

# EXISTENCE AND STABILITY OF SOLITONS FOR THE NONLINEAR SCHRÖDINGER EQUATION ON HYPERBOLIC SPACE

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ABSTRACT. We study the existence and stability of ground state solutions or solitons to a nonlinear stationary equation on hyperbolic space. The method of concentration compactness applies and shows that the results correlate strongly to those of Euclidean space.

## 1. INTRODUCTION

In this note, we explore the existence of positive bound state solutions to the nonlinear Schrödinger equation on hyperbolic space ( $\mathbb{H}^d$ -NLS)

$$(1.1) \quad \begin{cases} iu_t + \Delta_{\mathbb{H}^d} u + f(|u|)u = 0, & \Omega \in \mathbb{H}^d \\ u(0, \Omega) = u_0(\Omega), \end{cases}$$

where  $\Delta_{\mathbb{H}^d}$  is the (non-positive definite) Hyperbolic Laplacian and

$$f(s) = s^p$$

for  $\frac{4}{d-2} > p > 0$ . Specifically, we seek to find solutions of the form

$$u(t, \Omega) = e^{i\lambda t} R_\lambda(\Omega),$$

where  $R_\lambda$  is a solution for the resulting stationary problem

$$(1.2) \quad -\Delta_{\mathbb{H}^d} R_\lambda + \lambda R_\lambda - R_\lambda^{p+1} = 0.$$

Our main result is the following theorem.

**Theorem 1.** *Fix  $d \geq 2$ . For all  $\lambda \in (-\left(\frac{d-1}{2}\right)^2, \infty)$ ,  $\frac{4}{d-2} > p > 0$ , there exists a solution to (1.1) of the form*

$$u(t, \Omega) = e^{i\lambda t} R_\lambda(\Omega),$$

where  $R_\lambda$  is a positive, decreasing, spherically symmetric solution of the equation (1.2).

**Remark 1.1.** At the time of announcing this result, the authors have been informed of a brief note by A. Pankov [Pan92] outlining a proof of a similar result.

**Remark 1.2.** The hypothesis  $p < 4/(d-2)$  is the  $H^1$ -energy sub-critical regime. The result in Theorem 1 is precisely analogous to the existence of ground states for Schrödinger equations on Euclidean space. The key here is that although the results are not drastically different in the case of hyperbolic geometry, there are subtle difficulties that must be overcome. However, as will be seen in the sequel, with the correct formulation the existence of solutions  $R_\lambda$  will be almost automatic due precisely to the nature of the background geometry at infinity. Hence one is able to show existence of such solutions for in fact a rather larger class of nonlinearities which actually *grow* exponentially at infinity (see Section 7).

**Remark 1.3.** In this note we analyze the existence and stability of ground state solutions,  $R_\lambda$ , but we say nothing about the uniqueness of such a solution. There exists a very rich history of uniqueness proofs in the Euclidean case using shooting methods on the radial problem, which we believe should apply in this case as well. For a survey of uniqueness results, see [McL93]. In addition, there are many interesting questions surrounding bound states once they are shown to exist, for instance the existence of a specific blow-up profile for a critical nonlinearity, if the hyperbolic geometry provides one with asymptotic stability for a wider range of nonlinearities due to the stronger dispersion, and many others.

**1.1. Hyperbolic Space.** There are several equivalent definitions of  $\mathbb{H}^d$ . The most intuitive is as an embedded hyperboloid in  $\mathbb{R}^{d+1}$ :

$$\mathbb{H}^d = \{v = (v_0, v') \in \mathbb{R}^{1+d} \mid \langle v, v \rangle = 1, v_0 > 0\},$$

where

$$\langle v, v \rangle = v_0^2 - |v'|^2 = v_0^2 - (v_1^2 + \dots + v_d^2).$$

The Lorentzian metric on  $\mathbb{R}^d$ ,

$$dt^2 = dv^2 - dv_0^2,$$

restricts to a positive definite metric on  $\mathbb{H}^d$ , called the hyperbolic metric. This manifold is isometric to the upper half space

$$\{x \in \mathbb{R}^d : x_1 > 0\}$$

equipped with the metric

$$ds^2 = \frac{dx^2}{x_1^2},$$

as well as to the Poincaré ball model:

$$\mathbb{H}^d = \{z \in \mathbb{R}^d : |z| < 1\}$$

with the metric

$$ds^2 = \frac{4dz^2}{(1 - |z|^2)^2}.$$

In this note, we wish to exploit certain spherical symmetries, so we use the polar model:

$$\mathbb{H}^d = \{(t, x) \in \mathbb{R}^{1+d} \mid (t, x) = (\cosh(r), \sinh(r)\omega), r \geq 0, \omega \in \mathbb{S}^{d-1}\}.$$

From the polar coordinate parametrization of  $\mathbb{H}^d$ , we see

$$dt = \sinh(r)dr, \quad dx = \cosh(r)\omega dr + \sinh(r)d\omega,$$

giving the metric

$$ds^2 = dr^2 + \sinh^2 r d\omega^2$$

from the standard Lorentzian metric restricted to  $\mathbb{H}^d$ . Hence, we see

$$(1.3) \quad \Delta_{\mathbb{H}^d} = \partial_r^2 + (d-1) \frac{\cosh r}{\sinh r} \partial_r + \frac{1}{\sinh^2 r} \Delta_{\mathbb{S}^{d-1}}.$$

It is a standard exercise in differential geometry to show hyperbolic space has constant sectional curvatures all equal to  $-1$ .

1.2. **Sketch of the proof.** In hyperbolic space, we have the conserved quantities

$$Q(u) = \int_{\mathbb{H}^d} |u|^2 d\Omega$$

and

$$(1.4) \quad E(u) = \int_{\mathbb{H}^d} \left( |\nabla_{\mathbb{H}^d} u|^2 - \frac{2}{p+2} |u|^{p+2} \right) d\Omega,$$

and the approach of this paper is to realize solitons as minimizers for certain constrained minimization problems related to these quantities, and mimic the arguments used in the Euclidean setting.

We use the polar representation of  $\mathbb{H}^d$ , in which case the hyperbolic Laplacian  $\Delta_{\mathbb{H}^d}$  can be conjugated to the Euclidean Laplacian  $\Delta_{\mathbb{R}^d}$ , modulo a potential term and an angular offset term (see Section 4 below). After this conjugation, we are left with an equivalent optimization problem in Euclidean space (see (4.2)). This minimization problem has an awkward angular term, so it is greatly simplified by assuming spherical symmetry of a minimizing sequence. To prove this simplification is justified, we first prove any minimizing sequence of the problem *in hyperbolic space* is spherically symmetric. Conjugating the problem to Euclidean space amounts to replacing the minimizing sequence with the sequence multiplied by a positive, radial function, so conjugation *preserves the spherical symmetry*. Then we study the minimization problem in Euclidean space assuming spherical symmetry, in which case it is equivalent to a minimization problem with the standard Euclidean Laplacian. Finally, the solution to this problem must be spherically symmetric, since if it were not, conjugating back to the equivalent problem in hyperbolic space would yield a non spherically symmetric minimizer - a contradiction.

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## 2. PREVIOUS RESULTS

In this section, we summarize known results for soliton existence in  $\mathbb{R}^d$  and some of the recent work on HNLS.

We first recall the relevant definitions for solitons in Euclidean space. Let  $u(t, x)$  be a solution to the following Euclidean nonlinear Schrödinger equation (NLS):

$$(2.1) \quad \begin{cases} iu_t + \Delta_{\mathbb{R}^d} u + f(|u|)u = 0, & x \in \mathbb{R}^d \\ u(0, x) = u_0(x), \end{cases}$$

where  $\Delta_{\mathbb{R}^d}$  is the (non-positive definite) Laplacian and

$$f(s) = s^p$$

for  $\frac{4}{d-2} > p > 0$ .

A soliton solution in Euclidean space is of the form

$$u(t, x) = e^{i\lambda t} R_\lambda(x)$$

where  $\lambda > 0$  and  $R_\lambda(x)$  is a positive, spherically symmetric, exponentially decaying solution of the equation

$$(2.2) \quad \Delta R_\lambda - \lambda R_\lambda + f(R_\lambda)R_\lambda = 0.$$

There are two conserved quantities for sufficiently regular solutions  $u$  to NLS:

$$Q(u) := \int_{\mathbb{R}^d} |u|^2 dx$$

and

$$E(u) := \int_{\mathbb{R}^d} (|\nabla u|^2 - F(|u|)) dx,$$

where  $F(|u|) = |u|^{p+2}/(p+2)$  (more general nonlinearities can also be considered by replacing  $F$  with the integral of  $f$ ; see Section 7 for a discussion of other nonlinearities in the case of hyperbolic space studied in this paper). With this type of power nonlinearity, soliton solutions exist and are known to be unique. Existence of solitary waves for a wide variety of nonlinearities is proved in [BL83] by *minimizing* the quantity

$$T(u) = \int_{\mathbb{R}^d} |\nabla u|^2 dx$$

with respect to the constraint

$$V(u) := -\frac{\lambda^2}{2} \int_{\mathbb{R}^d} |u|^2 dx + \int_{\mathbb{R}^d} F(|u|) dx = 1.$$

Then, using a minimizing sequence and Schwarz symmetrization, one sees the existence of the nonnegative, spherically symmetric, decreasing soliton solution. For uniqueness, see [McL93], where a shooting method is implemented to show that the desired soliton behavior only occurs for one particular initial value.

An important fact for these soliton solutions is that  $Q_\lambda = Q(R_\lambda)$  and  $E_\lambda = E(R_\lambda)$  are differentiable with respect to  $\lambda$ . This fact can be determined from the early works of Shatah, namely [Sha83], [Sha85]. By differentiating Equation (2.2),  $Q$  and  $E$  with respect to  $\lambda$ , we have

$$\partial_\lambda E_\lambda = -\lambda \partial_\lambda Q_\lambda.$$

Variational techniques developed by [Wei85] and [Wei86] and generalized in [GSS90] and [SS85] tell us that when  $\delta(\lambda) = E_\lambda + \lambda Q_\lambda$  is convex, or  $\delta''(\lambda) > 0$ , we are guaranteed orbital stability as will be defined in the sequel (see Section 6) under small perturbations, while for  $\delta''(\lambda) < 0$  we are guaranteed that the soliton is unstable under small perturbations.

In this note we expand these results on bound states to  $\mathbb{H}^d$ , following the work of Banica on well-posedness for focusing-HNLS in [Ban07]. The subsequent works of Banica-Carles-Staffilani ([BCS08]) and Ionescu-Staffilani ([IS08]) study the well-posedness of the defocusing-HNLS, though their methods apply broadly to the question of local well-posedness for either focusing or defocusing nonlinearities. In both the focusing and defocusing cases, the results parallel the Euclidean space results quite well, especially in dimension 3. We recall the results below only for  $\mathbb{H}^d$ , but for a collection of comparable results in  $\mathbb{R}^d$ , see the references contained within [Ban07], [BCS08], [IS08] or a general presentation of the theory is done quite nicely in the book by Sulem-Sulem ([SS99]).

In [Ban07], the following theorem is proved, which states roughly that well-posedness (existence, uniqueness and Lipschitz dependence upon initial data) in  $H^1$  and theory of blow-up (typically classified as a singularity for the quantity  $\int |\nabla u|^2 dx$  reached in finite time) for (1.1) are comparable to the results for the focusing, monomial nonlinear Schrödinger equation in  $\mathbb{R}^d$ . Specifically, it is stated that for  $p < \frac{4}{d}$ , there is global well-posedness and for  $p \geq \frac{4}{d}$  there is local well-posedness but also the possibility of finite time blow-up. These statements are collected in the following theorem.

**Theorem 2** (Banica). *For  $p < \frac{4}{d}$  the solutions to equation (1.1) with  $f(s) = s^p$  are global in  $H^1(\mathbb{H}^d)$ . Global existence still holds for the power  $p = \frac{4}{d}$  with initial data of mass smaller than a certain constant.*

However, for  $p \geq \frac{4}{d}$ , blow-up solutions exist. More precisely, if the initial data is radial and of finite variance

$$\int_{\mathbb{H}^d} |u_0(\Omega)|^2 \text{dist}^2(0, \Omega) d\Omega < \infty$$

and its energy satisfies

$$E(u_0) < c_d \|u_0\|_{L^2}^2,$$

then the solution blows up in finite time. Here,  $c_d$  is a geometric positive constant given by

$$c_d = \frac{\inf \Delta_{\mathbb{H}^d}^2 \text{dist}(0, \cdot)}{16}.$$

Recall that *scattering* to  $u_{\pm}$  for a solution to HNLS means that such a solution  $u(t, x)$  satisfies

$$\|u(t) - e^{i(t-t_0)\Delta_{\mathbb{H}^d}} u_{\pm}\|_{L^2} \rightarrow 0 \text{ as } t \rightarrow \pm\infty.$$

The idea is that asymptotically the nonlinear problem is essentially controlled by the linear component. A *wave operator*  $W_{\pm}$  is a well-defined map from the scattering data to data at time  $t_0$ :

$$W_{\pm} u_{\pm} = u(t_0).$$

Wave operators are injective by uniqueness, and we say *asymptotic completeness* occurs if they are also surjective. Obviously, this is not possible in the focusing case due to the existence of bound states as described in this paper.

For the defocusing equation, we state the following theorems here as the techniques used to prove Theorem 3 apply to the focusing problem when analyzing local well-posedness. As a result, they are applicable when proving persistence of radiality for solutions of HNLS.

In [BCS08], the following theorem about scattering for the defocusing, monomial nonlinear Schrödinger equation on  $\mathbb{H}^d$  is proved.

**Theorem 3** (Banica-Carles-Staffilani). *Let  $d \geq 2$ ,  $0 < p < \frac{4}{d}$  and  $t_0 \in \mathbb{R}$ . There exists  $\epsilon = \epsilon(d, p)$  such that if  $\varphi \in L_r^2(\mathbb{H}^d)$  with  $\|\varphi\|_{L^2} < \epsilon$ , then (1.1) with  $f(s) = -s^p$  and  $u|_{t=t_0} = \varphi$  has a solution*

$$u \in \mathcal{C}(\mathbb{R}; L^2) \cap L^{2+p}(\mathbb{R} \times \mathbb{H}^d).$$

Moreover,  $\|u(t)\|_{L^2} = \|\varphi\|_{L^2}$  for all  $t \in \mathbb{R}$ . There exist  $u_{\pm} \in L_r^2(\mathbb{H}^d)$  such that

$$\|u(t) - e^{i(t-t_0)\Delta_{\mathbb{H}^d}} u_{\pm}\|_{L^2} \rightarrow 0 \text{ as } t \rightarrow \pm\infty.$$

If we take initial time  $t_0 = -\infty$  (resp.  $t_0 = \infty$ ), then  $u_- = \varphi$  (resp.  $u_+ = \varphi$ ).

Also in [BCS08], the following theorem is proved.

**Theorem 4.** *Let  $d \geq 2$ ,  $0 < p < \frac{4}{d-2}$  ( $p < \infty$  for  $d = 2$ ) and  $t_0 = -\infty$ . For any  $\varphi = u_- \in H_r^1(\mathbb{H}^d)$ , there exists  $T < \infty$  such that the Cauchy integral formulation of (1.1) with  $f(s) = -s^p$  has a solution*

$$u \in \mathcal{C}(\mathbb{R}; L^2) \cap L^\infty([-\infty, -T]; H^1) \cap L^{2+p}([-\infty, -T]; W^{1,p+2}).$$

Moreover, this solution  $u$  is defined globally in time;  $u \in L^\infty(\mathbb{R}; H^1)$ . That is,  $u$  is the only solution to (1.1) with  $f(s) = -s^p$  such that

$$\|u(t) - e^{i(t-t_0)\Delta_{\mathbb{H}^d}} u_-\|_{H^1} = \|e^{-i(t-t_0)\Delta_{\mathbb{H}^d}} u(t) - u_-\|_{H^1} \rightarrow 0 \text{ as } t \rightarrow \infty.$$

In addition, the authors prove asymptotic completeness in the case  $d = 3$ .

In [IS08], the following theorem is proved.

**Theorem 5.** *Let  $d \geq 2$ ,  $0 < p < \frac{4}{d-2}$  ( $p < \infty$  for  $d = 2$ ) and  $q \in (2, (2d+4)/d]$  is fixed.*

*a. If  $u_0 \in H^1(\mathbb{H}^d)$  then there exists a unique global solution  $u \in C(\mathbb{R}; H^1(\mathbb{H}^d))$  of (1.1) with  $f(s) = -s^p$ . In addition for  $T \in [0, \infty)$ , the mapping*

$$u_0 \rightarrow e^{iT\Delta_{\mathbb{H}^d}}(u_0) = 1_{(-T, T)}(t) \cdot u$$

*is a continuous mapping from  $H^1$  to  $S_q^1(-T, T)$  and the conservation laws are satisfied, where*

$$S_q^1(I) = \left\{ f \in C(I : L^2(\mathbb{H}^d)) : \|f\|_{S_q^1} = \|(-\Delta_{\mathbb{H}^d})^{\frac{1}{2}}(f)\|_{S_q^0(I)} < \infty \right\},$$

$$S_q^0(I) = \left\{ f \in C(I : H^1(\mathbb{H}^d)) : \|f\|_{S_q^0} = \sup \left[ \|f\|_{L_T^{\infty, 2}}, \|f\|_{L_T^{q, r}}, \|f\|_{L_T^{q, q}} \right] < \infty \right\},$$

*and*

$$r = \frac{2dq}{dq - 4}.$$

*b. Asymptotic completeness occurs in  $H^1$ .*

Similar scattering results are obtained in the concurrent work [AP08].

### 3. RADIALITY ASSUMPTION

As mentioned in the introduction, the proof of Theorem 1 relies on conjugating  $\Delta_{\mathbb{H}^d}$  into an operator on Euclidean space, and then finding minimizers for the energy functional in (4.2). The problem of minimizing the functional (4.2) is greatly simplified assuming the functions involved depend only on the radius  $r = |x|$ , as then the minimization theory in  $\mathbb{R}^d$  may be used, since the term involving the angular derivatives vanishes. The purpose of this section is to justify such a simplification. Let us define a space  $H_r^1$  to be the space of all spherically symmetric functions in  $H^1$ .

The next lemma shows that spherically symmetric initial data implies a spherically symmetric solution to HNLS.

**Lemma 3.1.** *Let  $u$  be a solution to (1.1) with initial data  $u_0 \in H_r^1$  and the nonlinearity  $f(|u|)u = |u|^p u$  with  $\frac{4}{d-2} > p > 0$ . Then  $u \in H_r^1$ .*

The proof of this lemma is by uniqueness, which follows from the implicit local uniqueness following from the Strichartz estimates in [IS08].

Given Lemma 3.1, we show that any minimizer of (1.4) may be taken to be spherically symmetric, so that we may reduce the problem to a radial one. To do this, we modify the standard argument of [LL01, Lemma 7.17] in  $\mathbb{R}^d$ , using heat kernel arguments to show symmetric decreasing rearrangement or Schwarz symmetrization lowers the kinetic energy in  $\mathbb{H}^d$ . The symmetric decreasing rearrangement on  $\mathbb{H}^d$  is given by

$$f^*(\Omega) = \inf \{ t : \lambda_f(t) \leq \mu(B(\text{dist}(\Omega, 0))) \},$$

where  $\mu$  is the natural measure on  $\mathbb{H}^d$ ,  $\text{dist}$  is the hyperbolic distance function on  $\mathbb{H}^d$  and

$$\lambda_f(t) = \mu(\{|f| > t\}).$$

First of all, it is clear  $f^*$  is spherically symmetric, nonincreasing, lower semicontinuous and

$$\|f^*\|_{L^p(\mathbb{H}^d)} = \|f\|_{L^p(\mathbb{H}^d)}$$

for any  $1 \leq p \leq \infty$ .

**Lemma 3.2.** *Suppose  $f \in H^1(\mathbb{H}^d)$ , and  $f^*$  is the symmetric decreasing rearrangement of  $f$ . Then*

$$\|\nabla f^*\|_{L^2(\mathbb{H}^d)} \leq \|\nabla f\|_{L^2(\mathbb{H}^d)}.$$

*Proof.* We use standard Hilbert space theory as in [LL01]. Namely, we observe that the kinetic energy satisfies

$$\|\nabla f\|_{L^2(\mathbb{H}^d)} = \lim_{t \rightarrow 0} I^t(f),$$

where

$$I^t(f) = t^{-1}[(f, f)_{\mathbb{H}^d} - (f, e^{\Delta_{\mathbb{H}^d} t} f)_{\mathbb{H}^d}]$$

and  $(\cdot, \cdot)_{\mathbb{H}^d}$  is the natural  $L^2$  inner-product on  $\mathbb{H}^d$ . As  $(f, f) = (f^*, f^*)$  by construction, we need

$$(f^*, e^{\Delta_{\mathbb{H}^d} t} f^*)_{\mathbb{H}^d} \geq (f, e^{\Delta_{\mathbb{H}^d} t} f)_{\mathbb{H}^d}$$

in order to see that symmetrization decreases the kinetic energy. In  $\mathbb{R}^d$ , this is done using convolution operators and the Riesz rearrangement inequality, which we do not have here. Instead, we use Lemma 3.3 and an application of Theorem 6 with  $\Psi(f_1, f_2) = f_1 f_2$  and  $K_{12} = p_d(\rho, t)$  to finish the proof of the lemma.  $\square$

**Lemma 3.3.** *For each  $t > 0$ , the heat kernel on hyperbolic space,  $p_d(\rho, t)$ , is a decreasing function of the hyperbolic distance  $\rho$ .*

*Proof.* This follows from Proposition 3.1 and the recursion relations in Theorem 2.1 in [DM88]. Specifically,  $\mathbb{H}^1$  is isometric to  $\mathbb{R}$  with the metric  $dx^2$ , so the heat kernels are the same:

$$p_1(\rho, t) = (4\pi t)^{-1/2} e^{-\rho^2/4t},$$

and we have the recurrence relations (see [DM88, Theorem 2.1])

$$(3.1) \quad p_{d+1}(\sigma, t) = -(4\pi)^{-1} \frac{\partial}{\partial \sigma} p_{d-1}(\sigma, t) \text{ and}$$

$$(3.2) \quad \frac{1}{2} p_d(\sigma, t) = \int_{\sigma}^{\infty} p_{d+1}(\lambda, t) (\lambda - \sigma)^{-1/2} d\lambda,$$

where  $\sigma$  is related to the hyperbolic distance  $\rho$  by

$$\sigma = [\cosh(\rho/2)]^2.$$

Since  $\cosh$  is a monotone increasing function for  $\rho \geq 0$ , it suffices to prove the lemma with  $\sigma$  in place of  $\rho$ . Further, from [DM88, Proposition 3.1], we have  $p_d \geq 0$  for  $d$  odd, so (3.2) implies  $p_d \geq 0$  for  $d$  even as well. Then (3.1) indicates that the derivative of  $p_{d-1}$  is a negative multiple of  $p_{d+1}$ , and hence is negative.  $\square$

From [Dra05], we have used the following theorem.

**Theorem 6** (Draghici [Dra05]). *Let  $X = \mathbb{H}^d$ ,  $f_i : X \rightarrow \mathbb{R}_+$  be  $m$  nonnegative functions,  $\Psi \in AL_2(\mathbb{R}_+^m)$  be continuous and  $K_{ij} : [0, \infty) \rightarrow [0, \infty)$ ,  $i < j$ ,  $j \in \{1, \dots, m\}$  be decreasing functions. We define*

$$I[f_1, \dots, f_m] = \int_{X^m} \Psi(f_1(\Omega_1), \dots, f_m(\Omega_m)) \prod_{i < j} K_{ij}(d(\Omega_i, \Omega_j)) d\Omega_1 \dots d\Omega_m.$$

*Then, the following inequality holds:*

$$I[f_1, \dots, f_m] \leq I[f_1^*, \dots, f_m^*].$$

## 4. REDUCTION TO AN EUCLIDEAN OPERATOR

In this section we begin to analyze HNLS in the case  $f(|u|)u$  is a so-called ‘‘focusing’’ nonlinearity. From the polar form of  $\Delta_{\mathbb{H}^d}$ , we approach the problem by comparison to the standard Laplacian on  $\mathbb{R}^d$ . In this direction, let us recall that the metric for  $\mathbb{R}^d$  in polar coordinates is given by

$$ds^2 = dr^2 + r^2 d\omega^2,$$

so that the Jacobian is  $r^{d-1}$ . Similarly, the Jacobian from the polar coordinate representation of  $\mathbb{H}^d$  is  $\sinh^{d-1} r$ . We employ an isometry  $T$  taking  $L^2(r^{d-1} dr d\omega)$  to  $L^2(\sinh^{d-1} r dr d\omega)$ , so that  $T^{-1}(-\Delta_{\mathbb{H}^d})T$  is a non-negative, unbounded, essentially self-adjoint operator on  $L^2(\mathbb{R}^d)$ .

We define

$$\begin{aligned}\varphi(r) &= \left(\frac{r}{\sinh r}\right)^{\frac{d-1}{2}}, \\ \varphi^{-1}(r) &= \left(\frac{\sinh r}{r}\right)^{\frac{d-1}{2}},\end{aligned}$$

and take  $Tu = \varphi u$ . Conjugating  $-\Delta_{\mathbb{H}^d}$  by  $\varphi$ , we have a second order differential operator on  $\mathbb{R}^d$  with the leading order term *almost* the Laplacian on  $\mathbb{R}^d$ . Indeed, we first calculate

$$\begin{aligned}\partial_r \varphi &= \frac{d-1}{2} \left(\frac{r}{\sinh r}\right)^{\frac{d-3}{2}} \left(\frac{\sinh r - r \cosh r}{\sinh^2 r}\right), \\ \partial_r^2 \varphi &= \left(\frac{d-1}{2}\right) \left(\frac{d-3}{2}\right) \left(\frac{r}{\sinh r}\right)^{\frac{d-5}{2}} \left(\frac{\sinh r - r \cosh r}{\sinh^2 r}\right)^2 \\ &\quad + \frac{d-1}{2} \left(\frac{r}{\sinh r}\right)^{\frac{d-3}{2}} \left(\frac{2r \sinh r \cosh^2 r - 2 \sinh^2 r \cosh r - r \sinh^3 r}{\sinh^4 r}\right),\end{aligned}$$

so that

$$\begin{aligned}\varphi^{-1}(-\Delta_{\mathbb{H}^d})(\varphi u) &= \varphi^{-1}(-\partial_r^2 - (d-1)\frac{\cosh r}{\sinh r}\partial_r - \frac{1}{\sinh^2 r}\Delta_{\mathbb{S}^{d-1}})(\varphi u) \\ &= -\partial_r^2 u - 2\varphi^{-1}\partial_r \varphi \partial_r u - \varphi^{-1}\partial_r^2 \varphi u - (d-1)\frac{\cosh r}{\sinh r}\partial_r u - (d-1)\frac{\cosh r}{\sinh r}\varphi^{-1}\partial_r \varphi u \\ &\quad - \frac{1}{\sinh^2 r}\Delta_{\mathbb{S}^{d-1}} u \\ &= -\partial_r^2 u - \left(2\varphi^{-1}\partial_r \varphi + (d-1)\frac{\cosh r}{\sinh r}\right)\partial_r u - \frac{1}{\sinh^2 r}\Delta_{\mathbb{S}^{d-1}} u \\ &\quad - \left(\varphi^{-1}\partial_r^2 \varphi + (d-1)\frac{\cosh r}{\sinh r}\varphi^{-1}\partial_r \varphi\right) u \\ &= -\partial_r^2 u + V_0(r)\partial_r u + \left[V_d(r) + \left(\frac{d-1}{2}\right)^2\right] u - \frac{1}{\sinh^2 r}\Delta_{\mathbb{S}^{d-1}} u \\ &= -\tilde{\Delta} u + \left[V_d(r) + \left(\frac{d-1}{2}\right)^2\right] u.\end{aligned}$$

Here

$$\begin{aligned} V_0(r) &= \frac{1-d}{r}, \\ V_d(r) &= \left(\frac{d-1}{2}\right) \left(\frac{d-3}{2}\right) \frac{1}{\sinh^2 r} - \left(\frac{d-1}{2}\right) \left(\frac{d-3}{2}\right) \frac{1}{r^2} \\ &= \frac{(d-1)(d-3)}{4} \left(\frac{r^2 - \sinh^2 r}{r^2 \sinh^2 r}\right), \end{aligned}$$

so that

$$-\tilde{\Delta} = -\Delta_{\mathbb{R}^d} - \frac{r^2 - \sinh^2 r}{r^2 \sinh^2 r} \Delta_{\mathbb{S}^{d-1}}.$$

For completeness, we record the following simple lemma.

**Lemma 4.1.** *The function*

$$\tilde{V} = \frac{\sinh^2 r - r^2}{r^2 \sinh^2 r}$$

*satisfies the following properties:*

- (i)  $\tilde{V} \in \mathcal{C}^\infty(\mathbb{R})$ ,
- (ii)  $\tilde{V} \geq 0$ ,
- (iii)  $\tilde{V}(0) = \frac{1}{3}$ ,
- (iv)  $\tilde{V} = \mathcal{O}(r^{-2})$ ,  $r \rightarrow \infty$ , and
- (v)  $\tilde{V}'(r) = 0$  only at  $r = 0$ .

**Remark 4.1.** Note that the potential  $V_3 = 0$ , and the lemma implies  $V_2 \geq 0$  has a ‘‘bump’’ at 0, while for  $d \geq 4$ , the potential  $V_d \leq 0$  has a ‘‘well’’ at 0.

*Proof.* Properties (i), (ii), and (iii) follow easily from Taylor expansions and the fact that  $\sinh r \geq r$  for  $r \geq 0$ . To prove the only critical point is the origin, we observe  $\tilde{V}'(r) = 0$  if and only if

$$\frac{\cosh r}{\sinh^3 r} = \frac{1}{r^3},$$

so we consider

$$\frac{\sinh r}{\cosh^{1/3} r} = r.$$

As this equation is satisfied for  $r = 0$ , if we can show the derivative of the left hand side is greater than 1 for  $r > 0$  we are done. Differentiating the left hand side, setting it equal to 1 and rearranging we have the equation

$$8 \cosh^6 r - 15 \cosh^4 r + 6 \cosh^2 r + 1 = 0.$$

Substituting  $z = \cosh^2 r$ , we have the third order polynomial equation

$$8z^3 - 15z^2 + 6z + 1 = 0,$$

which factorizes as

$$(z - 1)^2(8z + 1) = 0.$$

The only solutions to this satisfying  $z = \cosh^2 r$  are  $z = 1$ , since  $\cosh r \geq 1$ , and the only value of  $r$  which satisfies this is  $r = 0$ . Hence the only critical point of  $\tilde{V}$  is at  $r = 0$ .  $\square$

After this conjugation to  $\mathbb{R}^d$ , (1.1) becomes

$$(4.1) \quad \begin{cases} -iu_t - \tilde{\Delta}u + \frac{(d-1)^2}{4}u + V_d(x)u - \tilde{f}(x, u) = 0, & x \in \mathbb{R}^d \\ u(0, x) = u_0(x) \in H^1, \end{cases}$$

where now the nonlinearity  $\tilde{f}$  takes the following form after conjugation:

$$\begin{aligned} \tilde{f}(x, u) &= \varphi^{-1}f(\varphi u)(\varphi u) \\ &= \left(\frac{r}{\sinh r}\right)^{\frac{p(d-1)}{2}} |u|^p u. \end{aligned}$$

We have the naturally defined conserved quantities

$$Q(u) = \|u\|_{L^2}^2$$

and

$$(4.2) \quad E(u) = \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla u|^2 + \frac{1}{2} a(x) |\nabla_{\text{ang}} u|^2 + \frac{1}{2} \left( V_d(|x|) + \frac{(d-1)^2}{4} \right) |u|^2 - F(x, u) \right] dx,$$

where

$$a(x) = \frac{|x|^2 - \sinh^2 |x|}{|x|^2 \sinh^2 |x|}$$

is the ‘‘offset’’ of the spherical Laplacian in the definition of  $\tilde{\Delta}$ ,

$$\begin{aligned} F(x, u) &= \int_0^{u(x)} \tilde{f}(x, s) ds \\ &= \frac{1}{p+2} \left( \frac{|x|}{\sinh |x|} \right)^{(d-1)p/2} |u|^{p+2} \\ &=: K(|x|) |u|^{p+2}. \end{aligned}$$

From [Ban07] (see Section 2), we have global existence for  $p < \frac{4}{d}$  and finite time blow-up for  $\frac{4}{d} \leq p < \frac{4}{d-2}$ .

We make a soliton ansatz for (4.1) in  $\mathbb{R}^d$ :  $u(x, t) = e^{i\lambda t} R_\lambda$ , for a function  $R_\lambda$  depending on a real parameter (the soliton parameter)  $\lambda > 0$ . Plugging this ansatz into the conjugated equation (4.1) we see we must have

$$-\tilde{\Delta}R_\lambda + \left( \frac{(d-1)^2}{4} + \lambda + V_d(r) \right) R_\lambda - \tilde{f}(x, R_\lambda) = 0.$$

Hence, we seek a minimizer of the associated energy functional (4.2) to this nonlinear elliptic equation for  $\|u\|_{L^2}$  fixed.

We note that the continuous spectrum is ‘‘shifted’’ according to the term

$$-\left(\frac{d-1}{2}\right)^2 u.$$

In the end, since we look to minimize energy functionals over fixed  $L^2$  norms, this term does not alter the existence argument for soliton solutions, however, it does expand the allowed range of soliton parameters from  $\lambda \in (0, \infty)$  to

$$\lambda \in \left(-\left(\frac{d-1}{2}\right)^2, \infty\right),$$

so henceforward we set

$$\mu_d = \lambda + \left(\frac{d-1}{2}\right)^2 > 0.$$

5. CONCENTRATION COMPACTNESS AND EXISTENCE OF MINIMIZERS

We recall the celebrated concentration compactness lemma of P.L. Lions, [Lio84a]:

**Lemma 5.1** (Concentration Compactness). *Let  $(\rho_n)_{n \geq 1}$  be a sequence in  $L^1(\mathbb{R}^d)$  satisfying:*

$$\rho_n \geq 0 \text{ in } \mathbb{R}^d, \int_{\mathbb{R}^d} \rho_n dx = \lambda$$

where  $\lambda > 0$  is fixed. Then there exists a subsequence  $(\rho_{n_k})_{k \geq 1}$  satisfying one of the three following possibilities:

i. (compactness) there exists  $y_k \in \mathbb{R}^d$  such that  $\rho_{n_k}(\cdot + y_{n_k})$  is tight, i.e.:

$$\forall \epsilon > 0, \exists R < \infty, \int_{y_k + B_R} \rho_{n_k}(x) dx \geq \lambda - \epsilon;$$

ii. (vanishing)  $\lim_{k \rightarrow \infty} \sup_{y \in \mathbb{R}^d} \int_{y + B_R} \rho_{n_k}(x) dx = 0$ , for all  $R < \infty$ ;

iii. (dichotomy) there exists  $\alpha \in [0, \lambda]$  such that for all  $\epsilon > 0$ , there exists  $k_0 \geq 1$  and  $\rho_k^1, \rho_k^2 \in L^1_+(\mathbb{R}^d)$  satisfying for  $k \geq k_0$ :

$$\left\{ \begin{array}{l} \|\rho_{n_k} - (\rho_k^1 + \rho_k^2)\|_{L^1} \leq \epsilon, \quad |\int_{\mathbb{R}^d} \rho_k^1 dx - \alpha| \leq \epsilon, \quad |\int_{\mathbb{R}^d} \rho_k^2 dx - (\lambda - \alpha)| \leq \epsilon \\ d(\text{Supp}(\rho_k^1), \text{Supp}(\rho_k^2)) \rightarrow \infty. \end{array} \right.$$

We want to apply this in the setting of hyperbolic solitons. We have reduced the problem to minimizing energy functionals on  $\mathbb{R}^d$  with the addition of an angular derivative term and a potential. However, we have also seen that any minimizer must be radial, hence the angular term will vanish. That means we are left with a minimization problem with potential on  $\mathbb{R}^d$ , for which there is a theory. We summarize the basic technique, then we'll indicate how to apply it in the present setting.

To begin, let us look at the basic energy functionals

$$\mathcal{E}_1(u) = \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla u|^2 + \frac{1}{2} c_1(x) |u|^2 - F(x, u) \right] dx,$$

where  $F(x, t) = \int_0^t f(x, s) ds$  and  $f$  is the nonlinearity and

$$\mathcal{E}_2(u) = \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla u|^2 + \frac{1}{2} c_2(x) |u|^2 \right] dx.$$

Define

$$I_\lambda = \inf \{ \mathcal{E}_1(u) : u \in H^1(\mathbb{R}^d), \|u\|_{L^2}^2 = \lambda \}$$

and

$$J_\lambda = \inf \{ \mathcal{E}_2(u) : u \in H^1(\mathbb{R}^d), \int F(x, u) dx = \lambda \}.$$

For  $\mathcal{E}_1$ , we assume

$$(5.1) \quad \left\{ \begin{array}{l} c_1^+ \in L^1_{\text{loc}}, \quad \forall \delta > 0, \quad c_1^+ 1_{(c_1 \geq \delta)} \in L^{q_1} \text{ with } \max \left\{ \frac{d}{2}, 1 \right\} \leq q_1 < \infty, \\ c_1^- \in L^{q_2} + L^{q_3} \text{ with } \max \left\{ \frac{d}{2}, 1 \right\} \leq q_2, q_3 < \infty \end{array} \right.$$

and

$$(5.2) \quad \begin{cases} f(x, t) \in \mathcal{C}(\mathbb{R}^d \times \mathbb{R}), f(x, t) \rightarrow \bar{f}(t) \text{ as } |x| \rightarrow \infty \text{ uniformly for } t \text{ bounded,} \\ \lim_{|t| \rightarrow 0} F(x, t)t^{-2} = 0, \lim_{|t| \rightarrow \infty} F(x, t)|t|^{-d^*} = 0 \text{ uniformly in } x \in \mathbb{R}^d, \end{cases}$$

with

$$d^* = \frac{2d+4}{d}.$$

For  $J_\lambda$ , we assume  $0 < p < \frac{4}{d-2}$ , the integrated nonlinearity takes the form

$$F(x, u) = K(x)|u|^{p+2},$$

and

$$(5.3) \quad \begin{cases} c_2^+ \in L^1_{loc}, c_2^- \in L^q \text{ for } \frac{d}{2} \leq q < \infty, c_2^\pm \rightarrow \bar{c}_2 > 0 \text{ as } |x| \rightarrow \infty, \\ \exists \nu > 0, \forall u \in \mathcal{S}, E_2(u) \geq \nu \|u\|_{H^1}^2, \\ K \in C(\mathbb{R}^d), K^+ > 0 \text{ for some } x \in \mathbb{R}^d, K \rightarrow \bar{K} \in \mathbb{R} \text{ as } |x| \rightarrow \infty. \end{cases}$$

We define

$$I_\lambda^\infty = \inf\{\mathcal{E}_1^\infty(u) : u \in H^1(\mathbb{R}^d), \|u\|_{L^2}^2 = \lambda\},$$

where

$$\mathcal{E}_1^\infty(u) = \int_{\mathbb{R}^d} \left[ \frac{1}{2} |\nabla u|^2 - \bar{F}(u) \right] dx,$$

for

$$\bar{F} = \int_0^t \bar{f}(s) ds.$$

Define  $J_\lambda^\infty$  in a similar fashion. Then, we can state the following theorems due to Lions in [Lio84b].

**Theorem 7** (Lions). *The strict subadditivity inequality*

$$(5.4) \quad I_\lambda < I_\alpha + I_{\lambda-\alpha}^\infty, \quad \forall \alpha \in [0, \lambda]$$

is a necessary and sufficient condition for the relative compactness in  $H^1$  of all minimizing sequences of  $I_\lambda$ . In particular, if the subadditivity property holds, there exists a minimum for  $I_\lambda$ .

**Theorem 8** (Lions). *Assume (5.1) and (5.2) hold. If  $F(x, t) = K(x)|t|^{p+2}$  with  $K \in \mathcal{C}(\mathbb{R}^d)$ ,  $K(x) \rightarrow 0$  as  $|x| \rightarrow \infty$  and  $0 < p < \frac{4}{d}$ , then (5.4) holds if and only if  $I_\lambda < 0$ .*

**Theorem 9** (Lions). *Assume (5.3) holds. For  $0 < p < \frac{4}{d-2}$  if  $d \geq 3$ , then all minimizing sequences of  $J_\lambda$  are relatively compact in  $H^1$  if and only if*

$$J_\lambda < J_\lambda^\infty.$$

We now examine how to apply these theorems in our case. For  $d \geq 3$ , the potential  $V_d(|x|) \leq 0$  for all  $x$ , hence from the arguments in Section 3, taking a Schwarz symmetrization decreases the energy functionals  $\mathcal{E}_1$  and  $\mathcal{E}_2$ . Hence, we may simply minimize the energy functional with respect to the standard Laplacian on Euclidean space as the problems are equivalent.

In the case of the hyperbolic soliton equations, we have from above

$$\begin{aligned} c_1(x) &= V_d(|x|) \\ &= -\frac{(d-1)(d-3)}{4} \frac{\sinh^2 r - r^2}{r^2 \sinh^2 r}, \\ c_2(x) &= V_d(|x|) + \frac{(d-1)^2}{4}, \text{ and} \\ F(x, u) &= K(|x|)|u|^{p+2}, \end{aligned}$$

with

$$K(|x|) = \frac{1}{p+2} \left( \frac{|x|}{\sinh |x|} \right)^{(d-1)p/2}$$

as usual. Note we have for  $d \geq 4$ ,  $c_1 \leq 0$  and

$$\frac{(d-1)^2}{4} \geq c_2(x) \geq \frac{d(d-1)}{6},$$

while for  $d = 3$ ,  $c_1 = 0$  and  $c_2 = (d-1)^2/4$ , and for  $d = 2$ ,  $c_1 \geq 0$ , and

$$\frac{1}{3} \geq c_2 \geq \frac{1}{4}.$$

Hence, we see if  $0 < p < 4/d$ ,

- (i)  $c_1 \in L^1_{loc}$ ,  $c_1 \in L^p \forall p > \frac{d}{2}$ ,
- (ii)  $\lim_{t \rightarrow 0} F(x, t)t^{-2} = 0$ ,  $\lim_{t \rightarrow \infty} F(x, t)t^{-d^*} = 0$ , and
- (iii)  $f(x, t) \rightarrow 0$  as  $|x| \rightarrow \infty$  uniformly for  $t$  bounded.

Also,

$$I_\lambda^\infty = \inf \left\{ \frac{1}{2} \int |\nabla u|^2 dx : u \in H^1(\mathbb{R}^d), \|u\|_{L^2}^2 = \lambda \right\},$$

so  $I_\lambda^\infty = 0$  by a simple scaling argument. As a result, the subadditivity condition becomes

$$I_\lambda < 0.$$

For  $d \geq 3$ , we have  $c_1(x) \leq 0$ ,  $\bar{F} \equiv 0$ , and  $K(x) > 0$ , so it is clear  $\mathcal{E}_1(u) < \mathcal{E}_1^\infty(u)$  and the sub-additivity property follows immediately.

In the case of  $J_\lambda$ , for the  $K$  resulting from hyperbolic geometry, we have  $\bar{K} = 0$  and hence  $J_\lambda^\infty = \infty$ . Also, note that in the notation for  $\mathcal{E}_2$ , we have

$$c_2(x) = \mu_d + V_d(x),$$

so clearly the assumptions (5.3) are satisfied.

As the case  $\bar{K} = 0$  represents an extremal case of the concentration compactness formulation for  $\mathcal{E}_2$ , we present the proof here for completeness.

**Lemma 5.2.** *There exists a non-trivial minimizer for  $J_\lambda$ .*

*Proof.* The fact that compactness implies subadditivity is a standard result of concentration compactness found in [Lio84a].

Hence, we seek to prove that subadditivity implies compactness. Let  $(u_n)_n$  be a minimizing sequence for  $J_\lambda$ . As  $\|u_n\|_{H^1}$  is bounded, we have  $\|u_n\|_{L^{p+2}}^{p+2} =: \lambda_n$  bounded, since  $p < 4/(d-2)$ . Then, select a subsequence if necessary such that

$$\lambda_n \rightarrow \tilde{\lambda} > 0.$$

Define  $\rho_n = \lambda_n^{-1}|u_n|^{p+2}$ . Then,

$$\int \rho_n dx = 1$$

and we may apply Lemma 5.1.

First, let us rule out the vanishing condition. If

$$\sup_{y \in \mathbb{R}^d} \int_{y+B_R} |\rho_n|^{p+2} dx \rightarrow 0, \quad \forall R < \infty,$$

then  $\rho_n \rightarrow 0$  in  $L^\alpha$  for  $p+2 < \alpha < 2 + \frac{4}{d-2} = d^*$  by standard functional analytic arguments from Lemma I.1 in [Lio84b]. By assumption,  $\|u_n\|_{L^2}$  is bounded for all  $n$  hence by interpolation,  $u_n \rightarrow 0$  in  $L^{p+2}$  and  $Ku_n^{p+2} \rightarrow 0$  in  $L^1$  contradicting the constraint.

Next, we must rule out dichotomy. If such a dichotomy exists, it is clear that either

$$J_\lambda \geq J_{\lambda_1}^\infty + J_{\lambda_2} = \infty$$

or

$$J_\lambda \geq J_{\lambda_2}^\infty + J_{\lambda_1} = \infty,$$

both of which provide a contradiction to the obvious fact that  $J_\lambda < \infty$  as well as the sub-additivity condition.

Finally, we have  $\exists y_k$  such that  $\forall \epsilon > 0, \exists R$  such that

$$\int_{y_k+B_R} \rho_k(x) dx \geq \lambda_n - \epsilon.$$

As  $\lambda_n$  and  $\|u_n\|_{H^1}$  are bounded, we have  $\|u_n\|_{L^{p+2}}$  bounded, hence if  $|y_k| \rightarrow \infty$  we get a contradiction to the constraint

$$\int Ku_n^{p+2} dx = \lambda.$$

As a result,  $|y_k|$  is bounded. Hence,  $u_n(y_n + \cdot)$  converges strongly in  $L^{p+2}$  and weakly in  $H^1$  to some  $u \in H^1$  satisfying the constraint. From here, relative compactness follows. □

## 6. SOLITON PROPERTIES AND STABILITY

Since we know our soliton is spherically symmetric, we show in this section that we have exponential decay and  $C^2$  smoothness. These results follow from the standard ODE and maximum principle arguments of [BL83], with the superficial modification that our nonlinearity depends on  $r$  but decays at infinity. This is summarized in the following Lemmas.

**Lemma 6.1.** *If  $u$  is a spherically symmetric minimizer of the constrained minimization problems  $I_\lambda$  or  $J_\lambda$ , then*

$$u \in C^2(\mathbb{R}^d)$$

and

$$|D^\alpha u| \leq C e^{-\delta|x|}, \quad x \in \mathbb{R}^d$$

for  $C, \delta > 0$  and  $|\alpha| \leq 2$ .

**Lemma 6.2.** *There exists some  $\delta > 0$  such that*

$$|D^\alpha u(x)| \leq C e^{-\delta|x|},$$

for some  $C$  and  $|\alpha| \leq 2$ .

We now proceed to prove orbital stability of solitons. Though we will get existence for any  $p < \frac{4}{d-2}$ , we will be able to show orbital stability for  $p < \frac{4}{d}$  using the arguments of [CL82] and the Gagliardo-Nirenberg Inequality.

**Proposition 6.3** (Orbital Stability). *Given  $p < \frac{4}{d}$  and  $\lambda > -\left(\frac{d-1}{2}\right)^2$ , for all  $\epsilon > 0$ , there exists a  $\delta > 0$  such that if*

$$\inf_{\gamma} \|u_0(\Omega) - e^{i\gamma} R_\lambda(\Omega)\|_{H^1(\mathbb{H}^d)} < \delta,$$

then the corresponding solution  $u(\Omega, t)$  of (4.1) satisfies

$$\inf_{\gamma} \|u(\Omega, t) - e^{i\gamma} R_\lambda(\Omega)\|_{H^1(\mathbb{H}^d)} < \epsilon.$$

*Proof following [CL82].* Let us take  $u_0$  as initial data for (4.1) after conjugation to Euclidean space. As mentioned, we have

$$\mathcal{Q}(u) = \mathcal{Q}(u_0)$$

and

$$\mathcal{E}(u) = \mathcal{E}(u_0),$$

where  $\mathcal{E} = E_1$ . Denote by  $S$  the set of solutions to the minimization problem  $I_\lambda$ . We wish to show

$$\forall \epsilon > 0, \exists \delta > 0, \inf_{\varphi \in S} \|u_0 - \varphi\|_{H^1} < \delta \implies \|u(t, \cdot) - \varphi\|_{H^1} < \epsilon.$$

Assume for a moment that  $\|u_0\|_{L^2} = \lambda$ , and assume for the purpose of contradiction that orbital stability is false. Then, there exist  $\epsilon_0 > 0$ ,  $u_0^n$  and  $t_n \geq 0$  such that

$$\begin{cases} u_0^n \in H^1, \|u_0^n\|_{L^2} = \lambda, \mathcal{E}(u_0^n) \rightarrow I_\lambda \\ \inf_{\varphi \in S} \|u^n(t_n, \cdot) - \varphi\|_{H^1} \geq \epsilon_0. \end{cases}$$

However, from the conservation laws we know

$$\mathcal{E}(u^n(t_n)) \rightarrow I_\lambda, \|u^n(t_n)\|_{L^2} = \lambda.$$

Hence,  $u^n(t_n, \cdot)$  is relatively compact in  $H^1$ , so

$$\inf_{\varphi \in S} \|u^n(t_n, \cdot) - \varphi\|_{H^1} \rightarrow 0$$

and we arrive at a contradiction.

If  $\|u_0\|_{L^2} \neq \lambda$ , then repeat the argument with  $S$  defined to be the set of solutions to  $I_{\|u_0\|_{L^2}}$  and apply continuity with respect to  $\lambda$ .

□

There is a much stronger notion of stability referred to as *asymptotic stability* or *scattering* which is given by the following definition.

**Definition 6.4.** Let

$$u_0(\Omega) = e^{i\gamma_0} R_{\lambda_0}(\Omega) + \varphi(\Omega).$$

Then, the corresponding solution  $u(\Omega, t)$  of (4.1) is said to be *asymptotically stable* if there exists  $w(\Omega) \in L^2(\mathbb{H}^d)$  and  $\sigma_\infty = (\lambda_\infty, \gamma_\infty)$  such that

$$\lim_{t \rightarrow \infty} \|u(\Omega, t) - e^{i\gamma_\infty} R_{\lambda_\infty}(\Omega) - e^{i\frac{t}{2}\Delta_{\mathbb{H}^d}} w\|_{L^2(\mathbb{H}^d)} = 0.$$

This sort of stability is proved by linearizing about the soliton as seen in Section 8, proving dispersive estimates for the resulting skew-symmetric matrix Hamiltonian operator  $\mathcal{H}$ , using the modulation parameters to guarantee orthogonality to any discrete spectrum of  $\mathcal{H}$ , and finally doing a standard contraction map on the coupled infinite dimensional and finite dimension system. In order to linearize effectively, we must have a nonlinearity  $\beta$  such that  $\beta''(s)$  is bounded for small  $s$ . Then, the resulting linearized operator  $\mathcal{H}$  must have a well-understood and well-behaved spectrum. We discuss nonlinearities of this type in Section 7 below, although in the present note we do not prove asymptotic stability.

## 7. OTHER NONLINEARITIES

Note that much of the analysis above is in a sense simpler than in the Euclidean case because in our energy functional, the potentials and coefficients involve terms which decay at spatial infinity, and the nonlinearity, once conjugated to Euclidean space, decays exponentially. Hence if we have a nonlinearity of the form

$$(7.1) \quad g(\Omega)|u|^p u,$$

where  $g(\Omega)$  is now allowed to grow exponentially at a rate slower than

$$\left(\frac{\sinh r}{r}\right)^{(d-1)p/2},$$

it is actually closer to the Euclidean case. In other words, our techniques extend trivially to show solitons exist with extremely powerful nonlinearities, growing exponentially at spatial infinity. It is unclear then whether simple power nonlinearities as in (1.1), or exponentially growing nonlinearities as in (7.1) are more “physical”, as they resemble the Euclidean case more.

Saturated nonlinearities are of the form

$$(7.2) \quad f(s) = s^q \frac{s^{p-q}}{1 + s^{p-q}},$$

where  $p > 2 + \frac{4}{d}$  and  $\frac{4}{d} > q > 0$  for  $d \geq 3$  and  $\infty > p > 2 + \frac{4}{d} > \frac{4}{d} > q > 0$  for  $d < 3$ .

**Remark 7.1.** For  $|u|$  large, the behavior is  $L^2$  subcritical and for  $|u|$  small, the behavior is  $L^2$  supercritical. For the case of asymptotic stability,  $p$  is chosen much larger than the  $L^2$  critical exponent,  $\frac{4}{d}$  in order to allow sufficient regularity when linearizing the equation.

Then, upon conjugation by  $\varphi$ , we have

$$f(x, |u|) = \varphi^{q-1} \frac{|u|^{p-1}}{\varphi^{q-p} + |u|^{p-q}}.$$

Since

$$\varphi^{q-p} > 1$$

and

$$\lim_{x \rightarrow \infty} \varphi^{q-p}(x) = \infty,$$

using similar techniques to those above we may prove similar soliton existence results for all  $\mu$ . However, similar to the Euclidean study of saturated nonlinearities, solitons for  $\mu$  large will be stable and solitons for  $\mu$  small will be unstable.

### 8. LINEARIZATIONS ABOUT SOLITONS

As remarked in Section 6, in order to prove asymptotic stability or scattering, one must understand the operator that results from linearizing about a soliton.

We use the ansatz

$$\psi = e^{i\lambda t}(R_\lambda + \varphi(x, t)).$$

For simplicity, set  $R = R_\lambda$ . Inserting this into the equation we know that since  $\varphi$  is a soliton solution we have

$$(8.1) \quad i(\varphi)_t + \Delta_{\mathbb{H}^d}(\varphi) = -\beta(R^2)\varphi - 2\beta'(R^2)R^2\text{Re}(\varphi) + O(\varphi^2),$$

by splitting  $\varphi$  up into its real and imaginary parts, then doing a Taylor Expansion. Hence, if  $\varphi = u + iv$ , we get

$$(8.2) \quad \partial_t \begin{pmatrix} u \\ v \end{pmatrix} = \mathcal{H} \begin{pmatrix} u \\ v \end{pmatrix},$$

where

$$(8.3) \quad \mathcal{H} = \begin{pmatrix} 0 & L_- \\ -L_+ & 0 \end{pmatrix},$$

where

$$L_- = -\Delta_{\mathbb{H}^d} + \lambda - \beta(R_\lambda)$$

and

$$L_+ = -\Delta_{\mathbb{H}^d} + \lambda - \beta(R_\lambda) - 2\beta'(R_\lambda^2)R_\lambda^2.$$

Set  $\mu = \lambda + \frac{(d-1)^2}{4}$ .

**Definition 8.1.** A Hamiltonian,  $\mathcal{H}$  is called admissible if the following hold:

- 1) There are no embedded eigenvalues in the essential spectrum,
- 2) The only real eigenvalue in  $[-\mu, \mu]$  is 0,
- 3) The values  $\pm\lambda$  are not resonances.

**Definition 8.2.** Let (NLS) be taken with nonlinearity  $\beta$ . We call  $\beta$  admissible if there exists a minimal mass soliton,  $R_{min}$ , for (NLS) and the Hamiltonian,  $\mathcal{H}$ , resulting from linearization about  $R_{min}$  is admissible in terms of Definition 8.1.

The spectral properties we need for the linearized Hamiltonian equation in order to prove stability results are precisely those from Definition 8.1. Notationally, we refer to  $P_d$  and  $P_c$  as the projections onto the discrete spectrum of  $\mathcal{H}$  and onto the continuous spectrum of  $\mathcal{H}$  respectively.

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