sup> within a 'brick' element, for quartic polynomial terms then suffices for equation (9). Since incompatible displacement models [59,61,27] are unavoidable for locking-free finite elements, the Rayleigh modes are equally suitable for any finite element in  $\Re^m$  that has  $2^m$  nodes with m number of degrees-of-freedom per node.

In particular, the present derivation is not dependent on the orientation nor the location of the (x,y,z) frame. Hence, the question of curved elements only arises while analytically integrating the energy density functions in stiffness matrices and nodal loads (as virtual work quantities).

#### 1.6 Rayleigh modes with cubic (x, y, z) polynomials

Each  $i^{th}$ . Rayleigh mode  $\psi_i(x,y,z)$  is in the following vector form:

$$\psi_i(x, y, z) = \begin{cases} \psi_i^{(x)}(x, y, z) \\ \psi_i^{(y)}(x, y, z) \\ \psi_i^{(z)}(x, y, z) \end{cases} : \text{ satisfies tensor transformation rules}$$
 (10)

In any arbitrary direction  $\alpha$  the component of  $\psi_i(x,y,z)$  is  $\psi_i^{(\alpha)}(x,y,z)$  and

$$\psi_i(x, y, z)$$
 satisfies equilibrium point-wise (11)

For every Rayleigh mode, equation (10) is calculated from:

$$\begin{cases}
\wp_x^3(x,y,z) \\
\wp_y^3(x,y,z) \\
\wp_z^3(x,y,z)
\end{cases}; \quad \wp^3(x,y,z) : \text{ cubic polynomial in } x,y,z \text{ such that}$$
(12)

the triplet satisfies equilibrium point-wise

 $<sup>^{13}</sup>$  the paper: 'exact integration within polyhedra' is currently under review, for analogous two-dimensional problems, vide [14, 12].

#### 1.6.1 Incompressible cases

For two-dimensional problems, vide [16] seven pairs of shape functions, each yielding zero dilatation, were obtained from quadratic polynomials. For each element there are seven modal participation factors and a uniform pressure constituted the eight unknowns. Since a nodal displacement is not a degree-of-freedom<sup>14</sup>, consequently, no attempt was made to assemble the stiffness matrix is assembled. Instead, explicit equations of nodal equilibrium and compatibility were solved simultaneously. It is important to recognize that all nodal forces are computed as virtual work quantities with respect to the Rayleigh modal degrees-of-freedom then they are transformed into equivalent nodal loads according to the virtual work relations between the Rayleigh modal and element nodal descriptions. Reference [16] contains all details of four node elements — convex, concave and triangle with a side node.

The idea is seamlessly extended to three-dimensional brick elements. Twenty three triplets of shape functions, each yielding zero dilatation, are obtained from cubic polynomials. For each element, the associated modal participation factors and a uniform 'element pressure' are solved from explicit equations of *nodal* equilibrium and compatibility. Algebraic details of [16] are not repeated here.

### 1.7 Shape functions from Rayleigh modes: compressible solid $\nu \neq \frac{1}{2}$

In conformity with the modal displacement vectors,  $\psi_i(x, y, z)$  in equation (10), the finite element shape function, which is associated with the nodal degrees-of-freedom j,  $\phi_i(x, y, z)$  has the following vector structure with components:

$$\phi_{j}(x,y,z) = \begin{cases} \phi_{j}^{(x)}(x,y,z) \\ \phi_{j}^{(y)}(x,y,z) \\ \phi_{j}^{(z)}(x,y,z) \end{cases} : \text{satisfies equilibrium } point\text{-}wise$$
 (13)

this is a linear combinations of Rayleigh mode vectors  $\boldsymbol{\psi}_i(x,y,z)$  of equation (10) :

$$\phi_j(x, y, z) = \lambda_{ji} \ \psi_i(x, y, z); \tag{14}$$

$$[\lambda]$$
: modal contribution to shape function (15)

 $<sup>^{14}\,</sup>$  because independent values will cause dilatation

The relationship between  $\phi_j$  and  $\psi_i$  is dictated by the Knocker condition <sup>15</sup>:

with 
$$c_m=(x_m,y_m,z_m)$$
: nodal coordinate for node number  $m$  (16) 
$$\phi_j^{(x)}(x_m,y_m,z_m)=\delta_{j,(3m-2)}$$

leads to : 
$$\phi_j^{(y)}(x_m, y_m, z_m) = \delta_{j,(3m-1)}$$
 (17)  
 $\phi_j^{(z)}(x_m, y_m, z_m) = \delta_{j,(3m)}$ 

where the Kronecker symbol: 
$$\delta_{m,n} = \begin{cases} 1, & \text{if } m = n \\ 0, & \text{if } m \neq n \end{cases}$$
 (18)

Details for two-dimensional plane stress/strain problems can be found in [17].

#### 1.7.1 Finite elements from Rayleigh modes

Finite element nodal and the Rayleigh modal variables are:

$$\{r\}$$
: list of nodal displacements;  $\{R\}$ : list of nodal forces (19)

$$\{q\}$$
: list of *modal* participation factors; (20)

$${Q}$$
: list of modal generalized forces: conjugate of  ${q}$  (21)

The matrix  $[G_{rq}]$ , without any ambiguity [G], transformations  $\{q\}$  to  $\{r\}$ :

$$\{r\} = [G_{rq}]\{q\} \text{ or } \{r\} = [G]\{q\} \to \{q\} = [G]^{-1}\{r\}$$
 (22)

For this brick finite element there are twenty four nodal degrees-of-freedom and equal number of Rayleigh modes. For this square [G] the inversion is assumed to exist at the least in the generalized Moore-Penrose sense. Concavity in the brick geometry is inconsequential.

#### 1.7.2 Relationship between modal and nodal strain-displacement matrices

The physical strains are independent of the choice of modal or nodal perspective, hence:

$$\{\epsilon_{xx} \; \epsilon_{yy} \; \epsilon_{zz} \; \gamma_{xy} \; \gamma_{yz} \; \gamma_{zx}\} = \{q\}^T \; [b_q]^T = \{r\}^T \; [b_r]^T = \{q\}^T \; [G]^T \; [b_r]^T$$

$$\rightarrow \; [b_r] = [b_q][G]^{-1} \quad \text{or} \quad [b_r]^T = [G^T]^{-1}[b_q^T] \quad (23)$$

<sup>&</sup>lt;sup>15</sup> in the vector form for  $\Re^3$ 

#### 1.7.3 Relationship between **modal** and nodal element stiffness matrices

From the energy balance principle:

$${R}^{T} {r} = {Q}^{T} {q} \rightarrow {R} = {G}^{T}^{-1} {Q}$$
 (24)

Now the modal stiffness matrix  $[\mathcal{K}_{qq}]$  for an arbitrary polyhedron  $\Omega$  can be obtained from:

$$[\mathcal{K}_{qq}] = \int_{\Omega} [b_q]^T \ [d] \ [b_q] \ d\Omega \tag{25}$$

It is important to emphasize that the integrand in equation (25) comprises of polynomial terms of the form:  $x^{\alpha_1} y^{\alpha_2} z^{\alpha_3}$  as in equation (2), hence, analytical integration within an arbitrary polyhedra is possible, vide [15].

Finally, the conventional finite element (nodal) stiffness matrix  $[\mathcal{K}_{rr}]$  or simply  $[\mathcal{K}]$  can be calculated from:

$$[\mathcal{K}_{rr}] = [\mathcal{K}] = [G^T]^{-1} [\mathcal{K}_{qq}] [G]^{-1}$$
 (27)

for more details vide [17].

The modal strain displacement transformation matrix  $[b_q]$  is through by:

the row list: 
$$\{\epsilon_{xx} \; \epsilon_{yy} \; \epsilon_{zz} \; \gamma_{xy} \; \gamma_{yz} \; \gamma_{zx}\} = \{q\}^T \; [b_q]^T$$
 (28)

#### 1.7.4 General elements admitting volume change

Interpolant polynomials are considered according to the degrees of their parts. First, the constant and the linear terms are selected to reproduce the *twelve* <sup>16</sup> modes akin to tetrahedrons. This accounts for the *six* rigid body displacements and *six* constant stress distributions. Secondly, the quadratic terms reproduce *six* pure bending cases where zero shear condition is explicitly enforce to discard any shear locking whatsoever. It is important to recognize, for isotropic elastic continua, that for these pure bending modes the Poisson's ratio appear in the polynomial coefficients. Finally, the cubic terms a subjective judgement is made in this paper. Parabolic shear stress distributions, which appear in analytical solutions for a beam with constant shear force, are reproduced *exactly*. Since for each directional spanning of a beam there could be two orthogonal bending there are then *six* (cantilever beam) modes. These *twenty four* Rayleigh modes yield *twenty four* shape functions via linear combinations <sup>17</sup> using the definition in equation (17).

<sup>&</sup>lt;sup>16</sup> for incompressible solids, the dilatational mode is eliminated; therein, eleven displacement mode and a constant pressure may constitute the twelve twelve degrees-of-freedom (in the general sense)

 $<sup>^{17}</sup>$  Merely from geometrical considerations the Rayleigh modes are related to the nodal displacements (via the [G] matrix — references [16,17] describe in detail)

The stiffness matrix  $\mathcal{K}$  in equation (9) has to be evaluated from equation (12). The strain displacement matrix  $\mathcal{B}$  is a quadratic function in x, y, z. All that is needed for  $\mathcal{K}$  are the fourth order polynomial terms presented in the left hand side of equation (2), its right hand side shows the exact integral values for a test hexahedral element shown in **Fig.** 1.

1.8 Poison's ratio(s): a *persistent* nondimensional system parameter(s) to enforce *point-wise* the zero body force requirement in a *patch test* 

Locking-free finite elements cannot be achieved without explicitly involving the Poisson's ratio in the shape functions. This is evidenced by the requirement of satisfying the equilibrium equation *pointwise*:

$$\mathbf{f}(x, y, z) = 0, \forall (x, y, z); \quad vide \text{ equation (6)}$$

For the two dimensional case detailed analysis can be found in [17]. Those arguments are indeed valid for this three-dimensional formulation, hence not repeated here. A solid with twenty one Poisson's ratios poses no problem whatsoever<sup>18</sup>. This paper furnishes all derivations in a tensorial sense, hence the following treatment of Poison's ratios in the invariant form is warranted.

#### 1.8.1 Poisson's ratios for the generalized case

The stress  $\sigma$  and strain  $\epsilon$  tensors are related through the fourth rank compliance tensor  $\mathbf{C}$  in the following incremental form:

$$\Delta \epsilon = \mathbf{C}. \ \Delta \boldsymbol{\sigma} \ \text{or} \ \Delta \epsilon_{ij} = \mathbf{C}_{ijkl} \ \boldsymbol{\sigma}_{kl}$$
 (30)

For two orthogonal directions **m** and **n**, the corresponding Poisson's ratio  $\nu_{\beta\alpha}$  is given by:

$$\nu_{\beta\alpha} = \nu_{\alpha\beta} = -\frac{\alpha_i \alpha_j \beta_k \beta_l \mathbf{C}_{ijkl}}{\beta_i \beta_j \beta_k \beta_l \mathbf{C}_{ijkl}}$$
(31)

The proposed methodology can be extended<sup>19</sup> to encompass the generalized stress strain relation equations (30) and (31).

1.8.2 Isotropic constitutive equation: an important special case

For the spacial case of isotropy:

$$\sigma_{ij} = \lambda_o \ \epsilon_{kk} + 2\mu \ \epsilon_{ij}; \quad \text{for } i \neq j : \gamma_{ij} = 2\epsilon_{ij}$$
 (32)

 $<sup>^{18}</sup>$  can be incorporated in equation (5)

 $<sup>^{19}</sup>$  the symbolic algebraic computer code does *not* need any modification to accept the general stress-strain relation in terms of  $\mathbf{C}_{ijkl}$ 

will be used here. The Lamé constants  $\lambda_o^{20}$ , and  $\mu$  are connected via the single poisson's ratio  $\nu$ :

$$\lambda_o = \frac{2 \mu \nu}{1 - 2\nu} \tag{33}$$

For incompressible cases, such as  $\nu = \frac{1}{2}$  the nodal displacements are *not* independent variables. For constant pressure elements, the entire analysis, *i.e.*, enforcement of nodal equilibrium and compatibility, is to be carried out with the modal participation factors and a uniform pressure — to be the element level independent variable — as in two-dimensional [16] problems.

#### 2 Derivation of Rayleigh modes

Modeling with both compressible and incompressible solids are addressed here. For three-dimensional cases, from equation (9), the lower order cube element will admit cubic polynomial, as a natural extension to Taig [47]. Hence the same is selected here.

The 'high accuracy' formulation generates Rayleigh modes independent of convexities in the element.

#### 2.1 Cubic interpolants in the physical (x, y, z) frame

The full cubic polynomial displacement expressions are in the following form:

$$u(x, y, z) = a(1) + x * a(2) + y * a(3) + z * a(4) + x^{2} * a(5) + x * y * a(6) + y^{2} * a(7) + x * z * a(8) + y * z * a(9) + z^{2} * a(10) + x^{3} * a(11) + x^{2} * y * a(12) + x * y^{2} * a(13) + y^{3} * a(14) + x^{2} * z * a(15) + x * y * z * a(16) + y^{2} * z * a(17) + x * z^{2} * a(18) + y * z^{2} * a(19) + z^{3} * a(20)$$
(34)

In order to emphasize the polynomial terms, as those appeared in the left hand side of equation (2), the notation with post-fix coefficients is employed in equation (34). Multiplication is explicitly indicated by \*.

The other two displacement components v, w along y, z respectively, are obtained by cyclic replacement; to obtain v from u replace a by b and then x by y, y by z and z by x. Similarly, w is obtained from v with coefficient

 $<sup>^{20}\,</sup>$  in order to avoid conflict of notation with the modal participation factore  $\lambda$  in equation (15), here the isotropic Lamé constant is indicated with a subscript of zero

c(i):

$$v(x, y, z) = b(1) + y * b(2) + z * b(3) + x * b(4)$$

$$+ y^{2} * b(5) + y * z * b(6) + z^{2} * b(7)$$

$$+ x * y * b(8) + x * z * b(9) + x^{2} * b(10)$$

$$+ y^{3} * b(11) + y^{2} * z * b(12) + y * z^{2} * b(13) + z^{3} * b(14)$$

$$+ x * y^{2} * b(15) + x * y * z * b(16) + x * z^{2} * b(17)$$

$$+ x^{2} * y * b(18) + x^{2} * z * b(19) + x^{3} * b(20)$$

$$w(x, y, z) = c(1) + z * c(2) + x * c(3) + y * c(4)$$

$$+ z^{2} * c(5) + x * z * c(6) + x^{2} * c(7)$$

$$+ y * z * c(8) + x * y * c(9) + y^{2} * c(10)$$

$$+ z^{3} * c(11) + x * z^{2} * c(12) + x^{2} * z * c(13) + x^{3} * c(14)$$

$$+ y * z^{2} * c(15) + x * y * z * c(16) + x^{2} * y * c(17)$$

$$+ y^{2} * z * c(18) + x * y^{2} * c(19) + y^{3} * c(20)$$

$$(36)$$

To emphasize the tensorial invariant characteristic of the displacement function the vector  $\varpi(x,y,z)$  is introduced in equation (3). It is conceptually convenient to treating each degree of polynomial with the following physical interpretation:

$$\varpi(x,y,z) = \varpi^{(1)}(x,y,z) + \varpi^{(2)}(x,y,z) + \varpi^{(3)}(x,y,z)$$
— full cubic polynomial vector with 30 unknown coefficients
$$\varpi^{(1)}(x,y,z) : \text{linear terms for simplex modes} \qquad (38)$$
— Courant interpolants in a tetrahedron (pyramid)
$$\varpi^{(2)}(x,y,z) : \text{quadratic terms for flexural modes} \qquad (39)$$
— admitted in a 6 node pentahedron, triangular prism
$$\varpi^{(3)}(x,y,z) : \text{cubic terms for quadratic stress modes} \qquad (40)$$
— appears in a brick

All elliptic partial differential equations of mathematical physics are of even order, 2n, starting with two, n=1. Hence one way to select Ritz test function is to deploy polynomials of order n. Hence, the first order polynomials will satisfy the field equations in the strong sense. Courant [8] employed linear test functions in his development of potentials and steady vibrations that are governed by elliptic partial differential equations. This is the principal reason why Courant's formulation will always satisfy the  $patch\ tests^{21}$ .

 $<sup>^{21}</sup>$  within the context of this paper, rigid body motions and linear stress distributions are exactly reproduced in single element tests

### 2.2 Compressible elements: Poisson's ratio $\nu \neq \frac{1}{2}$

Here twenty four Rayleigh modes are derived in order to generating twenty four shape functions.

#### 2.2.1 Extension of Courant's triangulation

The twelve parameters,  $a[1] \dots a[4], b[1] \dots b[4], c[1] \dots c[4]$ , are assigned unit value, at a time, with others set to zero. Thus the twelve Rayleigh modes, which pertain to tetrahedrons, are obtained as the following twelve vectors:

each column represents the (x, y, z) components of one Rayleigh mode

It can be readily observed that *all* rigid body modes and linear stress distribution cases are included in equation (41).

#### 2.2.2 Rejection of shear locking modes

The quadratic terms enter into the shape functions for triangular prisms. Arbitrary selection of eighteen parameters,  $a[5] \dots a[10], b[5] \dots b[10], c[5] \dots c[10]$ , will violate equilibrium,  $\mathbf{f} \neq 0$ , vide equation (8). Types of the following expression is obtained by satisfying equation (8):

$$u = a(5)x^{2} + a(7)y^{2} + a(9)yz + a(10)z^{2} + 4b(5)\nu xy + 4b(7)\nu xy + 4b(10)\nu xy - 4b(5)xy - 2b(7)xy - 2b(10)xy - b(6)xz - c(8)xy + 4c(5)\nu xz + 4c(7)\nu xz + 4c(10)\nu xz - 4c(5)xz - 2c(7)xz - 2c(10)xz$$
 (42)

that without exception should involve the Poisson's ratio  $\nu$ , are essential. The associated v, w expressions, to satisfy pointwise equilibrium, are:

$$v = 4a(5)\nu xy + 4a(7)\nu xy + 4a(10)\nu xy - 4a(5)xy - 2a(7)xy - 2a(10)xy + b(10)x^2 + b(9)xz + b(5)y^2 + b(6)yz + b(7)z^2 - c(6)xy$$
(43)

$$w = c(7)x^{2} + c(9)xy + c(6)xz + c(10)y^{2} + c(8)yz + c(5)z^{2}$$
(44)

To forsake shear locking, one selects only those parts of  $\{u, v, w\}$  that lead to zero shear strains. The results are:

$$u(x,y,z) = a(5)x^{2} + \frac{a(5)y^{2}}{\nu} - a(5)y^{2} - a(10)y^{2} + a(10)z^{2} - \frac{2b(5)xy}{\nu} + 2b(5)xy + 2b(7)xy - \frac{2c(5)xz}{\nu} + 2c(5)xz + 2c(10)xz$$
(45)

$$v(x,y,z) = -\frac{2a(5)xy}{\nu} + 2a(5)xy + 2a(10)xy + \frac{b(5)x^2}{\nu} - b(5)x^2 - b(7)x^2 + b(5)y^2 + b(7)z^2 - 2c(10)yz$$
(46)

$$w(x,y,z) = -2a(10)xz - 2b(7)yz + \frac{c(5)x^2}{\nu} - c(5)x^2 - c(10)x^2 + c(10)y^2 + c(5)z^2$$

$$(47)$$

The coupled (quadratic polynomial) vector field  $\varpi^{(2)} = \{u, v, w\}$  has six undetermined parameters:

$$a(5), a(10), b(5), b(7), c(5) \text{ and } c(10)$$
 (48)

Each is assigned unit value, in turn, with others set to zero leading to the six shear- free flexure modes that are associated with pure bending cases:

In the appendix the Rayleigh modal responses are arranged in columns. However, for clarity the polynomial quadratic modes in equation (49) are presented as rows.<sup>22</sup>

 $<sup>^{22}\,</sup>$  Each row in equation (49) represents a displacement vector in equilibrium without body force. This poses an insignificant inconsistency, vide equation (41) where each column represents a distinct Rayleigh mode, however no ambiguity is encountered.

To facilitate verification, the author did not replace  $\nu-1$  by  $-(1-\nu)$  in equation (49) — the original output from Mathematica had to be changed by adding a custom tailored function. For the general anisotropic case the output becomes too lengthy due to 21 Poisson's ratios. However, any computer algebra system will handle such expressions symbolically without any user intervention.

#### 2.2.3 The three-dimensional element — additional stipulated modes

The cubic terms in the displacement vector  $\varpi(x, y, z)$ , vide equation (3), yields quadratic stress fields. Out of 30 constants, 9 are eliminated to ensure equilibrium. The candidate displacements are:

$$u = \frac{x^3(a(13)(1-2\nu) + a(18)(1-2\nu) + b(18) + c(13))}{6(\nu-1)} + \frac{1}{3}y^3(-a(12) - a(19) + b(15) + b(17) + 3b(20)) + y^2z(-a(15) - 3a(20) + c(12) + 3c(14) + c(19)) + a(12)x^2y + a(15)x^2z + a(13)xy^2 + a(18)xz^2 + a(19)yz^2 + a(20)z^3 - 2xyz(b(12) - 2c(15)(\nu - 1) - (c(17) + 3c(20))(2\nu - 1))$$

$$v = \frac{y^3(a(13) + b(13)(1 - 2\nu) - 2b(18)\nu + b(18) + c(18))}{6(\nu - 1)} - 2xyz(a(15) - 2c(12)(\nu - 1) - (3c(14) + c(19))(2\nu - 1)) + \frac{1}{3}z^3(-b(12) - b(19) + c(15) + c(17) + 3c(20)) + b(20)x^3 + b(18)x^2y + b(19)x^2z + b(15)xy^2 + b(17)xz^2 + b(12)y^2z + b(13)yz^2$$

$$w = \frac{z^3(a(18) + b(13) - (c(13) + c(18))(2\nu - 1))}{6(\nu - 1)} - 2xyz(a(12) - 2b(15)(\nu - 1) - (b(17) + 3b(20))(2\nu - 1)) + c(14)x^3 + c(17)x^2y + c(13)x^2z + c(19)xy^2 + c(12)xz^2 + c(20)y^3 + c(18)y^2z + c(15)yz^2$$

$$(50)$$

Expressions in equation (50) are not unique, but always 21 constants survive.

Different finite element applications with different purposes can select tensorially invariant statement to determine the 21 coefficients in equation (50). Now, the displacement profile in a cantilever beam with an end force is selected <sup>23</sup>. The following two displacement vectors are solved to reproduce

<sup>&</sup>lt;sup>23</sup> without referring to any coordinate system one can state that the selected axial stress in any arbitrary direction is proportional to the product of the location in that direction and in a transverse one, this in turn breaks into two statements that the two orthogonal other direction will guarantee the tensor requirement

 $\sigma_{xx} = x * y$  and x \* z:

$$\sigma_{xx} = x * y \to \varpi(x, y, z) = \begin{cases} \frac{x^2 y}{4} - \frac{y^3}{12} \\ 0 \\ -\frac{1}{2}xyz \end{cases}$$
 and (51)

$$\sigma_{xx} = x * z \to \varpi(x, y, z) = \begin{cases} \frac{x^2 z}{4} - \frac{z^3}{12} \\ -\frac{1}{2}xyz \\ 0 \end{cases}$$
 (52)

Similarly, four other Rayleigh modes are selected by interchanging x, y, z of equations (51) and (52), in the cyclic order, leading to the following 6 cubic displacement profiles:

from 
$$\sigma_{xx}$$
 from  $\sigma_{yy}$  from  $\sigma_{zz}$ 

$$\begin{cases}
\frac{x^2y}{4} - \frac{y^3}{12} \\
0 \\
-\frac{1}{2}xyz
\end{cases}
\begin{cases}
-\frac{1}{2}xyz \\
0
\end{cases}
\begin{cases}
\frac{y^2z}{4} - \frac{z^3}{12} \\
0
\end{cases}
\begin{cases}
\frac{y^2z}{4} - \frac{z^3}{12}
\end{cases}$$
from equation (52):
$$\begin{cases}
\frac{x^2z}{4} - \frac{z^3}{12} \\
-\frac{1}{2}xyz \\
0
\end{cases}
\begin{cases}
0 \\
\frac{xy^2}{4} - \frac{x^3}{12}
\end{cases}
\begin{cases}
0 \\
\frac{xy^2}{4} - \frac{x^3}{12}
\end{cases}$$
(53)

#### 2.2.4 The modal strain-displacement transformation matrix

In the interest of clarity  $[b_q]$  is partitioned into four 6x6 matrices in the following form:

$$[b_q] = [[b_q^{(1)}], [b_q^{(2)}], [b_q^{(3)}], [b_q^{(4)}]]$$
 and thereby: (54)

are the rigid-body and uniform strain modes that are mandatory, and are always necessary and sufficient for simplex (in this case tetrahedron) elements. From the point of view of Iron's *patch tests*, which caution of shear-locking, the quadratic displacement fields that yield linear strains (and stresses for the

homogeneous constitutive case with constant elements in the [d] matrix) led to the following component of the element strain-displacement matrix:

For three-dimensional cases, the cubic terms in the (coupled) displacement fields permit quadratic stress distributions. Here the structural mechanics results of stress distribution in a cantilever beam with end load have been captured:

$$[b_q^{(4)}] = (57)$$

$$\begin{bmatrix} \frac{xy}{2} & \frac{xz}{2} & -\frac{yz}{2} \\ 0 & -\frac{xz}{2} & 0 \\ -\frac{xy}{2} & 0 & -\frac{yz}{2} \\ \frac{1}{4}(x^2 - y^2) & -\frac{yz}{2} & \frac{1}{4}(y^2 - z^2) \\ -\frac{yz}{2} & -\frac{xz}{2} & \frac{1}{4}(z^2 - z^2) \end{bmatrix} \begin{bmatrix} 0 & 0 & -\frac{yz}{2} \\ \frac{xy}{2} & -\frac{xz}{2} \\ -\frac{xy}{2} & -\frac{yz}{2} \\ -\frac{yz}{2} & -\frac{xz}{2} \\ \frac{1}{4}(z^2 - x^2) \end{bmatrix} \begin{bmatrix} -\frac{yz}{2} & -\frac{xz}{2} \\ -\frac{xz}{2} & -\frac{xz}{2} \\ -\frac{xy}{2} & \frac{1}{4}(z^2 - x^2) \end{bmatrix}$$

Since the elastic response fields do not uniformly converge as  $\nu \to \frac{1}{2}$  the incompressible modes *cannot* be obtained from equations (56) and (57). An independent derivation for the Rayleigh modes follows.

#### 2.3 Incompressible solids

Starting with the form of equation (34), there are 60 unknown parameters in the vector-valued shape function  $\varpi(x,y,z) = \{u(x,y,z), v(x,y,z), w(x,y,z)\}$ :

$$a[1] \dots a[20], b[1] \dots b[20], c[1] \dots c[20]$$
 (58)

Incompressibility demands:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0$$
, for all  $x, y, z$  (59)

that leads to the following possible interrelations between the parameters:

$$a[2] \to -b[2] - c[2], a[8] \to -b[6] - 2c[5], a[5] \to -\frac{b[8]}{2} - \frac{c[6]}{2},$$

$$a[6] \to -2b[5] - c[8], a[18] \to -b[13] - 3c[11], a[15] \to -\frac{b[16]}{2} - c[12],$$

$$a[11] \to -\frac{b[18]}{3} - \frac{c[13]}{3}, a[16] \to -2b[12] - 2c[15],$$

$$a[12] \to -b[15] - \frac{c[16]}{2}, a[13] \to -3b[11] - c[18]$$

$$(60)$$

These ten substitutions make the incompressible vector-valued shape function  $\varpi^o(x,y,z)$  to contain 50 constants, in the following three parts:

$$\overline{\omega}^{o}(x,y,z) = \overline{\omega}_{1}^{o}(x,y,z) + \overline{\omega}_{2}^{o}(x,y,z) + \overline{\omega}_{3}^{o}(x,y,z) \tag{61}$$

$$\varpi_1^o(x, y, z) : \text{"triangulation" modes}$$
(62)

$$\varpi_2^o(x, y, z)$$
: "flexural" modes (63)

$$\varpi_3^o(x, y, z)$$
: "quadratic stress" modes (64)

in particular:

$$\varpi_1^o(x,y,z) = \begin{cases}
a(3)y + a(4)z + a(1) + x(-b(2) - c(2)) \\
b(4)x + b(2)y + b(3)z + b(1) \\
c(3)x + c(4)y + c(2)z + c(1)
\end{cases}$$
(65)

therein, the 11 parameters are associated with 6 rigid body modes and 5 deviatoric modes. These eleven modes are evaluated by setting one parameter to unity and rest to zero, at a time leading to:

The flexural modes contain quadratic terms in x, y, z and free from shear strains:

$$0 = \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} = \frac{\partial v}{\partial z} + \frac{\partial w}{\partial y} = \frac{\partial w}{\partial x} + \frac{\partial u}{\partial z}, \quad \text{for all } x, y, z$$
 (67)

and yields the following possible interrelations between the parameters:

$$a[7] \to -(b[8]/2), a[9] \to 0, b[9] \to 0, a[10] \to -(c[6]/2),$$

$$b[6] \to -2c[10], c[5] \to c[7] + c[10], b[5] \to b[7] + b[10],$$

$$c[8] \to -2b[7], c[9] \to 0$$
(68)

the six (independent) terms:

$$b(7), b(8), b(10), c(6), c(7), c(10)$$
 (69)

are responsible for pure bending in two orthogonal planes on each of three orthogonal directions. Thus the three incompressible flexural modes are:

$$\begin{vmatrix}
0 \\
y^2 + z^2 \\
-2yz
\end{vmatrix} - \frac{y^2}{2} - \frac{y^2}{2} \begin{vmatrix}
-2xy \\
x^2 + y^2 \\
0 \end{vmatrix} - \frac{x^2}{2} - \frac{z^2}{2} \begin{vmatrix}
-2xz \\
0 \\
x^2 + z^2
\end{vmatrix} - \frac{2yz}{2} = 0$$
(70)

Following the steps to deriving equation (53) the Rayleigh modes due to cubic displacement profiles are obtained as:

$$\begin{pmatrix}
\frac{x^{2}y - y^{3}}{\frac{1}{2}x\left(\frac{z^{2}}{2} - \frac{y^{2}}{2}\right)} \\
0 \\
\left(\frac{\frac{x^{2}z}{4} - \frac{y^{3}}{12}}{\frac{1}{2}x\left(\frac{z^{2}}{2} - \frac{y^{2}}{2}\right)}\right) \\
\left(\frac{x^{2}z - \frac{z^{3}}{4}}{\frac{1}{2}} - \frac{z^{3}}{\frac{1}{2}} \\
0 \\
\left(\frac{x^{2}z - \frac{z^{3}}{4}}{\frac{1}{2}} - \frac{z^{3}}{\frac{1}{2}} \\
0 \\
\frac{1}{2}x\left(\frac{y^{2}}{2} - \frac{z^{2}}{2}\right)
\end{pmatrix}
\begin{pmatrix}
\frac{1}{2}y\left(\frac{z^{2}}{2} - \frac{z^{2}}{2}\right) \\
\frac{xy^{2}}{4} - \frac{x^{3}}{12}
\end{pmatrix}
\begin{pmatrix}
0 \\
\frac{1}{2}z\left(\frac{x^{2}}{2} - \frac{y^{2}}{2}\right) \\
\frac{yz^{2}}{2} - \frac{y^{2}}{2}
\end{pmatrix}$$
(71)

#### 3 Closed-form development: compressible isotropic solid

The constitutive matrix [d] relates the stress and strain distributions:

now, energy density matrix:  $[b_{\psi}]^T[d][b_{\psi}]$  can be calculated from equations (54) through (72).

# 3.1 Numerical Example: $\mu = 1, \nu = \frac{1}{4}$

A generic brick element with nodal coordinates furnished in equation (1) is shown in **Fig.** 1. The modal stiffness matrix is calculated from the *closed-form* integration results in equation (2) using equations (54) through  $(57)^{24}$ . The determinant of [G] is  $\frac{109362}{1123}$ . The modal and the element stiffness matrices, *vide* equation (27) are presented in the Appendix.

 $<sup>^{24}</sup>$  the eigenvalues are: 46.716, 67.7913, 18.304, 9.52665, 2.88408, 2.29533 2.19528, 2.0452, 1.08073, 0.795941, 0.510553, 0.504974, 0.389806, 0.148966, 0.132988, 0.0728463,0.0619707, 0.0514826 and 0.0,0,0,0,0

#### 3.1.1 The [G] Matrix

The Rayleigh modes beyond Courant's triangulation <sup>25</sup> are developed in equations (49) and (53). The modal to nodal transformation matrix [G] is now used to convert the closed-form integral of equation (25). The 24 by 24 [G] has been obtained by using the element nodal coordinates of equation (1) <sup>26</sup>. For convenience of presentation the following form is selected:

$$[G] = \begin{bmatrix} G_{11} & G_{12} & G_{13} \\ G_{21} & G_{22} & G_{23} \\ G_{31} & G_{32} & G_{33} \end{bmatrix}$$
 (73)

The numerical values of the submatrices in equation (73) are furnished in equations (84) through (87).

#### 4 Conclusions: what is a 'high accuracy' finite element

MacNeal, in [36,35], systematically described the limitations of isoparametric formulations, especially with plane stress/strain four node quadrilaterals. He elaborated the *locking-phenomena* due to *shear*, *Poisson's effect* and *shape distortion*. He conclusively proved the defficiency inspite of various innovative numerical integration schemes that led to stiffness matrices. In the three-dimensional isoparametric formulation:

$$\begin{cases}
 u(\eta, \xi, \zeta) \\
 v(\eta, \xi, \zeta) \\
 w(\eta, \xi, \zeta)
\end{cases} = \left[ N_1 * [\mathcal{I}], N_2 * [\mathcal{I}], \dots, N_i * [\mathcal{I}], \dots, N_8 * [\mathcal{I}] \right] \{r\}$$
(74)

$$[\mathcal{I}]$$
: identity matrix; \*: multiplication operator (75)

$$N_i(\eta, \xi, \zeta)$$
: eight scalar tri-linear functions of coordinates alone (76)

the diagonal structure of the identity matrix  $[\mathcal{I}]$  decouples the displacement components  $\{u(x,y,z),v(x,y,z),w(x,y,z)\}$ . In essence, equation (74) forces zero displacements in y and z directions when nodal displacements associated with only the x-degrees-of-freedom are applied. This is contrary to the Poisson effect that cannot be resolved by any means, e,g,, application of variational principle, nor by avoiding exact integration to yield the element stiffness matrix. In addition, the isoparametric map constrains all straight edges to remain straight after pure bending. This is true only for sections normal to the neutral axis and not in an arbitrary eight-node (convex) 'brick' element. Here, following the successes in two-dimensioal problems [19,16,17], where pointwise locking-free criteria were met, all numerical quadratures and 'variational crimes' are circumvented for the 'high accuracy' 'brick' element. For problems of mathematical physics where a (positive definite) scalar, e,g, the Eulerian

 $<sup>^{25}</sup>$  tetrahedrons in this three-dimensional counterpart

<sup>&</sup>lt;sup>26</sup> the procedure does not depend on the spatial dimensionality, hence, the computer program for the two-dimensional case, [17],has been used

or the Dirichlet energy, exists the structural mechanics principle of *virtual* work is synonymous with the Ritz formulation. Clough in [6] elegantly states to identify the finite element nodal forces as virtual work quantities that are identical with the duals of the Ritz coordinates from the variational principle. By no means, utilization of the virtual work principle could be misconstrued to be 'variational crimes.' Numerical integration on the computational square brings in the limitation of negative Jacobian for concave elements. Even for scalar problems, the extension of the isoparametric idea for polygonal finite elements with Wachspress coordinates, [55,57,54], which are founded on projective geometry [9], can be challenging. Wilson, in chapter 6 of [60], provides an exposé of numerical integration associated with improved isoparametric formulations but [13,14] completely resolve all such issues.

Point-wise equilibrium cannot be achieved when the Buckingham  $\Pi$ -theorem [4] is ignored by discarding the Poisson's ratio(s)<sup>27</sup> in test functions. Here, vide equation (56), the coupled representation of  $\{u, v, w\}$  contains the Poisson's ratio, and no minimization was necessary.

Finally, MacNeal's theorem [36] whose three-dimensional extension is obvious, conclusively supports searching for alternatives — not to mention the incapability of those methods to model concavities that are germane to large, especially plastic, deformations. The central question of integrating the energy density functions within arbitrary polyhedra persisted. The author in [15] demonstrates the use of divergence theorem to calculate such integrals analytically.

#### 4.1 The single element test and limitations of the present formulation

Extensive patch tests as in [16,17], have not been carried out here. The Single Element Test [44] deemed to be adequate with special attention to Clough's assertion [6] to accounting for the nodal loads on a patch as virtual work quantities that are consistent with the Ritz formulation [42].

Using shape functions of the lowest possible order *only* the local convergence can be addressed. Incompatibility on the interface is not addressed within this single element test technic. According to the Ritz formulation [42], nodal compatibility and equilibrium should be adequate. With the fixed number of nodal degree-of-freedom there is no scope to introducing more or higher order interpolants for the brick finite element. Thus only mesh refinement can improve accuracy.

 $<sup>^{27}</sup>$  Even Poisson himself conjectured that Poisson's ratio was 1/4 for all isotropic materials (in the  $uniconstant\ theory$ )! Assuming an atomic model, with the atoms as point particles, a centrosymmetric lattice [58] interacted by central forces that depended only on the distance. Consequently, the Cauchy relations led the tensorial elastic constants of an anisotropic solid to a Poisson's ratio of  $\frac{1}{4}$  for all materials. After Born [3] two elastic constants  $\mu,\nu$  are universally accepted for isotropy.

### 4.2 Embellishing existing finite element programs

The major motivation of this research was to improve the response prediction for real-world design engineering problems, for example, difficulties outlined in [45] may be completely eliminated with the proposed 'high accuracy' finite elements. In a generic finite element code, e.g., FEAP [48], subroutines of 'high accuracy' elements can be easily incorporated. Notably, Mathematica can automatically generate FORTRAN and C<sup>++</sup> modules, moreover, Modelica [22, 52] can seamlessly implement the 'high accuracy' formulation alongside any existing commercial finite element code.

### 4.3 Sommerfeld problems motivated 'high accuracy' finite elements

For unbounded domains, e.g., soil foundations, the damping radiation due to outgoing waves must be estimated correctly. To cover the semi-infinite domains, isoparametric finite elements are forsaken in favor of Poisson's ratio dependent influence coefficients [5,18]. The cloning algorithm [10] demands defect-free finite elements [37], in identifying the incoming and outgoing waves as two complex conjugate roots of the matrix quadratic equation [11].

#### 4.4 Incompressibility and concavity

In plasticity [34] incompressibility and the formation of concavity cannot be ignored. The 'high accuracy' formulation accepts concave, *i.e.*, *dented* brick element, and to enforce incompressibility the procedure of [16] is recommended<sup>28</sup> the element stiffness matrix matrix does *not* exist in the conventional sense<sup>29</sup>. The twenty three Rayleigh modes <sup>30</sup> are separately calculated since the right-sided limit of Poisson's ratio, as  $\nu \to \frac{1}{2}+$ , is not permitted in continuum mechanics. Taylor [50] conclusively established the existence of the left-hand limit as  $\nu \to \frac{1}{2}-$ , *vide* [49]. The author addresses the  $\nu=\frac{1}{2}$  case separately, since incompressibility is a deformation constraint rather than a parameterized statement  $\left(e.g., \nu \to \frac{1}{2}\right)$ . The limiting value of the the compressible Rayleigh

<sup>&</sup>lt;sup>28</sup> in fact the same computer program, which was written in *Mathematica*, can be used to forming the equations of nodal equilibrium and compatibility in terms of Rayleigh modal participation factors and uniform element pressures, and subsequently generating finite element solutions for any prescribed boundary value problem

 $<sup>^{29}</sup>$  the nodal displacements of an incompressible element do not constitute degrees-of-freedom an arbitrary displacement can cause volume change

<sup>&</sup>lt;sup>30</sup> with zero dilatation

modes, equations (49) and (53), will *not* converge to their incompressible counterparts, equations (70) and  $(71)^{31}$ .

### 4.5 Generalization for *linear* partial differential equations

The three *coupled* response component  $\{\varpi(x,y,z)\}$  for a linear vector field elliptic <sup>32</sup> problem<sup>33</sup> of mathematical physics is governed by:

$$\mathcal{L} = \begin{bmatrix} \mathcal{L}_{11} \ \mathcal{L}_{12} \ \mathcal{L}_{13} \\ \mathcal{L}_{21} \ \mathcal{L}_{22} \ \mathcal{L}_{23} \\ \mathcal{L}_{31} \ \mathcal{L}_{32} \ \mathcal{L}_{33} \end{bmatrix}; \qquad \mathcal{L}^T \Big[ \left[ \mathcal{C} \mathcal{L} \right] \Big\{ \varpi(x, y, z) \Big\} \Big] = \Big\{ f(x, y, z) \Big\}$$
(77)

$$\mathcal{L}_{ij}$$
: linear partial differential operators (78)

$$C$$
: is the constitutive matrix — a positive definite operator (79)

To capture a stress profile  $\{g(x, y, z)\}$  alongside the rigid body modes  $\varpi_o$ , the the locking-free Rayleigh vectors are particular solutions of:

$$\mathcal{CL}[\{\varpi_i(x,y,z)\}] = \{g(x,y,z)\}; \text{ with}$$
(80)

$$\mathcal{L}[\{\varpi_o(x,y,z)\}] = \{0\}$$
(81)

#### 4.6 Generalization for structural elements

Characteristic non-dimensional parameters (e.g., the Poisson's ratio) intrinsic in the constitutive operator  $\mathcal{C}$  in equations (77) and (79) most likely will enter into the algebraic expressions of  $\{\varpi_i(x,y,z)\}$  in equation (80) but never in  $\{\varpi_o(x,y,z)\}$  vide equation (81). Structural mechanics problems of plates and shells and via the geometric stiffness matrix stability of structural elements are governed by equation (77). Polynomial test functions provide a systematic way to build up elements with increasing nodes. Since splines are integrable in closed-form, they can substitute polynomial series as test functions. Mathematica can carry out operations with Wachspress' coordinates [56], which are rational polynomials — Padé approximants, and rational splines [53]. A minor modification of the Mathematica code in [15] will permit curved surfaces as demanded in designing shell elements in bio- and nano-technology applications. A suitable multi-domain application, e.g., Modelica, will facilitate accurate and efficient 'high accuracy' finite element formulation.

<sup>&</sup>lt;sup>31</sup> due to the lack of uniform convergence criterion for the Poisson's ratio around  $\frac{1}{2}$ . This is the reason why an independent computation was undertaken for the incompressible elements by enforcing zero dilatation in equation (67)

 $<sup>^{32}</sup>$  Courant captured the essence to be problems of potentials in static equilibrium and steady vibrations [8]

<sup>&</sup>lt;sup>33</sup> for a scalar response field  $\varpi(x,y,z),\,\mathcal{L}\,$  is a column matrix

# **Appendix**

This section is provided to aiding code verification during development and incorporating this 'high accuracy' element into any existing finite element computer program.

Only the *isotropic* homogeneous properties with the Poisson's ratio  $\nu$  and the shear modulus  $\mu=1$  are used. General anisotropic case will not require any more computer programing to carry out steps of equations (42) through (71). Specifically, the numerical examples are carried out with  $\nu=\frac{1}{4}$ .

In the interest of saving pages the smaller fonts are selected in some tables. The pages, especially for **Fig.** 5 should be viewed as PDF with magnification suitable for reading. However, an OCR<sup>34</sup> tool can generate an ASCII file<sup>35</sup>, which should be suitable for inputting in *Mathematica* or a **FORTRAN** program segment, from a printed page.

Efficient codes from Mathematica can be effortlessly generated by Math-Code [23] in FORTRAN and  $C^{++}$ , for example.

## Rayleigh modes

The application development steps are as follows<sup>36</sup>:

- 1. Isotropic case: problem independent 'brick' element data with  $\mu=1$ : The physical coordinates are named x,y,z, and the Poisson's ratio  $\nu$ .
  - (a) Compressible,  $\nu \neq \frac{1}{2}$ , Rayleigh modes:

The three components of the conventional shear-locking free modes are in equations (41) and (49).

If the shear force loading on a cantilever beam is selected as the case for which *exact analytical* results are to be reproduced in a *patch test*, then the modes in equation (53) can be used. For other cases the cubic polynomial terms, starting with equation (50) must be determined.

- 2. Anisotropic solid: compressible and incompressible cases:

  The 21 Poisson's ratios in 6 by 6 Comparison (30)
  - The 21 Poisson's ratios in 6 by 6  $C_{ijkl}$ , vide equation (30), is to be used. The computer program for the counterpart of equations (53) and (49), equation (30), equations (70) and (71) are to be written by the developer.
- 3. Element geometry and *closed-form* integration A computer program to execute the steps described in [15] need to be used. Since the *closed-form* indefinite integrals of quartic terms are easy to write even in FORTRAN, this step can be generated by *MathCode* [23].

<sup>&</sup>lt;sup>34</sup> Optical Character Recognizing

 $<sup>^{35}</sup>$  e.g., the author uses: http://www.irislink.com

<sup>&</sup>lt;sup>36</sup> Complete symbolic code: In the interest of further research and development the author has prepared a paper <sup>37</sup> for 'The *Mathematica* Journal' that is currently under review

The Rayleigh modes

mode II	0	0	λ.
mode II 11	O	0	λţ
-9 made H	O	0	Ŋ
6-п гриш	0	0	<del></del>
mode #8	0	×ŧ	0
mode II-7	ο	₩	0
т)de II-6	0	٨	0
H-2 mode H-3 mode H-4 mode H-5 mode H-6 mode H-7 mode H-8 mode H-0	0	n-mi	0
mode II4	ч	0	0
mode II—3		0	0
mode II-2	÷	0	0
displace— mode ≡−1 mode	end	0	0
displace- ments	a	à	74

Fig. 2 Modes 1 through 12: Simplex modes

mode #-18	2.1.2	2 K C	- x - x - x - x - x - x - x - x - x - x
mode #-17	2(v-1)xz	0	$z^2+z^{\chi}\left(1-\frac{\lambda}{r}\right)$
mode #1-16	2x.y	z - x <sup>2</sup>	z ś z
mede ti-15	$\frac{2(v-1)xy}{v}$	$\left(\frac{1}{y} - 1\right)x^2 + y^2$	0
mode #1-14	5 - 43	2xy	2 x 2
mode #-13	$x^2 = \frac{(\nu - 1)y^2}{\nu}$	$\frac{2(\nu-1)xy}{\nu}$	0
displacements	ъ	<b>;</b> -	<b>‡</b>

Fig. 3 Modes 13 through 18: shear-free flexure modes

mode #-24	24x <del>-</del> -	0	$-\frac{1}{12}y\left(y^2-3z^2\right)$
mode #-23	0	$-\frac{1}{2}xyz$	$-\frac{1}{12}x(x^2-3z^2)$
mode #-22	0	$-\frac{1}{12}x(x^2 - 3y^2)$	$-\frac{1}{2}xyz$
mode #-21	$-\frac{1}{2}xyz$	$-\frac{1}{12}z(z^2 - 3y^2)$	0
mode #-29	$-\frac{1}{12}x\left(x^2+3x^2\right)$	$-\frac{1}{2}xyz$	0
mode #1-19	$-\frac{1}{12}x(y^2-3x^2)$	0	$-\frac{1}{2}xyz$
displacements	я	7-	ž

Fig. 4 Modes 19 through 24: shear-free flexure modes

## Modal to nodal transformation matrix: [G]

These matrices must be read as a PDF with needful magnification. These figures are provided for direct input to an OCR application.

The numerical value of [G] is included in the main part, in equations (84) through (87).

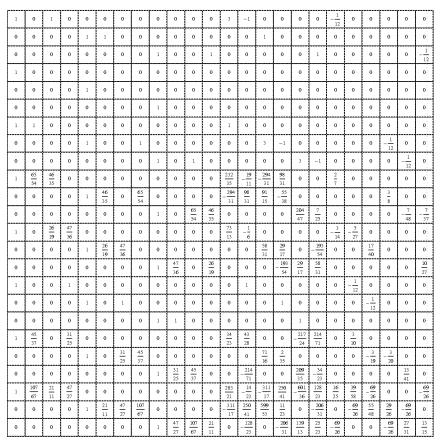


Fig. 5 G-Matrix

The calculated determinant of [G] is 97.3838. Here is just the evidence that the  $[G]^{-1}$  matrix exists: In shape function and stiffness matrix calcula-

																							r
0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
22 19	4 19	19 18	- 207 83	$-\frac{46}{27}$	$-\frac{26}{21}$	25 21	23 18	16 19	$-\frac{4}{41}$	$-\frac{1}{18}$	- 25 36	- 25 33	$-\frac{5}{42}$	$-\frac{1}{8}$	17	25 18	6 35	$-\frac{2}{31}$	$-\frac{72}{71}$	$-\frac{1}{38}$	1 276	75	1: 67
_90	173	2374	533	692	791	_97	1292	509	55	97	510	407	39	41	_37	395	329	61	351	<u>19</u>	_ 1	_ 1	- 1
7	32	113 2053	30 1103	19 1793	30	23 186	47 1006	28	26 291	48 69	37 1452	50 889	19 50	19 86	3 546	14	74 128	43	17 157	14 58	31	33	32 2:
71	74 11	77	40	39	$-\frac{733}{22}$	35	29	482 21	109	- 28	83	74	19	31	29	894 25	23	- <del>59</del> 33	- 6	- 35 35	23	17	31
0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
7	19 18	$\frac{17}{60}$	$-\frac{14}{57}$	$-\frac{68}{31}$	$-\frac{17}{47}$	1 77	28 29	6 19	$\frac{1}{68}$	$-\frac{1}{51}$	$-\frac{9}{38}$	$-\frac{5}{32}$	$-\frac{1}{40}$	$\frac{1}{286}$	$\frac{7}{30}$	111	$\frac{1}{39}$	- 1	$-\frac{7}{9}$	$-\frac{1}{25}$	1 81	1 493	1: 85:
41 556	185	705	1069	31 876	844	89	1632	169	53	48	747	298		16	211	112 291	97	24 46	318	31	1	493	
- 53	- 48	-43	75	37	41	-30	89	12	38	37	70	47	<u>69</u> 43	9	- 22	$-\frac{291}{16}$	-27	46 43	23	29	$-\frac{1}{122}$	-1/42	$-\frac{1}{31}$
129	73	477	383	680	553	62	543	556	332	42	349	165	$-\frac{34}{19}$	52	217	321	$\frac{75}{19}$	26	452	37	1	1	2: 37:
11	17	26	24	21	24	19	22	35	221	29	29	23	19	27	20	13	19	21	25	30	32	34	37.
0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$-\frac{26}{17}$	-31 54	$-\frac{53}{32}$	89 41	152 41	7 - 6	$-\frac{41}{83}$	$-\frac{41}{15}$	-43 30	5 26	4 23	34	35 38	7 29	5 22	-28 19	$-\frac{26}{9}$	34 67	7 34	31 15	8 49	1 109	1 136	$-\frac{1}{313}$
329	735	2653	1375	2609	833	209	781	851	47	59	324	152	91	182	499	3851	112	55	897	97	109	136	2
- 17	113	102	52	58	26	37	23	39	18	25	19	13	36	67	- 28	110	19	26	35	49	- 25	33	- <del>2</del> 33
203	136	164	659	1455	294	127	217	1307	_31	_43	196	259	_39	_36	196	647	163	_77	923	_79	1	1	1
18	37	9	43	56	13	39	11	86	20	31	17	38	28	23	19	32	48	64	62	69	23	35	27:
$-\frac{22}{19}$	$-\frac{4}{19}$	$-\frac{19}{18}$	79	46 27	26 21	$-\frac{4}{21}$	$-\frac{23}{18}$	$-\frac{16}{19}$	41	1 18	25 36	25 33	5 42	1 8	$-\frac{17}{16}$	$-\frac{25}{18}$	$-\frac{6}{35}$	31	72 71	38	$-\frac{1}{276}$	$-\frac{1}{75}$	$-\frac{1}{69}$
297	49	303	905	1070	296	41	331	353	35	50	199	259	37	43	341	533	41	37	452	37	1	1	1
25	12	19	57	39	15	13	16	26	22	33	19	36	23	26	32	25	13	35	29	43	35	18	24
$-\frac{7}{41}$	$-\frac{1}{18}$	$-\frac{19}{67}$	13 53	37 31	$\frac{17}{47}$	$-\frac{1}{77}$	$-\frac{28}{29}$	$-\frac{6}{19}$	$-\frac{1}{68}$	1 51	9 38	5 32	1 40	$-\frac{1}{286}$	$-\frac{7}{30}$	$-\frac{117}{118}$	$-\frac{1}{39}$	1 24	7 9	$\frac{1}{25}$	$-\frac{1}{81}$	$-\frac{1}{493}$	$-\frac{1}{85}$
581	254	367	246	1613	436	12	483	109	23	7	165	121	36	33	40	1438	76	44	513	9	1	1	1:
93	109	37	29	109	35	7	44	13	29	9	26	32	37	-28	7	125	33	73	62	14	- 57	79	55
26	27	53	_89	152	_13	39	41	43	_ 5	_ 4	_ 34	_ 35	_ 7	_ 5	28	26	33	_ 7	_ 31	_ 9	_ 1	_ 1	1:
17	47	32	41	41	- 6	79	15	30	26	23	33	38	29	22	19	9	67	34	15	55	109	136	313
$-\frac{111}{16}$	$-\frac{86}{41}$	$-\frac{496}{47}$	151	268 17	331 25	$-\frac{72}{35}$	$-\frac{109}{9}$	$-\frac{653}{70}$	80 81	11	262 37	88 21	35 47	35	$-\frac{235}{37}$	$-\frac{356}{29}$	$-\frac{68}{33}$	7 9	375 41	7 10	$-\frac{1}{20}$	$-\frac{1}{54}$	$-\frac{1}{40}$
1504	703	395	2743	4228	5340	530	7405	599	373	250	1618	1081	461	455	2977	5177	563	213	3111	269	6	4	16
23	30	4	31	25	43	27	58	7	38	31	25	28	48	43	51	39	26	32	32	39	35	25	39
4021	1649	3729	4750	7119	5054	959	3697	3038	375	490	1601	1619	_417	148	3012	2946	781	_ 193	2285	_ 316	7	1	5
42	52	29	37	32	31	37	22	27	29	43	19	28	34	11	35	17	27	22	18	33	37	43	17.
$-\frac{1171}{23}$	$-\frac{841}{46}$	_ <u>2643</u> 34	1177	2963	1658	$-\frac{556}{37}$	$-\frac{2031}{23}$	$-\frac{1846}{27}$	231 32	137	1349 26	737	121	310 43	_ 1303 28	$-\frac{2121}{23}$	$-\frac{356}{23}$	118	533	41 8	$-\frac{11}{36}$	$-\frac{3}{23}$	$-\frac{1}{6}$
2564	496	4091	2021	9049	3533	634	4825	3 <b>69</b> 7	496	227	1732	913	543	191	213	1961	697	117	1166	107	4	7	1
43	23	45	25	58	31	35	41	47	- 55	31	29	26	61	- 21	4	16	37	19	13	19	29	57	83
3193	709	7549	5541	15419	1117	936	1801	3419	541	226	2477	2331	203	563	2453	4051	876	311	4689	189	_ 7	_2	_ 13
34	22	60	44	71	7	37	11	31	43	19	30	41	16	43	29	24	31	36	38	20	33	5	41
1771	719	73	$-\frac{2897}{41}$	4659 38	15176	331	4387	2113	$-\frac{175}{26}$	$-\frac{227}{35}$	$-\frac{1975}{37}$	1229	$-\frac{132}{17}$	- <del>661</del> 89	26	2097	433	$-\frac{117}{23}$	2687 39	$-\frac{949}{178}$	$-\frac{2}{29}$	17	5 32
34	38	L 73	41	38	151	23	48	30	26	35	51	39	17	89	26	22	27	23	39	17/8	29	17	32

Fig. 6 G-inverse matrix

tions, vide equations (89) and (27) respectively,  $[G]^{-1}$  is encountered. The LU decomposition<sup>38</sup> (with partial pivoting) has been used in stress calculations. equation (15):  $\phi_j(x,y,z) = \lambda_{ji} \, \psi_i(x,y,z)$  here  $[\lambda]$  is related to [G]. Here,  $\Psi$  is a 3 by 24 matrix, for each nodal coordinate  $c_m$  in equation (16), the column  $[\Psi(c_m)]$  is then 24 by 24 and by definition from equation (22):

$$\{r\} = [G]\{q\} \text{ also } \{r\} = [\boldsymbol{\Psi}(c_m)]\{q\}; \quad \therefore [\boldsymbol{\Psi}(c_m)] = [G] \text{ but}$$
 (82)

$$[G][\lambda] = [I]$$
: the identity matrix  $: \boldsymbol{\Phi} = \boldsymbol{\Psi} \odot [\lambda] : \boldsymbol{\Phi}^T = [G^T]^{-1} \boldsymbol{\Psi}^T$  (83)

Here the dot product is explicitly indicated with  $\odot$ .

 $<sup>^{38}</sup>$  in  $\it Mathematica$  the condition number is also returned alongside the L and U and the the permutation matrix

Up to a round-off error of  $10^{-3}$  the submatrices in equation (73) are  $^{39}$ :

 $<sup>^{39}</sup>$  this page should be read as PDF with suitable magnification

## Element shape functions

The element shape functions are displacement vectors that are governed by tensor transformation rules. Here, in this 'high accuracy' formulation, shape functions:

$$\phi_{i}(x, y, z) = \begin{cases} \phi_{i}^{(x)}(x, y, z) \\ \phi_{i}^{(y)}(x, y, z) \\ \phi_{i}^{(z)}(x, y, z) \end{cases} : \text{are housed in } \boldsymbol{\varPhi} = \left\{ \boldsymbol{\phi}_{1}, \boldsymbol{\phi}_{2}, \ldots \right\}$$
(88)

and  $\Phi$  is calculated from the corresponding Rayleigh mode collection  $\Psi$ :

$$\boldsymbol{\Psi} = \left\{ \boldsymbol{\psi}_1, \boldsymbol{\psi}_2, \ldots \right\}; \quad \boldsymbol{\Phi}^T = \left( [G]^T \right)^{-1} \boldsymbol{\Psi}^T \text{ from equation (83)}$$
 (89)

using matrix-tensor operators in *Mathematica*. The tabulated expressions in **Figs.** 7 through 12 should be viewed as PDF with sufficient magnification<sup>40</sup>.

## A Mathematica function: Calculation for a given Poisson's ratio $\nu$

To aid symbolic computation the following *Mathematica* 'one-liner' function is included here. The Rayleigh mode shapes from **Figs.** 2 through 4 are to evaluated for the numerical  $\nu$  and stored in a variable mode. The instruction, along with the *Mathematica* code, to generate the shape functions follows:

/@ nodes]. modes]

IdentityMatrix[Length[nodes // Flatten]]

 $<sup>^{40}\,</sup>$  The author uses 200% in the Acrobat Pro reader

The vector form of the Kronecker condition of equation (17) can be tested to yield **True** with the above Mathematica function. In the large scale computation a  $\mathbf{C}^{++}$  program generated the factors of the the inverse for [G] in **Fig.** 5, and used in many places, such as generating the element stiffness matrix, the strain calculations.

	7.377.9 (v? - 3.v²). 1.40002 (v 3.v²) + 4.0.10002 (v 3.v²) + 4.0.10002 (v 3.v²) + 4.0.10002 (v 3.v²) + 4.0.10002 (v 3.v²) + 3.00002 (v v²) + 1.0.00002 (v v²) + 1.0.0002 (v v²) + 1.0	6.73663 $x(x^2 - 3y^2) + 6.23603 (x^2 + y^2) + 8.48289 (x^2 - x^2) + 1.22279 xyz + 2.27847 xy - 15.9547 xy - 15.9547 xy - 10.2527 x - 0.246002 y + 14.252.5 z$	$\begin{array}{c} 0.43784 \left( v^2 - x^2 \right) - \\ 10.4944  x \left( x^2 - 3  x^2 \right) - \\ 2.17034 \left( 3  x^2 + x^2 \right) - \\ 3.82299  y  y  z - 31.7527  x  z + \\ 26.4432  x + 5.8825  y \left( x^2 - 3  x^2 \right) + \\ 10.9638  y  z - 13.3061  y + 2.17034  z \end{array}$
theyer. 3	8.22011.5(5"-14") 12.5001(4") 10.1554(5"-14") 1.43009.00 21.5007.00-21.0001.02+ 10.5004.00-115.44"8(2"-2") 11.0008.00-00.00033	$-7.57583 \times (t^2 - 3y^2) - \\ 0.284072 (3x^2 + y^2) + \\ 9.91812 (x^2 - x^2) - 1.88431 xy - \\ 25.5594 xy + 18.3462 x + \\ 6.479 z (x^2 - 3y^2) + 21.107 yz + \\ 0.284072 y - 16.396 z$	$-10.5596 (y^2 - x^2) + \\ 10.3847 x (x^2 - 3z^2) + \\ 1.65715 (3x^2 + z^2) + \\ 3.91958 x y z + 31.8956 x z - \\ 26.098 x - 6.6678 y (x^2 - 3z^2) - \\ 19.8962 y z - 18.2214 y - 1.63715 z$
Eddnrag	1.692739 (p <sup>2</sup> - 3 x <sup>2</sup> ) - 2.6269 (c <sup>2</sup> - 3 x <sup>2</sup> ) - 0.313104 x x + 4.69544 x x + 4.69544 x x + 4.69544 x x + 5.69573 x + 2.626246 (c <sup>2</sup> - c <sup>2</sup> ) - 3.4096 x x + 6.73964 x x - 5.73964 x x + 6.73964 x x x + 6.73964 x x x x x x x x x x x x x x x x x x x	-1.7971. $x(x^2 - 3y^2)$ + 0.554876 $(3x^2 + y^2)$ + 2.33126 $(x^2 - x^2)$ + 0.257113. $xy - 6.90643.xy + 4.29482.x$ + 1.5282. $x(x^2 - 3y^2)$ + 4.1959. $yz + 1.05549.y - 3.85487.z$	$-2.00795 (y^2 - x^2) + \\ 2.68554 x (x^2 - 3z^2) + \\ 0.573472 (x^2 + z^2) + \\ 0.932888 x y z + 8.16792 x z - \\ 6.5039 x - 1.5768 y (x^2 - 3z^2) - \\ 4.6623 1 y z + 3.673 1 S y - 0.573472 z$
Tdefinition	**A4034.)(y************************************	-4.98896 $x(x^2 - 3y^2)$ - 0.170219 $(3x^2 + y^2)$ + 6.248.29 $(x^2 - x^2) - 0.91357xyz$ - 16.8155 $xy + 11.7279x +$ 4.24.276 $z(x^2 - 3y^2) +$ 13.8753 $yz + 0.170219y - 10.491z$	$-6.93764 (y^2 - x^2) + \\ 7.82391 x (x^2 - 3 x^2) + \\ 1.55946 (3 x^2 + x^2) + \\ 2.823 x yx + 23.7694 x - \\ 19.332 x + 4.3468 x (x^2 - 3 x^2) - \\ 12.4966 yz + 11.2783 y - 1.52949 z$
and the constant of the life of of the li	2	٤	ž

Fig. 7 Shape functions # 1 to 4

<b>y</b>	10.630; 5 (5" - 3") 10.003; 2 (5" - 3") 10.003; 2 (5" - 3") - 1.5461; 2.5 + 27.503; 3 - 1.005; 2 + 1.7784; 3 - 20.686c(5" - 5") 77.400; 3 - 31.5003;	9.80663 x(x²3 y²) 0.965798 (3 x² + y²) 10.9779 (x² - x²) -0.13899 x yz 33.7015 x y +24.6822 x +- 7.3588 x(x² - 5 y²) +2.2229 yz +- 0.965798 y 18.3366 z	$-12.11z (y^2 - x^2) + \\ 13.6439 x (x^2 - 3^2) + \\ 2.7339.5(3^2 + x^2) + \\ 4.96.26 x y z + 41.373.2 x z - \\ 33.9372 x - 7.61638 y (y^2 - 3^2) - \\ 21.9358 y z + 19.7278 y - 273395 z$
17 - 200 H	1 (6387 ) (5" - 3"") - (0.1599 (1" - 3"") + (0.3187 ) (3.5) (3.2693 x) - 7.08139 x + (1.8269 x) - 3.1844 (2" - 2") 4.23815 x - 8.3444 (2" - 2")	-1.50922 $x(x^2 - 3y^2)$ - 0.012958 $(3x^2 + y^2)$ + 1.7146 $(x^2 - x^2)$ - 0.310599 $xyz$ - 5.16477 $xy$ + 3.26299 $x$ + 1.35222 $x(x^2 - 3y^2)$ + 4.11565 $yz$ + 0.0129588 $y$ - 2.96682 $z$	$-2.05683 (y^2 - x^2) + \\ 2.10813 \kappa (x^2 - 3 z^2) + \\ 0.494624 (3 x^2 + x^2) + \\ 0.77474 x y z + 6.3623 x z - \\ 5.64883 x - 1.19924 y (y^2 - 3 z^2) - \\ 3.42919 y z + 3.25607 y - 0.494624 z$
0 - white	-10.3389 y (y² - 3 x²) + (13.886 (x² - 3 x²) + 1.48050 x yz - 27.0598 x y + 29.481 x z - (13.888 x y + 27.77 (x² - x²) + (2.888 x y + 27.77 (x² - x²) +	9 49759 x (x² - 3 y²) + 0.362578 (3 x² + y²) - 12.4572 (x² - x²) + 1.72694 x y + 3.2634 x y - 23.5423 x - 8.12743 x (x² - 3 y²) - 26.4806 y z - 0.362378 y + 20.5846 z	13.2403 $(y^2 - x^2)$ 13.2977 $x(x^2 - 3z^2)$ 2.16673 $(3x^2 + z^2) - 5.10877 xyz$ 39.4634 $xz + 2.2032x + 8.37521 x(y^2 - 3z^2) + 24.9144 yz$ 22.6125 $y + 1.16673z + 1$ .
EAddress	-1400349 (y <sup>2</sup> - 3 x <sup>2</sup> ) - 12077 (x <sup>2</sup> - 3 x <sup>2</sup> ) - 146.29) (x <sup>2</sup> - 3 x <sup>2</sup> ) + 2.0427 x y z - 36.386 x y - 23.772 x z - 1.70273 x + 72.438 (x <sup>2</sup> - y <sup>2</sup> ) + 36.4511 y - 45.9749 z	13.0014 $x(x^2 - 3y^2) + 1.09372(3x^2 + y^2) + 4.0937(2^2 - x^2) + 2.6062 xyz + 4.6583 xy - 32.3812 x - 9.8766 \xi(x^2 - 3y^2) - 31.531 yz - 2.19372 y + 23.6733 z + 1.$	$15.7655 (y^2 - x^2) - \\ 18.0973.x (x^2 - 3x^2) - \\ 3.70680 (3.24 + x^2) - \\ 6.53186.x yz - 54.8717.xz + \\ 44.9837.x + 10.2171.y (x^2 - 3x^2) + \\ 29.5973.yz - 25.9823.y + 3.70696.z$
Superior of diggs	9	۵	ž

Fig. 8 Shape functions # 5 to 8

a ta para construction of the construction of	*398277(0* - 3 x*) + (**causes (** - 3 x*) + (**causes	$\begin{aligned} & + 397608 \; x(x^2 - 3y^2) + \\ & - 0.236718 \; (3x^2 + y^2) + \\ & - 6.34682 \; (2^2 - x^2 + 9.64820) \; x_2 \; x + \\ & - 16.7811 \; x_2 + 12.0399 \; x + \\ & + 3.2334 \; x(x^2 - 3y^2) + 14.1626 \; y \; x + \\ & - 0.236718 \; y + 10.6706 \; x \end{aligned}$	$7.08128 (y^2 - x^2) - (8.8957 \times (x^2 - 3 x^2) - 1.03001 (3.x^2 + x^2) - 1.03001 (3.x^2 + x^2) - 1.0301 (3.x^2 + x^2) + 1.0319 x + 4.4812 y (x^2 - 3 x^2) + 1.0319 x + 1.13294 y + 1.03001 z$
11-eday.	0.67207.5(5"-3")+ 0.0050535(4"-3")+ 0.005052(4"-3")+0.22013.7; 1.67022(3)+2.72066(2)- 0.005055(4"-3")+0.22013.7; 2.0.057(4"-3")+0.22013.2	$0.616242 \times (x^2 - 3 y^2) + \\ 0.019595 (x^2 + y^2) - \\ 0.778625 (x^2 - x^2) - \\ 0.249239 \times y z + 2.697 \times y - \\ 1.44765 \times -0.518899 z (z^2 - 3 y^2) - \\ 1.69351 y x - 0.019595 y + 1.29755 z$	$0.846754 (y^3 - x^2) - \\ 0.991229 x (x^2 - 3 x^2) - \\ 0.174102 (3x^2 + x^2) - \\ 0.57095 x y x - 3.02912 x z + \\ 2.36036 x + 0.540498 y (x^2 - 3 x^2) + \\ 1.55725 y z - 1.38725 y + 0.174192 z$
01-adirec	-0.838042.515* - 3.2") + (2.0.02.215* - 3.2") + (2.0.02.215* - 3.2") + (2.0.02.212.215* - 2.0.02.212.215* - 2.0.02.212* - 2.0.02* - 2.	0.7515 $\kappa(x^2 - 3y^2)$ - 0.145034 $(3x^2 + 3y^2)$ - 0.793726 $(x^2 - 3x^2)$ + 0.17431 $x x y z$ + 2.59564 $xy$ - 1.5017 $x$ - 0.601552 $x(x^2 - 3x^2)$ - 1.97704 $y z$ + 0.0145084 $y$ + 1.39529 $z$	$\begin{array}{c} 0.988522 \left( {{{\mathbf{v}}^{2}} - {{\mathbf{x}}^{2}}} \right) - \\ 1.04892.2 \left( {{{\mathbf{x}}^{2}} - 3{{\mathbf{z}}^{2}}} \right) - \\ 0.19155 \left( {3.{{\mathbf{x}}^{2}} + {{\mathbf{z}}^{2}}} \right) - 0.399254.3 {{\mathbf{y}}^{2}} - \\ 3.18276.3 {{\mathbf{x}}^{2}} + {{\mathbf{z}}^{2}} \right) - 0.399254.3 {{\mathbf{y}}^{2}} - \\ 0.5608385 \left( {{\mathbf{y}}^{2}} - 3{{\mathbf{z}}^{2}} \right) + \\ 1.38745 {{\mathbf{y}}^{2}} - 1.54641 {{\mathbf{y}}^{2}} + 0.19155 {{\mathbf{z}}^{2}} \end{array}$
genderg	7.130(8.1)************************************	$-6.53504 \times (t^2 - 3y^2) - \\ 0.315258 (3x^2 + y^2) + \\ 8.38233 (2^2 - x^2) - 1.11461 \times x^2 - \\ 2.20954 \times y + 15.886 \times x + \\ 5.69749 \xi (x^2 - 3y^2) + 18.6534 y z + \\ 0.315258 y - 14.0827 z$	$-9.35919 (y^2 - x^2) + \\ 9.1908 x (x^2 - 3x^2) + \\ 1.43343 (3.x^2 + x^2) + \\ 3.45567 x y x + 271555 x x - \\ 21.8203 x - 5.86944 y (x^2 - 3x^2) - \\ 16.7705 y x + 15.1086 y - 1.43343 z$
Services and Agents	3		ż

**Fig. 9** Shape functions # 9 to 12

Major-15	186423 (5" - 3 -7") 10055(1" - 3 -7") 117143 (5" - 3 -7") - 0.231468 + 2 + 12823 (7" - 3 -7") 100526 + 10.4271 (2" -8") - 12338 y + 18328 g z	$-4.43756.x(x^2 - 3.y^2) - \\ 0.23260.9(3.x^2 + y^2) - \\ 5.71573(z^2 - x^2) - 0.735756.xy.z - \\ 14.932.xy + 10.8491.x + \\ 3.87792.x(z^2 - 5.y^2) + 12.7032.yz + \\ 0.23260.9y - 0.59164.z.$	$-6.3516i (y^3 - x^2) + \\ 7.04883 x (x^2 - 3 x^2) + \\ 1.47346 (3 x^2 + x^2) + 2.5612 x y x + \\ 21.3141 x z - 17.8268 x - \\ 3.96482 y (y^2 - 3 x^2) - \\ 11.4275 y z + 10.3164 y - 1.47346 z$
e - and	-0.82(840.p.(y* - 3.**)+ 0.12(12); (y* - 3.**)+ 1.12(12); (y* - 3.**)+ 0.103(80.p.p 2.10477.p.+ 0.103(80.p.p 0.103(8); y* + 1.85(4.p.p 2.12(6); y* - 2.73(4.p.p 2.12(6); y* - 2.73(4.p.p	0.757956 $x(x^2 - 3y^2) -$ 0.00348796 $(3x^2 + y^2) -$ 1.1785 $(z^2 - x^2) +$ 0.1811.65 $xyz +$ 2.55167 $xy -$ 1.92534 $x -$ 0.60074 $x(z^2 - 3y^2) -$ 1.89148 $yz +$ 0.00348796 $y +$ 1.77864 $z$	$\begin{array}{c} 0.945739\left( y^{3}-x^{2}\right) -\\ 1.09103 \times \left( x^{2}-3z^{2}\right) -\\ 0.22629\left( x^{2}+z^{2}\right) -\\ 0.743561 \times yz -3.3082 \times z +\\ 2.71566 \times v.0.618943 y\left( y^{2}-3z^{2}\right) +\\ 2.353 yz -1.56468 y +0.220299 z \end{array}$
Thursday.	-3.800.206.5(\$** -3.4") + 6.10.203.5(\$** -3.4") + 1.0.203.5(\$** -3.4") + 0.10.006.2.; 2.0.002.3.3 + 2.0.10.05.5.; 0.1139.5.4 + 1.40.00.2(\$** -4") + 2.0.0134.4 - 2.6.110.5.;	$\begin{array}{c} 6.741774x(x^2-3y^2)+\\ 0.0235612(3x^2+y^2)-\\ 0.97977(x^2-x^2)-0.2111.05xyz+\\ 2.50454xy-1.78918x-\\ 0.680.79z(x^2-3y^2)-\\ 1.49115yz-0.0245642y+1.604z \end{array}$	0.743575 $(v^2 - x^2) - 1.05722 \times (x^2 - 3x^2) - 2.4165 (3.x^2 + x^2) - 0.350955 \times y - 3.21893 \times z + 2.55774 \times 4.06471199 (x^2 - 3 x^2) + 1.94743 y x - 1.39269 y + 0.24165 x$
C) - market	321775 (\$^2 - 3 *^2) + (** * * * * * * * * * * * * * * * * *	2.9263.x $(x^2 - 3y^2) +$ 0.155442 $(3x^2 + y^2) +$ 3.78651 $(x^2 - x^2) +$ 0.485541 x y z + 9.84719 x y - 7.17397 x - 2.55899 x $(x^2 - 3y^2) -$ 8.38097 x z - 0.155442 y + 6.3395 z	$4.19048 (y^2 - x^2) - 4.73188 x (x^2 - 3x^2) - 0.021258 (x^2 + x^2) - 1.74517 x y x - 14.3808 x z + 1.6921 x + 2.02399 y (x^2 - 3x^2) + 7.56102 y z - 6.81647 y + 0.921238 z$
sus company design	2	2	ž

**Fig. 10** Shape functions # 13 to 16

j		$ \frac{1}{2} 1$	\$ 20,000 (\$ 4 - \$ 2) - \$ 10,000 (\$ 4 - \$ 2) - \$ 20,000 (\$ 4 - \$ 2) \$ 20,000 (\$ 2 - \$ 2) \$ 20,
	Conserve of the conserve of th	C 48877   (** * * *) * + C 190 100 10 (** * * *) * (** * * *) * (** * * *) * (** * *) * (** * *) * (** *) * (** * *) * (** *) * (	$\begin{array}{cccccccccccccccccccccccccccccccccccc$
	•	,	j

**Fig. 11** Shape functions # 17 to 20

C-appen	CD4(871) (\$\frac{1}{2} - 3 \cdot 2\)  (D146488 (\$\frac{1}{2} - 3 \cdot 2\)  (D214052 (\$\frac{1}{2} - 3 \cdot 2\)  (D20302(4) 5 \$\frac{1}{2} - 4 \cdot 3\cdot 2\)  (D20302(4) 5 \$\frac{1}{2} - 4 \cdot 3\cdot 2\cdot 3\cdot 2\cdot 2\cdot 3\cdot 2\cdot 2\cdot 3\cdot 2\cdot	$-0.000996071 x (x^2 - 3 y^2) - 0.0116638 (3 x^2 + y^2) + 0.011996 (x^2 - x^2) + 0.0119953 x y z + 0.0776314 x y + 0.6259866 x + 0.0138062 x (x^2 - 3 x^2) + 0.0138062 x (x^2 - 3 x^2) + 0.0138067 x x + 0.0136628 x - 0.0318038 z$	$\begin{aligned} &-\phi  D2 \Delta 4033 \left(y^2 - x^2\right) + \\ &0.0264114  x \left(k^2 - 3  z^2\right) + \\ &0.00319472 \left(3  x^2 + z^2\right) + \\ &0.0319472 \left(3  x^2 + z^2\right) + \\ &0.0314316  x  z - 0.0003988  x - \\ &0.0129665  y \left(y^2 - 3  z^2\right) - 0.055992  y  z + \\ &0.0373718  y - 0.00319472  z \end{aligned}$
E. mary	0.01226(2.) (\$\frac{3}{2}\$ \cdot \frac{3}{2} \cd	$-0.0103153 \times (x^2 - 3 y^2) - \\ 0.0020281 (3 x^2 + y^2) + \\ 0.0126471 (z^2 - x^2) + 0.188266 x y z - \\ 0.0337455 x y + 0.0290473 x + \\ 0.0030281 y - 0.0234603 z + \\ 0.0020281 y - 0.0234603 z - \\ 0.0020281 y - 0.0234$	$-0.0182713 \left(y^2 - x^2\right) + \\ 0.0232741 x \left(x^2 - 3x^2\right) - \\ 0.00716873 x y x + \\ 0.0176873 x y x + \\ 0.012925 x x - 0.0296108 x - \\ 0.0028090 x \left(x - 3x^2\right) - \\ 0.0281306 y + 0.00731159 z$
T and	0.014.2977.y(y" = x")- 0.005.0149(x" = 3x")+ 0.1877.888.s(x" = 3x")+ 0.1877.88.s(x = 0.00381.98.x- 0.0284777(x" = x")+ 0.0218707.y+0.0441885.z	-0.011305 $x(z^2 - 3y^2)$ - 0.0123455 $(5x^2 + y^2)$ - 0.0173822 $(z^2 - x^2) + 0.0120277 x y z$ - 0.0355142 $(t^2 - 3x^2) + 0.0120277 x y z$ - 0.0355142 $(t^2 - 3x^2) + 0.0255142 (t^2 - 3x^2) + 0.0255145 (t^2 - 3x^2) + 0.0255145 (t^2 - 3x^2) + 0.022465 (t^2 - 3x^2) + 0.0222465 (t^2 - 3x^2) + 0.022465 (t^2 - 3x^2) + 0.02466 (t^2 - 3x^2) + 0.0246 (t^2$	$-0.049476(x^2 - x^2) + \\ 0.017734 \times (x^2 - 3x^2) - \\ 0.00170326(3x - 2x^2) + \\ 0.015363 x x x + \\ 0.055053 x x - 0.0377912 x + \\ 0.00577991 y (x^2 - 2x^2) + \\ 0.0347641 y x + \\ 0.0436676 y + 0.00912326 x$
E para de la composición della	0.874828 (\$7 - 3 x^2) + 0.028445 (\$7 - 3 x^2) + 0.98445 (\$2 - 3 x^2) + 0.4056 (\$2 + 2 x^2) + 0.4056 (\$2 - x^2) + 0.406 (\$2 - x^2) + 0.406 (\$2 - x^2) + 0.406 (\$2	0.469529 $x(x^2 - 3y^2) + 0.040491(3x^2 + y^2) - 1.6041869(x^2 - x^2) + 0.0652726 xyz + 1.80265 xy - 1.22255 x - 0.427048 z(x^2 - 3y^2) - 1.40027 yz - 0.040491 y + 1.06892 z$	0.700133 $(x^2 - x^2)$ = 0.700133 $(x^2 - x^2)$ = 0.16424 $(3.x^2 + x^2)$ = 0.65293 $xyz$ = 1.71955 $xz + 1.98035 x + 0.44422 7 y(y^2 - 3 z^2) + 1.28374 yz = 1.14446 y + 0.16424 z$
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**Fig. 12** Shape functions # 21 to 24

## Modal and nodal element stiffness matrices

These pages must be read as a PDF with magnification, however, an OCR should be able to read and generate ASCII file for comparison.

## Modal element stiffness matrix: $\mathcal{K}_{qq}$

In the interest of printing the following partitioning is used:

$$[\mathcal{K}_{qq}] = \begin{bmatrix} [\mathcal{K}_{qq}^{(1,1)}] & [\mathcal{K}_{qq}^{(1,2)}] \\ [\mathcal{K}_{qq}^{(2,1)}] & [\mathcal{K}_{qq}^{(2,2)}] \end{bmatrix}$$
(90)

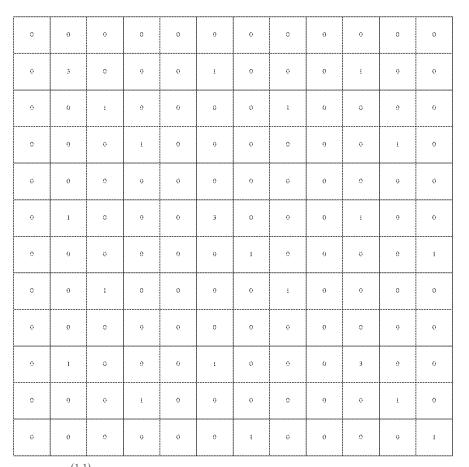


Fig. 13  $[\mathcal{K}_{qq}^{(1,1)}]$ : Modal element stiffness matrix

0	0	0	0	0	0	0	0	0	0	0	0
0	0	371 	34 11	-305 -26	44  15	7	11  20	22 35	0	0	22  35
0	0	0	0	0	0	- 1 25	- <u>11</u> -35	- <del>8</del> - <del>29</del>	$\frac{1}{25}$	- <u>11</u> 35	- <mark>8</mark> - <del>2</del> 9
0	o	0	0	0	0	- <u>11</u> 35	- <u>1</u> 51	$-\frac{7}{24}$	- <u>11</u> - <del>35</del>	1 51	$-\frac{7}{24}$
0	0	0	0	0	0	0	0	0	0	0	0
443 40	36 13	0	0	-44 -15	-44 -15	0	- 11 20	22 35	7 12	-11 -20	0
0	0	0	0	0	0	- 8 - 29	- <del>7</del> - <del>24</del>	1 47	- <del>8</del> -29	- <del>7</del> 24	- <del>1</del> - 47
0	0	0	0	0	0	- 1 - 25	- <u>11</u> - <u>35</u>	- <u>8</u> - <u>2</u> 9	1 25	- <u>i1</u> - <u>35</u>	- 8 - 29
0	0	0	0	0	0	0	0	0	0	0	0
- <del>36</del> 13	- 36 - 13	- <del>34</del> - 11	<u>34</u> 11	0	0	- <del>7</del> -12	0	0	- <del>7</del> -12	11 20	22 35
0	0	0	0	0	0	- <u>11</u>	- <u>1</u> 51	- <del>7</del> - <del>2</del> 4	- <u>11</u> -35	1 51	- <del>7</del> - <del>2</del> 4
0	0	0	0	0	0		<del>7</del> <del>2</del> 4	47	- <del>8</del> - <del>29</del>	<del>7</del> <del>2</del> 4	- <u>1</u> -47

1129	-921 -62	$-\frac{411}{22}$	327 70	- 541 - 123	651 37	10 9	12J 29	-45 i1	$-\frac{10}{3}$	97 31	-44 -43
921 	307	327 70	327 70	541 123	- 541 123	10	24 	44  43	20 9	48 	44 
-411 22	327 70	3070 41	468 25	1215 22	241	- <u>34</u> - <del>9</del>	-45 -11	202 37	34 27	<u>44</u> <u>43</u>	86 21
327 70	327 70	-468 -25	312 25	- 241 - 12	241 48	68 27	44 43	-56 -41	34 27	-44 -43	-71 -26
541	541 123	1215	241	1737 	618 	45 11	113 34	50  13	- 44  43	31  28	241 47
651	<u>541</u> <u>J 23</u>	-241 -12	241 48	$-\frac{618}{37}$	412 37	44 43	82 37	- 100 - 39	-44 -43	31 28	-41 -32
10 9	10 9	- <del>34</del> - <del>9</del>	68 27	_45 _11	44 43	40  41	9 20	- <del>4</del> - <del>2</del> 9	50 83	- 3 - 26	15 -34
121	- <del>24</del> - <del>23</del>	-45 11	44 43	- 113 - 34	82 37	9 20	21 23	-4 - <del>9</del>	- <del>9</del> - <del>6</del> 4	17 29	- 3 - 26
- <del>45</del> 11	44  43	202 37	- <u>56</u> - <u>41</u>	50 13	100 	4 29	- <del>4</del> - <del>-</del> 9	39 37	15 34	- <del>4</del> - <del>2</del> 5	17 27
- <u>10</u> - <u>3</u>	20 9	34 27	34 27	- <del>44</del> - <del>43</del>	- <u>44</u> - 43	50  83	- <u>9</u> - 64	15 34	40 	- <del>9</del> - <del>2</del> 0	5 -32
97 31		- 44 - 43	-44 -43	31 28	31 	$-\frac{3}{26}$	17 29	- <u>4</u> - <u>25</u>	- <del>9</del> 20	21 23	4 9
-44 -43	- <del>44</del> - <del>43</del>	86  21	- <del>71</del> - <del>26</del>	241 47	-41 -32	15  34	- <del>3</del> - <del>26</del>	17 27	- <u>5</u> - <u>32</u>	4  9	39 37

7776 53	1627 24	421 23	181  19	75  26	62  27
79 36	45  22	27 	35  44	23 45	43  85
7 18	4  27	2 15	3 41	1 16	2 39
0	0	0	0	0	0

 ${\bf Fig.~16~~Eigenvalues~of~modal~stiffness~matrix}$ 

## Nodal element stiffness matrix: $\mathcal{K}_{rr}$

Here the matrix is partitioned according to:

$$[\mathcal{K}_{rr}] = \begin{bmatrix} [\mathcal{K}_{rr}^{(1,1)}] & [\mathcal{K}_{rr}^{(1,2)}] \\ [\mathcal{K}_{rr}^{(2,1)}] & [\mathcal{K}_{rr}^{(2,2)}] \end{bmatrix}$$
(91)

133 104	3544	69 259	99 131	175799	47 374	10902	224343	58 055	6847	503	81 661
65	5	24	36	36	13	19	61	23	24	2	43
3544	1726	21 074	47 683	59363	27 873	5784	48 523	22 819	2577	3574	19 159
5	7	21	50	35	22	29	38	26	26	41	29
69 259	21 074	49 219	143 688	394067	124 231	22761	134501	107 593	14 938	3193	124.25
24	21	12	37	57	24	28	22	30	37	9	46
99 131	47 683	143 688	118 533	151 078	93 180	6950	103 879	78 133	10354	9806	122 689
36	50	37	32	23	19	9	21	23	27	29	48
175799	59 363	394 067	151078	1042071	331 621	21 989	326276	114818	9573	25213	122 822
36	35	57	23	89	38	16	37	19	14	42	27
47374	27 873	124 231	93180	331 621	45 737	26 679	236515	104117	18857	12094	115 919
13	22	24	19	38	7	26	36	23	37	27	34
10902	5784	22 761	6950	21989	26 679	1781	21731	568.5	803	5307	1605.
19	29	28	9	16	26	11	21	8	10	78	30
224343	48 523	114501	103 879	326276	236 515	21 731	245 763	200 171	7211	51 104	75335
61	38	22	21	37	36	21	37	44	14	113	22
58 053	22.819	107 593	78 133	114818	104 117	3683	200 171	34 508	8471	7135	75 599
23	26	30	23	19	23	8	44	11	24	23	32
6847	2577	14938	10354	9573	18 857	803	7211	8471	1657	917	12475
24	26	37	27	14	37	10	14	24	41	26	47
503	3574	3193	9806	25 213	12 094	5507	51 104	7135	917	4387	7230
2	41	9	29	42	27	78	113	23	26	140	31
81.661	19 139	124 259	122689	122 822	115919	16051	75 333	75 599	12475	7230	140 63.
43	29	46	48	27	34	30	22	32	47	31	79

Fig. 17  $[\mathcal{K}_{rr}^{(1,1)}]$ : Nodal element stiffness matrix

,,	c		,		r	,	,	r,			
106858 	-8844 -31	-11 402 39	68 002	140 904 37	21 912 35	$-\frac{3362}{17}$	72.275 26		294 37	190 37	157 31
- 15720 - 37	4068  41	2845 	15909 25	6614 5	2609 12	2131  31	17381  18	2064	87  49	52 29	79  44
<mark>29391</mark> 17	- 10940 27	5797 - 14	75 141 29	102 479 19	28 341 32	13 757 	350 260 - 89	6955 	191 27	122 17	233 30
41 301 25	8828 23	5899 15	-91 469 -37	-46103 9	- 12 632 15	8240 31	149.567 40	11.597 42			
93 593  32	25 971 38	701	144 571 33	33798I 37	55.433 37	17515 37	140057 	49 001	<u>2111</u> <u>176</u>	218	83 <del></del> 7
69.875 32	23 008 45	16197 31	78 535 24	319977 47	- 25723 - 23	16646 47	183810 37	9507 26	- 281 - 31	109 12	
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14152  83	6999 175	1399 34	12 287 	- 11 206 21	- 1663 - 19	807 29	15579 	689 24	- <u>21</u> - <u>32</u>	- <del>18</del> - <del>25</del>	- <del>28</del> - <del>39</del>
4070 27	1725 49	1153	- 5871 - 26			683 28	6490 19	432 17	- <del>19</del> - <del>30</del>	- <del>3</del> - <del>2</del> 5	- <del>32</del> - <del>51</del>
133 147	7735  29	4082 15	54 573 	85169 24	29 734 	739	51789  20	6853  36	159  34	103 	.58  11

Fig. 18  $[\mathcal{K}_{rr}^{(1,2)}]$ : Nodal element stiffness matrix

	r	r	1	r	r	7	·	r	r	1	·
19183 26	4950 	1749 10	- 51 833 - 47	$-\frac{84384}{37}$	$-\frac{37892}{101}$	4134 35	44951 27	7263 59	451 129	- <del>73</del> - <del>24</del>	
4950 29	1051	329  8	7938 	1600 3	3329 	949  34	13 223 34	718  25	- 19 - 27	9 - <del>1</del> 3	19  26
1749 10	329 8	1314 31	<del>9707</del> <del>37</del>	90254	- <del>2333</del> - <del>26</del>	1109 39	16769 42	1858	- <u>13</u> - <u>18</u>	- 14 19	- <del>19</del> - <del>27</del>
- 51.833 - 47		9707 -37	23 109 14	454 394 133	21 347 38	-4261 -24	- 72315 - 29	-4978 -27	584 117	77 17	139 30
84384 	<del>1600</del> <del>3</del>	90 2 54 	454394 133	477 528 	26 878 23	10 709	119681 23	37 838 	453 49	388 39	293 31
- 37892 - 101	- 3329 - 38	-2333 -26	21 347 38	26878 23	7502 39	- <del>425</del> - <del>7</del>	-7678 -9	- 1257 - 20	69 44	30 19	35 22
4134 35	949  34	1109 39	4261 	10709 - 29	-425 -7	315 16	6193 23	742 37	- <del>7</del> - 16	66 133	1/2
44951 27	13 223 34	16 769 42	72.315 - 29	119 681 23		6193	235 601 62	4183	- <del>223</del> - <del>33</del>	-317 -43	- <del>171</del> - <del>25</del>
7263 59	718 25	1858 63	4978 	37838 	- 1257 - 20	742 37	4181 15	737 35	- <u>15</u> - <u>29</u>	17 	- <u>8</u> - <u>17</u>
451 129	- <del>19</del> - <del>27</del>	- <u>13</u> - <u>18</u>	584  117	453 49	69 44	- <del>7</del> -16		15 - 29	2 15	2 37	1 18
$-\frac{73}{24}$	- <del>9</del> - <del>1</del> 3	$-\frac{14}{19}$	77 17	388 39	30 19		- <del>317</del> - <del>43</del>	$-\frac{17}{33}$	2 37	3 25	2 37
.58  19		- 19 - 27	139 	293  31	35 	-1/2	171 -23	- <u>8</u> 17	1 18	2 37	1  8

Fig. 19  $[\mathcal{K}_{rr}^{(2,2)}]$ : Nodal element stiffness matrix

3 273 535 61	1015 18	1510 39	189  95	3 <u>1</u> 32	5 - 6
9 11	8  13	40 — 67	21  46	25 62	7 13
17 52	7 24	5  28	7 43	$\frac{2}{27}$	1 15
0	0	0	0	0	0

 ${\bf Fig.~20~Eigenvalues~of~nodal~stiffness~matrix}$ 

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## Contents

1	Intr	$\operatorname{oduction}$	4
	1.1	Exact volume integration	5
	1.2	Computer algebra implementation	6
	1.3	The locking-free 'brick': a 3-D extension of four-node com-	
		pressible/incompressible and convex/concave finite elements	7
	1.4	Field equations	8
		1.4.1 Tensors and lists	8
		1.4.2 The body force vector	8
	1.5	Rayleigh modal vis-à-vis the <i>isoparametric</i> formulation	9
	1.0	1.5.1 Unconditional possibility of analytical integration	9
	1.6	Rayleigh modes with cubic $(x, y, z)$ polynomials	10
		1.6.1 Incompressible cases	11
	1.7	Shape functions from Rayleigh modes: compressible solid $\nu \neq \frac{1}{2}$	11
		1.7.1 Finite elements from Rayleigh modes	12
		1.7.2 Relationship between <b>modal</b> and nodal strain-displacement	
		matrices	12
		1.7.3 Relationship between <b>modal</b> and nodal element stiffness	
		matrices	13
		1.7.4 General elements admitting volume change	13
	1.8	Poison's ratio(s): a persistent nondimensional system parame-	
		ter(s) to enforce <i>point-wise</i> the zero body force requirement in	1.4
		a patch test	14 14
		<u>~</u>	14
2	Deri	ivation of Rayleigh modes	15
_	2.1		15
	2.2	Compressible elements: Poisson's ratio $\nu \neq \frac{1}{2}$	17
		2.2.1 Extension of Courant's triangulation	17
		2.2.2 Rejection of shear locking modes	17
		2.2.3 The three-dimensional element — additional stipulated	10
		modes	19 20
	2.3		$\frac{20}{21}$
3		*	23
9		· · · · · · · · · · · · · · · · · · ·	
	3.1	* ', ', /	23
		3.1.1 The $[G]$ Matrix	24
4		v v	24
	4.1		25
	4.2		26
	4.3	Sommerfeld problems motivated 'high accuracy' finite ele-	0.0
	4 4		26
	4.4	Incompressibility and concavity	26

A	Rayleigh	mode	acquiescence	to	Single	element	test

A Rayle	eigh mode acquiescence to Single element test	55
4.5 4.6 Appen	Generalization for structural elements	27 27 28
Ra	yleigh modes	28
Mo	odal to nodal transformation matrix: $[G]$	32
	ement shape functions	35
	A Mathematica function: Calculation for a given Poisson's ratio $\nu$	35
Mo	odal and nodal element stiffness matrices	43
	A 774	
List o	of Figures	
1	A hexahedral finite element	5
$\overset{1}{2}$	Modes 1 through 12: Simplex modes	29
3	Modes 13 through 18: shear-free flexure modes	30
4	Modes 19 through 24: shear-free flexure modes	31
5	G-Matrix	$\frac{31}{32}$
6	G-inverse matrix	$\frac{32}{33}$
7	Shape functions # 1 to 4	$\frac{35}{37}$
8	Shape functions # 5 to 8	38
9	Shape functions $\#$ 9 to 12	39
10	Shape functions $\#$ 3 to 12 $\cdots$	40
11	Shape functions $\#$ 17 to 20	41
12	Shape functions # 21 to 24	42
13	$[\mathcal{K}_{qq}^{(1,1)}]$ : Modal element stiffness matrix	43
14	$[\mathcal{K}_{qq}^{(1,2)}]$ : Modal element stiffness matrix	44
		45
15	$[\mathcal{K}_{qq}^{(2,2)}]$ : Modal element stiffness matrix	
16	Eigenvalues of modal stiffness matrix	$\frac{46}{47}$
17	$[\mathcal{K}_{rr}^{(1,1)}]$ : Nodal element stiffness matrix	
18 19	$[\mathcal{K}_{rr}^{(1,2)}]$ : Nodal element stiffness matrix	48 49
20	$[\mathcal{K}_{rr}^{(2,2)}]$ : Nodal element stiffness matrix	49 50
	TARVALVALUSA OL HOUGI ABIHHEAA HIGIBLA	

## List of Tables

# High Accuracy three-dimensional Finite Elements

for: CTV

Gautam Dasgupta<sup>1</sup>

### Abstract

The numerical technique of finite element method motivated this discovery. Therein, evaluations of (*stiffness*-like) system matrices in three-dimensional viscoplastic analysis of thermo-mechanical has been done exactly with the computer program<sup>2</sup> written by the author.

Finite element approximations, which pertain to both scalar and vector solution fields, are addressed. Instead of resorting to numerical quadrature, the divergence theorem, which yielded high accuracy results, is employed where indefinite integrals are calculated algebraically. Instead of isoparametric mapping, Poisson's raio dependent shape functions, which satisfy equilibrium throughout the element, are derived from Rayleigh modes that satisfy tensorial invariance. All operations leading to element formulation are carried out in closed analytic form.

The two-dimensional analog of the present discovery is in the patent [?]. In this document, only the three-dimensional treatments, which have no prior reference, are summarized.

**keywords:** computer algebra, divergence theorem, element level integration, exact integration, Rayleigh modes

### What are new:

- 1. Rayleigh modes for incompressibility
- 2. Rayleigh modes for compressibility and procedure to determine full anisotropic case with 21 constsnts
- 3. Exact volume integration algorithm
- 4. Rule application to implement accurate and efficient volume integration to calculate the element stiffness matrix.

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<sup>&</sup>lt;sup>2</sup>in Appendix-I

# Contents

1	Intr	oduction
	1.1	Scalar field high accuracy finite elements
2	For	nulation for polyhedral finite elements
	2.1	Exact Integragation
		2.1.1 Convex polyhedra
3	An	optimized form of the divergence theorem
	3.1	Convex polyhedra
	3.2	Exact Integration
4	Nui	nerical Examples
	4.1	Volume integration on a 3D-Brick finite element
	4.2	Locking-free interpolants
		4.2.1 Notation
		4.2.2 Polynomial shape functions
		4.2.3 Incompressible Rayleigh modes
		4.2.4 Incompressible solution
		4.2.5 The Compressible Rayleigh modes
		4.2.6 Modal stiffness matrix
		4.2.7 Nodal stiffness matrix
		4.2.8 The shape functions
5	App	endices 18
	5.1	Appendix-I: Mathematica Package for Volume integration
		5.1.1 Auxiliary functions for volume integration
	5.2	Appendix-II: Mathematica Package and Example
		5.2.1 Developing the rules
		5.2.2 Example of developing the rules

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# 1 Introduction

The scope of Columbia University's patent: U.S. Patent No. 6,101,450 — Gautam Dasgupta — "Stress Analysis Using a Defect-Free Four Node Finite Element Technique" - has been extended here to device solutions for its three-dimensional counterpart. Applications to locking-free solid, plate and shell elements can be developed devoid of errors from element shape distortion and Poisson's effect.

The finite element shown in Figure 1 is demonstrated as a sample problem thoughout this discourse.

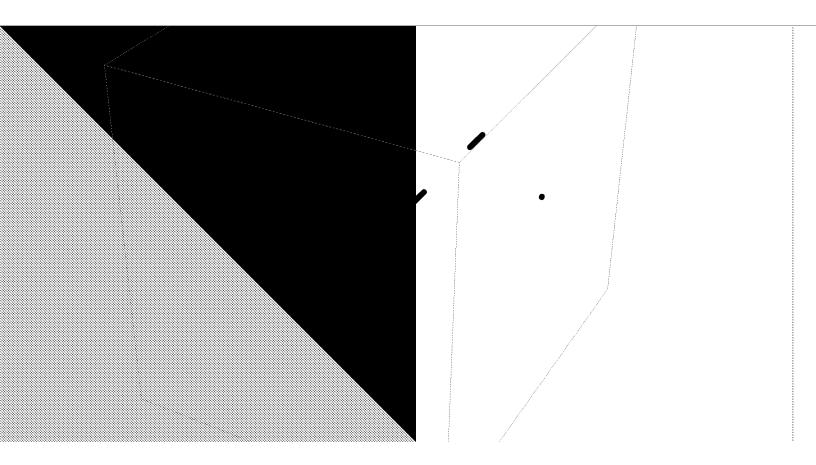


Figure 1: A hexahedral finite element

For example, in order to construct *locking-free*, vide [9], three-dimensional brick elements, cubic polynomials, which explicitly depend upon Poisson's ratio(s), in the physical (x, y, z) coordinates were derived for twenty four Rayleigh modes. Their linear combinations yield twenty four shape functions that are associated with the degrees-of-freedom located on the vertices.

To maintain the high accuracy consistently, especially the *locking-free* features, numerical quadrature has been completely avoided in evaluating the stiffness-like system matrices. This analytical strategy, which is based on the divergence theorem, is computationally more efficient than any quadrature scheme.

The locking-free interpolants (for a eight node hexahedral brick element) in the physical (x, y, z) co-ordinates are cubic functions, hence the linear elastic stresses are quadratic in (x, y, z). Hence, the energy density expressions comprise of the terms in the expanded expression of  $(1 + x + y + z)^4$ . Its each term, within the brick shown in Figure 1 can be exactly integrated by the computer program presented here without resorting to any numerical quadrature.

Restrictions of convex elements do not apply and concavity, as it develops during elasto-plastic deformation, can be considered. For general vector field problems, e.g., those of continuum mechanics, the computer algebra systems can be incorporated seamlessly within a UNIX environment. The Modelica Language, vide[7], can achieve the same for all platforms. Therein, general numerics can be optimized for efficiency and accuracy by utilizing C++ routines [8].

## 1.1 Scalar field *high accuracy* finite elements

The Chazelle discretization of any region into minimum number of convex subsets [3] minimizes incompatibility errors along the interior boundaries due to tessellation. The Wachspress rational polynomial interpolants [11, 12] on each subset ensure  $C^o$  interelement compatibility.

In general, in the (x, y, z) frame energy terms like:

$$\frac{p_i(x,y,z) - q_j(x,y,z)}{(r_k(x,y,z))^2}; \quad p,q,r : \text{polynomials in } (x,y,z)$$
 (1)

are decomposed into partial fractions:

$$\frac{p_i(x,y,z) \ q_j(x,y,z)}{(r_k(x,y,z))^2} = \sum_{\alpha} \left( \frac{A_{\alpha}(x,y)}{(z - C_{\alpha}(x,y))} + \frac{B_{\alpha}(x,y)}{((z - C_{\alpha}(x,y)))^2} \right)$$
(2)

where 
$$A, B, C$$
: are in general complex functions of  $(x, y)$  (3)

so that an indefinite integral of a generic energy term:

$$\int \left( \frac{p_i(x, y, z) \ q_j(x, y, z)}{(r_k(x, y, z))^2} \right) \ dz = \sum_{\alpha} A_{\alpha}(x, y) \ \log(z - C_{\alpha}(x, y)) + \frac{B_{\alpha}(x, y)}{C_{\alpha}(x, y) - z}$$
(4)

can be obtained in the *closed-form*.

For large number of faces, the degrees of polynomials in equation (1) are high, and this will not permit analytical decomposition in the form of equation (2). Padé approximation [13, 2] is used to estimate  $\mathcal{P}, \mathcal{Q}$  and  $\mathcal{R}$ , in:

$$\frac{p_i(x,y,z) - q_j(x,y,z)}{(r_k(x,y,z))^2} \cong \frac{\mathcal{P}_i(x,y,z) - \mathcal{Q}_j(x,y,z)}{(\mathcal{R}_k(x,y,z))^2}; \quad \mathcal{R}_k : \text{quadratic in } z$$
 (5)

#### 1.1.1 Limitation

For vector field problems, the constraints from the Wachspress interpolants on element boundary surfaces will prevent success in *patch tests* hence the element cannot be prevented from locking [10]. In order to resolve this, polynomial test function in (x, y, z) must be employed.

# 2 Formulation for polyhedral finite elements

## 2.1 Exact Integragation

The divergence theorem:

$$\iiint_{\Omega} \left( \vec{\nabla} \odot \vec{g}(x, y, z) \right) d\Omega = \iint_{\Gamma} \left( \hat{n} \odot \vec{g}(x, y, z) \right) d\Gamma = \iint_{\Gamma} \vec{g}(x, y, z) \odot d \hat{\Gamma}$$
 (6)

where the dot product is indicated by  $\odot$ 

converts a volume integral in  $\Omega$  to its surface  $\Gamma$ . Within the context of a polyhedron defined by  $\Omega$ , the surface  $\Gamma$  is a collection of planes  $\wp_i(x, y, z)$ :

where the equation for a 
$$\wp_i(x, y, z) : d_i = a_i x + b_i y + c_i z$$
 (7)

## 2.1.1 Convex polyhedra

For a convex <sup>3</sup> element  $\Omega$ , any interior point can be selected as the origin. Since no plane  $\wp_i$  of equation (7) passes through the origin,  $d_i$  in equation (7) is always non-zero. Hence it is possible to select for all faces on  $\Gamma$ :

select: 
$$d_i > 0$$
 and (8)

obtain: 
$$\frac{\{a,b,c\}}{\sqrt{a^2+b^2+c^2}} = \hat{n}$$
: outer normal vector (9)

notation: a vector is encased within curly braces: 
$$\hat{n} = \{n_x, n_y, n_z\}$$
 (10)

(ComputationalGeometry'Methods'ConvexHull3D[nodes])[[1, 1]])

is written as a part of this document

 $<sup>^3</sup>$ To verify convexity from vertices defined by the matrix nodes, the *Mathematica* code: And @@ (MemberQ[nodes, #] & /@

# 3 An optimized form of the divergence theorem

For an arbitrary function h(x, y, z):

define: 
$$g_z(x, y, z) = \int h(x, y, z) dz$$
: an indefinite integral in  $z$  (11)

and then 
$$\vec{g}(x, y, z) = \{0, 0, g_z(x, y, z)\}$$
 (12)

$$\vec{g}(x,y,z) \odot d\hat{\Gamma} = \vec{g}(x,y,z) \odot \hat{n} d\Gamma = g_z(x,y,z) d\Gamma_{xy}$$
 (13)

$$\Gamma_{xy}$$
: the projection of  $\Gamma$  on the  $x-y$  plane (14)

## 3.1 Convex polyhedra

It is important to realize that  $d\Gamma_{xy}$  is a vector quantity whose magnitude is to be multiplied by the sign of  $n_z$  in equation (12). It is identical to the sign of  $c_i$  for plane number i in equation (9). For a surface plane perpendicular to z— axis:

$$n_z = 0: \vec{z} \perp \wp_i \tag{15}$$

In the case of a non-convex polyhedra such a determination is not possible because at least one surface plane will pass through the interior of  $\Omega$ .

## 3.2 Exact Integration

areaIntegrate[z, {x, y}, nodes]

Area integrations in equations (6) and (13) are to be carried out using the following Mathematica program:

For a face, if the nodal numbering on the z = 0 plane is counterclockwise then the sign of  $n_z$  in equation (12) will be +1 that is consistent with the tensorial approach implemented throughout. The *Mathematica* code of **Appendix-II** ensures this precautionary step for convex polyhedra.

By combining equation (6) and equations (11) through (13) the volume integral is expressed as a sum on the polyhedron faces that are not orthogonal to the z-axis (i.e.,  $c_i \neq 0$ ):

$$\iiint_{\Omega} h(x, y, z) \ d\Omega = \sum_{i} \iint_{\mathcal{A}_{i}} \left( g_{z}(x, y, z) \Big|_{z = \frac{d_{i} - a_{i}x - b_{i}y}{c_{i}}} \right) d\mathcal{A}_{i}$$
(16)

non-zero 
$$A_i$$
: projection of face  $i$  on  $x - y$  plane (17)

$$g_z$$
 on the plane  $\wp_i$ , can be reduced to a function of  $x, y : g^*(x, y)$  (18)

then: 
$$\iiint_{\Omega} h(x,y,z) \ d\Omega = \sum_{i} \iint_{\mathcal{A}_{i}} g^{*}(x,y) \ d\mathcal{A}_{i}$$
 (19)

each integrals in equation (19) is evaluated using the computer algebra program furnished in **Appendix-II**.

# 4 Numerical Examples

Here, printing of 24 by 24 matrices is avoided.

# 4.1 Volume integration on a 3D-Brick finite element

For a typical finite element, as shown in Figure 1, in stiffness integration, with quadratic distribution of the stress profiles, the full quartic functions appear in the energy density terms. The nodal coordinates of Figure 1 are presented in Table 1:

Table 1: nodal values for a convex brick element

The stiffness integration can be carried out using the present formulation. The calculated volume integrals using computer programs of **Appendix-I** of the polynomial terms are shown in equation (21):

These high accuracy results, which are devoid of contamination due to quadrature, are indispensable on order to constructing the three-dimensional *locking-free* brick elements as an extension of *locking-free* quadrilateral elements developed in [4, 5, 6].

## 4.2 Locking-free interpolants

### 4.2.1 Notation

The nodal and Rayleigh modal variables are respectively indicated with roman and Greek symbols:

$$\{r\}$$
: list of nodal displacements;  $\{R\}$ : list of nodal forces (22)

$$\{\psi\}$$
: list of modal participation factors; (23)

$$\{\Psi\}$$
: list of modal generalized forces: conjugate of  $\{\psi\}$  (24)

vector-valued shape function: 
$$\varpi(x, y, z) = \{u(x, y, z), v(x, y, z), w(x, y, z)\}$$
 a vector (in the tensorial sense) is encased in curly braces

### 4.2.2 Polynomial shape functions

The full cubic polynomial displacement fields  $\{u(x,y,z),v(x,y,z),w(x,y,z)\}$  are:

$$u(x, y, z) = a[1] + x * a[2] + y * a[3] + z * a[4]$$

$$+ x^{2} * a[5] + x * y * a[6] + y^{2} * a[7]$$

$$+ x * z * a[8] + y * z * a[9] + z^{2} * a[10]$$

$$+ x^{3} * a[11] + x^{2} * y * a[12] + x * y^{2} * a[13] + y^{3} * a[14]$$

$$+ x^{2} * z * a[15] + x * y * z * a[16] + y^{2} * z * a[17]$$

$$+ x * z^{2} * a[18] + y * z^{2} * a[19] + z^{3} * a[20]$$

$$(26)$$

The other two displacement components v, w along x, y respectively, are obtained by cyclic replacement; to obtain v from u replace a by b and then x by y, y by z and z by x. Similarly, w is obtained from v with coefficient c[i].

#### 4.2.3 Incompressible Rayleigh modes

Starting with the form of equation (26), there are 60 unknown parameters in the vector-valued shape function  $\varpi(x,y,z) = \{u(x,y,z), v(x,y,z), w(x,y,z)\}$ :

$$a[1] \dots a[20], b[1] \dots b[20], c[1] \dots c[20]$$
 (27)

Incompressibility demands:

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0, \quad \text{for all } x, y, z$$
 (28)

that leads to the following possible interrelations between the parameters:

$$a[2] \to -b[2] - c[2], a[8] \to -b[6] - 2c[5], a[5] \to -\frac{b[8]}{2} - \frac{c[6]}{2},$$

$$a[6] \to -2b[5] - c[8], a[18] \to -b[13] - 3c[11], a[15] \to -\frac{b[16]}{2} - c[12],$$

$$a[11] \to -\frac{b[18]}{3} - \frac{c[13]}{3}, a[16] \to -2b[12] - 2c[15],$$

$$a[12] \to -b[15] - \frac{c[16]}{2}, a[13] \to -3b[11] - c[18]$$

$$(29)$$

These ten substitutions make the incompressible shape functions  $\varpi^{o}(x, y, z)$ , which contain 50 constants, in the following three parts:

$$\overline{\omega}^{o}(x, y, z) = \overline{\omega}_{1}^{o}(x, y, z) + \overline{\omega}_{2}^{o}(x, y, z) + \overline{\omega}_{3}^{o}(x, y, z)$$
(30)

$$\varpi_1^o(x, y, z)$$
: "triangulation" modes (31)

$$\varpi_2^o(x, y, z)$$
: "flexural" modes (32)

$$\varpi_3^o(x, y, z)$$
: "quadratic stress" modes (33)

in particular:

$$\varpi_1^o(x, y, z) = \begin{cases}
a[3]y + a[4]z + a[1] + x(-b[2] - c[2]) \\
b[4]x + b[2]y + b[3]z + b[1] \\
c[3]x + c[4]y + c[2]z + c[1]
\end{cases}$$
(34)

therein, the 11 parameters are associated with 6 rigid body modes and 5 deviatoric modes. These eleven modes are evaluated by setting one parameter to unity and rest to zero, at a time leading to:

Throughout, the vector displacement field is listed as a column encased in curly braces:

a column represents: 
$$\begin{cases} x - displacement \\ y - displacement \\ z - displacement \end{cases}$$
 as in equation (35) (36)

The flexural modes caontain quadratic terms in x, y, z and free from shear strains:

$$0 = \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} = \frac{\partial v}{\partial z} + \frac{\partial w}{\partial y} = \frac{\partial w}{\partial x} + \frac{\partial u}{\partial z}, \quad \text{for all } x, y, z$$
 (37)

and yields the following possible interrelations between the parameters:

$$a[7] - > -(b[8]/2), a[9] - > 0, b[9] - > 0, a[10] - > -(c[6]/2),$$

$$b[6] - > -2c[10], c[5] - > c[7] + c[10], b[5] - > b[7] + b[10],$$

$$c[8] - > -2b[7], c[9] - > 0$$
(38)

the six terms:

$$b[7], b[8], b[10], c[6], c[7], c[10]$$
 (39)

are responsible for pure bending in two orthogonal planes on each of three othogonal directions. Thus the six incompressible flextural modes are:

$$\begin{vmatrix}
\frac{xy}{2} \\
\frac{z^2}{4} - \frac{x^2}{4}
\end{vmatrix} - \frac{yz}{2} \begin{vmatrix}
\frac{yz}{2} \\
\frac{yz}{2}
\end{vmatrix} - \frac{xz}{4} \begin{vmatrix}
\frac{z^2}{4} - \frac{y^2}{4} \\
\frac{xy}{2}
\end{vmatrix} - \frac{xy}{2} \begin{vmatrix}
\frac{x^2}{4} - \frac{z^2}{4}
\end{vmatrix} - \frac{xy}{2} \begin{vmatrix}
\frac{x^2}{4} - \frac{z^2}{4}
\end{vmatrix} - \frac{xy}{2} \begin{vmatrix}
\frac{x^2}{4} - \frac{z^2}{4}
\end{vmatrix} - \frac{xy}{2}$$

$$-\frac{yz}{2} \begin{vmatrix}
\frac{y^2}{4} - \frac{z^2}{4}
\end{vmatrix} - \frac{x^2}{4} - \frac{z^2}{4}$$

$$-\frac{xz}{2} \begin{vmatrix}
\frac{xz}{2}
\end{vmatrix} - \frac{yz}{2}
\end{vmatrix} - \frac{xy}{2}$$

$$(40)$$

The six cubic displacement modes are:

$$\frac{x^{2}y}{4} - \frac{y^{3}}{12} \begin{vmatrix} \frac{x^{2}z}{4} - \frac{z^{3}}{12} \\ 0 \\ \frac{1}{2}x\left(\frac{z^{2}}{2} - \frac{y^{2}}{2}\right) \end{vmatrix} = 0 \begin{vmatrix} \frac{1}{2}y\left(\frac{z^{2}}{2} - \frac{x^{2}}{2}\right) \\ \frac{1}{2}x\left(\frac{z^{2}}{2} - \frac{z^{2}}{2}\right) \end{vmatrix} = 0 \begin{vmatrix} \frac{1}{2}x\left(\frac{y^{2}}{2} - \frac{z^{2}}{2}\right) \\ 0 \end{vmatrix} = \frac{1}{2}x\left(\frac{y^{2}}{2} - \frac{z^{2}}{2}\right) \begin{vmatrix} \frac{1}{2}y\left(\frac{x^{2}}{2} - \frac{z^{2}}{2}\right) \\ 0 \end{vmatrix} = 0 \begin{vmatrix} \frac{1}{2}z\left(\frac{y^{2}}{2} - \frac{x^{2}}{2}\right) \\ \frac{1}{2}z\left(\frac{x^{2}}{2} - \frac{y^{2}}{2}\right) \\ 0 \end{vmatrix} = 0$$

$$(41)$$

#### 4.2.4 Incompressible solution

There are twenty three *independent* Rayleigh modes, eleven, six and six, respectively, in equations (35), (40) and (41). The corresponding twenty three modal participation factors of equation (23) and a uniform element pressure  $\mathbf{p}$  constitute the twenty unknowns per brick element. Their *unique* solution for boundary value problems can be found in [5].

The *modal* participation factors in  $\{\psi\}$  are the *independent* variables. The matrix  $[G_{r\psi}]$  transformations  $\{\phi\}$  to the nodal displacements  $\{r\}$ :

$$\{r\} = [G_{r\psi}] \{\psi\} \text{ or } \{r\} = [G] \{\psi\} \to \{\psi\} = [G]^{-1} \{r\}$$
 (42)

$$[G]^{-1}$$
: in the sense of Moore-Penrose  $[G]^+$  vide [1] (43)

### 4.2.5 The Compressible Rayleigh modes

Following the procedure of systematic elimination, whose result is shown in equation (29), the twenty four Rayleigh modes are solved as follows.

The simplex modes are:

The six quadratic displacement modes are:

$$\begin{pmatrix}
x^{2} + \frac{y^{2}(1-\nu)}{\nu} & z^{2} - y^{2} \\
xy\left(4(\nu - 1) + \frac{(1-\nu)(4\nu - 2)}{\nu}\right) & 2xy \\
0 & -2xz
\end{pmatrix}$$

$$\begin{pmatrix}
xy\left(-\frac{2(1-\nu)}{\nu} + 4(1-\nu) + 4(\nu - 1)\right) & 2xy \\
\frac{(1-\nu)x^{2}}{\nu} + y^{2} & z^{2} - x^{2} \\
0 & -2yz
\end{pmatrix}$$

$$\begin{pmatrix}
-2xz\left(-2(\nu - 1) - \frac{(1-\nu)(2\nu - 1)}{\nu}\right) & 2xz \\
0 & -2yz \\
\frac{(1-\nu)x^{2}}{\nu} + z^{2} & y^{2} - x^{2}
\end{pmatrix}$$

$$(45)$$

$$\begin{pmatrix}
xy\left(-\frac{2(1-\nu)}{\nu} + 4(1-\nu) + 4(\nu-1)\right) & 2xy \\
\frac{(1-\nu)x^2}{\nu} + y^2 & z^2 - x^2 \\
0 & -2yz
\end{pmatrix}$$
(46)

$$\begin{pmatrix}
-2xz \left(-2(\nu-1) - \frac{(1-\nu)(2\nu-1)}{\nu}\right) & 2xz \\
0 & -2yz \\
\frac{(1-\nu)x^2}{\nu} + z^2 & y^2 - x^2
\end{pmatrix}$$
(47)

The six cubic displacement modes are:

$$\begin{pmatrix}
\begin{pmatrix}
\frac{x^{2}y}{4} - \frac{y^{3}}{12} \\
0 \\
-\frac{1}{2}xyz
\end{pmatrix}
\begin{pmatrix}
-\frac{1}{2}xyz \\
0
\end{pmatrix}
\begin{pmatrix}
-\frac{1}{2}xyz \\
\frac{xz^{2}}{4} - \frac{x^{3}}{12}
\end{pmatrix}
\begin{pmatrix}
\frac{xz^{2}z}{4} - \frac{x^{3}}{12}
\end{pmatrix}
\begin{pmatrix}
0 \\
-\frac{1}{2}xyz \\
0
\end{pmatrix}
\begin{pmatrix}
\frac{x^{2}z}{4} - \frac{z^{3}}{12} \\
-\frac{1}{2}xyz \\
0
\end{pmatrix}
\begin{pmatrix}
0 \\
\frac{xy^{2}}{4} - \frac{x^{3}}{12} \\
-\frac{1}{2}xyz
\end{pmatrix}
\begin{pmatrix}
0 \\
\frac{yz^{2}}{4} - \frac{y^{3}}{12}
\end{pmatrix}$$
(48)

### 4.2.6 Modal stiffness matrix

The strain-displacement transformation matrix [b] is separated in three parts:

$$[b] = \{[b1], [b2], [b3]\} \tag{49}$$

$$[b2] = \begin{pmatrix} 2x & 0 & \frac{2y(\nu-1)}{\nu} & 2y & \frac{2z(\nu-1)}{\nu} & 2z \\ \frac{2x(\nu-1)}{\nu} & 0 & 2x & 0 & 0 \\ 0 & 0 & 0 & 2x(\frac{1}{\nu}-1) + \frac{2x(\nu-1)}{\nu} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2x(\frac{1}{\nu}-1) + \frac{2x(\nu-1)}{\nu} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2x(\frac{1}{\nu}-1) + \frac{2x(\nu-1)}{\nu} & 0 \end{pmatrix}$$
(51)

$$[b3] = \begin{pmatrix} \frac{xy}{2} & \frac{xz}{2} & -\frac{yz}{2} & 0 & 0 & -\frac{yz}{2} \\ 0 & -\frac{xz}{2} & 0 & 0 & -\frac{xy}{2} & \frac{yz}{2} \\ -\frac{xy}{4} - \frac{y^2}{4} & -\frac{yz}{2} & -\frac{xz}{2} & \frac{y^2}{4} - \frac{x^2}{4} \\ -\frac{yz}{2} & -\frac{xy}{2} & \frac{x^2}{4} - \frac{z^2}{4} & -\frac{xz}{2} & -\frac{xz}{2} \\ -\frac{yz}{2} & \frac{x^2}{4} - \frac{z^2}{4} & -\frac{xy}{2} & -\frac{xz}{2} & -\frac{xz}{2} \\ -\frac{yz}{2} & \frac{x^2}{4} - \frac{z^2}{4} & -\frac{xy}{2} & -\frac{yz}{2} & \frac{z^2}{4} - \frac{y^2}{4} \\ -\frac{yz}{2} & \frac{z^2}{4} - \frac{z^2}{4} & -\frac{xy}{2} \end{pmatrix}$$

$$(52)$$

#### 4.2.7 Nodal stiffness matrix

The energy balance principle connects modal variables  $\{\phi\}$  and  $\{\Phi\}$  with their nodal counterparts:

$$\{R\}^T\{r\} = \{\Psi\}^T\{\psi\} \rightarrow \{R\} = [G^T]^{-1}\{\Psi\}$$
: the force transformation rule (53)

and 
$$[k_{rr}] = [G^T]^{-1} [k_{\phi\phi}] [G]^{-1}; \quad k : \text{stiffness matrix}$$
 (54)

### 4.2.8 The shape functions

The [G] matrix in equation (42) associated with the element nodes presented in equation (20) for the Rayleigh modes in equations (44) through (48), vide [6] for details.

main functions

# Appendix-I: Mathematica Package

```
conexPolyhedronVolumeIntegrate::usage =
      "conexPolyhedronVolumeIntegrate[g,{x,y,z},allFaceNodes]
     returns the volume integral of g a function in \{x,y,z\}
     within the convex polyhedron defined by allFaceNodes."
     polyhedronVolumeIntegrate::usage =
      "polyhedronVolumeIntegrate[g,{x,y,z},allFaceNodes,
     signZOutwardNormalList]
     returns the volume integral of g a function in \{x,y,z\}
     within the polyhedron defined by allFaceNodes.
     The signs of the normal to the z-axis are prescribed in
     signZOutwardNormalList."
     conexPolyhedronVolumeIntegrate[g_, {x_, y_, z_}, allFaceNodes_] :=
      Module[{signZOutwardNormalList, signCorrectionList, i, funcList},
       signZOutwardNormalList =
        Sign[#[[3]] & /@ outwardNormalsConvexPolyhedron[allFaceNodes]];
       polyhedron Volume Integrate [g, \{x, y, z\}, all Face Nodes, sign ZOutward Normal List]]\\
     polyhedronVolumeIntegrate[g_, {x_, y_, z_}, allFaceNodes_,
       signZOutwardNormalList ] := Module[{signCorrectionList, i, funcList},
       signCorrectionList = (
          (Sign[areaIntegrate[1, {x, y}, #] & /@ (extractXY/@allFaceNodes)])
          signZOutwardNormalList);
       funcList = Integrate[g, z] /. ((Solve[# == 0, z] // Flatten) & /@
            (LhsEquationOfAPlane[#, {x, y, z}] & /@ allFaceNodes));
       Sum[signCorrectionList[[i]] * areaIntegrate[funcList[[i]], {x, y},
          Part[extractXY /@ allFaceNodes, i]], {i, funcList // Length}]]
  example
fourNodes = RandomReal[[RandomReal[]], RandomReal[]], {4, 3}]
(0.532308, 0.475947, 0.579055), {0.389285, 0.386792, 0.431123},
      {0.468785, 0.477351, 0.444661}, {0.602696, 0.461231, 0.595927}}
allFaceNodes = Partition[Join[fourNodes, {fourNodes[[1]],
         fourNodes[[2]]}], 3, 1]
\{\{\{0.532308, 0.475947, 0.579055\}, \{0.389285, 0.386792, 0.431123\}, \}\}
       \{0.468785, 0.477351, 0.444661\}\}, \{\{0.389285, 0.386792, 0.431123\}, \}
       \{0.468785, 0.477351, 0.444661\}, \{0.602696, 0.461231, 0.595927\}\},
      \{\{0.468785, 0.477351, 0.444661\}, \{0.602696, 0.461231, 0.595927\},
       \{0.532308, 0.475947, 0.579055\}\}, \{\{0.602696, 0.461231, 0.595927\},
       \{0.532308, 0.475947, 0.579055\}, \{0.389285, 0.386792, 0.431123\}\}
error = Abs [conexPolyhedronVolumeIntegrate[1,
         {x, y, z}, allFaceNodes] - Abs[Det[Append[#, 1] & /@fourNodes] / 6]]
Outgage 8.86335 	imes 10^{-18}
```

```
auxiliary functions
extractXY
extractXY[xyz_] := ({#[[1]], #[[2]]}) & /@ Partition[Flatten[xyz], 3];
normalToPlane
normalToPlane[h_, {x_, y_, z_}] :=
  Module[{m}, m = D[h, #] & /@ {x, y, z}; m / Sqrt[m.m]];
outwardNormal[p_?MatrixQ, interiorPoint_] :=
 Module[{x, y, z, draftNormal, directionOfOutwardRay, av},
  av[x_] := Plus@@x/Length[x];
  \label{lem:defnormal} \mbox{draftNormal = normalToPlane[LhsEquationOfAPlane[p, \{x, y, z\}], \{x, y, z\}];}
  directionOfOutwardRay = av[p] - interiorPoint;
  If[directionOfOutwardRay.draftNormal < 0, -draftNormal, draftNormal]</pre>
outwardNormalsConvexPolyhedron[nodesOfFaces_] :=
 Module[{interiorPoint, allNodes, av},
  av[x_] := Plus@@x/Length[x];
  allNodes = Partition[Flatten[nodesOfFaces], 3] // Union;
  interiorPoint = av[allNodes];
  outwardNormal[#, interiorPoint] & /@ nodesOfFaces
 ]
inPlaneQ
inPlaneQ[x_] := Module[{bs},
  If[Length[x] === 3, True, (bs = Prepend[#, 1] & /@ x; Max[Abs[Det /@
          (Prepend[#, First[bs]] & /@
           Partition[Rest[bs], 3, 1])]] < 10^-6)]]
normalToPlane
normalToPlane[h_, {x_, y_, z_}] := Module[{m}, m = D[h, #] & /@ {x, y, z}; m / Sqrt[m.m]]
LHSequationOfAPlane
LhsEquationOfAPlane[p_, {x_, y_, z_}] :=
 If[inPlaneQ[p], Expand[
   Det[Append[#, 1] & /@Append[Take[p, 3], \{x, y, z\}]]],
  Print["points ", p, "are not co-planar"]]
```

# Appendix-III: Mathematica Package

# Example-1: Integration rule

June 27

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# four parts for terms

```
code: solidToVolumeIntegral
    Clear[solidToVolumeIntegral];
    solidToVolumeIntegral[f_, {x_, y_, z_}, {nodes_, faceIndices_}] :=
     Module[{faceNodes},
       faceNodes =
        Partition[nodes[[#]] & /@ Flatten[faceIndices], Length[faceIndices[[1]]]];
       volumeIntegrate[f, {x, y, z}, faceNodes]
     1
    code: integrator
Resp. Clear[integrator];
    integrator[func_, {x_, y_, z_}, { p1Terms_, p2Terms_, p3Terms_, p4Terms_},
       {valueP4Terms_, valueP3Terms_, valueP2Terms_, valueP1Terms_}] :=
     Module[{elmVol = valueP1Terms[[1]]},
       elmVol * (((((Expand[func] /. Thread[
                                 (valueP4Terms / elmVol)])
                     p4Terms →
                /. Thread[ p3Terms → (valueP3Terms / elmVol)]) /.
              Thread[ p2Terms → (valueP2Terms / elmVol)])
          ) /. Thread[ plTerms
                                    → (valueP1Terms / elmVol)])
     ]
    polynomial data
     p1Terms = \{1, x, y, z\}; p2Terms = \{x^2, xy, y^2, xz, yz, z^2\};
    p3Terms = \{x^3, x^2y, xy^2, y^3, x^2z, xyz, y^2z, xz^2, yz^2, z^3\}; p4Terms =
      \{x^4, x^3y, x^2y^2, xy^3, y^4, x^3z, x^2yz, xy^2z, y^3z, x^2z^2, xyz^2, y^2z^2, xz^3, yz^3, z^4\};
     {valueP4Terms, valueP3Terms, valueP2Terms, valueP1Terms} =
      solidToVolumeIntegral[{p4Terms, p3Terms, p2Terms, p1Terms},
       {x, y, z}, {nodes, faceIndices}]
```

#### 2 | fourParts-3.nb

# Example

```
element data
```

```
nodes = \{\{0, 1, 0\}, \{0, 0, 0\}, \{1, 0, 0\}, \{1.202715965606913^, 1.314215366298273^, 0\},
       {0, 1.368066309144244\, 1.305989793327691\},
       {0, 0, 1}, {1.216079880285841, 0, 1.23925741120344},
       {1.597930989278036`, 1.90818293399255`, 1.7411801057262242`}}
 make faceIndices =
      \{\{1, 4, 3, 2\}, \{5, 8, 7, 6\}, \{6, 5, 1, 2\}, \{7, 3, 4, 8\}, \{2, 3, 7, 6\}, \{5, 8, 4, 1\}\}
     calculated results
valueP4Terms = {1.4692184020676273`, 1.3008258816213933`, 1.391055637976948`,
       1.684639189614494, 2.4153018320293618, 1.2184569407424475,
       1.1425465853282244`, 1.3145355635675942`, 1.836793786704385`,
       1.2162187222826766, 1.2267934933996645, 1.6271444555393084,
       1.3793529251241945, 1.6108494493895607, 1.8779826716598929}
valueP3Terms = {1.375724277679978`, 1.2353866617421558`, 1.3997396270453801`,
       1.9729269721181257, 1.1595858030999338, 1.13712469543483, 1.517503755878869,
       1.2319095628467431, 1.4249475541728107, 1.6467759711183976}
     valueP2Terms = {1.3762442046785648\, 1.298072609873346\, 1.7342150490206258\,
       1.2225410787060726, 1.3953906401333516, 1.5473082043008088}
m_{14} valueP1Terms = {2.2234367654359333,
       1.539118468423933, 1.7184382389677864, 1.6300687226378585}
     verification
selfTest = integrator[Flatten[{ p4Terms, p3Terms, p2Terms, p1Terms}],
        {x, y, z}, { p1Terms, p2Terms, p3Terms, p4Terms},
        {valueP4Terms, valueP3Terms, valueP2Terms, valueP1Terms}] ==
       Flatten[{valueP4Terms, valueP3Terms, valueP2Terms, valueP1Terms}]
Outing True
```

# 5 Appendices

Mathematica program segments furnished here. All calculations presented can be verified bu executing these modules.

Appendix-I: Exact Three-dimensional volume integration

Appendix-II: In order to ensure the locking free condition *exact* integration of the energy density function is unavoidable. To aatain fast calculation, the subsitution of polynomial terms, as in equation (21), recommended. One of the main parts of this discovery is to ensure proper implementation of the substitution rule that appears here.

Appendix-III: An important part of the validation is that the rigid body modes are exactly reporduced. This can be verified from the minimum eigenvalues of the element stiffness matrix furnished below:

$$\begin{pmatrix} 146.716 & 67.7913 & 18.304 & 9.52665 & 2.88408 & 2.29533 \\ 2.19528 & 2.0452 & 1.08073 & 0.795941 & 0.510553 & 0.504974 \\ 0.389806 & 0.148966 & 0.132988 & 0.0728463 & 0.0619707 & 0.0514826 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

$$(55)$$

Appendix-IV: This discovery encompasses general anisotropy with twnty one Poisson's ratios. The method of calculation is with the proper constitutive relation but the steps to determine the Rayleigh modes are the same as that of the isotropic case. For this purpose a complete and detailed program is enclosed here.

## 5.1 Appendix-I: Mathematica Package for Volume integration

## main functions conexPolyhedronVolumeIntegrate::usage = "conexPolyhedronVolumeIntegrate[g, {x,y,z}, allFaceNodes] returns the volume integral of g a function in $\{x,y,z\}$ within the convex polyhedron defined by allFaceNodes." polyhedronVolumeIntegrate::usage = "polyhedronVolumeIntegrate[g,{x,y,z},allFaceNodes, signZOutwardNormalList] returns the volume integral of g a function in $\{x,y,z\}$ within the polyhedron defined by allFaceNodes. The signs of the normal to the z-axis are prescribed in signZOutwardNormalList." conexPolyhedronVolumeIntegrate[g\_, {x\_, y\_, z\_}, allFaceNodes\_] := Module[{signZOutwardNormalList, signCorrectionList, i, funcList}, signZOutwardNormalList = Sign[#[[3]] & /@ outwardNormalsConvexPolyhedron[allFaceNodes]]; polyhedronVolumeIntegrate[g, {x, y, z}, allFaceNodes, signZOutwardNormalList]] polyhedronVolumeIntegrate[g\_, {x\_, y\_, z\_}, allFaceNodes\_, signZOutwardNormalList\_] := Module[{signCorrectionList, i, funcList}, signCorrectionList = ( (Sign[areaIntegrate[1, {x, y}, #] & /@ (extractXY /@ allFaceNodes)]) signZOutwardNormalList); funcList = Integrate[g, z] /. ((Solve[# == 0, z] // Flatten) & /@ (LhsEquationOfAPlane[#, {x, y, z}] & /@ allFaceNodes)); Sum[signCorrectionList[[i]] \* areaIntegrate[funcList[[i]], {x, y},

Part[extractXY /@ allFaceNodes, i]], {i, funcList // Length}]]

# example

#### 5.1.1 Auxiliary functions for volume integration

```
auxiliary functions
extractXY
extractXY[xyz_] := ({#[[1]], #[[2]]}) & /@ Partition[Flatten[xyz], 3];
normalToPlane
normalToPlane[h_, {x_, y_, z_}] :=
  Module[{m}, m = D[h, #] & /@ {x, y, z}; m / Sqrt[m.m]];
outwardNormal[p_?MatrixQ, interiorPoint_] :=
 Module[{x, y, z, draftNormal, directionOfOutwardRay, av},
  av[x_] := Plus@@x/Length[x];
  \label{eq:draftNormal} \textit{draftNormal = normalToPlane[LhsEquationOfAPlane[p, \{x, y, z\}], \{x, y, z\}];}
  directionOfOutwardRay = av[p] - interiorPoint;
  If[directionOfOutwardRay.draftNormal < 0, -draftNormal, draftNormal]</pre>
 ]
outwardNormalsConvexPolyhedron[nodesOfFaces_] :=
 Module[{interiorPoint, allNodes, av},
  av[x_] := Plus@@x/Length[x];
  allNodes = Partition[Flatten[nodesOfFaces], 3] // Union;
  interiorPoint = av[allNodes];
  outwardNormal[#, interiorPoint] & /@ nodesOfFaces
 ]
inPlaneQ
inPlaneQ[x_] := Module[{bs},
  If [Length [x] === 3, True, (bs = Prepend [#, 1] & @ x; Max [Abs [Det @]]
           (Prepend[#, First[bs]] & /@
             Partition[Rest[bs], 3, 1])]] < 10^-6)]]
normalToPlane
normalToPlane[h_, {x_, y_, z_}] := Module[{m}, m = D[h, #] & /@ {x, y, z}; m / Sqrt[m.m]]
LHSequationOfAPlane
LhsEquationOfAPlane[p_, {x_, y_, z_}] :=
 If[inPlaneQ[p], Expand[
    \mathtt{Det}\left[\mathtt{Append}\left[\sharp,\,1\right]\,\&\,/@\,\mathtt{Append}\left[\mathtt{Take}\left[\mathsf{p},\,3\right],\,\left\{\mathsf{x},\,\mathsf{y},\,\mathsf{z}\right\}\right]\right]\right],
  Print["points ", p, "are not co-planar"]]
```

## 5.2 Appendix-II: Mathematica Package and Example

## 5.2.1 Developing the rules

# Example-1: Integration rule

June 27

Author: Gautam Dasgupta

# four parts for terms

```
code: solidToVolumeIntegral
    Clear[solidToVolumeIntegral];
    solidToVolumeIntegral[f_, {x_, y_, z_}, {nodes_, faceIndices_}] :=
     Module[{faceNodes},
       faceNodes =
        Partition[nodes[[#]] & /@ Flatten[faceIndices], Length[faceIndices[[1]]]];
       volumeIntegrate[f, {x, y, z}, faceNodes]
    code: integrator
Clear[integrator];
    integrator[func_, {x_, y_, z_}, { p1Terms_, p2Terms_, p3Terms_, p4Terms_},
       {valueP4Terms_, valueP3Terms_, valueP2Terms_, valueP1Terms_}] :=
     Module[{elmVol = valueP1Terms[[1]]},
       elmVol * (((((Expand[func] /. Thread[
                                  (valueP4Terms / elmVol)])
                    p4Terms →
                /. Thread[ p3Terms → (valueP3Terms / elmVol)]) /.
              Thread[ p2Terms → (valueP2Terms / elmVol)])
          ) /. Thread[ plTerms
                                     → (valueP1Terms / elmVol)])
     ]
    polynomial data
     p1Terms = \{1, x, y, z\}; p2Terms = \{x^2, xy, y^2, xz, yz, z^2\};
    p3Terms = \{x^3, x^2y, xy^2, y^3, x^2z, xyz, y^2z, xz^2, yz^2, z^3\}; p4Terms =
      \{x^4, x^3y, x^2y^2, xy^3, y^4, x^3z, x^2yz, xy^2z, y^3z, x^2z^2, xyz^2, y^2z^2, xz^3, yz^3, z^4\};
     {valueP4Terms, valueP3Terms, valueP2Terms, valueP1Terms} =
     solidToVolumeIntegral[{p4Terms, p3Terms, p2Terms, p1Terms}, page 22 of 24—
       {x, y, z}, {nodes, faceIndices}]
                                             83
```

#### 2 | fourParts-3.nb

Example

owgry∞ True

## 5.2.2 Example of developing the rules

```
element data
{0, 1.368066309144244\, 1.305989793327691\},
      {0, 0, 1}, {1.216079880285841, 0, 1.23925741120344,},
      {1.597930989278036`, 1.90818293399255`, 1.7411801057262242`}
≈⊚ faceIndices =
     \{\{1, 4, 3, 2\}, \{5, 8, 7, 6\}, \{6, 5, 1, 2\}, \{7, 3, 4, 8\}, \{2, 3, 7, 6\}, \{5, 8, 4, 1\}\}
    calculated results
walueP4Terms = {1.4692184020676273, 1.3008258816213933, 1.391055637976948,
      1.684639189614494, 2.4153018320293618, 1.2184569407424475,
      1.1425465853282244, 1.3145355635675942, 1.836793786704385,
      1.2162187222826766, 1.2267934933996645, 1.6271444555393084,
      1.3793529251241945, 1.6108494493895607, 1.8779826716598929}
valueP3Terms = {1.375724277679978`, 1.2353866617421558`, 1.3997396270453801`,
      1.9729269721181257`, 1.1595858030999338`, 1.13712469543483`, 1.517503755878869`,
      1.2319095628467431, 1.4249475541728107, 1.6467759711183976}
    valueP2Terms = {1.3762442046785648, 1.298072609873346, 1.7342150490206258,
      1.2225410787060726, 1.3953906401333516, 1.5473082043008088}
valueP1Terms = {2.2234367654359333`,
      1.539118468423933, 1.7184382389677864, 1.6300687226378585}
    verification
```

selfTest = integrator[Flatten[{ p4Terms, p3Terms, p2Terms, p1Terms}],

{valueP4Terms, valueP3Terms, valueP2Terms, valueP1Terms}] == Flatten[{valueP4Terms, valueP3Terms, valueP2Terms, valueP1Terms}]

{x, y, z}, { p1Terms, p2Terms, p3Terms, p4Terms},

# Appendix-IV

June 26, 2013

Author: Gautam Dasgupta

# Auxiliary functions

```
Global`x; Global`y; Global`z; xyz = {x, y, z}
\{\textbf{x,y,z}\}
yFromUVW
\gamma From UVW [ \{u_, v_, w_\}, \{x_, y_, z_\} ] :=
 \{D[\,u\,,\,\,y\,]\,+\,D[\,v\,,\,\,x\,]\,\,,\,\,\,D[\,v\,,\,\,z\,]\,+\,D[\,w\,,\,\,y\,]\,\,,\,\,\,D[\,w\,,\,\,x\,]\,+\,D[\,u\,,\,\,z\,]\,\}
nextMode
Clear[nextMode];
nextMode::usage =
 "nextMode[thisMode,xyz] generates the mode by rotating left the
   coordinate system xyz from the given this Modes."
nextMode[thisMode ?VectorQ, xyz ] := RotateRight[
  thisMode /. Thread[xyz → RotateLeft[xyz]]]
nextMode[theseModes_?MatrixQ, xyz_] := nextMode[#, xyz] & /@ theseModes
nextMode[thisMode,xyz] generates the mode by rotating
  left the coordinate system xyz from the given this Modes.
nextMode[theseModes ?MatrixQ] := nextMode[theseModes, xyz]
dilatation
Clear[dilatation];
dilatation::usage = "dilatation[s_List,{x, y, z}]
returns ilatation for s in x,y,z."
dilatation[s List, {x, y, z}]
returns ilatation for s in x,y,z.
dilatation[s_List, xyz_] :=
 (D[\#[[1]], xyz[[1]]] + D[\#[[2]], xyz[[2]]] + D[\#[[3]], xyz[[3]]]) \&[s]
```

```
dilatation[s_?MatrixQ, \{x_, y_, z_\}] := Flatten[dilatation[\#, \{x, y, z\}] \& s]
dilatation[s_List] := dilatation[s, {x, y, z}]
dilatation[s_] := dilatation[Partition[Flatten[s], 3]] // Flatten
shearStrainsFromUVW
Clear[shearStrainsFromUVW];
shearStrainsFromUVW:: wsage = "shearStrainsFromUVW[{u,v,w}, {x,y,z}]
returns the shear strain tensor
        components forthe coupled displacement field {u,v,w},
in {x, y, z} coordinates."
shearStrainsFromUVW[\{u,v,w\}, \{x,y,z\}]
returns the shear strain tensor
     components for the coupled displacement field {u,v,w},
in {x, y, z} coordinates.
shearStrainsFromUVW[\{u\_, v\_, w\_\}, \{x\_, y\_, z\_\}] :=
   {D[u, y] + D[v, x], D[v, z] + D[w, y], D[w, x] + D[u, z]} / 2
equilibriumPart
Clear[equilibriumPart];
equilibriumPart::ussage = "equilibriumPart[uvw, {x,y,z},v]
return the part of uvw in x,y,z that
        satisfies equilibrium equation for Poisson's ratio v."
equilibriumPart[uvw,\{x,y,z\},\gamma]
return the part of uvw in x,y,z that
     satisfies equilibrium equation for Poisson's ratio v.
equilibriumPart[uvw_, \{x_, y_, z_\}, v_: v\} := Module[\{sol\}, v_: v]
     sol = Select[SolveAlways[Thread[
                   (D[\#[[1]], x] + D[\#[[2]], y] + D[\#[[3]], z]) & /@stressesFromUVW[uvw,]
                          \{x, y, z\}, \nu == 0], xyz], Not[MemberQ[\sharp, \nu \rightarrow aaa_]] &] // Flatten;
     Simplify[uvw //. sol]
  1
equilibriumPart[uvw_] := equilibriumPart[uvw, {x, y, z}]
shearStrainsFromUVW
shear Strains From UVW [uvw\_List, \{x\_, y\_, z\_\}] := Simplify [\{D[\#[[1]], y] + D[\#[[2]], x], A[x]\} + D[\#[[2]], x] = C[X] + D[X] + D[X]
             D[\#[[2]], z] + D[\#[[3]], y],
             D[\#[[3]], x] + D[\#[[1]], z]  & [uvw]]
shearStrainsFromUVW[uvw_] := shearStrainsFromUVW[uvw, {x, y, z}]
shearStrainsFromUVW[uvw_?MatrixQ] := shearStrainsFromUVW[#, {x, y, z}] & /@ uvw
```

#### strainsFromUVW

```
Clear[strainsFromUVW];
strainsFromUVW:: usage = "strainsFromUVWUVW[uvw, xyz]
returns the strain tensor
   associated with the coupled displacement field \{u,v,w\},
in {x, y, z} coordinates."
strainsFromUVW[uvw_?VectorQ, xyz_?VectorQ] := Module[{deformationGradient},
  deformationGradient = Outer[D, uvw, xyz];
  Simplify[(deformationGradient + Transpose[deformationGradient]) / 2]
strainsFromUVWUVW[uvw, xyz]
returns the strain tensor
  associated with the coupled displacement field \{u,v,w\},
in {x, y, z} coordinates.
strainsFromUVW[uvw_] := strainsFromUVW[uvw, xyz]
strainsFromUVW[uvws_?MatrixQ, xyz_?VectorQ] := strainsFromUVW[#, xyz] & /@uvws
stressesFromUVW
Clear[stressesFromUVW];
stressesFromUVW::wsage = "stressesFromUVW[uvw, xyz,v,\mu]
returns the stress tensor for shear modulus \mu (optional parameter unity) and
   Poisson's ratio v associated with the coupled displacement field \{u,v,w\},
in {x, y, z} coordinates."
stressesFromUVW[uvw_, xyz_, \nu_, \mu_: 1] := Module \left[\left\{\lambda = 2 \,\mu * \frac{\nu}{(1-2 \,\nu)}, \text{ strainTensor}\right\}\right]
  strainTensor = strainsFromUVW[uvw, xyz];
  Simplify[((Sum[strainTensor[[i, i]], \{i, 3\}]) * \lambda * IdentityMatrix[3]]
    ) + 2 \mu strainTensor]
stressesFromUVW[uvw, xyz,\nu,\mu]
returns the stress tensor for shear modulus \mu (optional parameter unity) and
  Poisson's ratio \vee associated with the coupled displacement field \{u,v,w\},
in \{x, y, z\} coordinates.
stressesFromUVW[uvw_, xyz_] := stressesFromUVW[uvw, xyz, v]
stressesFromUVW[uvw_?VectorQ] := stressesFromUVW[uvw, {x, y, z}]
stressesFromUVW[uvw_?MatrixQ] := stressesFromUVW /@uvw
stressesFromUVW[uvw_] := stressesFromUVW /@ Partition[Flatten[uvw], 3]
```

```
equilibriumQ
Clear[equilibriumQ];
equilibriumQ::usage = "equilibriumQ[\{u,v,w\}, \{x, y, w\}]
         z}, v] returns True if the coupled displacement field {u,v,w},
in {x, y, z} coordinates satisfy equilibrium for
          (optional parameter) Poisson's ratio v."
equilibriumQ[uvw_?VectorQ, \{x_{,} y_{,} z_{,} v_{,} 
          (D[\#[[1]], x] + D[\#[[2]], y] + D[\#[[3]], z]) & /@
               stressesFromUVW[uvw, \{x, y, z\}, \nu] == 0]
equilibriumQ[\{u,v,w\}, \{x, y, z\}, \forall]
      returns True if the coupled displacement field {u,v,w},
in \{x, y, z\} coordinates satisfy equilibrium for
       (optional parameter) Poisson's ratio v.
equilibriumQ[uvw_?VectorQ] := equilibriumQ[uvw, {x, y, z}]
equilibriumQ[uvw_?MatrixQ] := And@@ (equilibriumQ[#, {x, y, z}] & /@ uvw)
equilibriumQ[uvw_] := equilibriumQ[Partition[Flatten[uvw], 3]]
termCount
Clear[termList];
termList:: usage = "termList[x]
         returns the list of parameters in x."
termList[x ] := Cases[
        Flatten[
            x /. Thread[{Power, Times, Plus} \rightarrow List]], a_[b_]] // Union
termList[x]
      returns the list of parameters in x.
Clear[termCount];
termCount::us @ g = "termCount[x] returns the number of parameters in x."
termCount[x_] := termList[x] // Length
termCount[x] returns the number of parameters in x.
```

# Calculations

```
polynomials
  linearTerms = Flatten[{1, xyz}]
  {1, x, y, z}
```

```
poly2 = (List@@Expand[(Plus@@linearTerms)^2]) /. c_Integer * \alpha_{-} \rightarrow \alpha
\{1, x, x^2, y, xy, y^2, z, xz, yz, z^2\}
quadraticTerms = Complement[poly2, linearTerms]
\{x^2, xy, y^2, xz, yz, z^2\}
poly3 = (List@@Expand[(Plus@@linearTerms)^3]) /. c_Integer * \alpha_{-} \rightarrow \alpha
\{1, x, x^2, x^3, y, xy, x^2y, y^2, xy^2, y^3, z, xz, x^2z, yz, xyz, y^2z, z^2, xz^2, yz^2, z^3\}
cubicTerms = Complement[poly3, poly2]
\{x^3, x^2y, xy^2, y^3, x^2z, xyz, y^2z, xz^2, yz^2, z^3\}
poly = Flatten[{linearTerms, quadraticTerms, cubicTerms}]
\{1, x, y, z, x^2, xy, y^2, xz, yz, z^2, x^3, x^2y, xy^2, y^3, x^2z, xyz, y^2z, xz^2, yz^2, z^3\}
u = poly. Array[a, Length[poly]]; TeXForm[u]
Full cubic representation:
v = u /. \{a \rightarrow b, x \rightarrow y, y \rightarrow z, z \rightarrow x\};
w = v /. \{b \rightarrow c, x \rightarrow y, y \rightarrow z, z \rightarrow x\};
uvw = \{u, v, w\};
vxyz = \{v, x, y, z\};
linearModes
uvwLinearTerms = uvw /. a_[b_/; b > 4] \rightarrow 0
\{a[1] + x a[2] + y a[3] + z a[4], b[1] + y b[2] + z b[3] + x b[4], c[1] + z c[2] + x c[3] + y c[4]\}
all terms are significant
varLinear = uvwLinearTerms // termList
\{a[1], a[2], a[3], a[4], b[1], b[2], b[3], b[4], c[1], c[2], c[3], c[4]\}
linearModes = Evaluate[ToExpression[StringJoin["mode", #]] & /@
      (ToString /@ Range[Length[varLinear]])] = (uvwLinearTerms //.#) & /@
    (Thread[varLinear → #] & /@ IdentityMatrix[Length[varLinear]])
TeXForm[linearModes // Transpose]
quadraticModes
uvwQuadratic = uvw /. a_[b_/; (b < 5 | | b > 10)] \rightarrow 0
uvwQuadraticEquilibrium = equilibriumPart[uvwQuadratic]
```

```
{x^2 a[5] + y^2 a[7] + y z a[9] + z^2 a[10] +}
     x y (4 (-1 + v) b[5] + (-2 + 4 v) b[7] - 2 b[10] + 4 v b[10] - c[8]) -
     x z (b[6] + 2 (-2 (-1 + v) c[5] - (-1 + 2 v) (c[7] + c[10]))), y^2 b[5] + y z b[6] + z^2 b[7] +
     x z b[9] + x^2 b[10] + x y (4 (-1 + v) a[5] + (-2 + 4 v) a[7] - 2 a[10] + 4 v a[10] - c[6]),
   z^{2}c[5] + xzc[6] + x^{2}c[7] + yzc[8] + xyc[9] + y^{2}c[10]
   (uvwQuadraticEquilibrium[[1]] // Expand) // TeXForm
   (uvwQuadraticEquilibrium[[2]] // Expand) // TeXForm
   (uvwQuadraticEquilibrium[[3]] // Expand) // TeXForm
   \sigmaQuadratic = stressesFromUVW[uvwQuadraticEquilibrium, xyz, \nu]
shear free terms
  impose zero shear
  zeroShearQuadraticSol = Select[
      SolveAlways[Thread[shearStrainsFromUVW[uvwQuadraticEquilibrium] == 0], xyz],
      Not [MemberQ[ \#, \nu \rightarrow aaa_{}] &] // Flatten
  zeroShearQuadraticTerms = uvwQuadraticEquilibrium //. zeroShearQuadraticSol
  vars = termList[zeroShearQuadraticTerms]
   {a[5], a[10], b[5], b[7], c[5], c[10]}
  quadraticModes = Simplify[
     Evaluate [ToExpression [StringJoin ["mode", #]] & /@ (ToString /@ Range [13, 18])] =
      (zeroShearQuadraticTerms //. #) & /@
       (Thread[vars → #] & /@ IdentityMatrix[Length[vars]])
  equilibriumQ[quadraticModes]
  True
  shearStrainsFromUVW[quadraticModes] // Simplify
   \{\{0,0,0\},\{0,0,0\},\{0,0,0\},\{0,0,0\},\{0,0,0\},\{0,0,0\}\}\}
  MatrixForm /@ (strainsFromUVW[quadraticModes] // Simplify)
```

# cubicModes

```
cubic
Modes
uvwCubic = uvw /. a_[b_/; (b < 11)] \rightarrow 0
uvwCubicEquilibrium = uvwCubic // equilibriumPart
```

#### Element

```
nodes = {{0, 1, 0}, {0, 0, 0}, {1, 0, 0}, {1.202715965606913`, 1.314215366298273`, 0},
    {0, 1.368066309144244`, 1.305989793327691`},
    {0, 0, 1}, {1.216079880285841`, 0, 1.23925741120344`},
    {1.597930989278036`, 1.90818293399255`, 1.7411801057262242`}}
```

# **b**Matrix

bMatrix = BMatrix3D[allModes, xyz]

bMatrix1 = BMatrix3D[linearModes, xyz]

bMatrix2 = BMatrix3D[quadraticModes, xyz]

bMatrix3 = BMatrix3D[cubicModes, xyz]

TeXForm[bMatrix1]

TeXForm[bMatrix2]

TeXForm[bMatrix3]

d = DMatrix3D[v]

```
TeXForm[d]
```

```
bTdb = Expand[Transpose[bMatrix].(d.bMatrix)];
```

# develop stiffness integration

```
bTdb[[24, 24]]
\frac{x^2\;y^2}{4}\;+\;\frac{y^4}{16}\;+\;\frac{x^2\;z^2}{4}\;-\;\frac{y^2\;z^2}{8}\;+\;\frac{z^4}{16}\;-\;\frac{y^2\;z^2\;\vee}{1\;-\;2\;\vee}\;-\;\frac{y^2\;z^2}{-\;1\;+\;2\;\vee}\;+\;\frac{y^2\;z^2\;\vee}{-\;1\;+\;2\;\vee}
elementVolume = 2.2234367654359333
2.22344
quarticRule = Thread
   \{x^4, x^3y, x^2y^2, xy^3, y^4, x^3z, x^2yz, xy^2z, y^3z, x^2z^2, xyz^2, y^2z^2, xz^3, yz^3, z^4\} \rightarrow
    {1.4692184020676273, 1.3008258816213933, 1.391055637976948,
       1.684639189614494, 2.4153018320293618, 1.2184569407424475,
       1.1425465853282244, 1.3145355635675942, 1.836793786704385,
       1.2162187222826766, 1.2267934933996645, 1.6271444555393084,
       1.3793529251241945, 1.6108494493895607, 1.8779826716598929} / elementVolume
cubicRule =
 Thread [ \{ x^3, x^2y, xy^2, y^3, x^2z, xyz, y^2z, xz^2, yz^2, z^3 \} \rightarrow \{1.375724277679978^*, yz^2, yz^3, z^3 \} 
       1.2353866617421558, 1.3997396270453801, 1.9729269721181257,
       1.1595858030999338, 1.13712469543483, 1.517503755878869,
       1.2319095628467431, 1.4249475541728107, 1.6467759711183976} / elementVolume
quadraticRule = Thread \{x^2, xy, y^2, xz, yz, z^2\} \rightarrow
    {1.3762442046785648, 1.298072609873346, 1.7342150490206258,
       1.2225410787060726, 1.3953906401333516, 1.5473082043008088} / elementVolume
\{x^2 \rightarrow 0.618972, xy \rightarrow 0.583814, y^2 \rightarrow 0.77997,
 x z \rightarrow 0.549843, y z \rightarrow 0.627583, z^2 \rightarrow 0.695908
linearRule = Thread[{1, x, y, z} -> {2.2234367654359333`, 1.539118468423933`,
       1.7184382389677864, 1.6300687226378585} / elementVolume]
\{1 \rightarrow 1., x \rightarrow 0.692225, y \rightarrow 0.772875, z \rightarrow 0.73313\}
elementStiffnessMatrix =
 ((((bTdb /. \{v \rightarrow .25\}) /. quarticRule) /. cubicRule) /. quadraticRule) /. linearRule
Eigenvalues[elementStiffnessMatrix] // Chop
```

```
Partition[
        {146.7163746154547`, 67.79126237448892`, 18.30397222748412`, 9.526653215400222`,
         2.884077252692104`, 2.2953305015127063`, 2.1952773520088753`,
         2.045200439968091, 1.0807298108717698, 0.7959409439230846,
         0.5105533728254921, 0.504974298301407, 0.3898058307950653,
         0.1489659214262449, 0.1329884704859719, 0.07284627783297316,
         0.06197070216079959, 0.05148260334446004, 0, 0, 0, 0, 0, 0, 0} / Texform
Outesy/YaxForms
      \left(
      \begin{array}{ccccc}
       146.716 & 67.7913 & 18.304 &
         9.52665 & 2.88408 & 2.29533
         //
       2.19528 & 2.0452 & 1.08073 &
         0.795941 & 0.510553 &
         0.504974 \\
       0.389806 & 0.148966 & 0.132988
         & 0.0728463 & 0.0619707 &
         0.0514826 \\
       0 & 0 & 0 & 0 & 0 \\
      \end{array}
      \right)
```

CU Ref. No.: IR# CU14062 Attorney Docket No.: 10065-509P01US PRIVILEGED & CONFIDENTIAL

#### WHAT IS CLAIMED IS:

1. A computer-implemented method comprising:

performing three-dimensional mechanical analysis for finite element analysis based on indefinite integral determined algebraically, and based on Poisson's ratio dependent shape functions satisfying equilibrium throughout a finite element.

- 2. The method of claim 1, wherein the finite element includes a three-dimensional brick element.
- 3. The method of claim 1, wherein performing the three-dimensional mechanical analysis comprises:

constructing locking-free, three-dimensional brick elements, including deriving cubic polynomials dependent upon Poisson's ratio(s) in the physical (x, y, z) coordinates for a plurality of twenty four Rayleigh modes.

CU Ref. No.: IR# CU14062 Attorney Docket No.: 10065-509P01US PRIVILEGED & CONFIDENTIAL

#### ABSTRACT

disclosed herein are methods, procedures, systems, devices, products, and other implementations, including a computer-implemented method that includes performing three-dimensional mechanical analysis for finite element analysis based on indefinite integral determined algebraically, and based on Poisson's ratio dependent shape functions satisfying equilibrium throughout a finite element. In some embodiments, the finite element includes a three-dimensional brick element.

Electronic Patent Application Fee Transmittal					
Application Number:					
Filing Date:					
Title of Invention:		CHANICAL ANALYS EMENTS	IS WITH HIGH A	ACCURACY THREE-	DIMENSIONAL FINITE
First Named Inventor/Applicant Name:	Gautam Dasgupta				
Filer:	Ido Rabinovitch./Leslie Miller				
Attorney Docket Number:	10065-509P01US				
Filed as Large Entity					
Provisional Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Provisional Application Filing		1005	1	260	260
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			260

Electronic Acknowledgement Receipt				
EFS ID:	16829491			
Application Number:	61876658			
International Application Number:				
Confirmation Number:	4908			
Title of Invention:	MECHANICAL ANALYSIS WITH HIGH ACCURACY THREE-DIMENSIONAL FINITE ELEMENTS			
First Named Inventor/Applicant Name:	Gautam Dasgupta			
Customer Number:	97218			
Filer:	Ido Rabinovitch./Leslie Miller			
Filer Authorized By:	Ido Rabinovitch.			
Attorney Docket Number:	10065-509P01US			
Receipt Date:	11-SEP-2013			
Filing Date:				
Time Stamp:	19:06:52			
Application Type:	Provisional			
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Submitted with Payment	yes
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Payment was successfully received in RAM	\$260
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1	Application Data Sheet 10065-509P01US_ADS.pdf	1504893	no	6	
·	Application Data Silect	10003 3031 0103_7.B3.pai	fa369a58732bbe84b92f82962ed6e3ce6e2 5f253	110	
Warnings:					
Information:					
2 Specification	Specification	10065-509P01US_APPL.pdf	967550	no	96
2 Specification	openitation.	10003 3031 0103_, 11 Lipai	6e74847564380ec919da91ddd7f4eb4a53c fc8bd		
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3 Fee Worksheet (SB06)	Fee Worksheet (SB06)	fee-info.pdf	29808	no	2
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Seq No: 6315 Sales Acctg Dt: 09/12/2013 61876658 01 FC: 1005 -260.00 OP