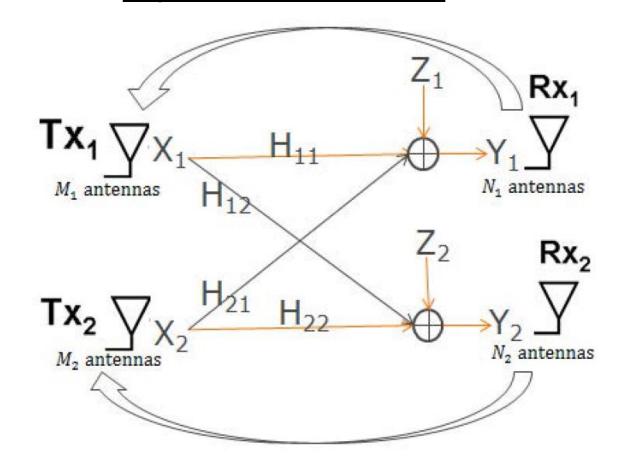
Capacity and GDoF Results for IC with Feedback & Receiver Cooperation Mehdi Ashraphijuo, Vaneet Aggarwal and Xiaodong Wang

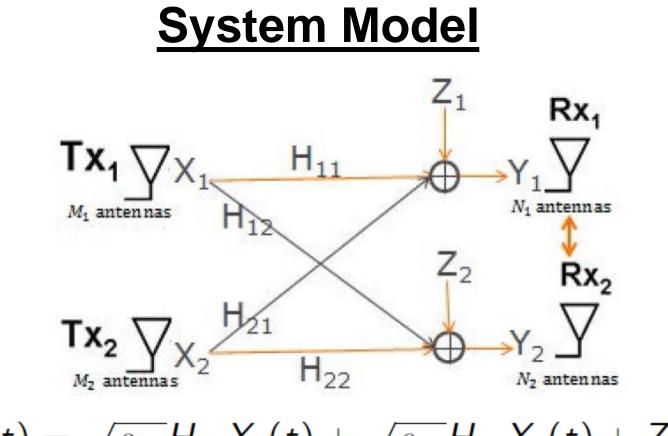
COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK

MIMO IC with Feedback*

System Model



MIMO IC with Limited Receiver Cooperation*



K-User IC with Limited Feedback*

Study this problem under two different interference channel models: the linear deterministic model, and the Gaussian model.

 Transmission strategy incorporates Han-Kobayashi message splitting, interference decoding, and decode-and-forward techniques.

* M. Ashraphijuo, V. Aggarwal and X. Wang "On the Symmetric K-user Interference Channels with Limited Feedback" *Submitted to IT Trans,* Mar. 2014.

Previous Works

 $Y_{1}[t] = \sqrt{\rho_{11}}H_{11}X_{1}[t] + \sqrt{\rho_{21}}H_{21}X_{2}[t] + Z_{1}[t],$ $Y_{2}[t] = \sqrt{\rho_{12}}H_{12}X_{1}[t] + \sqrt{\rho_{22}}H_{22}X_{2}[t] + Z_{2}[t].$ Coding scheme: $X_{i}[t] = f_{i}(W_{i}, Y_{i}^{t-1}), i = 1, 2.$

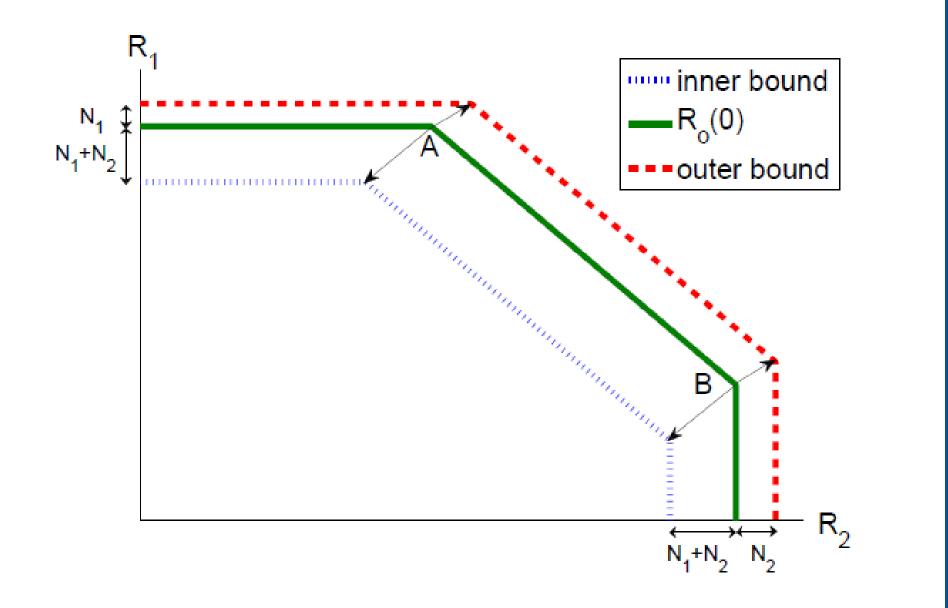
* M. Ashraphijuo, V. Aggarwal and X. Wang "On the Capacity Region and the Generalized Degrees of Freedom Region for the MIMO Interference Channel With Feedback" *IT Trans,* vol. 59, no. 12, pp. 8357-8376, Dec. 2013.

Approximate Capacity Region

Theorem: The capacity region for the two-user MIMO IC with pefect feedback \mathbb{C}_{FB} is bounded from above and below as

 $\mathcal{R}_o(0) \ominus ([0, N_1 + N_2] \times [0, N_1 + N_2]) \subseteq \mathbb{C}_{FB} \subseteq \mathcal{R}_o(0) \oplus ([0, N_1] \times [0, N_2]),$

where the inner and outer bounds are within $N_1 + N_2 + \max(N_1, N_2)$ bits and $\mathcal{R}_o(0)$ is given in [AAW'13, *Trans. IT.*].



 $Y_{1}(t) = \sqrt{\rho_{11}}H_{11}X_{1}(t) + \sqrt{\rho_{21}}H_{21}X_{2}(t) + Z_{1}(t),$ $Y_{2}(t) = \sqrt{\rho_{12}}H_{12}X_{1}(t) + \sqrt{\rho_{22}}H_{22}X_{2}(t) + Z_{2}(t).$ Decoding scheme: $\hat{m}_{i} = f_{i}(\Gamma_{ji}, Y_{i}(t)),$

 Γ_{ji} : cooperation signal from Rx_j to Rx_i , $i \in \{1, 2\}$.

* M. Ashraphijuo, V. Aggarwal and X. Wang "On the Capacity and Degrees of Freedom Regions of Two-User MIMO Interference Channels With Limited Receiver Cooperation" *IT Trans*, vol. 60, no. 7, pp. 4170-4196, Jul. 2014.

Approximate Capacity Region

Theorem: The capacity region for the two-user MIMO IC with limited receiver cooperation \mathbb{C}_{RC} is bounded from above and below as

 $\mathcal{R}_o \ominus ([0, N_1 + N_2] \times [0, N_1 + N_2]) \subseteq \mathbb{C}_{RC} \subseteq \mathcal{R}_o,$

where the inner and outer bounds are within $N_1 + N_2$ bits and \mathcal{R}_o is given in [AAW'13].

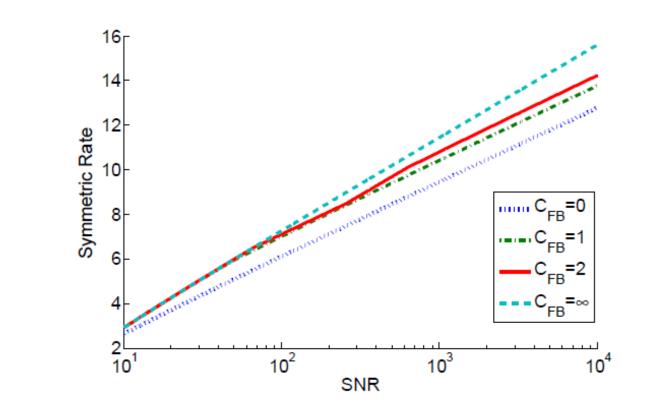
 \mathcal{R}_o is a function of $\mathcal{C}_{ ext{coop}}$.

Capacity Gain due to Coperation (Outer Bound) • $M_1 = N_2 = 3$, $M_2 = N_1 = 4$, $\rho_{11} = \rho_{22} = \rho_{12} = \rho_{21} = 10^8$, $C_{21} = 21$, $C_{12} = 15$, For 2-user IC with limited feedback, [Vahid & Suh & Avestimehr'12, Trans. IT.] obtains approximate capacity region.

- For K-user IC with perfect feedback, [Mohajer & Tandon & Poor'13, Trans. IT.] found the approximate capacity region.
- For K-user IC with no feedback, [Jafar & Vishwanath'10, Trans. IT.] have capacity result.
- We obtain the approximate capacity region and DoF for K-user IC with limited feedback.

Capacity Gain due to Feedback

Strong interference for $\alpha = \frac{\log INR}{\log SNR} = \frac{5}{2}$, and K = 5

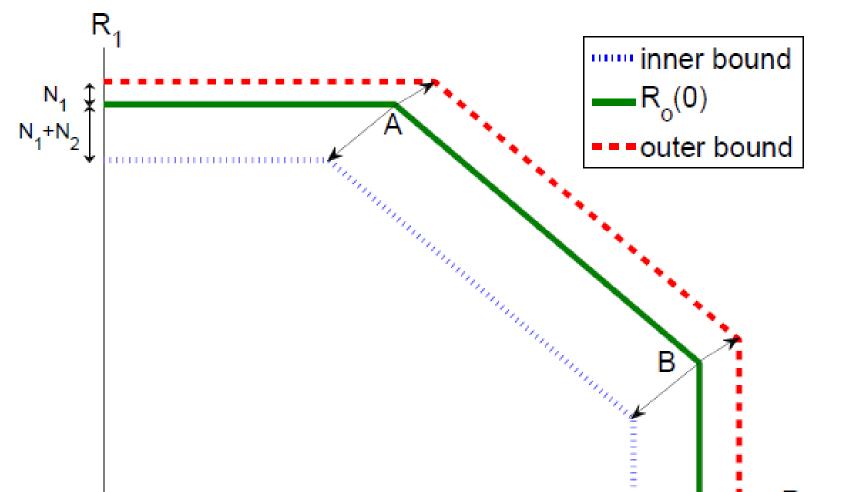


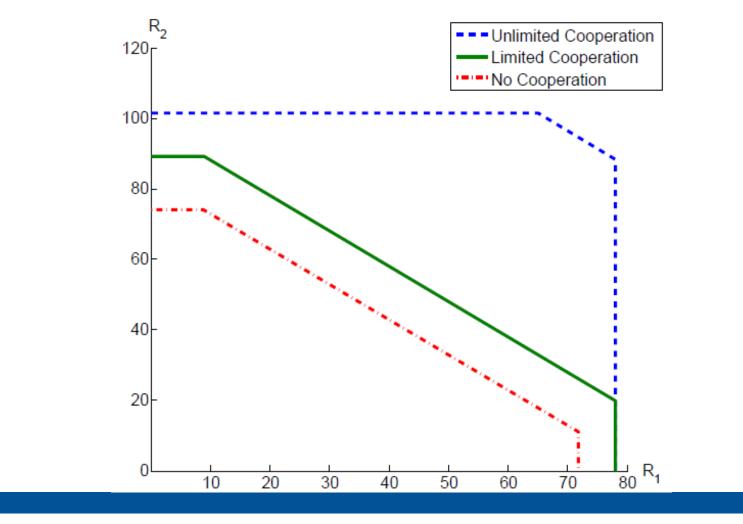
Capacity Gain due to Feedback

Theorem: The capacity region for the two-user MIMO IC with pefect feedback \mathbb{C}_{FB} is bounded from above and below as

 $\mathcal{R}_o(0) \ominus ([0, N_1 + N_2] \times [0, N_1 + N_2]) \subseteq \mathbb{C}_{FB} \subseteq \mathcal{R}_o(0) \oplus ([0, N_1] \times [0, N_2]),$

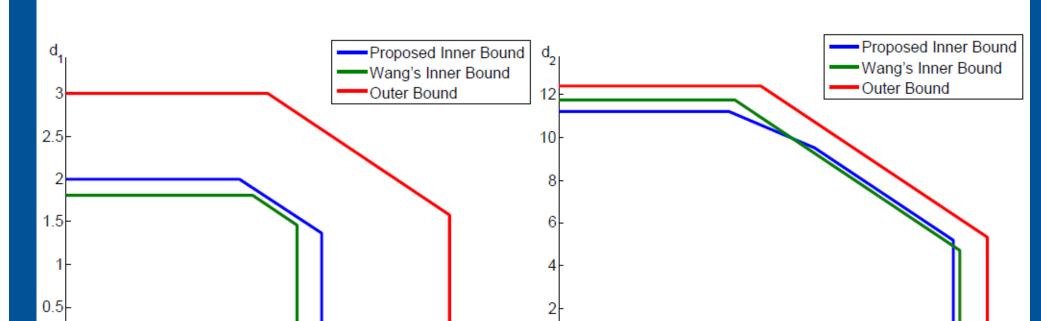
where the inner and outer bounds are within $N_1 + N_2 + \max(N_1, N_2)$ bits and $\mathcal{R}_o(0)$ is given in [AAW'13, *Trans. IT.*].





Capacity Gain due to Cooperation (Inner Bound)

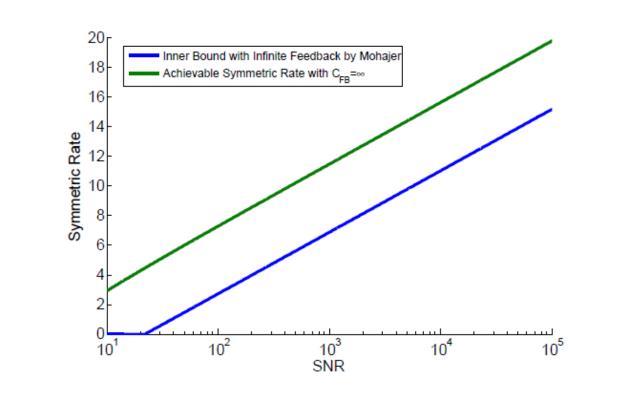
- Weak interference (left figure): C₂₁ = 1.1, C₁₂ = 1.1, SNR₁ = 5, SNR₂ = 5, INR₁ = 2 and INR₂ = 2.
- Strong interference (right figure): $C_{21} = 6$, $C_{12} = 11$, $SNR_1 = 1000$, $SNR_2 = 1500$, $INR_1 = 4000$ and $INR_2 = 10000$.



Comparison

Better achievability than [Mohajer & Tandon & Poor'13, Trans. IT.] in strong interference with infinite feedback.

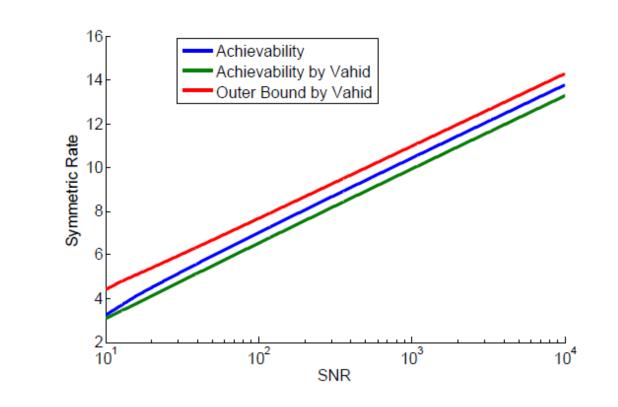
• K = 5, and $\alpha = \frac{5}{2}$.

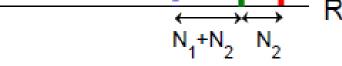


Similar improvements can be seen in other interference regimes, too.

Comparison

Better achievability than [Vahid & Suh & Avestimehr'12, *Trans. IT.*] in the special case of 2-user in strong interference regime.
K = 2, C_{FB} = 1, and α = ⁵/₂.





Generalized Degrees of Freedom

 $GDoF = \lim_{SNR \to \infty} \frac{R_{sym}}{\log SNR}, \ \alpha = \frac{\log INR}{\log SNR}$ M : # of Tx antennas, and N : # of Rx antennas. $\square \text{ Case } \frac{M}{2} < N \le M$ $\square \text{ Case } N \le \frac{M}{2}$ $GDoF = \max(N - (N - \frac{M}{2})\alpha, \frac{M-N}{2} + \frac{N\alpha}{2}), \quad GDoF = N \max(1, \frac{1+\alpha}{2}).$ where $\alpha_0 = 3 - \frac{M}{N}$.

1/2 2/3

Generalized Degrees of Freedom $GDoF = \lim_{SNR \to \infty} \frac{R_{sym}}{\log SNR}$, $\alpha = \frac{\log INR}{\log SNR}$, $\beta = \frac{C_{coop}}{\log SNR}$, M: # of Tx/Rx antennas.• Case $\frac{M}{2} < \beta \leq M$: • Case $0 < \beta \leq \frac{M}{2}$: ••**=**•β=Μ 2M/3 M/2 5/2 3 1/2 1/2 2/3 1 2 5/2 Case $\beta > M$: м+в 3 2+β_o/M 1 β₀/Μ

Our achievability scheme also fixes the result of [Vahid & Suh & Avestimehr'12, *Trans. IT.*] in the special case of 2-user in weak interference regime.

Ongoing Work

- Capacity Region of K-User MIMO Interference Channel with Arbitrary Number of Feedback Links
- Capacity Region of K-User MIMO Interference Channel with Arbitrary Topologies.
 - Non-Symmetric Models.
 - Non-Symmetric Topology.
 - Non-Symmetric Link Attenuations.
 - Arbitrary Number of Interference Links.