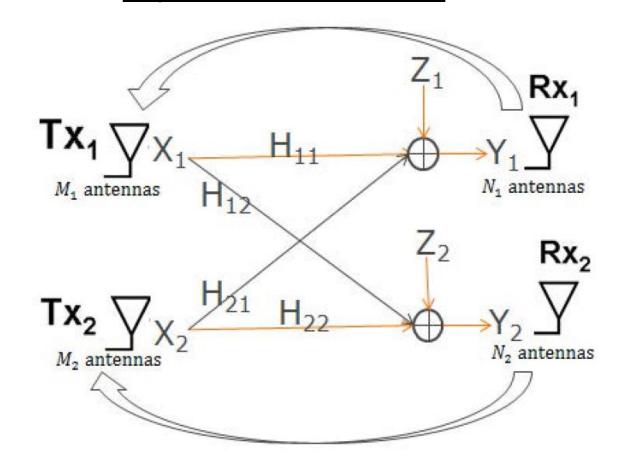
# Capacity and GDoF Results for IC with Feedback & Receiver Cooperation Mehdi Ashraphijuo, Vaneet Aggarwal and Xiaodong Wang

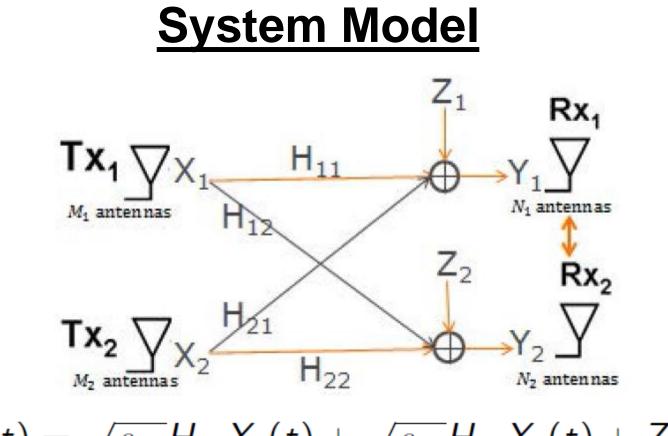
## COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

### **MIMO IC with Feedback\***

**System Model** 



MIMO IC with Limited Receiver Cooperation\*



## K-User IC with Limited Feedback\*

Study this problem under two different interference channel models: the linear deterministic model, and the Gaussian model.

 Transmission strategy incorporates Han-Kobayashi message splitting, interference decoding, and decode-and-forward techniques.

\* M. Ashraphijuo, V. Aggarwal and X. Wang "On the Symmetric K-user Interference Channels with Limited Feedback" *Submitted to IT Trans,* Mar. 2014.

#### **Previous Works**

 $Y_{1}[t] = \sqrt{\rho_{11}}H_{11}X_{1}[t] + \sqrt{\rho_{21}}H_{21}X_{2}[t] + Z_{1}[t],$   $Y_{2}[t] = \sqrt{\rho_{12}}H_{12}X_{1}[t] + \sqrt{\rho_{22}}H_{22}X_{2}[t] + Z_{2}[t].$ Coding scheme:  $X_{i}[t] = f_{i}(W_{i}, Y_{i}^{t-1}), i = 1, 2.$ 

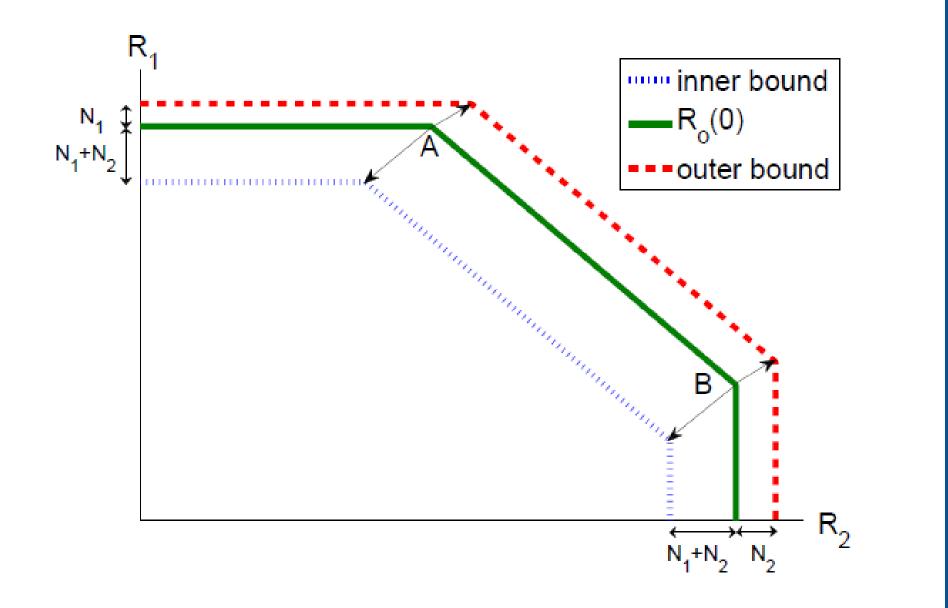
\* M. Ashraphijuo, V. Aggarwal and X. Wang "On the Capacity Region and the Generalized Degrees of Freedom Region for the MIMO Interference Channel With Feedback" *IT Trans,* vol. 59, no. 12, pp. 8357-8376, Dec. 2013.

#### Approximate Capacity Region

**Theorem:** The capacity region for the two-user MIMO IC with pefect feedback  $\mathbb{C}_{FB}$  is bounded from above and below as

 $\mathcal{R}_o(0) \ominus ([0, N_1 + N_2] \times [0, N_1 + N_2]) \subseteq \mathbb{C}_{FB} \subseteq \mathcal{R}_o(0) \oplus ([0, N_1] \times [0, N_2]),$ 

where the inner and outer bounds are within  $N_1 + N_2 + \max(N_1, N_2)$  bits and  $\mathcal{R}_o(0)$  is given in [AAW'13, *Trans. IT.*].



 $Y_{1}(t) = \sqrt{\rho_{11}}H_{11}X_{1}(t) + \sqrt{\rho_{21}}H_{21}X_{2}(t) + Z_{1}(t),$   $Y_{2}(t) = \sqrt{\rho_{12}}H_{12}X_{1}(t) + \sqrt{\rho_{22}}H_{22}X_{2}(t) + Z_{2}(t).$ Decoding scheme:  $\hat{m}_{i} = f_{i}(\Gamma_{ji}, Y_{i}(t)),$ 

 $\Gamma_{ji}$ : cooperation signal from  $Rx_j$  to  $Rx_i$ ,  $i \in \{1, 2\}$ .

\* M. Ashraphijuo, V. Aggarwal and X. Wang "On the Capacity and Degrees of Freedom Regions of Two-User MIMO Interference Channels With Limited Receiver Cooperation" *IT Trans*, vol. 60, no. 7, pp. 4170-4196, Jul. 2014.

#### Approximate Capacity Region

**Theorem:** The capacity region for the two-user MIMO IC with limited receiver cooperation  $\mathbb{C}_{RC}$  is bounded from above and below as

 $\mathcal{R}_o \ominus ([0, N_1 + N_2] \times [0, N_1 + N_2]) \subseteq \mathbb{C}_{RC} \subseteq \mathcal{R}_o,$ 

where the inner and outer bounds are within  $N_1 + N_2$  bits and  $\mathcal{R}_o$  is given in [AAW'13].

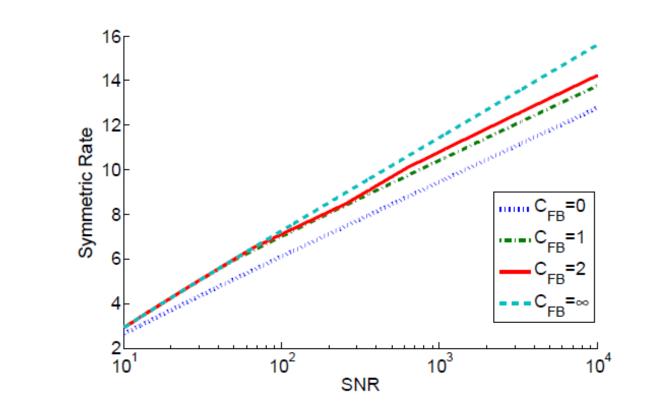
 $\mathcal{R}_o$  is a function of  $\mathcal{C}_{ ext{coop}}$ .

Capacity Gain due to Coperation (Outer Bound) •  $M_1 = N_2 = 3$ ,  $M_2 = N_1 = 4$ ,  $\rho_{11} = \rho_{22} = \rho_{12} = \rho_{21} = 10^8$ ,  $C_{21} = 21$ ,  $C_{12} = 15$ ,  For 2-user IC with limited feedback, [Vahid & Suh & Avestimehr'12, Trans. IT.] obtains approximate capacity region.

- For K-user IC with perfect feedback, [Mohajer & Tandon & Poor'13, Trans. IT.] found the approximate capacity region.
- For K-user IC with no feedback, [Jafar & Vishwanath'10, Trans. IT.] have capacity result.
- We obtain the approximate capacity region and DoF for K-user IC with limited feedback.

#### Capacity Gain due to Feedback

Strong interference for  $\alpha = \frac{\log INR}{\log SNR} = \frac{5}{2}$ , and K = 5

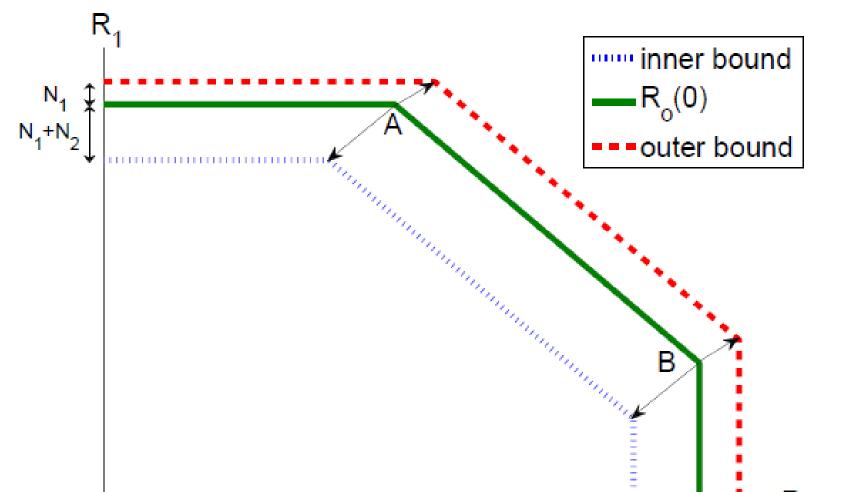


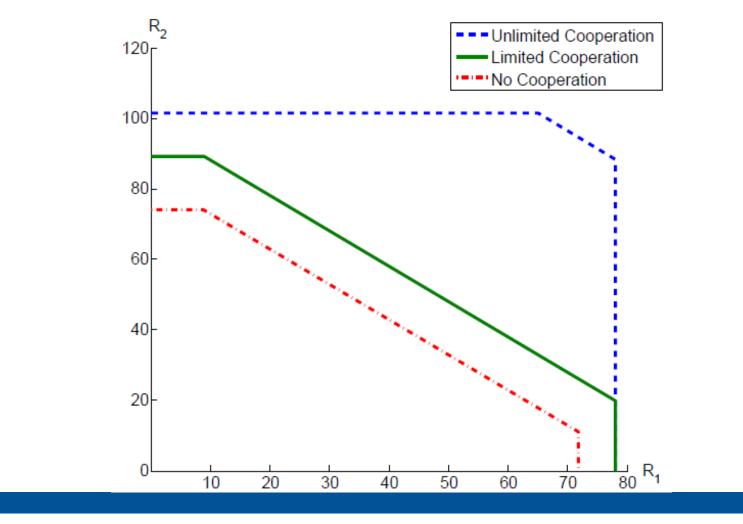
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**Theorem:** The capacity region for the two-user MIMO IC with pefect feedback  $\mathbb{C}_{FB}$  is bounded from above and below as

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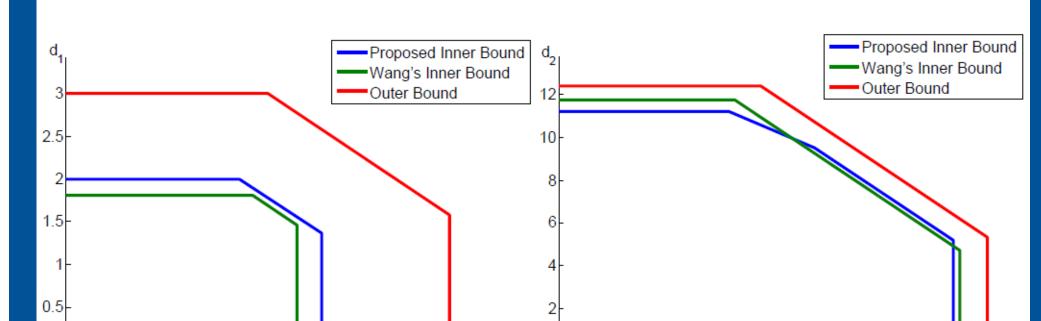
where the inner and outer bounds are within  $N_1 + N_2 + \max(N_1, N_2)$  bits and  $\mathcal{R}_o(0)$  is given in [AAW'13, *Trans. IT.*].





#### Capacity Gain due to Cooperation (Inner Bound)

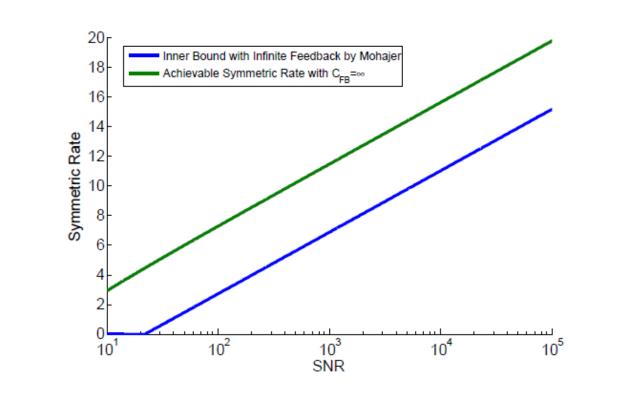
- Weak interference (left figure): C<sub>21</sub> = 1.1, C<sub>12</sub> = 1.1, SNR<sub>1</sub> = 5, SNR<sub>2</sub> = 5, INR<sub>1</sub> = 2 and INR<sub>2</sub> = 2.
- Strong interference (right figure):  $C_{21} = 6$ ,  $C_{12} = 11$ ,  $SNR_1 = 1000$ ,  $SNR_2 = 1500$ ,  $INR_1 = 4000$  and  $INR_2 = 10000$ .



#### Comparison

Better achievability than [Mohajer & Tandon & Poor'13, Trans. IT.] in strong interference with infinite feedback.

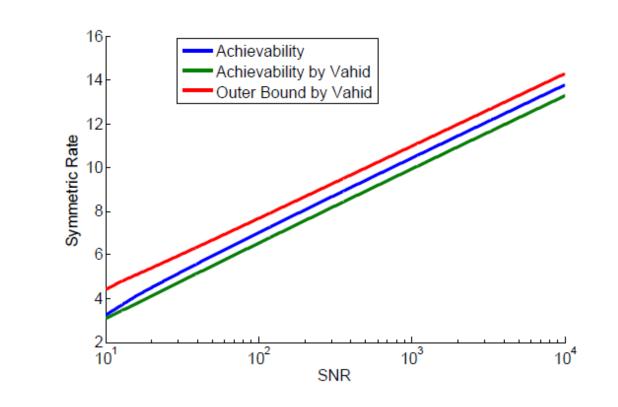
• K = 5, and  $\alpha = \frac{5}{2}$ .

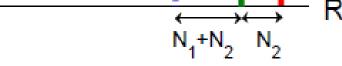


Similar improvements can be seen in other interference regimes, too.

#### Comparison

Better achievability than [Vahid & Suh & Avestimehr'12, *Trans. IT.*] in the special case of 2-user in strong interference regime.
K = 2, C<sub>FB</sub> = 1, and α = <sup>5</sup>/<sub>2</sub>.





#### Generalized Degrees of Freedom

 $GDoF = \lim_{SNR \to \infty} \frac{R_{sym}}{\log SNR}, \ \alpha = \frac{\log INR}{\log SNR}$  M : # of Tx antennas, and N : # of Rx antennas.  $\square \text{ Case } \frac{M}{2} < N \le M$   $\square \text{ Case } N \le \frac{M}{2}$   $GDoF = \max(N - (N - \frac{M}{2})\alpha, \frac{M-N}{2} + \frac{N\alpha}{2}), \quad GDoF = N \max(1, \frac{1+\alpha}{2}).$ where  $\alpha_0 = 3 - \frac{M}{N}$ .

1/2 2/3

**Generalized Degrees of** Freedom  $GDoF = \lim_{SNR \to \infty} \frac{R_{sym}}{\log SNR}$ ,  $\alpha = \frac{\log INR}{\log SNR}$ ,  $\beta = \frac{C_{coop}}{\log SNR}$ , M: # of Tx/Rx antennas.• Case  $\frac{M}{2} < \beta \leq M$ : • Case  $0 < \beta \leq \frac{M}{2}$ : ••**=**•β=Μ 2M/3 M/2 5/2 3 1/2 1/2 2/3 1 2 5/2 Case  $\beta > M$ : м+в 3 2+β<sub>o</sub>/M 1 β<sub>0</sub>/Μ

Our achievability scheme also fixes the result of [Vahid & Suh & Avestimehr'12, *Trans. IT.*] in the special case of 2-user in weak interference regime.

#### **Ongoing Work**

- Capacity Region of K-User MIMO Interference Channel with Arbitrary Number of Feedback Links
- Capacity Region of K-User MIMO Interference Channel with Arbitrary Topologies.
  - Non-Symmetric Models.
    - Non-Symmetric Topology.
    - Non-Symmetric Link Attenuations.
  - Arbitrary Number of Interference Links.