# Variable Space Search for Graph Coloring

Alain Hertz<sup>1</sup> Matthieu Plumettaz<sup>2</sup> Nicolas Zufferey<sup>3</sup>

July 2007

#### Abstract

Let G = (V, E) be a graph with vertex set V and edge set E. The k-coloring problem is to assign a color (a number chosen in  $\{1,\ldots,k\}$ ) to each vertex of G so that no edge has both endpoints with the same color. We present a new local search algorithm, called Variable Space Search (VSS), which we apply to the k-coloring problem. VSS extends the Formulation Space Search (FSS) methodology by considering several non equivalent formulations of a same problem, each one being associated with a set of neighborhoods and an objective function. The search moves from one formulation to another when it is blocked at a local optimum with a given formulation. The k-coloring problem is thus solved by combining different formulations of the problem which are not equivalent, in the sense that some constraints are possibly relaxed in one search space and always satisfied in another. We show that the proposed algorithm improves on every local search used independently (i.e., with a unique search space), and is competitive with the currently best coloring methods, which are complex hybrid evolutionary algorithms.

### 1 Introduction

The Graph Coloring Problem (GCP for short) is a well known NP-hard problem [11]. Given a graph G = (V, E), with vertex set V and edge set E, the GCP is to assign a color to every vertex, such that no edge has both endpoints with the same color, while minimizing the number of used colors.

<sup>&</sup>lt;sup>1</sup>École Polytechnique de Montréal, Département de mathématiques et de génie industriel, Montréal (QC) H3C 3A7, Canada, alain.hertz@gerad.ca

<sup>&</sup>lt;sup>2</sup>École Polytechnique Fédérale de Lausanne (EPFL), Chaire de Recherche Opérationnelle Sud-Est (ROSE), CH-1015 Lausanne, Switzerland, matthieu.plumettaz@epfl.ch

<sup>&</sup>lt;sup>3</sup>Corresponding author, Université Laval, Département d'opérations et systèmes de décision, Québec (QC) G1K 7P4, Canada, Nicolas.Zufferey@fsa.ulaval.ca

The smallest number of colors needed to color G is called the *chromatic number* of G and is denoted  $\chi(G)$ . Applications include scheduling, frequency assignment, register allocation and stock management [28]. Although many exact algorithms have been devised for this problem [3, 4, 5, 14, 17, 19, 25], such algorithms can only be used to solve small instances (up to 100 vertices). Heuristics coloring algorithms, on the other hand, can be used on much larger instances, but only to get an upper bound on  $\chi(G)$ . The most efficient heuristic algorithms are local search methods (e.g., [1, 2, 15]) and population based methods (e.g. [7, 8, 16, 18, 24]). For more information about such algorithms, the reader may refer to [9].

We propose in this paper a new local search algorithm, called *Variable Space Search* (VSS for short). It may be seen as an extension of the Formulation Space Search methodology (FSS for short) described and used in [20, 22, 23, 26]. FSS considers several equivalent formulations of a same problem, in the sense that there is a one to one correspondence between the optimal solutions of two different formulations. Each formulation is associated with a set of neighborhoods and an objective function, and the search moves from one formulation to another when it is blocked at a local optimum or at a stationary point with the current formulation. The extension of this methodology proposed in this paper makes it possible to use non equivalent formulations. Since we do not require any correspondence between the optimal solutions of two different formulations, translators must be defined to transform a solution when moving from one formulation to another.

In the next section, we describe VSS with more details, while Section 3 contains three formulations of the graph coloring problem, each one being associated with a search space, neighborhoods and an objective function. We also describe how to translate a solution from a space to another. Section 4 demonstrates how the search spaces complement each other. More precisely, we give examples where a solution in a search space is a local optimum, while translating this solution into another search space makes it possible to improve the solution. In Section 5, we describe the proposed adaptation of VSS to the graph coloring problem. Section 6 is devoted to computational experiments and we conclude with final remarks.

## 2 Variable Space Search

Three ingredients must be defined when designing a local search for a particular problem: a search space S, an objective function f(s) that measures the quality of each solution in S, and a neighborhood structure N(s). A

local search generates a sequence  $s_0, s_1, \ldots, s_r$  of solutions in S, where  $s_0$  is an initial solution and each  $s_i$  (i > 0) belongs to  $N(s_{i-1})$ . The transformation from  $s_i$  to  $s_{i+1}$  is called a *move*. Tabu Search (TS for short) is one of the most famous local search algorithms. In order to avoid cycling, TS uses a *tabu list* that contains forbidden moves. Hence, a move m from  $s_{i-1}$  to  $s_i$  can only be performed if m does not belong to the tabu list, unless  $f(s_i) < f(s^*)$ , where  $s^*$  is the best solution encountered so far. For more details on Tabu Search, the reader may refer to [13].

In 1997, Mladenović and Hansen [21] proposed the VNS algorithms that uses several neighborhoods to better diversify the search and better escape from local optima. In 2005, Mladenovic et al. [22] have extended VNS to the FSS methodology that uses several equivalent formulations of the considered problem. Each formulation is associated with its own set of neighborhoods and an objective function which define a search space. In addition to the use of VNS within each formulation, FSS includes mechanisms to jump from one formulation to another. We go one step farther by considering non equivalent formulations, in the sense that we do not require a one to one correspondence between the optimal solutions in two different search spaces.

Consider a set of search spaces  $\{S_1, S_2, \ldots, S_r\}$  with their respective objective functions  $\{f_1, f_2, \ldots, f_r\}$ . For each search space  $S_i$ , consider a set  $\mathcal{N}_i$  of neighborhoods which can be used in  $S_i$  for minimizing  $f_i$ . Consider finally a set of translators  $T_{ij}$  that transform any solution in  $S_i$  into a solution in  $S_j$ . The following algorithm, called Variable Space Search (or VSS for short), performs a local search in the different search spaces, always using the associated neighborhoods and objective function. When the search is blocked in one space, we translate the current solution to move to a different space and start a new local search.

### Algorithm 1 Variable Space Search

```
Set i := 1

Generate an initial solution s \in S_1

while no stopping criterion is met do

Perform a local search in S_i, with objective function f_i, using the neighborhoods in \mathcal{N}_i, and starting from s; let s' be the resulting solution;

Translate s' into a solution s \in S_j using T_{ij}, where j = (i \mod r) + 1;

Set i \leftarrow (i \mod r) + 1;

end while
```

The above algorithm can be modified in various ways, for example by choosing the next search space according to the quality of the solutions it provided in the past.

Since VSS does not require a one to one correspondence between the solutions in  $S_i$  and those in  $S_j$  ( $i \neq j$ ), a constraint can be relaxed in one search space  $S_i$  (and violations are then penalized in the objective function  $f_i$ ), while it can be always satisfied in another. As a consequence, a neighborhood which is appropriate for a search space  $S_i$  typically generates non feasible solutions for another search space. For comparison, the use of FSS is illustrated in [22] where a circle packing problem is solved using several formulations which combine Cartesian and polar coordinates. While different formulations spaces are considered, they all contain the same set of solutions since solutions in two different formulation spaces only differ in the way they are coded.

### 3 Three search spaces for graph coloring

Given a graph G = (V, E) with vertex set V and edge set E, and given an integer k, a k-coloring of G is a function  $c: V \longrightarrow \{1, \ldots, k\}$ . The value c(x) of a vertex x is called the color of x. The vertices with color i  $(1 \le i \le k)$  define a color class, denoted  $V_i$ . If two adjacent vertices x and y have the same color i, vertices x and y, the edge [x, y] and color i are said conflicting. A k-coloring without conflicting edges is said legal and its color classes are called stable sets. The Graph Coloring Problem (GCP for short) is to determine the smallest integer k, called chromatic number of G and denoted  $\chi(G)$ , such that there exists a legal k-coloring of G.

Given a fixed integer k, the optimization problem k-GCP is to determine a k-coloring of G that minimizes the number of conflicting edges. If the optimal value of the k-GCP is zero, this means that G has a legal k-coloring. A local search algorithm for the GCP can be used to solve the k-GCP by simply stopping the search as soon as a legal k-coloring is met. Also, an algorithm that solves the k-GCP can be used to solve the GCP, by starting with an upper bound k on  $\chi(G)$ , and then decreasing k as long as a legal k-coloring can be found .

We now describe three search spaces that we use within a VSS to solve the GCP and the k-GCP. A solution to the k-GCP must satisfy two constraints: no edge can have both endpoints with the same color, and all vertices must be colored. The first two considered search spaces relax one of the two constraints, while the third one satisfy all of them. More precisely, let  $S_1$  denote the set of all (non necessarily legal) k-colorings of G, and let  $f_1(s)$  be the number of conflicting edges in a solution  $s \in S_1$ . For every kcoloring  $s \in S_1$ , define  $N_1(s)$  as the set of k-colorings obtained by changing the color of exactly one vertex in s. The famous TabuCol algorithm [15], developed by Hertz and de Werra in 1987, is a tabu search algorithm for the k-GCP, the aim being to minimize  $f_1$  over  $S_1$  using neighborhood  $N_1$ . It is a simple, quick and efficient algorithm that is often used as a subroutine in various methods, such as the hybrid evolutionary algorithms in [7], the genetic algorithm in [8], the adaptive memory algorithm in [16], and the VNS in [1].

Instead of relaxing the constraint that the endpoints of an edge should have different colors, one may relax the constraint imposing that all vertices should be colored. In 1996, Morgenstern [24] proposed the following strategy for the solution of the k-GCP. He considers the set, which we denote  $S_2$ , of partial legal k-colorings which are defined as legal k-coloring of a subset of vertices of G. Such colorings can be represented by a partition of the vertex set into k + 1 subsets  $V_1, \ldots, V_{k+1}$ , where  $V_1, \ldots, V_k$  are k disjoint stable sets (i.e. legal color classes) and  $V_{k+1}$  is the set of non colored vertices. The objective can be to minimize the number of vertices in  $V_{k+1}$  or, as suggested by Morgenstern [24], to minimize  $f(s) = \sum_{v \in V_{k+1}} d(v)$ , where d(v) denotes the number of edges incident to v. A neighbor solution can be obtained by moving a vertex v from  $V_{k+1}$  to a color class  $V_i$ , and by moving to  $V_{k+1}$  each vertex in  $V_i$  that is adjacent to v. Such a move is called an i-swap. Recently, Bloechliger and Zufferey [2] have obtained very good results using a reactive tabu search based on this strategy, with  $f_2(s)$  being equal to the number of non colored vertices in  $s \in S_2$ . We denote  $N_2(s)$  the set containing all solutions in  $S_2$  that can be obtained from s with an i-swap.

For the third search space, there is no fixed number of colors, and we do not relax any constraints. The following definitions are helpful to describe this search space. A digraph is a graph with an orientation on each edge. An edge [u,v] oriented from u to v is called an arc, is denoted  $u \to v$ , and u is its tail while v is its head. An orientation of a graph G is a directed graph, denoted  $\vec{G}$ , obtained from G by choosing an orientation  $u \to v$  or  $v \to u$  for each edge [u,v] in G. Gallai, Roy and Vitaver [10, 27, 29] have independently proved in the sixties that the length of a longest path in an orientation of a graph G is at least equal to the chromatic number of G. As a corollary, the problem of orienting the edges of a graph so that the resulting digraph G is circuit-free and the length  $\lambda(G)$  of a longest path in  $\vec{G}$  is minimum, is equivalent to the problem of finding the chromatic number of G. Indeed, given a  $\chi(G)$ -coloring c of a graph G, one can easily construct a circuit-free orientation  $\vec{G}$  with  $\lambda(\vec{G}) \leq \chi(G)$  by simply orienting each edge [u, v] from u to v if and only if c(u) < c(v). Conversely, given a circuit-free orientation  $\vec{G}$  of G, one can build a  $\lambda(\vec{G})$ -coloring of G by assigning to each vertex v a color c(v) equal to the length of a longest path ending at v in  $\vec{G}$ . Such an equivalence has recently been analyzed in [12] in the context of a local search. More precisely Gendron, Hertz and St-Louis propose to define the search space  $S_3$  as the set containing all circuit-free graph orientations  $\vec{G}$  of G, the objective being to minimize  $f_3(\vec{G}) = \lambda(\vec{G})$ . They propose several neighborhoods including the following one. Given a solution  $\vec{G} \in S_3$ , let  $\vec{G}_{\lambda}$  denote the digraph obtained by removing all arcs that do not belong to a longest path in  $\vec{G}$ . A neighbor of  $\vec{G}$  can be obtained by choosing a vertex x and changing the orientation of all arcs with head x in  $\vec{G}_{\lambda}$ , or of all arcs with tail x in  $\vec{G}_{\lambda}$ . It is proved in [12] that such a move does not create any circuit, and increases the length of a longest path by at most one unit. We will use this neighborhood, denoted  $N_3$ , to minimize  $f_3$  over  $S_3$ .

We now describe how we translate a solution from  $S_i$  to a solution in  $S_j$  with  $i \neq j$ . Translator  $T_{12}$  builds a legal partial k-coloring in  $S_2$  from a k-coloring in  $S_1$  by randomly choosing an endpoint of each conflicting edge, and inserting these chosen vertices into  $V_{k+1}$ . Translator  $T_{21}$  builds a k-coloring in  $S_1$  from a legal partial k-coloring in  $S_2$  by considering the vertices in  $V_{k+1}$  one by one, in a random order, and giving to each of them the color in  $\{1, \ldots, k\}$  that creates the smallest number of conflicting edges.

Translators  $T_{13}$  and  $T_{23}$  build an orientation  $\vec{G} \in S_3$  from a solution in  $S_1, S_2$  by labeling the vertices of G = (V, E) from 1 to |V|, and by then considering every pair of adjacent vertices  $x \in V_i$  and  $y \in V_j$ , and orienting [x, y] from x to y if and only if i < j, or i = j and the label of x is smaller than the label of y.

Finally, given any solution in  $S_3$ , let  $V_i$  be the set of vertices x such that the longest path ending at x contains i vertices. Translator  $T_{31}$  builds a k-coloring in  $S_1$  by giving color i to every vertex in  $V_i$ , with  $1 \le i \le k$ , and then coloring the remaining vertices sequentially, in a random order, each one receiving a color in  $\{1, \ldots, k\}$  that creates the smallest number of conflicting edges. Translator  $T_{32}$  first relabels the indices of the sets  $V_i$  so that  $|V_i| \ge |V_j|$  whenever i < j. Then all sets  $V_{k+1}, \ldots, V_{\lambda(\vec{G})}$  are merged into one set  $V_{k+1}$ .

## 4 Complementariness of the search spaces

In this section we demonstrate the usefulness of each search space by giving examples where a strict local but not global optimum s according to  $N_i$  and  $f_i$  in  $S_i$  (i.e., a solution  $s \in S_i$  that is not optimal while  $f_i(s) < f_i(s')$  for all  $s' \in N_i(s)$ ) can be translated into a solution  $s' \in S_j$  with  $i \neq j$  such that

s' can be transformed into an optimal solution in  $S_j$  using  $N_j$ , and without increasing  $f_j$ . We however do not claim that given any graph G, a local optimum s in some search space  $S_i$  can always be translated into a solution s' in another search space  $S_j$  ( $j \neq i$ ) where s' can be transformed into a global optimum without increasing  $f_j$ .

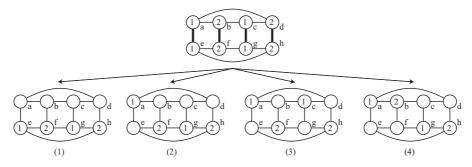


Figure 1:  $S_1 \rightarrow S_2$ 

The numbers inside the vertices in the following figures refer to colors (hence vertices in  $V_{k+1}$  have no number), and bold edges represent conflicting edges. The top graph of Figure 1 is a 2-coloring  $s \in S_1$  with  $f_1(s) = 4$  conflicting edges. All neighbors  $s' \in N_1(s)$  have  $f_1(s') = 5$  conflicting edges, which proves that s is a strict local optimum in  $S_1$ . The four possible translations (up to symmetry) obtained using  $T_{12}$  are represented at the bottom of Figure 1. They all have 4 non colored vertices.

- In case (1), the graph can be transformed into a legal 2-coloring by successively coloring a, b, c and d, decreasing  $f_2$  from 4 to 0.
- In case (2), vertex c is not adjacent to any vertex of color 2, and a neighbor  $s_1$  with  $f_2(s_1) = 3$  can therefore be obtained by giving color 2 to c. Then all 3 non colored vertices b, d and e have only one neighbor (vertex a) with color 1 and two with color 2. Color 1 is therefore assigned to one of them, while the color on a is removed. The resulting graph is a neighbor  $s_2 \in N_2(s_1)$  with  $f_2(s_2) = 3$ . Finally,  $s_2$  can be transformed into a legal 2-coloring of G by successively coloring the three non colored vertices, decreasing  $f_2$  from 3 to 0.
- In case (3), all non colored vertices are adjacent to one vertex with one of the colors in  $\{1,2\}$  and to two vertices with the other color. Without loss of generality, one may assume that color 1 is assigned to vertex g while the color on c is removed. The resulting solution s' has

 $f_2(s') = 4$ , and it corresponds to case (2) for which we have already shown how to get a legal 2-coloring without increasing  $f_2$ .

• In case (4), all non colored vertices are adjacent to one vertex with color 1 and to one vertex with color 2. Without loss of generality, one may assume that color 2 is assigned to vertex f, while color 2 is removed from b, and we are again in case (2).

The left graph of Figure 2 is a 3-coloring s with  $f_1(s) = 1$  conflicting edge. Since all solutions  $s' \in N_1(s)$  are obtained by changing the color of one of the vertices with color 1, they all have  $f_1(s') = 2$  conflicting edges. Solution s is therefore a local optimum in  $S_1$ . The right graph of Figure 2 is the translation of s obtained using  $T_{13}$  and corresponds to a legal 3-coloring.

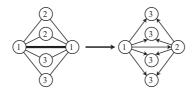


Figure 2:  $S_1 \rightarrow S_3$ 

The left graph of Figure 3 is a legal partial 3-coloring s with  $f_2(s)=1$  non colored vertex. Since the non colored vertex is adjacent to two vertices with color 2, and three vertices with colors 1 and 3, all neighbors  $s' \in N_2(s)$  have at least two non colored vertices, which means that s is a strict local optimum in  $S_2$ . The right graph of Figure 3 is the translation s' of s obtained using  $T_{21}$ . It contains  $f_1(s') = 2$  conflicting edges which can be removed by assigning color 3 to the non common endpoints of these two edges.

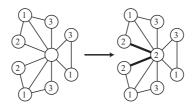


Figure 3:  $S_2 \rightarrow S_1$ 

The left graph of Figure 4 is a legal partial 3-coloring s with  $f_2(s)=1$  non colored vertex. Since the non colored vertex is adjacent to two vertices with color 1, 2 and 3, all neighbors  $s' \in N_2(s)$  have  $f_2(s') = 2$ , which means

that s is a strict local optimum in  $S_2$ . The right graph of Figure 4 is the translation of s obtained using  $T_{23}$  and corresponds to a legal 3-coloring.

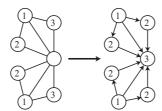


Figure 4:  $S_2 \rightarrow S_3$ 

The left graph of Figure 5 is a local optimum  $s \in S_3$  with  $f_3(s) = 4$  since it can easily be verified that all neighbors  $s' \in N_3(s)$  have a longest path with  $f_3(s') = 5$  vertices. The right graph of Figure 5 is the translation of s obtained using  $T_{31}$  and corresponds to a legal 3-coloring.

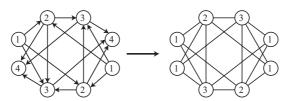


Figure 5:  $S_3 \rightarrow S_1$ 

Finally, it can be checked that the left graph of Figure 6 is a local optimum  $s \in S_3$  with  $f_3(s) = 4$  since all neighbors  $s' \in N_3(s)$  have a longest path with  $f_3(s') = 5$  vertices. The right graph of Figure 6 is the translation of s obtained using  $T_{32}$ . It contains two non colored vertices to which color 1 can be assigned to get a legal 3-coloring, and thus decrease  $f_2$  from 2 to 0.

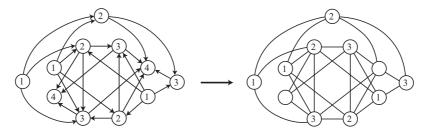


Figure 6:  $S_3 \rightarrow S_2$ 

### 5 VSS for graph coloring

We now show how we have adapted VSS to solve the k-GCP. After some preliminary experiments, we have found that the sequence  $S_1 \to S_3 \to S_2 \to S_1$  of search spaces, called a *cycle*, appears as a good choice, each translation from an  $S_i$  to its successor being easy to perform.

The first search space we use is  $S_1$  with neighborhood  $N_1$  and objective function  $f_1$ , the aim being to determine a legal k-coloring of a graph G with a fixed k. We have implemented the tabu search algorithm TabuCol described in [15]. The tabu list contains pairs (v,c) with the meaning that it is forbidden for some iterations to assign color c to v. A move from a solution s to a neighbor  $s' \in N_1(s)$  consists in changing the current color  $c_1$  of a vertex v for a new color  $c_2$ , where v is the endpoint of at least one conflicting edge. When such a move is performed, the pair  $(v, c_1)$  is introduced in the tabu list. As proposed in [8], the pair  $(v, c_1)$  is considered as tabu for  $0.6 \cdot n_c + RANDOM(0, 9)$ , where  $n_c$  is the number of conflicting vertices in the current solution, and RANDOM(0, 9) is a function providing a random integer in  $\{0, 1, \ldots, 9\}$ . TabuCol is applied until  $I_T$  iterations have been performed without improvement of the best encountered solution (where  $I_T$  is a parameter). Let  $s_{TC}$  be the resulting solution.

We then remove the conflicting edges in  $s_{TC}$  from G to get a legal k-coloring of a partial subgraph G' of G, and translate the legal k-coloring of G' into an orientation  $\vec{G}'$  of G', using  $T_{13}$ . Notice that  $\lambda(\vec{G}') \leq k$ , the inequality being possibly strict. For example, the left graph of Figure 7 has one conflicting edge, and by removing it and translating the legal 3-coloring of the resulting partial subgraph G' of G, using  $T_{13}$ , one gets an orientation  $\vec{G}'$  with  $\lambda(\vec{G}') = 2$ .

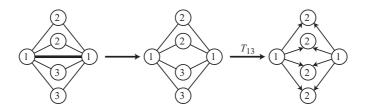


Figure 7: Illustration of a transformation used in VSS-Col

As shown in [12], a local search in  $S_3$  using neighborhood  $N_3$  and objective function  $f_3$  is rather slow, and not competitive with other local search coloring algorithms. It can however be very useful in changing the color of many vertices simultaneously, and constitutes therefore an interesting di-

versification strategy. For this purpose, we randomly choose an endpoint v for each conflicting edge in  $s_{TC}$ , and either inverse the orientation of all arcs with head v in  $\vec{G'}_{\lambda}$ , or of all arcs with tail v in  $\vec{G'}_{\lambda}$ , the choice being random. We then modify the resulting orientation by randomly generating neighbors using  $N_3$ , until at least  $M_A$  arcs have been inversed (where  $M_A$  is a parameter). Finally, we sequentially reinsert the edges which have been removed from G, giving to each of them the orientation that minimizes the length of the longest path. Let  $s_{OR}$  be the resulting solution.

We then translate  $s_{OR}$  into a partial legal k-coloring using  $T_{32}$  and use the tabu search algorithm PartialCol, proposed in [2], to improve the solution using neighborhood  $N_2$  and objective function  $f_2$ . As mentioned in Section 3, a neighbor  $s' \in N_2(s)$  of a solution in  $s \in S_2$  is obtained by moving a vertex v from  $V_{k+1}$  to a color class  $V_i$  ( $1 \le i \le k$ ), and by moving to  $V_{k+1}$  each vertex in  $V_i$  that is adjacent to v. When performing such a move, vertex v is introduced in the tabu list to prevent its reinsertion into  $V_{k+1}$ . As proposed in [2], a vertex is considered as tabu for  $0.6 \cdot n_c + RANDOM(0, 9)$ , where  $n_c$  is the number of vertices in  $V_{k+1}$  in the current solution. Let  $s_{PC}$  be the resulting solution.

We finally translate  $s_{PC}$  into a (non necessarily legal) k-coloring using  $T_{21}$ , and start a new cycle with TabuCol. We stop the algorithm when a time limit  $T_{MAX}$  is reached. Figure 8 shows the global scheme of the proposed algorithm.

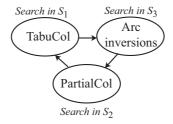


Figure 8: Cyclic scheme of the VSS algorithm for the k-GCP

Notice that the search spaces do not play the same role. It has been demonstrated that while TabuCol is an efficient algorithm, it can have difficulties in exploring all regions of  $S_1$ . The moves in  $S_3$  aim to diversify the search by inversing the orientation of many arcs on longest paths, and thus changing the color of many vertices without deteriorating too much the quality of the solution. The aim of PartialCol is to quickly reduce the number of uncolored vertices after having translated the resulting solution

in  $S_3$  into a partial legal k-coloring. TabuCol can then restart a new search from a solution that belongs hopefully to a region of  $S_1$  that has not yet been explored. The pseudo-code of VSS-Col is shown in Algorithm 2. It uses the four parameters  $I_T$ ,  $I_P$ ,  $M_A$  and  $T_{MAX}$ .

#### Algorithm 2 VSS-Col

**Require:** A graph G and a number k of colors;

Generate an initial k-coloring  $s_{init}^1 \in S_1$ ;

while no legal k-coloring of G is found and  $T_{MAX}$  is not reached do

(Search in  $S_1$ )

Apply TabuCol starting from  $s_{init}^1$ , until  $I_T$  iterations have been performed without improvement of the best encountered solution; let  $s_{TC}$  be the resulting solution;

 $(Translation T_{13})$ 

Remove the conflicting edges in  $s_{TC}$  from G to get a legal k-coloring of a partial subgraph G' of G, and translate the coloring of G' into an orientation  $s_{init}^3 \in S_3$ , using  $T_{13}$ ;

(Search in  $S_3$ )

Randomly choose an endpoint v for each conflicting edge in  $s_{TC}$  and either inverse the orientation of all arcs with head v in  $\vec{G'}_{\lambda}$ , or of all arcs with tail v in  $\vec{G'}_{\lambda}$ , the choice being random;

Modify the resulting orientation by randomly generating neighbors using  $N_3$ , until at least  $M_A$  have been modified in  $s_{init}^3$ ;

Sequentially reinsert the edges which have been removed from G, giving to each of them the orientation that minimizes  $f_3$ , and let  $s_{OR}$  be the resulting solution;

 $(Translation T_{32})$ 

Translate  $s_{OR}$  into a legal partial k-coloring  $s_{init}^2 \in S_2$ , using  $T_{32}$ ;

(Search in  $S_2$ )

Apply PartialCol starting from  $s_{init}^2$ , until  $I_P$  iterations have been performed without improvement of the best encountered solution; let  $s_{PC}$  be the resulting solution;

 $(Translation T_{21})$ 

Translate  $s_{OR}$  into a k-coloring  $s_{init}^1 \in S_1$ , using  $T_{21}$ ;

end while

### 6 Results

Our algorithm was implemented in C++ and run on a 2GHz Pentium 4 with 512MB of RAM. We made two series of tests with two maximal computational times, the first one with  $T_{MAX}$  equal to 1 hour, and the second one with a 10 hours limit. After some preliminary experiments, we have decided to fix the values of the parameters as follows. When the time limit is 1 hour, we use  $I_T = 100,000$ ,  $I_P = 20,000$  and  $M_A = 10$  for graphs with at most 500 vertices, and  $I_T = 200,000$ ,  $I_P = 20,000$  and  $M_A = 20$  for larger graphs. Moreover if the graph has a density smaller or equal to 0.1, we multiply  $I_P$  by 50 and divide  $M_A$  by 2, because too many changes in  $S_3$  tend to create solutions in  $S_2$  with large values of  $|V_{k+1}|$ . When the time limit is 10 hours, we multiply the above values of  $I_T$  and  $I_P$  by 10. It is probably possible to choose a better setting of parameters for each graph, but our goal is to have generic parameters which use only general characteristics of the graphs, and not to propose a specific set of parameters for each instance.

We ran our algorithm on 16 graphs from the DIMACS Challenge [6]. After a preliminary set of experiments, and in adequation with the literature (e.g. [2], [16]), we selected those graphs because they are the most challenging ones. The considered graphs are described below.

- Six DSJCn.d graphs: the DSJC's are random graphs with n vertices and a density of  $\frac{d}{10}$ . It means that each pair of vertices has a probability of  $\frac{d}{10}$  to be adjacent. We use the DSJC's graphs with  $n \in \{500, 1000\}$  and  $d \in \{1, 5, 9\}$ .
- Two DSJRn.r graphs: the DSJR's are geometric random graphs. They are constructed by choosing n random points in the unit square and two vertices are connected if they are distant by less than  $\frac{r}{10}$ . Graphs with an added end letter 'c' are the complementary graphs. We use two graphs with n=500 and, respectively, r=1 and r=5.
- Four flat  $n_{\chi_0}$  graphs: the flat graphs are constructed graphs with n vertices and a chromatic number  $\chi$ . The end number '0' means that all vertices are incident to the same number of vertices.
- Four  $len_{-}\chi x$  graphs: the Leighton graphs are graphs with n vertices and a chromatic number  $\chi$  equal to the size of the largest clique (i.e., the largest number of pairwise adjacent vertices). The end letter 'x' stands for different graphs with similar settings.

We first report the results obtained by using VSS-Col on these 16 graphs, and then compare our algorithm with TabuCol [15], PartialCol [2], as well as with

three graph coloring algorithms which are among the most effective today: the GH algorithm in [8], the MOR algorithm in [24], and the MMT algorithm in [18]. GH, MOR and MMT are all hybrid evolutionary algorithms. GH uses TabuCol to improve offspring solutions, whereas MMT uses a procedure close to PartialCol. MOR works in the same search space  $S_2$  as PartialCol, but uses Simulated Annealing instead of Tabu Search, and more complicated moves than i-swaps.

G 1	7.4	7	1	103	1	
Graph	$\chi, k^{\star}$	k	succ./run	$10^3$ iter	cycles	time
DSJC1000.1	?,20	20	3/10	285624	211	2396
		21	10/10	757	1	11
DSJC1000.5	?,83	88	8/10	55971	229	2028
		89	10/10	22852	91	820
DSJC1000.9	?,224	224	1/10	48348	209	3326
		225	5/10	21667	90	1484
		226	10/10	27429	116	1751
DSJC500.1	?,12	12	10/10	19799	17	97
DSJC500.5	?,48	48	3/10	78667	622	1331
		49	10/10	10524	82	162
DSJC500.9	?,126	126	8/10	76927	623	1686
		127	10/10	7754	62	169
DSJR500.1c	?,85	85	9/10	48530	397	736
		86	10/10	20020	165	291
DSJR500.5	?,122	126	9/10	61849	409	1409
		127	10/10	9066	60	183
flat1000_50_0	50,50	50	10/10	625	1	318
flat1000_60_0	60,60	60	10/10	1242	2	694
flat1000_76_0	76,82	87	4/6	48609	199	1689
		88	10/10	36924	150	1155
flat300_28_0	28,28	29	1/10	45611	296	867
		30	2/10	217647	1724	2666
		31	10/10	4173	32	39
le450_15c	15,15	15	10/10	497	4	6
le450_15d	15,15	15	10/10	4761	39	44
le450_25c	25,25	26	10/10	183	1	1
le450_25d	25,25	26	10/10	117	1	1

Table 1: Detailed results of VSS-Col with a time limit of 1 hour

Table 1 reports the results obtained with VSS-Col with a time limit of one hour. The first column indicates the name of the graph, and the second column contains two numbers, the first one being the chromatic number (we put a "?" when it is not known), and the second one the best known upper bound. We ran VSS-Col 10 times on each graph with different values of k. The third column reports various values of k ranging from the smallest number for which we had at least one successful run, to the smallest number for which we had 10 successful runs. The next columns respectively contain the number of successful runs and the number of tries, the average number of

iterations in thousands (i.e., the total number of moves performed using the 3 neighborhoods, divided by 1000) on successful runs, the average number of cycles made by the algorithm, and the average CPU-time used (in seconds).

We observe that on five graphs (namely DSJC500.1, flat1000\_50\_0, flat1000\_60\_0 and the two le450\_15 graphs), we find a k-coloring on every run, with k equal to the chromatic number or the best known upper bound. On four other graphs (namely DSJC1000.1, DSJC500.9, DSJC500.5 and DSJR500.1c), we reach the best known solutions in at least one run.

Tables 2 and 3 give the same information as for VSS-Col, but for TabuCol and PartialCol. They are taken from [2] where all experiments have been performed on the same computer, with the same compilation options and the same time limit, and with 50 runs for every graph and value of k. We only show results for the smallest k with which at least one of the 50 runs was successful, and for all other larger values of k that also appear in Table 1.

Graph	$\chi, k^{\star}$	k	succ./run	$10^3$ iter	time
DSJC1000.1	?,20	20	14/50	224021	1855
		21	50/50	161	1
DSJC1000.5	?,83	89	48/50	17482	1224
DSJC1000.9	?,224	227	48/50	7198	1520
DSJC500.1	?,12	12	50/50	8878	48
DSJC500.5	?,48	49	11/50	69803	1550
DSJC500.9	?,126	127	50/50	7198	328
DSJR500.1c	?,85	85	1/50	55458	685
DSJR500.5	?,122	126	5/50	56818	746
		127	12/50	10387	154
flat1000_50_0	50,50	50	50/50	732	421
flat1000_60_0	60,60	60	49/50	2099	1415
flat1000_76_0	76,82	88	46/50	16532	1173
flat300_28_0	28,28	31	50/50	32521	378
le450_15c	15,15	16	50/50	847	4
le450_15d	15,15	15	1/50	2246	12
le450_25c	25,25	26	49/50	954	9
le450_25d	25,25	26	50/50	1313	12

Table 2: Detailed results for TabuCol with a time limit of 1 hour

We observe that VSS-Col finds better colorings than TabuCol on seven graphs (namely DSJC1000.5, DSJC1000.9, DSJC500.5, DSJC500.9, flat1000\_76\_0, flat300\_28\_0 and le450\_15c). On three other graphs (namely DSJR500.1c, DSJR500.5 le450\_15d), VSS-Col and TabuCol find solutions of the same quality, but VSS-Col has a better success rate. Both algorithms find the same number of colors, with the same success rate, on the six remaining graphs, but TabuCol is faster than VSS-Col on DSJC500.1 and

Graph	$\chi, k^{\star}$	k	succ./runs	$10^3$ iter	time
DSJC1000.1	?,20	20	3/50	292947	2301
		21	50/50	277	2
DSJC1000.5	?,83	89	6/50	45502	2786
DSJC1000.9	?,224	228	30/50	14826	2275
DSJC500.1	?,12	12	50/50	38819	193
DSJC500.5	?,48	49	1/50	55679	811
DSJC500.9	?,126	127	1/50	43409	1680
DSJR500.1c	?,85	85	3/50	56980	989
DSJR500.5	?,122	126	28/50	79620	1544
		127	44 / 50	34271	631
flat1000_50_0	50,50	50	50/50	107	26
flat1000_60_0	60,60	60	50/50	390	91
flat1000_76_0	76,82	88	9/50	40543	2376
flat300_28_0	28,28	28	13/50	154261	1878
		29	35/50	133092	1398
		30	46/50	131767	1221
		31	49/50	79871	652
le450_15c	15,15	15	50/50	615	3
le450_15d	15,15	15	50/50	4682	22
le450_25c	25,25	27	50/50	1583	10
le450_25d	25,25	27	50/50	1151	7

Table 3: Detailed results for PartialCol with a time limit of 1 hour

DSJC1000.1, while VSS-Col is faster than TabuCol on the four other graphs (namely flat1000\_50\_0, flat1000\_60\_0, le450\_25c and le450\_25d). VSS-Col can therefore clearly be considered as more effective than TabuCol. PartialCol finds a legal 28-coloring on flat300\_28\_0 whereas VSS-Col can only find a legal 29-colorings. On seven other graphs (namely DSJC1000.5, DSJC1000.9, DSJC500.5, DSJC500.9, flat1000\_76\_0, le450\_25c and le450\_25d) VSS-Col finds better solutions than PartialCol. On DSJC1000.1, DSJR500.1c and DSJR500.5, VSS-Col has better success rates than PartialCol, and on DSJC500.1, VSS-Col is faster than PartialCol. The four remaining graphs (namely flat1000\_50\_0, flat1000\_60\_0, le450\_15c and le450\_15d) are solved in a very short time by both algorithms, while PartialCol is a little bit faster than VSS-Col. Although PartialCol finds a better coloring on one graph, we can say that VSS-Col outperforms PartialCol.

In Table 4, we compare VSS-Col with TabuCol, PartialCol, GH, MMT and MOR. For every algorithm, we report the smallest k with which a legal k-coloring could be found. The results for GH, MMT and MOR are taken from [2]. Comparisons must therefore be done carefully because the conditions of experimentation are not the same. For example, our algorithm has a 1 hour time limit, while MMT uses a limit of 100 minutes. In addition, the performances of the computers could be different, and contrary to GH and

MMT, we do not adjust the parameters of VSS-Col on each instance. We can observe that

- VSS-Col is better than GH on flat300\_28\_0 and worse on DSJC1000.5 and flat1000\_76\_0.
- VSS-Col is better than MMT on three graphs (namely DSJC1000.9, DSJC500.9 and flat300\_28\_0) and worse on five (namely DSJC1000.5, DSJR500.5, flat1000\_76\_0, le450\_25c and le450\_25d).
- VSS-Col is better than MOR on six graphs (namely DSJC1000.1, DSJC1000.9, DSJC500.5, DSJC500.9, flat1000\_76\_0 and flat300\_28\_0), and worse on three graphs (namely on DSJR500.5, le450\_25c and le450\_25d).

While local search algorithm can usually hardly compete with hybrid evolutionary algorithms in terms of solution quality, we observe from Table 4 that VSS-Col produces, in one hour, results which are competitive with the currently most efficient graph coloring algorithms.

Graph	$\chi, k^{\star}$	VSS-Col	TabuCol	PartialCol	GH	MMT	MOR
DSJC1000.1	?,20	20	20	20	20	20	21
DSJC1000.5	?,83	88	89	89	83	83	88
DSJC1000.9	?,224	224	227	228	224	226	226
DSJC500.1	?,12	12	12	12	12	12	12
DSJC500.5	?,48	48	49	49	48	48	49
DSJC500.9	?,126	126	127	127	126	127	128
DSJR500.1c	?,85	85	85	85	-	85	85
DSJR500.5	?,122	126	126	126	-	122	123
flat1000_50_0	50,50	50	50	50	50	50	50
flat1000_60_0	60,60	60	60	60	60	60	60
flat1000_76_0	76,82	87	88	88	83	82	89
flat300_28_0	28,28	29	31	28	31	31	31
le450_15c	15,15	15	16	15	15	15	15
le450_15d	15,15	15	15	15	15	15	15
le450_25c	25,25	26	26	27	26	25	25
le450_25d	25,25	26	26	27	26	25	25

Table 4: Comparisons between VSS-Col and five other algorithms

We finally report some results with a time limit of 10 hours. We only report results for graphs for which VSS-Col could find better colorings when compared to Table 1. We observe that VSS-Col has determined a legal 28-coloring of flat300\_28\_0, as PartialCol did within one hour. Also, we now match the chromatic number of le450\_25c and le450\_25d. The results for DSJC1000.5, DSJR500.5 and flat1000\_76\_0 have been improved but are still worse than those obtained by GH or MMT. For the other 10 graphs, we have improved the success rate but not reduced the number of colors.

Graph		$\chi, k^{\star}$	succ./run	k	$10^3$ iter	cycles	time
DSJC1000.5	1000	?,83	8/10	87	271144	117	11087
			2/10	86	273944	118	12728
DSJR500.5	500	?,122	10/10	125	722310	526	14300
flat1000_76_0	1000	76,82	9/10	86	460465	199	17692
			2/10	85	544600	235	24413
flat300_28_0	300	28,28	2/10	28	363230	203	9623
le450_25c	450	25,25	9/10	25	1623177	1105	16429
le450_25d	450	25,25	6/10	25	2198280	1528	23012

Table 5: Results for VSS-Col with a time limit of 10 hours

#### 7 Conclusion

We have proposed a new algorithm called Variable Space Search, that uses various search spaces, neighborhoods and objective functions, and combines them in a single algorithm. It may be seen as an extension of the FSS methodology since there is no required correspondence between the optimal solutions in two different search spaces. Relaxed constraints in a search space can be imposed in another one. As a consequence, translators must be defined to transform a solution from a search space to another.

We have also presented an adaptation of the Variable Space Search to the k-GCP. The computational experiments, carried out on a set of challenging DIMACS graphs [6], show that VSS-Col is more effective than TabuCol and PartialCol which are local search algorithms used in VSS-Col, but working in a single search space. VSS-Col appears to be also competitive with and a good alternative to the current best hybrid evolutionary graph coloring algorithms.

Notice that the Variable Space Search can support more than one neighborhood within each search space. For example, the search we made in  $S_1$  with TabuCol could be replaced by a VNS in  $S_1$ , using for example the algorithm proposed in [1]. Also, the search in  $S_2$  could combine the *i*-swaps of PartialCol with more elaborated neighborhoods designed in [24], and the search in  $S_3$  could use the four different neighborhoods defined in [12]. While we keep this for future work, we think we have demonstrated that VSS is a simple and effective algorithm to improve on complementary local search methods for a same problem.

In conclusion, we consider Variable Space Search as a challenging extension of the FSS methodology for the solution of complex optimization problems.

### References

- [1] C. Avanthay, A. Hertz, and N. Zufferey. A Variable Neighborhood Search for Graph Coloring. *European Journal of Operational Research*, 151:379–388, 2003.
- [2] I. Bloechliger and N. Zufferey. A Graph Coloring Heuristic Using Partial Solutions and a Reactive Tabu Scheme. *Computers & Operations Research*, 2006. to appear.
- [3] J.R. Brown. Chromatic scheduling and the chromatic number problem. Management Science, 19/4:456–463, 1972.
- [4] C. Desrosiers, P. Galinier, and A. Hertz. Efficient algorithms for finding critical subgraphs. *Discrete Applied mathematics*, 2005. to appear.
- [5] I.M. Diaz and P. Zabala. A Branch-and-Cut Algorithm for Graph Coloring. *Proceedings of the Computational Symposium on Graph Coloring and its Generalization*, Ithaca, New York, 2002.
- [6] DIMACS Website. ftp://dimacs.rutgers.edu/pub/challenge/graph/.
- [7] C. Fleurent and J. A. Ferland. Genetic and hybrid algorithms for graph coloring. *Annals of Operations Research*, 63(3):437–461, June 1996.
- [8] P. Galinier and J.K. Hao. Hybrid Evolutionary Algorithms for Graph Coloring. *Journal of Combinatoral Optimization*, 3(4):379–397, 1999.
- [9] P. Galinier and A. Hertz. A Survey of Local Search Methods for Graph Coloring. *Computers & Operations Research*, 33:2547–2562, 2006.
- [10] T. Gallai. On directed paths and circuits. In P. Erdös and G. Katobna, editors, *Theory of Graphs*, pages 115–118. Academic Press, New York, 1968.
- [11] M. Garey and D.S. Johnson. *Computer and Intractability*. Freeman, San Francisco, 1979.
- [12] B. Gendron, A. Hertz, and P. St-Louis. On edge orienting methods for graph coloring. *Journal of Combinatorial Optimization*, 13:163–178, 2007.
- [13] F. Glover and M. Laguna. Tabu Search. Kluwer Academic Publishers, Boston, 1997.

- [14] F. Herrmann and A. Hertz. Finding the chromatic number by means of critical graphs. *ACM Journal of Experimental Algorithmics*, 7/10:1–9, 2002.
- [15] A. Hertz and D. de Werra. Using Tabu Search Techniques for Graph Coloring. *Computing*, 39:345–351, 1987.
- [16] A. Hertz, P. Galinier, and N. Zufferey. An Adaptive Memory Algorithm for the Graph Coloring Problem. *Discrete Applied Mathematics*, 2005. to appear.
- [17] M. Kubale and B. Jackowski. A generalized implicit enumeration algorithm for graph coloring. Communications of the ACM, 28/4:412–418, 1985.
- [18] E. Malaguti, M. Monaci, and P. Toth. A Metaheuristic Approach for the Vertex Coloring Problem. Technical Report OR/05/3, University of Bologna, Italy, 2005.
- [19] A. Mehrotra and M.A. Trick. A column generation approach for exact graph coloring. *INFORMS Journal on Computing*, 8:344–354, 1996.
- [20] N. Mladenović. Formulation Space Search a new approach to optimization (plenary talk). Proceedings of XXXII SYMOPIS'05 (Vuleta J. eds.), Vrnjacka Banja, Serbia, 2005.
- [21] N. Mladenović and P. Hansen. Variable neighborhood search. Computers & Operations Research, 24:1097–1100, 1997.
- [22] N. Mladenović, F. Plastria, and D. Urošević. Reformulation descent applied to circle packing problems. *Computers & Operations Research*, 32:2419–2434, 2005.
- [23] N. Mladenović, F. Plastria, and D. Urošević. Stochastic formulation space search methods. *Proceedings of EURO XXI*, Reykjavik, Iceland, 2006.
- [24] C. Morgenstern. Distributed Coloration Neighborhood Search. DI-MACS Series in Discrete Mathematics and Theoretical Computer Science, 26:335–357, 1996.
- [25] J. Peemöller. A correction to Brélaz's modification of Brown's coloring algorithm. *Communications of the ACM*, 26/8:593–597, 1983.

- [26] F. Plastria, N. Mladenović, and D. Urošević. Variable neighborhood formulation space search for circle packing. 18th Mini Euro Conference VNS, Tenerife, Spain, ISBN: 84-686-5679-1, 2005.
- [27] B. Roy. Nombre chromatique et plus longs chemins d'un graphe. Revue AFIRO, 1:127-132, 1967.
- [28] M.A. Trick. http://mat.gsia.cmu.edu/color/color.html.
- [29] L.M. Vitaver. Determination of minimal coloring of vertices of a graph by means of boolean powers of the incidence matrix. *Dokl. Akad. Nauk.* SSSR147, pages 758–759, 1962. (in Russian).