

# Three steps towards Gyárfás' conjecture

Maria Chudnovsky<sup>1</sup>  
Columbia University, New York, NY 10027

Alex Scott  
Oxford University, Oxford, UK

Paul Seymour<sup>2</sup>  
Princeton University, Princeton, NJ 08544

September 10, 2014; revised December 29, 2014

<sup>1</sup>Supported by NSF grants DMS-1001091 and IIS-1117631.

<sup>2</sup>Supported by ONR grant N00014-10-1-0680 and NSF grant DMS-1265563.

### **Abstract**

Gyárfás conjectured in 1985 that for all  $k, l$ , every graph with no clique of size more than  $k$  and no odd hole of length more than  $l$  has chromatic number bounded by a function of  $k, l$ . We prove three weaker statements:

- Every triangle-free graph with sufficiently large chromatic number has an odd hole of length different from five;
- For all  $l$ , every triangle-free graph with sufficiently large chromatic number contains either a 5-hole or an odd hole of length more than  $l$ ;
- For all  $k, l$ , every graph with no clique of size more than  $k$  and sufficiently large chromatic number contains either a 5-hole or a hole of length more than  $l$ .

# 1 Introduction

All graphs in this paper are finite, and without loops or parallel edges. A *hole* in a graph  $G$  is an induced subgraph which is a cycle of length at least four, and an *odd hole* means a hole of odd length. (The *length* of a path or cycle is the number of edges in it, and we sometimes call a hole of length  $n$  an  $n$ -hole.) A famous conjecture of A. Gyárfás [1] from 1985 asserts:

**1.1 Conjecture:** *For all integers  $k, l$  there exists  $n(k, l)$  such that every graph  $G$  with no clique of cardinality more than  $k$  and no odd hole of length more than  $l$  has chromatic number at most  $n(k, l)$ .*

We might as well assume that  $k \geq 2$ , and  $l \geq 3$  and is odd; and in a recent paper [3], two of us proved that this is true for all pairs  $(k, l)$  when  $l = 3$ . No other cases have been settled, and the cases when  $k = 2$  are presumably the simplest to attack next. Here we settle the first open case, when  $k = 2$  and  $l = 5$ . That asserts that all pentagonal graphs have bounded chromatic number, where we say a graph is *pentagonal* if every induced odd cycle in it has length five (and in particular, it has no triangles). Pentagonal graphs might all be 4-colourable as far as we know (the 11-vertex Grötzsch graph is pentagonal and not 3-colourable), but at least they do indeed all have bounded chromatic number. The following is our main result:

**1.2** *Every pentagonal graph is 82200-colourable.*

The proof of 1.2 occupies almost the whole paper. (Much of the proof needs just that  $G$  is triangle-free and has no odd hole of length more than  $l$ , for any fixed  $l$ , and so we have written it in this generality wherever we could.) We prove:

- if  $G$  has no triangle and no odd hole of length more than  $l$ , and for every vertex  $v$  the set of vertices with distance at most three from  $v$  has chromatic number at most some  $k$ , then  $\chi(G)$  is bounded by a function of  $k$  and  $l$ ;
- if  $G$  is pentagonal, and  $\chi(G)$  is large, then there is an induced subgraph with large chromatic number in which for every vertex  $v$  the set of vertices with distance at most three from  $v$  has chromatic number at most 20.

Together these imply that every pentagonal graph has bounded chromatic number. Both of these are consequences of a lemma, a variant of a theorem of [3], asserting roughly that for all  $l$ , if  $G$  is triangle-free and has no odd hole of length more than  $l$ , and  $\chi(G)$  is large, then there is an induced subgraph  $H$  such that for some vertex  $v_0$  of  $H$ , if we partition  $V(H)$  by distance in  $H$  from  $v_0$ , then all these “level sets” are stable except for one with large  $\chi$ . We prove this lemma first, and then apply it to prove the two bulleted statements in later sections.

Gyárfás’ conjecture 1.1 has a number of other interesting special cases that still remain open; for instance

- **Conjecture:** For all  $l$  every triangle-free graph  $G$  with sufficiently large chromatic number has an odd hole of length more than  $l$ ;
- **Conjecture:** For all  $k, l$  every graph with no clique of size more than  $k$  and sufficiently large chromatic number has a hole of length more than  $l$ .

At the end of this paper, we prove that both these statements are true if in addition we assume that  $G$  contains no 5-hole. More precisely, we prove the next two results, where  $\omega(G)$  denotes the size of the largest clique of  $G$ :

**1.3** *Let  $l \geq 2$  be an integer, and let  $G$  be a triangle-free graph with no 5-hole and no odd hole of length more than  $2l + 1$ . Then  $\chi(G) \leq (l + 1)4^{l-1}$ .*

**1.4** *Let  $l \geq 3$  be an integer, and let  $G$  be a graph with no 5-hole and no hole of length more than  $l$ . Then*

$$\chi(G) \leq (2l - 2)^{2^{\omega(G)}}.$$

The last was proved (but not published) by the second author some time ago, and improves on [2].

## 2 Lollipops

In [1], Gyárfás gave a neat proof that for any fixed path  $P$ , all graphs with no induced subgraph isomorphic to  $P$  and with bounded clique number also have bounded chromatic number, and in this section we use basically the same proof for a lemma that we need later. If  $X \subseteq V(G)$ , the subgraph of  $G$  induced on  $X$  is denoted by  $G[X]$ , and we sometimes write  $\chi(X)$  for  $\chi(G[X])$  when there is no danger of ambiguity. If  $x \in V(G)$  and  $Y \subseteq V(G)$ , the *distance* in  $G$  of  $x$  from  $Y$  (or of  $Y$  from  $x$ ) is the length of the shortest path containing  $x$  and a vertex in  $Y$ . Let us say a *lollipop* in a graph  $G$  is a pair  $(C, T)$  where  $C \subseteq V(G)$  and  $T$  is an induced path with vertices  $t_1 - \dots - t_k$  in order, say, with  $k \geq 2$ , satisfying:

- $V(T) \cap C = \emptyset$ ;
- $G[C]$  is connected;
- $t_k$  has a neighbour in  $C$ ; and
- $t_1, \dots, t_{k-1}$  have no neighbours in  $C$ .

The *cleanliness* of a lollipop  $(C, T)$  in  $G$  is the maximum  $l$  such that  $t_1, \dots, t_l$  all have distance (in  $G$ ) at least three from  $C$  (or 0 if  $t_1$  has distance two from  $C$ ). We call  $t_1$  the *end* of the lollipop. If  $(C, T)$  and  $(C', T')$  are lollipops in  $G$ , we say the second is a *licking* of the first if  $C' \subseteq C$ , and they have the same end, and  $T$  is a subpath of  $T'$ , and  $V(T') \subseteq V(T) \cup C$  (and consequently the cleanliness of  $(C', T')$  is at least that of  $(C, T)$ ). We observe first:

**2.1** *Let  $(C, T)$  be a lollipop in  $G$ , and let  $C' \subseteq C$  be non-null, such that  $G[C']$  is connected. Then there is a path  $T'$  of  $G$  such that  $(C', T')$  is a licking of  $(C, T)$ .*

**Proof.** Let  $T$  be  $t_1 - \dots - t_k$ , where  $(C, T)$  has end  $t_1$ . Since  $t_k$  has a neighbour in  $C$ , there is a path  $P$  of  $G$  with one end  $t_k$ , and with  $V(P) \subseteq C \cup \{t_k\}$ , such that the other end of  $P$  has a neighbour in  $C'$ . Choose a minimal such path  $P$ . Then  $V(P) \cap C' = \emptyset$ , and  $P' = T \cup P$  is an induced path. No vertex of  $P'$  has a neighbour in  $C'$  except its last, and so  $(C', T \cup P)$  is a licking of  $(C, T)$  as required. This proves 2.1. ■

For a vertex  $v$  of  $G$ , we denote the set of neighbours of  $v$  in  $G$  by  $N(v)$  or  $N_G(v)$ , and for  $r \geq 1$ , we denote the set of vertices at distance exactly  $r$  from  $v$  by  $N^r(v)$  or  $N_G^r(v)$ . We need the following:

**2.2** *Let  $h, \kappa \geq 0$  be integers. Let  $G$  be a graph such that  $\chi(N^2(v)) \leq \kappa$  for every vertex  $v$ ; and let  $(C, T)$  be a lollipop in  $G$ , with  $\chi(C) > h\kappa$ . Then there is a licking  $(C', T')$  of  $(C, T)$ , with cleanliness at least  $h$  more than the cleanliness of  $(C, T)$ , and such that  $\chi(C') \geq \chi(C) - h\kappa$ .*

**Proof.** We proceed by induction on  $h$ . If  $h = 0$  we may take  $(C', T') = (C, T)$ ; so we assume that  $h > 0$ , and that the result holds for  $h - 1$ . Let  $(C, T)$  have cleanliness  $c$  say (where possibly  $c = 0$ ), and let  $T$  have vertices  $t_1 \cdots t_k$  in order, where  $t_1$  is the end. Thus  $t_i$  has distance at least three from  $C$  for  $1 \leq i \leq c$ , and so  $k \geq c + 2$ . Since  $\chi(N^2(t_{c+1})) \leq \kappa$ , it follows that

$$\chi(C \setminus N^2(t_{c+1})) \geq \chi(C) - \kappa > (h - 1)\kappa \geq 0,$$

and so there is a component  $C''$  of  $C \setminus N^2(t_{c+1})$  with  $\chi(C'') > (h - 1)\kappa$ . By 2.1, there is a licking  $(C'', T'')$  of  $(C, T)$ . Since  $t_{c+1}$  has distance at least three from  $C''$ , it follows that  $(C'', T'')$  has cleanliness at least  $c + 1$ . From the inductive hypothesis, there is a licking  $(C', T')$  of  $(C'', T'')$  and hence of  $(C, T)$  that satisfies the theorem. This proves 2.2.  $\blacksquare$

### 3 Stable levelling

Let  $G$  be a graph. A *levelling*  $\mathcal{L}$  in  $G$  is a sequence  $L_0, L_1, \dots, L_k$  of disjoint subsets of  $V(G)$ , with the following properties:

- $|L_0| = 1$ ;
- for each  $i$  with  $1 \leq i \leq k$ , every vertex in  $L_i$  has a neighbour in  $L_{i-1}$ ; and
- for  $0 \leq i, j \leq k$  with  $|j - i| > 1$ , there are no edges between  $L_i$  and  $L_j$ .

The levelling  $\mathcal{L}$  is called *stable* if each of the sets  $L_0, \dots, L_{k-1}$  is stable (we do not require  $L_k$  to be stable). For  $1 \leq i \leq k$ , a *parent* of  $v \in L_i$  is a neighbour  $u$  of  $v$  in  $L_{i-1}$  (and we also say  $v$  is a *child* of  $u$ ).

The next result is a variant of a theorem proved in [3]; we could use that theorem directly, but the modification here works better numerically. Let the *odd hole number* of  $G$  be the length of the longest induced odd cycle in  $G$  (or 1, if  $G$  is bipartite). If  $L_0, \dots, L_k$  is a stable levelling, we call  $L_k$  its *base*.

**3.1** *Let  $G$  be a triangle-free graph with odd hole number at most  $2l + 1$ , such that  $\chi(N^2(v)) \leq \kappa$  for every vertex  $v$ . Let  $L_0, L_1, \dots, L_k$  be a levelling in  $G$ . Then there is a stable levelling in  $G$  with base of chromatic number at least  $(\chi(L_k) - (2l - 1)\kappa)/2$ .*

**Proof.** We may assume  $l \geq 1$ , since otherwise  $G$  is bipartite and the result is trivial. Also we may assume that  $\chi(L_k) > (2l - 1)\kappa$ , because otherwise the stable levelling  $L_0, L_1$  satisfies the theorem. We proceed by induction on  $|V(G)|$ , and so we may assume:

- $V(G) = L_0 \cup L_1 \cup \dots \cup L_k$ ;

- $G[L_k]$  is connected; and
- for  $0 \leq i < k$  and every vertex  $u \in L_i$ , there exists  $v \in L_{i+1}$  such that  $u$  is its only parent.

Let  $L_0 = \{s_0\}$ , and inductively for  $1 \leq i \leq k$ , choose  $s_i \in L_i$  such that  $s_{i-1}$  is its only parent. Then  $s_0-s_1-\dots-s_k$  is an induced path  $S$  say.

Now  $s_{k-2}$  has no neighbour in  $L_k$ , so  $(L_k, s_{k-2}-s_{k-1})$  is a lollipop. By 2.2, there is a licking of this lollipop, say  $(C', T')$ , with cleanliness at least  $2l-1$  and with  $\chi(C') \geq \chi(L_k) - (2l-1)\kappa$ . Let the first  $2l-1$  vertices of  $T'$  be  $s_{k-2}-s_{k-1}-t_1-\dots-t_{2l-3}$ .

Let  $N(S)$  be the set of vertices of  $G$  not in  $S$  but with a neighbour in  $S$ . If  $v \in L_i \cap N(S)$ , then  $v$  is adjacent to exactly one of  $s_i, s_{i-1}$  and has no other neighbour in  $S$ ; because every neighbour of  $v$  belongs to one of  $L_{i-1}, L_i, L_{i+1}$ , and  $G$  is triangle-free, and  $v$  is not adjacent to  $s_{i+1}$  since  $s_i$  is the only parent of  $s_{i+1}$ . So every vertex in  $L_i \cap N(S)$  has one of two possible types. We say the *type* of a vertex  $v \in L_i \cap N(S)$  is  $\alpha$  where  $\alpha = 1$  or  $2$  depending whether  $v$  is adjacent to  $s_{i-1}$  and not to  $s_i$ , or adjacent to  $s_i$  and not to  $s_{i-1}$ .

Let us fix a type  $\alpha$ . Let  $V(\alpha)$  be the minimal subset of  $V(G) \setminus V(S)$  such that

- every vertex in  $N(S)$  of type  $\alpha$  belongs to  $V(\alpha)$ ; and
- for every vertex  $v \in V(G) \setminus (V(S) \cup N(S))$ , if some parent of  $v$  belongs to  $V(\alpha)$  then  $v \in V(\alpha)$ .

Consequently, for every vertex  $v \in V(\alpha)$ , there is a path starting at  $v$  and ending at some vertex in  $N(S)$  of type  $\alpha$ , such that each vertex of the path (except  $v$ ) is the parent of the previous vertex, and no vertex of the path belongs to  $N(S)$  except the last.

There are only two types  $\alpha$ , and so there is a type  $\alpha$  such that  $\chi(V(\alpha) \cap C') \geq \chi(C')/2 > 0$ . Let  $C$  be the vertex set of a component of  $G[V(\alpha) \cap C']$  with maximum chromatic number, so

$$\chi(C) \geq \chi(C')/2 \geq (\chi(L_k) - (2l-1)\kappa)/2.$$

By 2.1, there is a path  $T$  such that  $(C, T)$  is a licking of  $(C', T')$ .

Let  $J_k = C$ , and for  $i = k-1, k-2, \dots, 1$  choose  $J_i \subseteq V(\alpha) \cap L_i$  minimal such that every vertex in  $J_{i+1} \setminus N(S)$  has a neighbour in  $J_i$ . It follows from the cleanliness of  $(C', T')$  that  $J_{k-1} \cap N(S) = \emptyset$ , and no vertex in  $J_{k-1}$  is adjacent to any of  $s_{k-2}, s_{k-1}, t_1, \dots, t_{2l-3}$ .

(1) For  $1 \leq i \leq k-1$ , if  $v \in J_i$  and  $v$  is nonadjacent to  $s_i$ , then there is an induced path  $P_v$  between  $v$  and  $s_i$  of length at least  $2l-3+2(k-i)$  with interior in  $L_{i+1} \cup \dots \cup L_k$ , such that

- if  $i \leq k-2$ , no vertex in  $J_i$  different from  $v$  has a neighbour in the interior of  $P_v$
- if  $i = k-1$ , and  $u \in J_i \setminus \{v\}$  has a neighbour in the interior of  $P_v$ , then the induced path between  $u, s_{k-1}$  with interior in  $V(P_v)$  has length at least  $2l-1$ .

Since  $v \in J_i$ ,  $v$  has a neighbour in  $J_{i+1} \setminus N(S)$  with no other parent in  $J_i$ ; and so there is a path  $v = p_i-p_{i+1}-\dots-p_k$  such that

- $p_j \in J_j$  for  $i \leq j \leq k$
- $p_j \notin N(S)$  for  $i < j \leq k$

- $p_{j-1}$  is the only parent of  $p_j$  in  $J_{j-1}$  for  $i < j \leq k$ .

Since  $p_{k-1} \in J_{k-1}$ , and no vertex in  $J_{k-1}$  is adjacent to any of  $s_{k-2}, s_{k-1}, t_1, \dots, t_{2l-3}$ , it follows that there is an induced path from  $p_{k-1}$  to  $s_{k-1}$  with interior in  $L_k$  containing all of  $t_1, \dots, t_{2l-3}$  and at least one more vertex of  $L_k$ , and therefore with length at least  $2l - 1$ . Its union with the path  $p_i \cdots p_{k-1}$  and the path  $s_{k-1} - s_i$  is an induced path between  $v$  and  $s_i$ , of length at least  $2l - 3 + 2(k - i)$ . If  $u \in J_i \setminus \{v\}$  and has a neighbour in the interior of  $P_v$ , then since  $u$  is nonadjacent to all of  $s_{i+1}, \dots, s_{k-1}, p_{i+1}, \dots, p_{k-1}$  (because  $u$  has no neighbour in  $L_{i+2} \cup \dots \cup L_k$ , and  $s_{i+1}$  has a unique parent  $s_i$ , and  $p_{i+1}$  has no parent in  $J_i$  except  $p_i$ ), it follows that  $i = k - 1$ ; and since no vertex in  $J_{k-1}$  is adjacent to any of  $s_{k-2}, s_{k-1}, t_1, \dots, t_{2l-3}$ , this proves (1).

For  $1 \leq i \leq k$  and for every vertex  $v \in J_i$ , either  $v \in N(S)$  or it has a parent in  $J_{i-1}$ ; and so there is a path  $r_i - r_{i-1}, \dots, r_h$  for some  $h \leq i$ , such that  $r_j \in J_j$  for  $h \leq j \leq i$ , and  $r_h \in N(S)$ , and  $r_j \notin N(S)$  for  $h + 1 \leq j \leq i$ . Since  $r_h$  has a neighbour in  $S$ , one of

$$r_i - r_{i-1} - \dots - r_h - s_{h-1} - s_h - s_{h+1} - \dots - s_i,$$

$$r_i, r_{i-1} - \dots - r_h - s_h - s_{h+1} - \dots - s_i$$

is an induced path (the first if  $\alpha = 1$  and the second if  $\alpha = 2$ ). We choose some such path and call it  $R_v$ . Note that for all  $v \in J_1 \cup \dots \cup J_k$ , the path  $R_v$  has even length if  $\alpha = 1$ , and odd length otherwise.

(2) For  $0 \leq i \leq k - 1$ ,  $J_i$  is stable.

Suppose that  $u, v \in J_i$  are adjacent. Since  $G$  is triangle-free, not both  $u, v \in N(S)$ . Suppose that  $u \in N(S)$ , and hence  $v \notin N(S)$ . Since  $N(S) \cap J_{k-1} = \emptyset$  it follows that  $i \leq k - 2$ . Consequently  $u$  has no neighbour in the interior of  $P_v$ , where  $P_v$  is as in (1), and so  $P_v \cup R_v, s_i - P_v - v - u - R_u - s_i$  are both holes of length at least  $2l + 2$ , of different parity, which is impossible. So  $u, v \notin N(S)$ . We claim that there is a path  $P$  of length at least  $2l - 1$ , from one of  $u, v$  to  $s_i$ , with interior in  $L_{i+1} \cup \dots \cup L_k$ , such that the other (of  $u, v$ ) has no neighbour in its interior. For if  $u$  has no neighbour in the interior of  $P_v$  then we may take  $P = P_v$ , where  $P_v$  is as in (1); and if  $u$  has such a neighbour, let  $P$  be the induced path between  $u$  and  $s_i$  with interior a subset of the interior of  $P_v$ . Note that in the second case,  $v$  has no neighbour in the interior of  $P$ , since  $G$  is triangle-free. This proves that the desired path  $P$  exists; say from  $v$  to  $s_i$ . Now the union of  $P$  and  $R_v$  is a hole of length at least  $2l + 2$ , and so  $P, R_v$  have the same parity. But the union of  $P$  and the path  $v - u - R_u - s_i$  is also a hole, of length at least  $2l + 3$ , and since  $R_u, R_v$  have the same parity this is impossible. This proves (2).

If  $\alpha = 1$  let  $M_i = \{s_i\} \cup J_i$  for  $0 \leq i \leq k$ , and if  $\alpha = 2$  let  $M_0 = \{s_1\}, M_i = \{s_{i+1}\} \cup J_i$  for  $1 \leq i < k$ , and  $M_k = J_k$ . In each case  $M_0, \dots, M_k$  is a levelling satisfying the theorem. This proves 3.1. ■

We deduce:

**3.2** Let  $G$  be pentagonal, and let  $n \geq 1$  be an integer. If  $\chi(G) \geq 10n - 9$ , there is a stable levelling in  $G$  with base of chromatic number at least  $n$ .

**Proof.** Let  $G'$  be a component of  $G$  with  $\chi(G') = \chi(G)$ . Choose  $v_0 \in V(G')$ , and for  $i \geq 0$  let  $L_i$  be the set of vertices in  $G'$  with distance  $i$  from  $v_0$ . There exists  $k$  such that  $\chi(L_k) \geq \chi(G)/2$  and hence  $\chi(L_k) \geq 5n - 4$ . Now  $L_0, \dots, L_k$  is a levelling in  $G$ . By 3.1, taking  $l = 2$  and  $\kappa = n - 1$ , either there is a levelling  $M_0, M_1, M_2$  with  $\chi(M_2) \geq n$ , necessarily stable, or there is a stable levelling  $M_0, \dots, M_k$  in  $G$  with  $\chi(M_k) \geq (\chi(L_k) - 3(n - 1))/2 \geq n - 1/2$ . In either case the theorem holds.  $\blacksquare$

We also include, for convenient reference:

**3.3** *Let  $G$  be pentagonal such that  $\chi(N^2(v)) \leq 5$  for every vertex  $v$ , and let  $n \geq 1$  be an integer. If  $\chi(G) \geq 4n + 27$ , there is a stable levelling in  $G$  with base of chromatic number at least  $n$ .*

**Proof.** As before, choose a levelling  $L_0, \dots, L_k$  with  $\chi(L_k) \geq \chi(G)/2$  and hence  $\chi(L_k) \geq 2n + 14$ . By 3.1 with  $\kappa = 5$ , there is a stable levelling  $M_0, \dots, M_k$  in  $G$  with  $\chi(M_k) \geq (\chi(L_k) - 15)/2 \geq n - 1/2$  and the result follows.  $\blacksquare$

## 4 Reducing to bounded radius

Let  $L_0, \dots, L_k$  be a levelling. If  $0 \leq i \leq j \leq k$  and  $u \in L_i$  and  $v \in L_j$ , and there is a path between  $u, v$  of length  $j - i$  with one vertex in each of  $L_i, L_{i+1}, \dots, L_j$ , we say that  $u$  is an *ancestor* of  $v$  and  $v$  is a *descendant* of  $u$ .

**4.1** *Let  $G$  be a triangle-free graph with odd hole number at most  $2l + 1$ . For  $r = 2, 3$ , let  $\chi(N^r(v)) \leq \kappa_r$  for every vertex  $v$ . Then  $\chi(G) \leq (12l - 2)\kappa_2 + 4\kappa_3 + 8$ .*

**Proof.** Suppose that  $\chi(G) > (12l - 2)\kappa_2 + 4\kappa_3 + 8$ . There is a levelling in  $G$  with base of chromatic number at least  $\chi(G)/2$ , and so by 3.1, there is a stable levelling  $L_0, \dots, L_k$  in  $G$  with

$$\chi(L_k) \geq \chi(G)/4 - (l - 1/2)\kappa_2 > 2l\kappa_2 + \kappa_3 + 2.$$

We may choose it in addition such that  $G[L_k]$  is connected, and for  $0 \leq i < k$  every vertex in  $L_i$  has a descendant in  $L_k$ . Since  $\chi(L_k) > 1$  it follows that  $k > 1$ . Choose  $a_{k-2} \in L_{k-2}$ . Let  $X_1$  be the set of descendants of  $a_{k-2}$  in  $L_k$ ; thus  $\chi(X_1) \leq \kappa_2$ , and since  $\chi(L_k) > \kappa_2$ , there is a component  $C_1$  of  $G[L_k \setminus X_1]$  with

$$\chi(C_1) \geq \chi(L_k) - \kappa_2 > (2l - 1)\kappa_2 + \kappa_3 + 2.$$

Since  $G[L_k]$  is connected and  $X_1 \neq \emptyset$ , there exists  $a_k \in X_1$  with a neighbour in  $C_1$ . Let  $a_{k-1}$  be a parent of  $a_k$  and child of  $a_{k-2}$ .

Let  $X_2$  be the set of neighbours of  $a_k$  in  $C_1$ ; then  $X_2$  is stable and nonempty, and since  $\chi(C_1) > 1$ , there is a component  $C_2$  of  $C_1 \setminus X_2$  with

$$\chi(C_2) \geq \chi(C_1) - 1 > (2l - 1)\kappa_2 + \kappa_3 + 1,$$

and a neighbour  $b_k$  of  $a_k$  with a neighbour in  $C_2$ . Let  $b_{k-1}$  be a parent of  $b_k$ . Thus  $b_{k-1}, a_{k-2}$  are nonadjacent since  $X_1 \cap C_1 = \emptyset$ . Also  $b_{k-1}, a_{k-1}$  are nonadjacent since  $L_{k-1}$  is stable, and  $b_{k-1}, a_k$  are nonadjacent since  $G$  is triangle-free. Consequently  $a_{k-2} - a_{k-1} - a_k - b_k - b_{k-1}$  is an induced path of  $G$ .



Let  $X_3$  be the set of all children of  $b_{k-1}$ ; then since  $X_3$  is stable, and  $\chi(C_2) > 1$ , it follows that there is a component  $C_3$  of  $C_2 \setminus X_3$  with

$$\chi(C_3) \geq \chi(C_2) - 1 > (2l - 1)\kappa_2 + \kappa_3,$$

and a child  $c_k$  of  $b_{k-1}$  with a neighbour in  $C_3$ , taking  $c_k = b_k$  if  $b_k$  has a neighbour in  $C_3$ . Thus  $(C_3, b_{k-1}-c_k)$  is a lollipop. By 2.2, since  $\chi(C_3) > (2l - 1)\kappa_2$ , there is a licking  $(C_4, T)$  of  $(C_3, b_{k-1}-c_k)$ , with cleanliness at least  $2l - 1$ , such that

$$\chi(C_4) \geq \chi(C_3) - (2l - 1)\kappa_2 > \kappa_3.$$

Let  $T$  have vertices  $t_1-t_2-t_3-\dots-t_m$  say, where  $m \geq 2l$  and  $t_1 = b_{k-1}$  and  $t_2 = c_k$ . Note that if  $b_k \neq c_k$  then  $b_k$  has no neighbour in  $C_3$  and in particular  $b_k$  has no neighbour in  $T$  except  $t_1$ .

Let  $X_4$  be the set of all vertices of  $C_4$  with distance three from  $b_{k-1}$ . Since  $\chi(X_4) \leq \kappa_3$ , and  $\chi(C_4) - \kappa_3 > 0$ , there is a component  $C_5$  of  $C_4 \setminus X_4$ . By 2.1, there is a licking  $(C_5, S)$  say of  $(C_4, T)$ . Let  $S$  have vertices  $t_1-\dots-t_n$  say where  $n \geq m$ . Let  $t_{n+1} \in V(C_5)$  be adjacent to  $t_n$ , and let  $d_{k-1}$  be a parent of  $t_{n+1}$ . Choose  $i$  with  $1 \leq i \leq n + 1$  minimum such that  $d_{k-1}$  is adjacent to  $t_i$ . Note that  $d_{k-1}$  is nonadjacent to all of  $t_1, \dots, t_h$  since  $(C_4, T)$  has cleanliness at least  $2l - 1$  and hence so does  $(C_5, S)$ ; and so  $i > 2l - 1$ . Let  $P$  be the path  $t_2-t_3-t_i-d_{k-1}$ . This path  $P$  is induced and has length  $i - 1 \geq 2l - 1$ .

Let  $d_{k-2}$  be a parent of  $d_{k-1}$ . Now  $a_{k-2} \neq d_{k-2}$ , since  $a_{k-2}$  has no descendant in  $C_1$ , and  $d_{k-2}$  has a descendant  $t_{n+1}$  in  $C_5$  and hence in  $C_1$ . For the same reason  $a_{k-1} \neq d_{k-1}$ , and  $b_{k-1} \neq d_{k-1}$  since  $b_{k-1}$  has no children in  $C_3$ . Also,  $b_{k-1}, d_{k-2}$  are nonadjacent, since  $t_{n+1}$  is in  $C_5$  and so there is no three-edge path between  $b_{k-1}$  and  $t_{n+1}$ .

Since  $L_0, \dots, L_{k-1}$  are stable, there is an induced path between  $b_{k-1}, d_{k-1}$  of even length with interior in  $L_0 \cup \dots \cup L_{k-2}$ , and its union with the path  $b_{k-1}-t_2-P-d_{k-1}$  is a hole of length at least  $2l + 2$ , which consequently has even length; and so  $P$  has odd length. Now there is an even induced path  $Q$  between  $a_{k-1}, d_{k-1}$  with interior in  $L_0 \cup \dots \cup L_{k-2}$ , not containing any neighbour of  $b_{k-1}$ ; for if  $a_{k-1}, d_{k-2}$  are adjacent then the path  $a_{k-1}-d_{k-2}-d_{k-1}$  satisfies our requirements, and otherwise any even induced path between  $a_{k-2}, d_{k-2}$  with interior in  $L_0 \cup \dots \cup L_{k-3}$  (extended by the edges  $a_{k-1}a_{k-2}$  and  $d_{k-1}d_{k-2}$ ) provides the desired path. If  $b_k \neq c_k$  then

$$a_{k-1}-a_k-b_k-b_{k-1}-c_k-P-d_{k-1}-Q-a_{k-1}$$

is an odd hole of length at least  $2l + 5$ , while if  $b_k = c_k$  then

$$a_{k-1}-a_k-b_k-P-d_{k-1}-Q-a_{k-1}$$

is an odd hole of length at least  $2l + 3$ , in either case a contradiction. This proves 4.1. ■

## 5 The Grötzsch graph

Let  $G$  be a graph, and  $H$  an induced subgraph of  $G$ . We say a levelling  $L_0, \dots, L_k$  in  $G$  is *over*  $H$  if  $V(H) \subseteq L_k$ . An  $n$ -covering (in  $G$ , over  $H$ ) is a sequence of graphs  $H = G_0, G_1, \dots, G_n = G$ , such that for  $1 \leq i \leq n$  there is a stable levelling in  $G_i$  over  $G_{i-1}$ . For  $n \geq 1$ , let us say a graph  $H$  is

$n$ -coverable if there is an  $n$ -covering over  $H$  in some pentagonal graph  $G$  (and in particular,  $H$  itself is pentagonal).

The *Grötzsch graph* has vertex set  $\{a_1, \dots, a_5, b_1, \dots, b_5, c\}$ , where  $a_1-a_2-\dots-a_5-a_1$  is a cycle,  $a_i, b_i$  are both adjacent to  $a_{i-1}$  and  $a_{i+1}$  for  $1 \leq i \leq 5$  (reading subscripts modulo 5), and  $c$  is adjacent to  $b_1, \dots, b_5$ . We call the 5-hole  $a_1-a_2-\dots-a_5-a_1$  its *rim* and  $c$  its *apex*.

### 5.1 The Grötzsch graph is not 1-coverable.

**Proof.** Suppose it is, and let  $G$  be pentagonal, with a stable levelling  $L_0, \dots, L_k$ , such that  $G[L_k]$  has an induced subgraph  $H$  isomorphic to the Grötzsch graph. Let  $V(H)$  be labelled as above. We may assume that  $L_k = V(H)$ , and  $L_{k-1}$  is minimal such that every vertex in  $V(H)$  has a neighbour in  $L_{k-1}$ . For each  $v \in L_{k-1}$ , let  $H(v)$  denote the set of neighbours of  $v$  in  $V(H)$ . Consequently:

(1) For each  $v \in L_{k-1}$ , there exists  $u \in H(v)$  with no neighbour in  $L_{k-1}$  except  $v$ .

We call such a vertex  $u$  a *dependent* of  $v$ . If  $u, v \in L_{k-1}$ , by a  $u$ - $v$  gap we mean an induced path  $P$  of  $G$ , with one end in  $H(u)$  and the other in  $H(v)$ , and with no other vertex in  $H(u) \cup H(v)$  (a vertex in  $H(u) \cap H(v)$  forms a 1-vertex gap.) Thus a  $u$ - $v$  gap is the interior of an induced path between  $u$  and  $v$ . A  $u$ - $v$  gap is *odd* if it has odd length, and *even* similarly.

(2) For all  $u, v \in L_{k-1}$ , every odd  $u$ - $v$  gap has length one.

For suppose some  $u$ - $v$  gap has odd length at least three; then there is an induced path between  $u, v$  of odd length at least five, with interior in  $L_k$ . But  $u, v$  have neighbours in  $L_{k-2}$ , and so are joined by an induced path of even length with interior in the top of the levelling; and the union of these two paths is an odd hole of length at least seven, which is impossible.

(3) For every four-vertex induced path  $u_1-u_2-u_3-u_4$  of  $H$ , if  $v, v' \in L_{k-1}$  and  $u_1 \in H(v)$  and  $u_4 \in H(v')$ , then either one of  $u_1, u_2 \in H(v')$ , or one of  $u_3, u_4 \in H(v)$ .

Because  $H(v), H(v')$  are stable sets since  $G$  is triangle-free; and from (2) this path is not a  $u$ - $v$  gap; and the claim follows.

(4)  $|H(v_0)| \geq 2$  for all  $v_0 \in L_{k-1}$  with  $c \in H(v_0)$ .

For suppose that  $H(v_0) = \{c\}$ . Then by (1),  $c$  has no other neighbour in  $L_{k-1}$ . So for every four-vertex path of  $H$  ending at  $c$ , say  $u_1-u_2-u_3-c$ , and for all  $v \in L_{k-1}$  with  $u_1 \in H(v)$ , (3) implies that  $u_3 \in H(v)$  (because  $u_1, u_2 \notin H(v_0)$  since  $|H(v_0)| = 1$ , and  $c \notin H(v')$  since  $c$  is a dependent of  $v_0$ ). Choose  $v_1 \in L_{k-1}$  with  $a_1 \in H(v_1)$ . From  $a_1-a_5-b_1-c$  it follows that  $b_1 \in H(v_1)$ , and similarly  $b_3, b_4 \in H(v_1)$ . Since  $H(v_1)$  is stable, and the set  $\{a_1, b_1, b_3, b_4\}$  is a maximal stable set of  $H$ , it follows that  $H(v_1) = \{a_1, b_1, b_3, b_4\}$ . Choose  $v_3 \in L_{k-1}$  with  $a_3 \in H(v_3)$ ; then (from the symmetry of  $H$  taking  $a_3$  to  $a_1$ ) it follows that  $H(v_3) = \{a_3, b_3, b_1, b_5\}$ . But  $b_4-a_5-a_4-b_5$  is an odd  $v_1$ - $v_3$  gap, contrary to (2). This proves (1).

(5)  $|H(v_0)| = 3$  for all  $v_0 \in L_{k-1}$  with  $c \in H(v_0)$ .

No stable set of  $H$  containing  $c$  has cardinality more than three, so we just need to show that  $|H(v_0)| \neq 2$ . Suppose not; then from the symmetry of  $H$ , we may assume that  $H(v_0) = \{c, a_1\}$ . One of  $c, a_1$  is a dependent of  $v_0$ .

Suppose first that  $c$  is a dependent of  $v_0$ . Choose  $v_5 \in L_{k-1}$  with  $a_5 \in H(v_5)$ . From  $a_5-a_4-b_3-c$  and (3) it follows that  $b_3 \in H(v_5)$ , and from  $a_5-a_4-b_5-c$  that  $b_5 \in H(v_5)$ . Since  $a_2, b_3$  are adjacent it follows that  $a_2 \notin H(v_5)$ ; choose  $v_2 \in L_{k-1}$  with  $a_2 \in H(v_2)$ . Then from the symmetry of  $H$  exchanging  $a_2, a_5$  and fixing  $a_1$ , it follows  $b_2, b_4 \in H(v_2)$ . From  $a_5-b_1-c-b_2$  and (3) it follows that  $b_2 \in H(v_5)$  (since  $a_5, b_1 \notin H(v_2)$  because they both have neighbours in  $H(v_2)$ , and  $c \notin H(b_5)$  because it is a dependent of  $v_1$ ). From the same symmetry,  $b_5 \in H(v_2)$ ; and so  $a_3 \notin H(v_2)$  and  $a_4 \notin H(v_5)$ . But then  $a_5-a_4-a_3-a_2$  is a  $v_5-v_2$  gap, contrary to (2).

This shows that  $c$  is not a dependent of  $v_0$ , and so  $a_1$  is its dependent. Choose  $v_3 \in L_{k-1}$  with  $a_3 \in H(v_3)$ ; then  $b_5 \in H(v_3)$  from  $a_3-a_4-b_5-a_1$ , and  $a_5 \in H(v_3)$  from  $a_3-b_4-a_5-a_1$ . Now  $a_4 \notin H(v_3)$ ; choose  $v_4 \in L_{k-1}$  with  $a_4 \in H(v_4)$ , and then similarly  $a_2, b_2 \in H(v_4)$ . But then  $a_5-b_1-c-b_2$  is a  $v_3-v_2$  gap, a contradiction. This proves (5).

In view of (5) and the symmetry we may assume henceforth that  $H(v_0) = \{a_5, a_2, c\}$ . One of  $a_5, a_2, c$  is a dependent of  $v_0$ . Suppose first that  $c$  is a dependent of  $v_0$ . Choose  $v_3 \in L_{k-1}$  with  $a_3 \in H(v_3)$ ; then  $b_5 \in H(v_3)$  from  $a_2-a_4-b_5-c$ , and  $b_2 \in H(v_3)$  from  $a_2-a_4-b_2-c$ . Similarly, let  $a_4 \in H(v_4)$ ; then  $b_2, b_4 \in H(v_4)$ . From  $b_4-a_5-a_1-b_5$  it follows that  $a_5 \in H(v_3)$ , and similarly  $a_2 \in H(v_4)$ . But then  $a_5-b_1-c-b_2$  is a  $v_3-v_4$  gap, a contradiction.

From the symmetry between  $a_2, a_5$ , we may therefore assume that  $a_2$  is a dependent of  $v_0$ . Let  $b_2 \in H(v_2)$ ; then  $a_4 \in H(v_2)$  from  $b_2-a_3-a_4-a_5$ , and  $b_4 \in H(v_2)$  from  $b_2-a_3-b_4-a_5$ . Also,  $a_2 \in H(v_2)$  from  $a_4-b_5-a_1-a_2$ . Let  $a_3 \in H(v_3)$ ; then  $a_1 \in H(v_3)$  from  $a_3-b_2-a_1-a_5$ , and  $c \in H(v_3)$  from  $a_1-b_5-c-b_4$ . But then  $a_4-a_5-b_1-c$  is a  $v_2-v_3$  gap, a contradiction. This proves 5.1. ■

## 6 Bounded radius

In this section we prove a bound on  $\chi(N^2(v))$  for 2-coverable graphs, and on  $\chi(N^3(v))$  for 3-coverable graphs, to allow us to apply 4.1. We begin with:

**6.1** *Let  $L_0, \dots, L_k$  be a stable levelling in a pentagonal graph  $G$ , and let  $P$  be a 5-hole of  $G[L_k]$ . Choose  $S \subseteq L_{k-1}$  minimal such that every vertex in  $P$  has a neighbour in  $S$ . Then*

- $|S| = 3$ ;
- *we can label the vertices of  $P$  as  $p_1 \dots p_5$  in order, and label the elements of  $S$  as  $a, b, c$ , such that the edges of  $G$  between  $S$  and  $V(P)$  are  $ap_1, ap_3, bp_2, bp_4, cp_5$  and possibly  $cp_3$ ;*
- *there exists  $z \in L_{k-2}$  adjacent to every vertex in  $S$ .*

**Proof.** We begin by proving the first two assertions. Each vertex in  $S$  has at most two neighbours in  $P$ , because its neighbours form a stable set. Suppose that every vertex in  $S$  has exactly two neighbours in  $P$ . We may assume that  $a \in S$  is adjacent to  $p_1, p_3$ ; then choose  $b \in S$  adjacent to  $p_2$ . It follows that  $b$  is adjacent to one of  $p_4, p_5$ , say  $p_4$ . Choose  $c \in S$  adjacent to  $p_5$ ; then  $c$  might also

be adjacent to one of  $p_2, p_3$ , and from the symmetry we may assume it is not adjacent to  $p_2$ ; and so  $S = \{a, b, c\}$ , and the first two assertions of the theorem hold. We may therefore assume that some vertex in  $S$ , say  $c$ , has only one neighbour in  $P$ , say  $p_5$ . From the minimality of  $S$ , no other vertex in  $S$  is adjacent to  $p_5$ . Choose  $a \in S$  adjacent to  $p_3$ . If  $a$  has no more neighbours in  $P$ , then the path  $a-p_3-p_2-p_1-p_5-c$  can be completed via an even path joining  $a, c$  with interior in  $L_0 \cup \dots \cup L_{k-2}$  to an odd hole of length at least seven, which is impossible. So  $a$  has another neighbour in  $P$ , and since  $a$  is not adjacent to  $p_5$  it is adjacent to  $p_1$ . Similarly, choose  $b \in S$  adjacent to  $p_2$ ; then  $b$  is also adjacent to  $p_4$ . From the minimality of  $S$ ,  $S = \{a, b, c\}$  and again the first two assertions hold.

For the third assertion, choose  $Z \subseteq L_{k-2}$  minimal containing a neighbour of each member of  $S$ . Suppose that there are distinct  $z_1, z_2 \in Z$ . From the minimality of  $Z$ , there exist  $s_1, s_2 \in S$  such that for  $1 \leq i, j \leq 2$ ,  $z_i$  is adjacent to  $s_j$  if and only if  $i = j$ . But from the second assertion of the theorem, there is a three-edge path joining  $s_1, s_2$  with interior in  $V(P)$ , say  $s_1-p_1-p_2-s_2$ , where  $p_1p_2$  is an edge of  $P$ . Then  $z_1-s_1-p_1-p_2-s_2-z_2$  is an induced path, and can be completed to an odd hole of length at least seven via an even induced path joining  $z_1, z_2$  with interior in  $L_0 \cup \dots \cup L_{k-3}$ , which is impossible. Thus  $|Z| = 1$ , and so the third assertion holds. This proves 6.1.  $\blacksquare$

We also need the following lemma.

**6.2** *Let  $G$  be pentagonal, and let  $L_0, \dots, L_k$  be a stable covering in  $G$  of a graph  $H$ . Let  $z \in V(H)$ , and  $A$  be the set of all vertices  $v \in N_H^2(z_0)$  such that every neighbour of  $v$  in  $L_{k-1}$  is adjacent to  $z$ . Then  $\chi(A) \leq 2$ .*

**Proof.** Suppose that  $\chi(A) > 2$ ; then there is a 5-hole  $P$  of  $G[A]$ . Choose a minimal subset  $S$  of  $N_H^1(z)$  such that every vertex in  $p$  has a neighbour in  $S_1$ ; then by 6.1 we may assume that  $S = \{a, b, c\}$ , where the edges between  $S$  and  $V(P)$  are  $ap_1, ap_3, bp_2, bp_4, cp_5$  and possibly  $cp_3$ . Choose  $v \in L_{k-1}$  adjacent to  $p_5$ ; by hypothesis,  $v$  is adjacent to  $z$ . Choose  $a', b' \in L_{k-1}$  adjacent to  $a, b$  respectively. Consequently  $a', b'$  are not adjacent to  $z$ , and so have no neighbours in  $V(P)$ ; and in particular,  $a', b'$  are different from  $v$ . There is an even induced path between  $v, a'$  with interior in  $L_0 \cup \dots \cup L_{k-2}$ , and so the odd path  $v-p_5-p_4-p_3-a-a'$  is not induced, since its union with the previous path would form an odd hole of length at least seven. But  $a'$  has no neighbour in  $P$  (because  $V(P) \subseteq A$ ), and  $v$  is not adjacent to  $a$  (because  $G$  is triangle-free) and  $v$  is not adjacent to  $a'$  (because  $L_{k-1}$  is stable), and it follows that  $v$  is adjacent to  $p_3$ . The same arguments applied to the path  $v-p_5-p_1-p_2-b-b'$  show that  $v$  is adjacent to  $p_2$ ; yet not both of these are true since  $G$  is triangle-free, a contradiction. This proves 6.2.  $\blacksquare$

We deduce:

**6.3** *If  $H$  is a 2-coverable graph and  $z \in V(H)$  then  $\chi(N_H^2(z)) \leq 5$ .*

**Proof.** Since  $H$  is 2-coverable, there is a 1-coverable graph  $G$  and a stable levelling  $L_0, \dots, L_k$  in  $G$  over  $H$ . Let  $A$  be the set of all vertices  $v$  in  $N_H^2(z)$  such that every neighbour of  $v$  in  $L_{k-1}$  is adjacent to  $z$ , and let  $B = N_H^2(z) \setminus A$ . By 6.2,  $\chi(A) \leq 2$ , so we may assume (for a contradiction) that  $\chi(B) > 3$ .

Choose  $z_0 \in L_{k-1}$  adjacent to  $z$ . Since  $N_G(z_0)$  is stable, it follows that  $\chi(B \setminus N_G(z_0)) \geq 2$ ; and so there is a 5-hole  $P$  with  $V(P) \subseteq B$ , such that  $z_0$  has no neighbours in  $P$ . Let  $S_1 \subseteq N_H(z)$  be

minimal such that every vertex in  $P$  has a neighbour in  $S_1$ . Each vertex in  $P$  has a neighbour in  $L_{k-1}$  nonadjacent to  $z$ , and so there exists a minimal subset  $S_2$  of  $L_{k-1} \setminus N_G(z)$  such that every vertex in  $P$  has a neighbour in  $S_2$ . By 6.1,  $|S_1| = |S_2| = 3$ .

(1) *If  $a_1 \in S_1$  and  $a_2 \in S_2$  are joined by a three-edge path with interior in  $V(P)$ , then  $a_1, a_2$  are adjacent. In particular, if  $a_1 \in S_1$  and  $a_2 \in S_2$  both have two neighbours in  $V(P)$  and have a common neighbour in  $V(P)$  then they have the same neighbours in  $V(P)$ .*

Let  $a_1, a_2$  be adjacent to  $p_1, p_2$  respectively, where  $p_1 p_2$  is an edge of  $P$ . If  $a_1, a_2$  are not adjacent, then the path  $z_0 - z - a_1 - p_1 - p_2 - a_2$  is induced, and can be completed to an odd hole of length at least seven via an even induced path between  $z_0, a_2$  with interior in  $L_0 \cup \dots \cup L_{k-2}$ , which is impossible. This proves the first claim of (1). For the second, suppose that  $a_1, a_2$  have a common neighbour in  $V(P)$ ; then they are nonadjacent, and so cannot be joined by a three-edge path with interior in  $V(P)$ , by the first claim. This proves (1).

Let  $S_i = \{a_i, b_i, c_i\}$  for  $i = 1, 2$ . By 6.1, for  $i = 1, 2$  we may assume that  $a_i, b_i$  each have two neighbours in  $V(P)$ , and have no common neighbour in  $V(P)$ . So one of  $a_2, b_2$ , say  $a_2$ , is adjacent to a neighbour of  $a_1$  in  $V(P)$ , and hence  $a_1, a_2$  have the same neighbours in  $V(P)$ , by the second claim of (1). Therefore  $b_2$  and  $b_1$  have a common neighbour in  $V(P)$ , and so by the same argument,  $b_1, b_2$  have the same neighbours in  $V(P)$ . If  $c_1$  has two neighbours in  $V(P)$ , then it has a common neighbour in  $V(P)$  with one of  $a_2, b_2$ , and so by the second claim of (1) it has the same neighbours in  $V(P)$  as one of  $a_2, b_2$ , and hence the same as one of  $a_1, b_1$ , which is impossible by the minimality of  $S_1$ . Thus  $c_1$  has exactly one neighbour in  $P$ , and similarly  $c_2$  has exactly one neighbour in  $P$ , and the same neighbour as  $c_1$ .

We may therefore assume that for  $i = 1, 2$ ,  $a_i$  is adjacent to  $p_2, p_4$  and  $b_i$  to  $p_3, p_5$ , and  $c_i$  to  $p_1$ . By the first claim of (1), it follows that  $a_1$  is adjacent to  $b_2, c_2$ , and  $b_1$  to  $a_2, c_2$ , and  $c_1$  to  $a_2, b_2$ . But then the subgraph induced on

$$\{p_1, p_2, p_4, p_5, a_1, b_1, c_1, a_2, b_2, c_2, z\}$$

is isomorphic to the Grötzsch graph (with rim  $a_2 - c_1 - p_1 - p_5 - b_1 - a_2$  and apex  $a_1$ ), contradicting 5.1 since  $G$  is 1-coverable. This proves 6.3. ■

**6.4** *If  $H$  is a 3-coverable graph and  $z \in V(H)$  then  $\chi(N_H^3(z)) \leq 20$ .*

**Proof.** Since  $H$  is 3-coverable, there is a 2-coverable graph  $G$  and a stable levelling  $L_0, \dots, L_k$  in  $G$  over  $H$ . Choose  $z_0 \in L_{k-1}$  adjacent to  $z$ . Let

$$A = N_H^3(z) \setminus (N_G^2(z) \cup N_G^2(z_0)).$$

By 6.3, we can partition  $N_H^2(z)$  into five stable sets  $D_1, \dots, D_5$ . For  $1 \leq i \leq 5$ , let  $A_i$  be the set of vertices in  $A$  with a neighbour in  $D_i$ . Thus  $\{z\}, N_H(z), D_i, A_i$  is a stable levelling in  $G$ , and  $A = A_1 \cup \dots \cup A_5$ .

(1)  $\chi(A_i) \leq 2$  for  $1 \leq i \leq 5$ .

For suppose this is false for some  $i$ , and let  $P$  be a 5-hole with  $V(P) \subseteq A_i$ . Choose  $S_1 \subseteq D_i$  minimal such that every vertex in  $P$  has a neighbour in  $S_1$ . By 6.1, there exists  $n \in N_H(z)$  adjacent to every vertex in  $S_1$ . By 6.2, some vertex  $x \in L_{k-1}$  has a neighbour in  $V(P)$  and is nonadjacent to  $n$ . Let  $x$  be adjacent to  $p_1 \in V(P)$  say. Choose  $y \in S_1$  adjacent to  $p_1$ ; then the path  $x-p_1-y-n-z-z_0$  is not induced, since  $x, z_0$  are joined by an even induced path with interior in  $L_0 \cup \dots \cup L_{k-2}$ . But  $z_0$  is not adjacent to any of  $x, p_1, y$ , since  $p_1 \in A$  and therefore has distance at least three from  $z_0$  in  $G$ ; and  $x$  is not adjacent to  $z$ , because  $p_1$  has distance three from  $z$  in  $G$ ; and  $x$  is not adjacent to  $n$ , a contradiction. This proves (1).

From (1) we deduce that  $\chi(A) \leq 10$ . But every vertex of  $N_H^3(z)$  belongs to one of  $A, N_G^2(z_0), N_G^2(z)$ , and by 6.3 the latter two sets both have chromatic number at most five. This proves 6.4.  $\blacksquare$

Now we complete the proof of 1.2, which we restate:

**6.5** *Every pentagonal graph is 82200-colourable.*

**Proof.** Define  $n_1 = 199$ ,  $n_2 = 4n_1 + 27$ ,  $n_3 = 10n_2 - 9$ , and  $n_4 = 10n_3 - 9$ . Suppose that there is a pentagonal graph  $G_4$  with  $\chi(G_4) \geq n_4$ . By 3.2, there is a stable levelling in  $G_4$  over some graph  $G_3$  with  $\chi(G_3) \geq n_3$ . Similarly there is a stable levelling in  $G_3$  over some  $G_2$  with  $\chi(G_2) \geq n_2$ . By 6.3,  $\chi(N_{G_2}^2(v)) \leq 5$  for every vertex  $v$  of  $G_2$ . By 3.3 there is a stable levelling in  $G_2$  over some graph  $G_1$  with  $\chi(G_1) \geq n_1$ ; and  $\chi(N_{G_1}^3(v)) \leq 20$  for every vertex  $v$  of  $G_1$ , by 6.4 applied to the 2-cover  $G_3, G_2, G_1$ . By 4.1, setting  $l = 2$ ,  $\kappa_2 = 5$  and  $\kappa_3 = 20$ , it follows that

$$\chi(G_1) \leq (12l - 2)\kappa_2 + 4\kappa_3 + 8 = 198,$$

a contradiction. Thus there is no such  $G_4$ , and hence every pentagonal graph has chromatic number at most  $n_4 - 1 = 82200$ . This proves 6.5.  $\blacksquare$

## 7 Long holes

In this section we prove 1.3 and 1.4. The first is implied by the next result with  $m = 2$ :

**7.1** *Let  $l \geq m \geq 2$  be integers, and let  $G$  be a triangle-free graph with no odd hole of length at most  $2m + 1$  and no odd hole of length more than  $2l + 1$ . Then  $\chi(G) < (3 + 4l)4^{l-m} - 4l$ .*

**Proof.** We proceed by induction on  $l - m$ . If  $m = l$  then  $G$  is bipartite and the result is true, so we assume that  $m < l$ . Suppose that  $\chi(G) \geq (3 + 4l)4^{l-m} - 4l$ . Then we may choose a levelling in  $G$  with base of chromatic number at least  $\chi(G)/2 \geq (6 + 8l)4^{l-m-1} - 2l$ . Since  $G$  has no odd cycle of length at most five, it follows that  $N_2(v)$  is stable for every vertex  $v$ ; and so by 3.1 with  $\kappa = 1$ , there is a stable levelling  $L_0, L_1, \dots, L_k$  in  $G$  with  $\chi(L_k) \geq (3 + 4l)4^{l-m-1} - 2l$ , and we may choose it such that  $G[L_k]$  is connected. It follows that  $k \geq 3$ . For  $0 \leq i \leq k$  choose  $s_i \in L_i$  such that  $s_0-s_1-\dots-s_k$  is a path. Since  $\chi(L_k) > 2l$  and  $(L_k, s_{k-2}-s_{k-1})$  is a lollipop, 2.2 with  $\kappa = 1$  implies that there is a licking  $(C, T_1)$  of this lollipop with

$$\chi(C) \geq \chi(L_k) - 2l = (3 + 4l)4^{l-m-1} - 4l$$

and cleanliness at least  $2l$ . From the inductive hypothesis, there is a  $(2m+3)$ -hole  $P$  in  $C$ , with vertices  $p_1 \cdots p_{2m+3} p_1$  say. By 2.1 there is a licking  $(P, T)$  of  $(C, T_1)$ . Let  $T$  have vertices

$$s_{k-2} s_{k-1} t_1 \cdots t_r$$

say; thus  $t_r$  has a neighbour in  $P$ , and since the lollipop  $(P, T)$  has cleanliness at least  $2l$ , it follows that  $r \geq 2l$  and each of  $s_{k-2}, s_{k-1}, t_1, \dots, t_{2l-2}$  has distance at least three from  $V(P)$ .

Now since  $G$  has no odd cycle of length less than  $2m+3$ , it follows that every vertex of  $G$  not in  $P$  either has at most one neighbour in  $P$ , or has exactly two neighbours in  $P$  with distance two in  $P$ . We may therefore assume that  $t_r$  is adjacent to  $p_1$  and to no other vertex of  $P$  except possibly  $p_{2m+2}$ . For  $i = 3, 4$ , choose  $a_i \in L_{k-1}$  adjacent to  $p_i$ . It follows that  $a_3, a_4$  are nonadjacent to  $s_{k-2}, s_{k-1}, t_1, \dots, t_{2l-2}$ . Since  $L_0, \dots, L_{k-1}$  are stable, for  $i = 3, 4$  there is an even induced path  $R_i$  between  $a_i$  and  $s_{k-1}$  with interior in  $L_0 \cup \dots \cup L_{k-2}$ .

(1)  $a_4$  has a neighbour in  $V(T)$ .

Because suppose not. Then  $R_4 \cup T$  is an induced path from  $a_4$  to  $t_r$ , of length at least  $r+2 \geq 2l+2$ . But there is an odd induced path and an even induced path between  $a_4$  and  $t_r$  with interior in  $V(P)$  (since  $a_4$  has no neighbours in  $P$  except  $p_4$  and possibly  $p_2, p_6$ , and  $t_r$  has no neighbours in  $P$  except  $p_1$  and possibly  $p_{2m+2}$ ; one of  $a_4 p_4 p_3 p_2 p_1 t_4$ ,  $a_4 p_2 p_1 t_r$  is the desired odd path, and the even path goes the other way around  $P$ .) But then the union of one of these paths with  $R_4 \cup Q$  is an odd hole of length at least  $2l+4$ , which is impossible. This proves (1).

Choose  $i \leq r$  minimum such that  $t_i$  is adjacent to one of  $a_4, a_3$ . By (1), such a choice is possible. Since  $a_3, a_4$  are nonadjacent to  $s_{k-2}, s_{k-1}, t_1, \dots, t_{2l-2}$ , it follows that  $i > 2l-2$ . Since  $G$  has no odd cycle of length at most five,  $t_i$  is not adjacent to both  $a_3, a_4$ ; let  $t_i$  be adjacent to  $a_h$  and not to  $a_j$ , where  $\{h, j\} = \{3, 4\}$ . Let  $Q$  be a minimal path between  $a_h, s_{k-1}$  with interior in  $V(T)$ . It follows that  $Q$  has length at least  $2l$ . Consequently  $Q \cup R_h$  is a hole of length at least  $2l+2$ , and so it is even; and hence  $Q$  is even. Now  $a_h$  has no neighbour in  $R_j$ , since  $a_h$  is not adjacent to the parent of  $a_j$  (because  $G$  has no 5-holes) and  $a_h$  is nonadjacent to  $s_{k-2}$  (because  $(P, T)$  is a lollipop of cleanliness at least one). Thus

$$a_h p_h p_j a_j R_j s_{k-1} Q a_h$$

is an odd hole of length at least  $2l+5$ , which is impossible. This proves 7.1. ■

Finally we turn to the proof of 1.4. It follows from the next result.

**7.2** *Let  $l \geq 3$  and  $\kappa \geq 1$  be integers, and let  $G$  be a graph with no hole of length more than  $l$ , such that  $\chi(N(v)), \chi(N^2(v)) \leq \kappa$  for every vertex  $v$ . Then  $\chi(G) \leq (2l-2)\kappa$ .*

**Proof.** Suppose not; then there is a levelling  $L_0, \dots, L_k$  in  $G$  with  $\chi(L_k) > (l-1)\kappa$ . Let  $C'$  be the vertex set of a component  $C'$  of  $G[L_k]$  with  $\chi(C') > (l-1)\kappa$ . Since  $l-1 > 1$ , it follows that  $k \geq 2$ . For  $i = k-2, k-1$  choose  $s_i \in L_i$ , such that  $s_{k-2}, s_{k-1}$  are adjacent and  $s_{k-1}$  has a neighbour in  $C'$ . Since  $\chi(C') > (l-1)\kappa$  and  $(V(C'), s_{k-2} s_{k-1})$  is a lollipop, by 2.2 there is a licking  $(C, T)$  of it with cleanliness at least  $l-1$  and with  $\chi(C) \geq \chi(C') - (l-1)\kappa > 0$ . Choose  $a \in L_{k-1}$  with a

neighbour in  $C$ . Now  $a$  might have neighbours in  $T$ , but since  $(C, T)$  has cleanliness at least  $l - 1$ ,  $a$  is nonadjacent to the first  $l - 1$  vertices of  $T$ . Let  $P$  be an induced path between  $s_{k-1}$  and  $a$  with interior in  $V(T) \cup C$ ; thus  $P$  has length at least  $l - 1$ . But  $a, s_{k-1}$  are joined by an induced path with interior in  $L_0 \cup \dots \cup L_{k-2}$ , and the union of this path with  $P$  is a hole of length at least  $l + 1$ , a contradiction. This proves 7.2. ■

We deduce 1.4, which we restate, slightly strengthened.

**7.3** *Let  $l \geq 3$  be an integer, and let  $G$  be a graph with no 5-hole and no hole of length more than  $l$ . Then*

$$\chi(G) \leq (2l - 2)^{2^{\omega(G)-1}-1}.$$

**Proof.** We proceed by induction on  $\omega(G)$ . If  $\omega(G) = 1$  the result is true, so we assume  $\omega(G) > 1$ . Let

$$n = (2l - 2)^{2^{\omega(G)-2}-1}.$$

From the inductive hypothesis, every induced subgraph  $H$  of  $G$  with  $\omega(H) < \omega(G)$  is  $n$ -colourable.

(1) *For every vertex  $v$  of  $G$ ,  $\chi(N(v)) \leq n$ , and  $\chi(N^2(v)) \leq n^2$ .*

The graph  $G[N(v)]$  contains no clique of size  $\omega(G)$ , and so is  $n$ -colourable. Let  $A_1, \dots, A_n$  be a partition of  $N(v)$  into  $n$  stable sets, and for  $1 \leq i \leq n$  let  $B_i$  be the set of vertices in  $N^2(v)$  with a neighbour in  $A_i$ . Suppose that there is a clique  $C$  of cardinality  $\omega(G)$  with  $C \subseteq B_i$  for some  $i$ . Choose  $a \in A_i$  with as many neighbours in  $C$  as possible; then there exists  $c' \in C$  nonadjacent to  $a$ , since  $G$  has no  $(\omega(G) + 1)$ -clique. Choose  $a' \in A_i$  adjacent to  $c'$ ; then from the choice of  $a$ , there exists  $c \in C$  adjacent to  $a$  and not to  $a'$ . But then the subgraph induced on  $\{v, a, a', c, c'\}$  is a 5-hole, which is impossible. Thus there is no such clique  $C$ , and so  $\chi(A_i) \leq n$ . Since this holds for all  $i$ , it follows that  $\chi(N^2(v)) \leq n^2$ . This proves (1).

From (1) and 7.2, it follows that

$$\chi(G) \leq (2l - 2)n^2 = (2l - 2)(2l - 2)^{2^{\omega(G)-1}-2} = (2l - 2)^{2^{\omega(G)-1}-1}.$$

This proves 7.3. ■

## References

- [1] A. Gyárfás, “Problems from the world surrounding perfect graphs”, *Proceedings of the International Conference on Combinatorial Analysis and its Applications*, (Pokrzywna, 1985), *Zastos. Mat.* 19 (1987), 413–441.
- [2] Alex Scott, “Induced cycles and chromatic number”, *J. Combinatorial Theory, Ser. B*, 76 (1999), 150–154.
- [3] Alex Scott and Paul Seymour, “Colouring graphs with no odd holes”, submitted for publication (manuscript August 2014).