

# *Sharyn O'Halloran*

## *Curriculum Vita*

*Department of Political Science  
Columbia University  
New York, NY 10027*

*voice: (212) 854-3242  
fax: (212) 222-0598  
e-mail: so33@columbia.edu*

## **EDUCATION**

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|-------|--|
| Ph.D. | University of California, San Diego, 1991<br>Political Science             |
| M.A.  | University of California, San Diego, 1988<br>Political Science             |
| B.A.  | University of California, San Diego, 1985<br>Economics & Political Science |

## **ACADEMIC AND ADMINISTRATIVE POSITIONS**

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|----------------|--|
| Current Titles | George Blumenthal Professor of Politics and Professor of International and Public Affairs, Department of Political Science and School of International and Public Affairs, Columbia University<br><br>Director, Advanced Policy and Economics Analysis Concentration, School of International and Public Affairs<br><br>Associate Director, Applied Statistics Center, Institute for Social and Economic Research and Policy<br><br>Co-director, Center on Political Economy and Comparative Institutional Analysis, Institute for Social and Economic Research and Policy<br><br>Coordinator, Development and Alumni Relations, Department of Political Science |
| 2002- 2005     | Professor of Political Science and International Affairs, Department of Political Science and School of International and Public Affairs, Columbia University  |
| 2003-2004      | Visiting Fellow, Russell Sage Foundation   |
| 1997- 2002     | Associate Professor of Political Science and International Affairs, Department of Political Science and School of International and Public Affairs, Columbia University  |
| 2000           | Visiting Scholar<br>Hoover Institution, Stanford University  |
| 1998-99        | Visiting Professor of Public Policy and Business<br>Graduate School of Business, Stanford University   |
| 1997-98        | Robert Eckles Swain National Fellow, Hoover Institution, Stanford University   |
| 1995-96        | Harvard Postdoctoral Fellow in Positive Political Economy  |

- 1993-97            Assistant Professor, Department of Political Science and  
School of International and Public Affairs, Columbia University
- 1990-92            Social Science Research Council Fellow in Foreign Policy Studies and Post  
Doctoral Fellow in Public Policy Center for Economic Policy Research, Stanford  
University

## PUBLICATIONS

### *Books*

- Politics, Process and American Trade Policy.* Ann Arbor: University of Michigan Press, 1994.
- Delegating Powers: A Transaction Cost Politics Approach to Policy Making Under Separate Powers.* Co-authored with David Epstein. New York: Cambridge University Press, 1999.
- The Future of the Voting Rights Act.* Co-edited with David Epstein, Rodolfo de la Garza and Richard Pildes. New York: Russell Sage Foundation, 2006.
- Dividing Lines: Racial Redistricting and Substantive Representation.* Co-authored with David Epstein. (In progress).

### *Journal Articles*

- “Administrative Procedures, Information, and Agency Discretion.” Co-authored with David Epstein. *American Journal of Political Science* **38**: 697-722, 1994.
- “Divided Government and U.S. Trade Policy.” Co-authored with Susanne Lohmann. *International Organization* **48**: 595-632, 1994.
- “A Theory of Strategic Oversight: Congress, Lobbyists, and the Bureaucracy.” Co-authored with David Epstein. *Journal of Law, Economics & Organization* **11**: 227-55, 1995.
- “Divided Government and the Design of Administrative Procedures: A Formal Model and Empirical Test.” Co-authored with David Epstein. *Journal of Politics* **58**: 393-417, 1996.
- “The Partisan Paradox and the U.S. Tariff, 1877 to 1934.” Co-authored with David Epstein. *International Organization* **50**: 301-324, 1996.
- “Do Majority-Minority Districts Maximize Substantive Black Representation in Congress?” Co-authored with Charles Cameron and David Epstein. *American Political Science Review* **90**: 794-812, 1996.
- “A Comparative Approach to Legislative Organization: Careerism and Seniority in the United States and Japan.” Co-authored with David Epstein, David Brady and Sadafumi Kawato. *American Journal of Political Science* **41**: 965-988, 1997.
- “Asymmetric Information, Delegation, and the Structure of Policy Making.” Co-authored with David Epstein. *Journal of Theoretical Politics* **11**: 37-56, 1999.
- “Measuring the Electoral and Policy Impact of Majority-Minority Voting Districts.” Co-authored with David Epstein. *American Journal of Political Science* **43** (April): 367-95, 1999.

- “A Social Science Approach to Race, Redistricting, and Representation.” Co-authored with David Epstein. *American Political Science Review* **93** (March): 187-91, 1999.
- “The Non-Delegation Doctrine and Separate Powers: A Political Science Approach.” Co-authored with David Epstein. *The Cardozo Law Review* **20** (January): 947-87, 1999.
- “Legislative Organization under Separate Powers.” Co-authored with David Epstein. *Journal of Law, Economics & Organization* **17** (October): 373-396, 2001.
- “Comitology’, Delegation and the Separation of Powers in the European Union.” Co-authored with Alexander Ballmann and David Epstein. *International Organization* **56** (summer): 551-574, 2002.
- “Democratic Transitions.” Co-authored with David Epstein, Robert Bates, Jack Goldstone, and Ida Kristensen. *American Journal of Political Science* **50** (July): 551–569, 2006.
- “A Strategic Dominance Argument for Retaining Section 5 of the VRA.” Co-authored with David Epstein. *Election Law Journal* **5** (3): 283-292, 2006.
- “Estimating the Impact of Redistricting on Minority Substantive Representation” Co-authored with David Epstein, Michael Herron, and David Park. *Journal of Law, Economics & Organization*, 23: 499-518, 2007.
- “The Paradox of Retrogression in the New VRA: Comment on Persily.” Co-authored with David Epstein. *Yale Law Journal* 117, Pocket Part 10, 2007.
- “Delegation Games and Sovereignty in International Organizations.” Co-authored with David Epstein. *Law & Contemporary Problems* 71; 77-92, 2008.
- “Does the New VRA Section 5 Overrule *Georgia v. Ashcroft*?” Co-authored with David Epstein. *NYU Annual Survey of American Law* 63:619: 631-59, 2008.

#### *Papers in Edited Volumes*

- “Congress and Foreign Trade Policy,” in *Congress Resurgent: Foreign and Defense Policy on Capitol Hill*. Edited by Randall Ripley and James Lindsay. Ann Arbor: University of Michigan Press, 1993.
- “Comment on Social Regulations and International Trade,” in *Competitive Disadvantages? Domestic Social Regulations and the Global Economy*. Edited by Pietro Nivola. Washington, DC: Brookings Institute Press, 1997.
- “Labor Interests and the Politics of American Trade Policy,” in *Exports, Imports and the American Worker*. Edited by Susan Collins. Washington, DC: Brookings Institute Press, 1998.
- “A New Comparative Political Institution Web Database.” Co-authored with David Epstein. *American Political Science Association—Comparative Politics Newsletter*, (summer) 1999.
- “Rationality and International Political Economy,” in *The Encyclopedia of International Political Economy*. Edited by R.J.B. Jones. London: Routledge, 2000.
- “The Institutional Face of Power: Congressional Delegation of Authority to the President,” in *Presidential Power: Forging the Presidency for the 21st Century*. Edited by Robert Shapiro, et. al. Co-authored with David Epstein. New York: Columbia University Press, 2000.

- “The Electoral Impact of Majority-Minority Districts,” in *Continuity and Change in House Elections*. Edited by David Brady, John Cogan, and Morris Fiorina. Co-authored with David Epstein. Stanford, Ca.: Stanford University Press, 2000.
- “No Net Effect: Internet Voting and Youth Participation in the 2000 Democratic Primary.” Co-authored with David Epstein and Noah Kaplan. *Pew Charitable Trusts Report*, 2001.
- “A Comparative Theory of Delegation,” in *New Perspectives on Delegation*. Edited by Dietmar Braun. Co-authored with David Epstein. London: Routledge Press, 2005.
- “Trends in Substantive and Descriptive Minority Representation, 1974–2000,” in *The Future of the Voting Rights Act*. Edited by David Epstein, Rodolfo de la Garza, Sharyn O’Halloran and Richard Pildes. Co-authored with David Epstein. New York: Russell Sage Foundation Press, 2006.
- “Conditional Presidential Leadership: Pivotal Players, Gridlock, and Delegation,” in *Presidential Leadership: the Vortex of Power*, 4<sup>th</sup> edition. Edited by Bert Rockman and Richard W. Waterman. Co-authored with David Epstein and Ida Kristensen. Los Angeles, CA: Roxbury Publishing Company, 2006.
- “U.S. Implementation of WTO Decisions,” *The Tenth Anniversary of the WTO*. Edited by Merit Janow. Columbia University Press, 2007.
- “Gerrymanders as Tradeoffs: The Co-Evolution of Social Scientific and Legal Approaches to Racial Redistricting,” In *Designing Democratic Government*. Edited by Margaret Levi, James Johnson, Jack Knight and Susan Stokes. Co-authored with David Epstein. New York: Russell Sage Foundation Press, 2008.
- “WTO Dispute Settlement and U.S. Trade Policy,” forthcoming in *Frontiers of Economics and Globalization*. Edited by James Hartigan. New York: Elsevier Press, 2008.

#### *Papers in Progress*

- “Minorities and Democratization.” Co-authored Bahar Leventoglu and David Epstein. (under review).
- “Higher-Dimension Markov Models.” Co-authored with David Epstein. Paper presented at the Annual Political Methodology Meetings, Tallahassee, Florida.
- “Modeling Madison: A Multiple Principals Model of Interest Group Competition.” Co-authored with David Epstein. Manuscript, Columbia University,.
- “Racial Gerrymandering and Representative Democracy.” Co-authored with David Epstein. Prepared for the Wallis Conference on Political Economy, University of Rochester,.
- “Alternative Models of Binary-Time Series—Cross Section Models: The Case of State Failure.” Co-authored with Neal Beck, David Epstein and Simon Jackman. Presented at the Political Methodology Conference, Emory University, Atlanta, Georgia.

#### *Electronic Resources*

- DataVine*: Cross-national, time series database for comparative institutional analysis. See <http://paradocs.pols.columbia.edu:8080/datavine/MainFrameSet.jsp>
- Weblog*: <http://www.reflectivepundit.com>

## GRANTS AND AWARDS

2007	Russell Sage Foundation Politics of Inequality Grant
2005	American Political Science Association, 2005 Decade of Behavioral Research Award
2005	Russell Sage Presidential Research Grant
2004	Leitner Research Fund Distinguished Faculty Grant
2003	Russell Sage Visiting Scholars Fellowship
2002	Carnegie Foundation Scholars Award
2001	Carnegie Foundation Grant
2000-01	Pew Charitable Trusts Research Grant
1999-01	National Science Foundation Research Grant
1999-00	John M. Olin Research Fellowship
1998-99	Bechtel Initiative on Global Growth and Change
1999	World Bank Research Grant
1998	Center for International Business Education Research Grant
1998	Harvard Center for International Development Research Grant
1997-98	National Fellow Hoover Institution, Stanford University
1997-98	Dirksen Congressional Research Grant
1996	Harvey Picker Development Grant for computer infrastructure
1996	Center for International Business Education Research Grant
1995-97	National Science Foundation Research Grant
1995-96	Harvard-MIT Research Training Grant in Positive Political Economy
1995-96	Hoover National Fellow (Declined)
1994-96	Columbia University, Faculty Research Fellowship
1993	Faculty Research Gift—INDEECO
1993	Center for International Business Education Research Grant
1991-92	Social Science Research Council Fellowship in Foreign Policy Studies
1989-90	National Science Foundation, Doctoral Dissertation Grant
1988	Tinker Foundation Grant, Field Research Brazil
1986-87	Latin American Language and Area Fellowship, Department of Education Title VI Grant, Intensive Language & Area Studies Program, Brazil

## ADMINISTRATIVE EXPERIENCE

### *University Senate:*

2007-	Co-Chair, Executive Committee
2004-	Chair, External Relations and Research Policy Committee—Addresses university's relations with local civic groups as well as outreach reach efforts with the community

on various university initiatives, including the proposed expansion into West Harlem's Manhattanville area. The committee also reviews university compliance with federal research policy codes.

- 2004- Chair, Senate-Trustee Relations Committee—Manages ongoing relations between the University Senate and the Board of Trustees.
- 2003- Chair, Campus Planning Task Force—Addresses the University's proposed \$7 billion expansion into West Harlem's Manhattanville area.
- 2003- Member, Budget Review Committee—Oversight committee of university-wide financial statements and strategic planning.
- 2002-05 Chair, Faculty Caucus—Sets priorities for the Senate agenda relevant to faculty issues and concerns.
- 2001-07 Chair, Online Learning and Digital Initiatives Committee—Issued report evaluating the University's commitment to the online venture *Fatbom.com*. Currently, the committee oversees the integration of academic and administrative computing.
- 2001-06 Member, Executive Committee—This committee, on which the President and Provost also sit, manages the agenda of the Senate.
- 2001 Member, Columbia University Presidential Search Committee
- 2000- Member, Physical Development Committee—Oversees the university's large-scale capital projects.

#### *Student Relations:*

##### Designated Suppliers Program (Anti-Sweatshop Proposal)

Negotiated with the students holding a sit-in in Low Library. Navigated student demands that we endorse the DSP without reservation. Wrote a report suggesting that the university agree to the DSP in principle and then join the DSP working group to fill in the details without exposing itself to anti-trust liability.

##### Internet Portal

Worked with the Senate's Student Caucus to help establish an online student community resource. With Columbia's information technology office, we incorporated many of the features of this system into the MyColumbia student portal currently in use.

##### ROTC

Addressed issue of whether to allow ROTC back on campus. Recommended that the university increase services and the visibility of the program, but due to cost and space limitations, keep training sessions at nearby Fordham.

##### Sexual Misconduct

Senate revised university policies regarding sexual misconduct by 1) clarifying definitions, 2) streamlining the appeals process, and 3) making the adjudication process more transparent.

##### Hate Crimes on Campus

Advocated a zero-tolerance policy under which all alleged hate crimes would be treated with a uniform process, including a rapid investigation and speedy determination of violations and penalties.

### Academic Freedom

In response to allegations that professors were not dealing equitably with the Israel-Palestinian issue, proposed grievance procedures that would allow students to raise concerns in a neutral forum while preserving the core values of academic freedom and open classroom discussion.

### Minutemen Controversy

Addressed an event where students violently disrupted the speech of a controversial guest speaker from the Minutemen organization. Advocated revamping the advising of student groups, and requiring a common application form for outside speakers.

### Student Athletics

Active participant in the athletics program, working with the Athletics Director to increase the visibility of student athletes. In the capital campaign, suggested broadening the contribution base to include club as well as intercollegiate sports.

### *School of International and Public Affairs:*

- 2005- *Director, Advanced Policy Analysis and Applied Economics Program:* Oversee curriculum offerings, administer concentration budget, hire faculty and manage staff.
- 2004 *Chair, SIPA Planning Task Force:* Authored SIPA Vision Statement for use in major capital campaign.
- 2002- *Alumni Affairs:* Presentations to various alumni groups and Board of Advisors.
- 2001- *Director, Center on Political Economy and Comparative Institutional Analysis:* Interdisciplinary center focusing on political economy and the impact of political institutions and on economic performance and policy outcomes.
- 1997 *Chair, Statistics Task Force:* Wrote report reviewing all statistics courses at SIPA and compared them to offerings at peer institutions. The report was used to reorganize course priorities and as the basis for an external fundraising drive that resulted in the \$1 million Harvey Picker grant to support SIPA computing.

### *Political Science Department:*

- 2006- *Coordinator, Alumni Relations and Development.*
- 2005- *Member, Earth Institute Fellowship Selection Committee.*
- 2002 *Advisor, Political Economy Undergraduate Major:* Coordinator and advisor for the undergraduate political economy major.
- 2000 *Chair, Dissertation Review Committee.*
- 1997 *Chair, Political Science Computing:* Evaluated Political Science Department computing needs, especially with regard to networking department computers and installing shared software and data sets.
- 1995- *Organizer, Political Economy Seminar:* Coordinated a weekly workshop of invited speakers in politics, law, economics, and business, which is now associated with a colloquium on political economics. Papers are distributed nationally via the Web.

Various years—*Faculty Hiring Committees*: Served on various faculty-hiring committees in the Department of Political Science and the School of International and Public Affairs.

Various years—*Graduate Student Admissions*: Political Science department committee to admit potential graduate students into the program and determine fellowship recipients.

Various years—*Graduate Advising*: Ph.D. dissertation advisor and advisor to SIPA masters students.

*Service to Profession:*

1999 *Chair, Political Economy Section*, American Political Science Association

*Reviewer*

American Journal of Political Science

American Political Science Review

American Politics Quarterly

Cambridge University Press

Economics and Politics

Legislative Studies Quarterly

International Organization

International Studies Quarterly

Journal of Law, Economics &  
Organization

Western Political Science Quarterly

Princeton University Press

Macmillan Publishers

*Advisory Boards*

National Planning and Consulting Corporation, Congressional Database

Journal of International Affairs

## TEACHING EXPERIENCE

*Globalization & U.S. International Economic Policy*. Course examines the impact of globalization on firms, governments and international organizations. Designed for Stanford MBA students and International Studies master students.

*Econometrics II*: A second semester course taught to sustainable development PhD students in the School of International and Public Affairs.

*Statistics and Quantitative Analysis*. Statistical methods for professional students in the School of International and Public Affairs, Columbia University.

*Political Economy*. Senior Research Seminar for undergraduate Political Economy majors.

*American Politics: Congress*. Undergraduate junior seminar on American political institutions. This course examines the interactions between individual incentives and political institutions in shaping public policy.

*Foundations of Institutional Analysis*. Congress: Foundations of Institutional Analysis. Seminar on American political institutions and public policy, focusing on legislative organization, bureaucratic structure, and their impact on public policy, Department of Political Science, Columbia University.



*Public Policy Senior Seminar.* Research and design course for seniors in the Public Policy Program, Stanford University.

*Race, Redistricting and Representation.* Explores the origins and consequences of the current U.S. legal standards regarding districting and minority representation. Public Policy, Stanford University.

## RESEARCH INTERESTS

*U.S. Trade Policy and Financial Regulation:* The political economy of U.S. trade policy, the politics of fast track, market entry, trade and the environment, trade and labor, regional trading blocks, the World Trade Organization. Financial regulation, capital markets and reform.

*Political Institutions and Public Policy:* Legislative-executive relations, regulatory structure, delegation and oversight, comparative institutional analysis, the design of hierarchical organizations in firms and in government.

*Methodology:* Limited dependent variable time series analysis, hierarchical cluster models, game theory, testing formal models.

*Electoral Politics:* Redistricting, gerrymandering at the national and state levels, majority-minority voting districts and substantive representation.

*Globalization and International Law:* Regulation and economic growth, firm competitiveness, corruption, political institutions, democratization, and economic performance.

## GOVERNMENT RELATIONS

2006 *SIPA Policy Workshops.* Organized a series of workshops in conjunction with the Manhattan Borough President's office and other local and state agencies.

2005- *The 125<sup>th</sup> Street Rezoning Advisory Board.* Appointed to the Advisory Board of a large scale project headed by the New York City Department of City Planning to rezone Harlem's 125<sup>th</sup> Street corridor.

2003 *South Carolina and Georgia State Redistricting Cases.* Provided assessment of proposed redistricting plans. Successfully upheld by the Supreme Court in the *Georgia v. Ashcroft* case.

2001 *World Bank, International Finance Group.* Conducted research project on the impact of trade and political institutions on economic growth.

2000 *World Bank, Regulation and Competition Policy Group.* Consultant on project to collect and analyze data on trade openness, international organizations and their impact on democratic transitions.

1997 *North American Trade Automation Project (NATAP).* Designed a study in conjuncture with Booz-Allen to evaluate the impact of NATAP on trade policy and global competitiveness through state-of-the-art analysis of trade data.

1996 *South Carolina State Redistricting Case.* Drafted brief on the impact of the 1994 redistricting on the South Carolina State House and Senate.

1996 *Brookings Institution.* Conferences on trade and labor and trade and the environment.

- 1993 *Mexican Department of Commerce, International Trade Division (SECOFI)*. Advised Mexican officials on possible responses to a U.S. court ruling that required an environmental impact statement prior to the enactment of NAFTA.
- 1992 *Mexican Department of Commerce, International Trade Division (SECOFI)*. The politics of “Fast Track” authority with applications to U.S.-Mexico trade.
- 1991 *California Institute of Public Affairs*. Round Table of union leaders, state government officials, environmentalists and policy experts concerning U.S.-Mexico Free Trade Agreement and the environment.
- 1991 *Mexican Department of Agriculture*. The application of the U.S.-Canada binational dispute settlement panels to a North American Free Trade Agreement.

## SELECTED RECENT CONFERENCES AND PRESENTATIONS

- 2008 *Barcelona, Spain*, Conference on Political Economy and Elections
- 2007 *Istanbul, Turkey*, Democracy and International Security
- 2006 *Princeton University*: International Political Economy State of the Field Conference
- 2006 *Columbia University*: Conference on the World Trade Organization at 10
- 2006 *University of Utah*: Siciliano Forum Conference on the Voting Rights Act
- 2006 *Philadelphia*: American Political Science Association Annual Meetings
- 2006 *Fukuoka, Japan*: International Political Science Association Tri-Annual Meetings
- 2006 *Chicago*: Midwest Political Science Association Annual Meetings
- 2006 *Duke University Law School*: Conference on Delegating International Sovereignty
- 2006 *London School of Economics*: SIPA-LSE Scholars Exchange Program
- 2003 *Edinburgh*: Annual Meeting of the European Consortium for Political Research
- 2002 *European University Institute, Florence*: Conference on New Perspectives on Delegation in Politics
- 2000 *Sardinia*: Society of Economic Dynamics Invited Presentation

## RECENT MEDIA APPEARANCES

BBC World News  
 CNN Lou Dobbs  
 NPR All Things Considered  
 ABC Election Coverage  
 Wall Street Journal Election Coverage  
 Forbes Magazine  
 Sky News  
 New York 1 – The Call

New York Times  
 US State Department – International Press  
 Briefing on Midterm Elections  
 Congressional Briefing on 2006 Renewal of  
 Voting Rights Act  
 Congressional Quarterly  
 National Journal  
 Roll Call